

Nikolay  
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Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

# Symmetric Polynomials and Representation Theory

Nikolay Grantcharov

MUSA Math Monday

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# Outline

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Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

- 1 Symmetric Functions
  - Ring of Symmetric Functions
  - Four Bases of  $\Lambda$
  - One More Basis of  $\Lambda$ : Schur Functions
  - Orthogonality
- 2 Characters of  $S_n$ 
  - Finite Group Representation Theory
  - $S_n$ -reps
- 3 Further Applications
  - Littlewood-Richardson Rule
  - Lie Theory

# Outline

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

- 1** Symmetric Functions
  - Ring of Symmetric Functions
  - Four Bases of  $\Lambda$
  - One More Basis of  $\Lambda$ : Schur Functions
  - Orthogonality
- 2** Characters of  $S_n$ 
  - Finite Group Representation Theory
  - $S_n$ -reps
- 3** Further Applications
  - Littlewood-Richardson Rule
  - Lie Theory

# Symmetric Polynomials

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further

Applications

Littlewood-  
Richardson  
Rule

Lie Theory

Consider the ring  $\mathbf{Z}[x_1, \dots, x_n]$  of polynomials in  $n$  variables with integer coefficients. The symmetric group  $S_n$  acts on this ring by permuting the variables. An element  $f \in \mathbf{Z}[x_1, \dots, x_n]$  is called a **symmetric polynomial** if  $\sigma \cdot f(x_1, \dots, x_n) = f(x_{\sigma(1)}, \dots, x_{\sigma(n)})$  for all  $\sigma \in S_n$ .

## Ring of Symmetric Polynomials

The set of all symmetric polynomials of  $n$  variables forms a subring

$$\Lambda_n := \mathbf{Z}[x_1, \dots, x_n]^{S_n},$$

which is graded by the degree:

$$\Lambda_n = \bigoplus_{d \geq 0} \Lambda_n^d$$

where  $\Lambda_n^d$  consists of symmetric polynomials of  $n$  variables and degree  $d$ .

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Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory  
 $S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule  
Lie Theory

The number of variables is often irrelevant provided that it is large enough.

## Ring of Symmetric Functions

The ring of **symmetric functions** is

$$\Lambda := \bigoplus_{d \geq 0} \Lambda^d,$$

where  $\Lambda^d = \varprojlim \Lambda_n^d$  denotes the ring of symmetric polynomials of degree  $d$  of arbitrary number of variables.

# Outline

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

**Four Bases of  $\Lambda$**

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

- 1** Symmetric Functions
  - Ring of Symmetric Functions
  - **Four Bases of  $\Lambda$**
  - One More Basis of  $\Lambda$ : Schur Functions
  - Orthogonality
- 2** Characters of  $S_n$ 
  - Finite Group Representation Theory
  - $S_n$ -reps
- 3** Further Applications
  - Littlewood-Richardson Rule
  - Lie Theory

# 1. Monomial Symmetric Functions

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Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further

Applications

Littlewood-  
Richardson  
Rule

Lie Theory

## Definition of monomial symmetric function $m_\lambda$

Let  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbf{N}^n$  and denote  $x^\alpha$  the monomial

$$x^\alpha := x_1^{\alpha_1} \dots x_n^{\alpha_n}.$$

Let  $\lambda$  be any partition of length  $\leq n$ , i.e.  $\lambda = (\lambda_1, \dots, \lambda_n)$  where  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n \geq 0$ . Then define

$$m_\lambda(x_1, \dots, x_n) := \sum x^\alpha$$

where the sums runs through distinct permutations  $\alpha$  of  $(\lambda_1, \dots, \lambda_n)$ .

Examples:  $n = 3$ ,

$$m_{(3)} = x_1^3 + x_2^3 + x_3^3$$

$$m_{(2,1)} = x_1^2 x_2 + x_2^2 x_1 + x_2^2 x_3 + x_3^2 x_2 + x_1^2 x_3 + x_3^2 x_1$$

$$m_{(1,1,1)} = x_1 x_2 x_3$$

## 2. Elementary Symmetric Functions

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Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

### Definition of elementary symmetric function $e_\lambda$

For  $r \geq 0$ , define

$$e_r := \sum_{i_1 < \dots < i_r} x_{i_1} \dots x_{i_r} = m_{(1^r)}$$

and its generating function  $E(t) := \sum_{r \geq 0} e_r t^r = \prod (1 + x_i t)$ . For a partition  $\lambda = (\lambda_1, \dots)$ , define

$$e_\lambda := e_{\lambda_1} e_{\lambda_2} \dots$$

Examples:  $n = 3$ :

$$e_{(3)} = e_3 = x_1 x_2 x_3$$

$$\begin{aligned} e_{(2,1)} &= e_2 e_1 = (x_1 x_2 + x_2 x_3 + x_1 x_3)(x_1 + x_2 + x_3) \\ &= x_1^2 x_2 + x_2^2 x_1 + x_2^2 x_3 + x_3^2 x_2 + x_1^2 x_3 + x_3^2 x_1 + 3x_1 x_2 x_3 \end{aligned}$$

$$e_{(1,1,1)} = e_1^3 = (x_1 + x_2 + x_3)^3$$

### 3. Complete Symmetric Functions

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Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions  
Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule  
Lie Theory

#### Definition of complete symmetric functions $h_\lambda$

For  $r \geq 0$ , define

$$h_r := \sum_{|\lambda|=r} m_\lambda$$

and its generating function

$$H(t) := \sum_{r \geq 0} h_r t^r = \prod (1 + x_i t + x_i^2 t^2 + \dots) = \prod (1 - x_i t)^{-1}.$$

Observe

$$H(t)E(-t) = 1.$$

Since  $e_r$  are algebraically independent, may define homomorphism of graded rings  $w : \Lambda \rightarrow \Lambda$  sending  $e_r$  to  $h_r$ . This satisfies  $w^2 = 1$ , hence is isomorphism, hence  $h_r$  are independent and  $\Lambda = \mathbf{Z}[h_1, h_2, \dots]$ .

Example:  $n=3$ ,

$$\begin{aligned} h_3 &= m_{(3)} + m_{(2,1)} + m_{(1,1,1)} \\ &= x_1^3 + x_2^3 + x_3^3 + x_1^2 x_2 + x_2^2 x_1 + x_2^2 x_3 + x_3^2 x_2 + x_1^2 x_3 + x_3^2 x_1 + x_1 x_2 x_3 \end{aligned}$$

## 4. Power Sum Symmetric Functions

### Definition of power sum symmetric functions $p_\lambda$

For  $r \geq 1$ , define the  $r$ th power sum as

$$p_r := \sum x_i^r = m(r)$$

and generating function

$$\begin{aligned} P(t) &= \sum_{r \geq 1} p_r t^{r-1} = \sum_{i \geq 1} \sum_{r \geq 1} x_i^r t^{r-1} \\ &= \sum_{i \geq 1} \frac{x_i}{1 - x_i t} = \sum \frac{d}{dt} \log \frac{1}{1 - x_i t} \\ &= \frac{d}{dt} \log H(t) \end{aligned}$$

Example:  $n = 3$ ,

$$p_{(2,1)} = p_2 p_1 = (x_1^2 + x_2^2 + x_3^2)(x_1 + x_2 + x_3)$$

# Outline

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

- 1** Symmetric Functions
  - Ring of Symmetric Functions
  - Four Bases of  $\Lambda$
  - One More Basis of  $\Lambda$ : Schur Functions
  - Orthogonality
- 2** Characters of  $S_n$ 
  - Finite Group Representation Theory
  - $S_n$ -reps
- 3** Further Applications
  - Littlewood-Richardson Rule
  - Lie Theory

# Schur Function

Let  $x^\alpha = x_1^{\alpha_1} \dots x_n^{\alpha_n}$  and consider the polynomial  $a_\alpha$  obtained by antisymmetrizing  $x^\alpha$ :

$$a_\alpha := \sum_{w \in S_n} \epsilon(w) \cdot w(x^\alpha)$$

where  $\epsilon(w)$  is the sign ( $\pm 1$ ) of the permutation  $w$ . Observe,

- 1**  $a_\alpha$  is anti-symmetric:  $w(a_\alpha) = \epsilon(w)a_\alpha$
- 2**  $a_\alpha = 0$  unless  $\alpha = (\alpha_1, \dots, \alpha_n)$  are all distinct.
- 3** Thus, we may assume  $\alpha_1 > \alpha_2 > \dots > \alpha_n \geq 0$  and rewrite  $\alpha = \lambda + \delta$ , where  $\delta = (n-1, n-2, \dots, 1, 0)$ .
- 4**  $a_{\lambda+\delta} = \sum \epsilon(w) \cdot w(x^{\lambda+\delta}) = \det(x_i^{\lambda_j+n-j})_{1 \leq i, j \leq n}$ .
- 5** This determinant is divisible in  $\mathbf{Z}[x_1, \dots, x_n]$  by each of  $x_i - x_j$ , hence by  $\prod_{i \neq j} (x_i - x_j) = \det(x_i^{n-j}) = a_\delta$ . "Vandermonde determinant."

# Schur Function

Thus the following is well-defined (i.e. is a polynomial)

## Definition of Schur Function

For  $\lambda = (\lambda_1, \dots, \lambda_n)$  a partition of length  $\leq n$ , define the **Schur function**

$$s_\lambda := \frac{a_{\lambda+\delta}}{a_\delta}$$

$s_\lambda$  is symmetric because  $a_{\lambda+\delta}$ ,  $a_\delta$  are anti-symmetric.

Examples ( $n = 3$ ):

$$s_{(2,1,0)}(x_1, x_2, x_3) = \frac{1}{\Delta} \det \begin{bmatrix} x_1^4 & x_2^4 & x_3^4 \\ x_1^2 & x_2^2 & x_3^2 \\ x_1^0 & x_2^0 & x_3^0 \end{bmatrix} = (x_1 + x_2)(x_1 + x_3)(x_2 + x_3)$$

$$s_{(2,2,0)}(x_1, x_2, x_3) = \frac{1}{\Delta} \det \begin{bmatrix} x_1^4 & x_2^4 & x_3^4 \\ x_1^3 & x_2^3 & x_3^3 \\ 1 & 1 & 1 \end{bmatrix} =$$

$$x_1^2 x_2^2 + x_1^2 x_3^2 + x_2^2 x_3^2 + x_1^2 x_2 x_3 + x_1 x_2^2 x_3 + x_1 x_2 x_3^2$$

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Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further

Applications

Littlewood-  
Richardson  
Rule

Lie Theory

# Schur Function

There is a useful theorem for combinatorially computing the Schur polynomials, namely

## Formula for Schur Functions

$$s_{\lambda} = \sum_{T \in \text{SSYT}(\lambda)} x^T$$

where "SSYT" means a Young tableau of shape  $\lambda$  with the boxes weakly increasing along each row and strictly increasing up each column

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Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

# Outline

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

**Orthogonality**

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

- 1** Symmetric Functions
  - Ring of Symmetric Functions
  - Four Bases of  $\Lambda$
  - One More Basis of  $\Lambda$ : Schur Functions
  - **Orthogonality**
- 2** Characters of  $S_n$ 
  - Finite Group Representation Theory
  - $S_n$ -reps
- 3** Further Applications
  - Littlewood-Richardson Rule
  - Lie Theory

# A Few Identities

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Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

## Cauchy Identities

$$\prod_{i,j} (1 - x_i y_j)^{-1} = \sum_{\lambda} h_{\lambda}(x) m_{\lambda}(y) = \sum_{\lambda} s_{\lambda}(x) s_{\lambda}(y)$$

## Scalar Product

For  $n \geq 0$ , let  $(u_{\lambda}), (v_{\mu})$  be  $\mathbf{Q}$ -bases of  $\Lambda_{\mathbf{Q}}^n$ , indexed by partitions of  $n$ . Then TFAE:

- 1  $\langle u_{\lambda}, v_{\mu} \rangle = \delta_{\lambda, \mu}$  for all  $\lambda, \mu$
- 2  $\sum_{\lambda} u_{\lambda}(x) v_{\lambda}(y) = \prod_{i,j} (1 - x_i y_j)^{-1}$ .

# Orthogonality

We define a  $\mathbf{Z}$ -valued bilinear product (i.e scalar product) on  $\Lambda$  by requiring the complete symmetric functions to be dual to the monomial symmetric functions:

$$\langle h_\lambda, m_\mu \rangle = \delta_{\lambda, \mu}$$

By the Cauchy identity, we have

$$\langle s_\lambda, s_\mu \rangle = \delta_{\lambda, \mu} \tag{1}$$

so that  $s_\lambda$ , for  $|\lambda| = n$  form orthonormal basis of  $\Lambda^n$ . Any other orthonormal basis must be obtained by orthogonal matrix with integer coefficients. The only such matrices are signed permutation matrices, thus (1) characterizes  $s_\lambda$  up to sign.

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Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

# Outline

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

- 1 Symmetric Functions
  - Ring of Symmetric Functions
  - Four Bases of  $\Lambda$
  - One More Basis of  $\Lambda$ : Schur Functions
  - Orthogonality
- 2 Characters of  $S_n$ 
  - Finite Group Representation Theory
  - $S_n$ -reps
- 3 Further Applications
  - Littlewood-Richardson Rule
  - Lie Theory

# Finite Group Representation Theory

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Symmetric  
Functions  
Ring of  
Symmetric  
Functions  
Four Bases of  $\Lambda$   
One More Basis  
of  $\Lambda$ : Schur  
Functions  
Orthogonality  
Characters of  $S_n$   
Finite Group  
Representation  
Theory  
 $S_n$ -reps  
Further  
Applications  
Littlewood-  
Richardson  
Rule  
Lie Theory

## Basic Definitions

A **representation** of a finite group  $G$  is  $(V, \rho)$  where  $V$  is finite-dimensional (complex) vector space and  $\rho : G \rightarrow GL(V)$  is a homomorphism. An **irreducible representation** is a representation such that there is no nonzero proper  $G$ -invariant subspace  $W \subset V$ .

## Complete Reducibility Theorem for Finite Group Representations

Any representation of a finite group is a direct sum of irreducible representations.

Example:  $G = S_3$ .

- $V = \mathbf{C}$ ,  $g.z = z \forall g \in G, z \in \mathbf{C}$
- $V = \mathbf{C}$ ,  $g.z = \text{sgn}(g)z, \forall g \in G, z \in \mathbf{C}$
- $V = \mathbf{C}^3$ ,  $g.(z_1, z_2, z_3) = (z_{g^{-1}(1)}, z_{g^{-1}(2)}, z_{g^{-1}(3)})$ . This has 2-dimensional (irreducible) invariant subspace  $V = \{(z_1, z_2, z_3) : z_1 + z_2 + z_3 = 0\}$ .

# Character Theory

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Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

## Definition of Character

Let  $(V, \rho)$  be a  $G$ -representation. To  $V$ , we associate the **Character of  $V$** ,  $\chi_V$ , as a complex-valued function on the group defined by

$$\chi_V(g) := \text{Tr}(\rho(g))$$

Note,  $\chi_V$  is not necessarily a representation, but in the case  $V$  is 1-dimensional, it is.

## Properties of Characters

Let  $V, W$  be representations of  $G$ . Then

$$\chi_{V \oplus W} = \chi_V + \chi_W,$$

$$\chi_{V \otimes W} = \chi_V \cdot \chi_W$$

$$\chi_{V^*} = \bar{\chi}_V$$

# Inner Product on Characters

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Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

## Inner product

The space of  $\mathbf{C}$ -valued class functions ( $f : f(g) = f(h^{-1}gh)$ ) on  $G$  have a natural inner-product

$$\langle \alpha, \beta \rangle_G := \frac{1}{|G|} \sum_{g \in G} \alpha(g) \overline{\beta(g)}$$

A representation is determined by its character

The **irreducible characters**  $\chi_V$  form an **orthonormal basis** for the space of class-functions.

# Outline

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

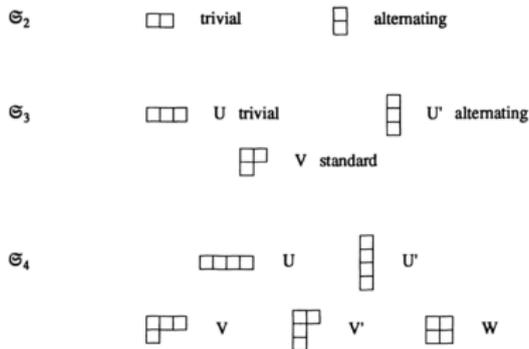
Lie Theory

- 1 Symmetric Functions
  - Ring of Symmetric Functions
  - Four Bases of  $\Lambda$
  - One More Basis of  $\Lambda$ : Schur Functions
  - Orthogonality
- 2 Characters of  $S_n$ 
  - Finite Group Representation Theory
  - $S_n$ -reps
- 3 Further Applications
  - Littlewood-Richardson Rule
  - Lie Theory

# Classification Theorem for $S_n$ -representations

## Theorem

The irreducible representations of  $S_n$  are parameterized by partitions  $\lambda = (\lambda_1, \dots, \lambda_k)$  such that  $\lambda_1 + \dots + \lambda_k = n$



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Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

# Proof of Classification Theorem of $S_n$ -reps

**Proof:** Let  $R^n$  denote the  $\mathbf{Z}$ -module generated by irreducible characters of  $S_n$  and let

$$R = \bigoplus_{n \geq 0} R^n.$$

This carries a ring structure:

$$f \in R^m, g \in R^n, f \cdot g := \text{ind}_{S_m \times S_n}^{S_{n+m}} f \times g;$$

and a scalar product: for  $f = \sum f_n, g = \sum g_n \in R,$

$$\langle f, g \rangle := \sum_n \langle f_n, g_n \rangle_{S_n}.$$

Next, define  $\psi : S_n \rightarrow \Lambda^n, \psi(w) := p_{\rho(w)}$  where  $\rho(w) = (\rho_1, \rho_2, \dots)$  is the cycle type of  $w$  and (recall)  $p_\lambda$  is the power-sum symmetric polynomial.

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

# Proof continued

Next, we define a  $\mathbf{Z}$ -linear mapping, called the *characteristic map*

$$\text{ch} : R^n \rightarrow \Lambda_{\mathbf{C}}^n$$

$$f \rightarrow \langle f, \psi \rangle_{S_n} = \frac{1}{n!} \sum_{w \in S_n} f(w) \psi(w)$$

We may extend  $\text{ch}$  to a map  $R \rightarrow \Lambda_{\mathbf{C}}$  which satisfies:

- 1  $\langle \text{ch}(f), \text{ch}(g) \rangle = \langle f, g \rangle_{S_n}$  for  $f, g \in R^n$
- 2  $\text{ch}(f \cdot g) = \text{ch}(f) \cdot \text{ch}(g)$ ,  $f \in R^m, g \in R^n$  (Frobenius reciprocity)

Furthermore,  $\text{ch}$  is an isometric isomorphism of  $R^n \rightarrow \Lambda_{\mathbf{C}}^n$ , so

$$\chi^\lambda := \text{ch}^{-1}(s_\lambda) \in R^n$$

satisfies

$$\langle \chi^\lambda, \chi^\mu \rangle_{S_n} = \langle s_\lambda, s_\mu \rangle = \delta_{\lambda, \mu}.$$

Furthermore the number of conjugacy classes in  $S_n$  equals number of partitions of  $n$ , so  $\chi^\lambda$  exhausts all irreducible characters of  $S_n$ .

# Summary

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

- 1 Conjugacy classes of  $S_n$  correspond to power-sum symmetric functions  $p_\lambda$ , where  $\lambda$  is a partition of  $n$ .
- 2 Irreducible representations of  $S_n$  correspond to Schur functions  $s_\lambda$ , where  $\lambda$  is a partition of  $n$ .
- 3 The character table of  $S_n$  is the matrix for expressing Schur functions as linear combinations of power-sum functions.
- 4 By Schur-Weyl duality, we thus also have complete description of representations of general linear group  $GL(n)$ .

# Outline

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

- 1 Symmetric Functions
  - Ring of Symmetric Functions
  - Four Bases of  $\Lambda$
  - One More Basis of  $\Lambda$ : Schur Functions
  - Orthogonality
- 2 Characters of  $S_n$ 
  - Finite Group Representation Theory
  - $S_n$ -reps
- 3 Further Applications
  - Littlewood-Richardson Rule
  - Lie Theory

# Littlewood-Richardson Coefficients

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

## Littlewood-Richardson Rule

Let  $\lambda, \mu, \nu$  be partitions. Since the Schur functions  $\{s_\nu\}$  form a basis, there exists integral coefficients  $c_{\lambda, \mu}^\nu$  such that

$$s_\lambda s_\mu = \sum_{\nu} c_{\lambda, \mu}^\nu s_\nu.$$

The Littlewood-Richardson rule states that  $c_{\lambda, \mu}^\nu$  is equal to the number of Littlewood-Richardson tableaux of skew shape  $\nu/\lambda$  and of weight  $\mu$ .

# Littlewood-Richardson Coefficients in Representation Theory

Nikolay  
Grantcharov

Symmetric  
Functions  
Ring of  
Symmetric  
Functions  
Four Bases of  $\Lambda$   
One More Basis  
of  $\Lambda$ : Schur  
Functions  
Orthogonality  
Characters of  $S_n$   
Finite Group  
Representation  
Theory  
 $S_n$ -reps  
Further  
Applications  
Littlewood-  
Richardson  
Rule  
Lie Theory

By our theorem,  $c_{\lambda, \mu}^{\nu}$  is precisely the multiplicity of an irreducible representation  $V_{\nu}$  of  $S_{|\nu|}$  occurring in  $\text{ind}_{S_{|\lambda|} \times S_{|\mu|}}^{S_{|\nu|}} V_{\lambda} \otimes V_{\mu}$ , where  $V_{\lambda}, V_{\mu}$  are irreducible representations of  $S_{|\lambda|}, S_{|\mu|}$ , respectively. Namely,

$$\text{ind}_{S_{|\lambda|} \times S_{|\mu|}}^{S_{|\nu|}} V_{\lambda} \otimes V_{\mu} = \bigoplus_{\nu} c_{\lambda, \mu}^{\nu} V_{\nu}.$$

## Major Open Problem (Kronecker Coefficients)

Let  $\lambda, \mu, \nu$  be partitions of  $n$ . Find a combinatorial formula for the multiplicity of  $V_{\nu}$  in  $V_{\mu} \otimes V_{\lambda}$ , i.e find  $g_{\lambda, \mu, \nu}$  where

$$V_{\lambda} \otimes V_{\mu} = \bigoplus_{\nu} V_{\nu}^{g_{\lambda, \mu, \nu}}.$$

Here,  $V_{\lambda}, V_{\mu}, V_{\nu}$  are all irreducible  $S_n$ -representations.

# Outline

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

- 1 Symmetric Functions
  - Ring of Symmetric Functions
  - Four Bases of  $\Lambda$
  - One More Basis of  $\Lambda$ : Schur Functions
  - Orthogonality
- 2 Characters of  $S_n$ 
  - Finite Group Representation Theory
  - $S_n$ -reps
- 3 Further Applications
  - Littlewood-Richardson Rule
  - Lie Theory

# Lie Theory (Algebra)

Nikolay  
Grantcharov

Symmetric  
Functions  
Ring of  
Symmetric  
Functions  
Four Bases of  $\Lambda$   
One More Basis  
of  $\Lambda$ : Schur  
Functions  
Orthogonality  
Characters of  $S_n$   
Finite Group  
Representation  
Theory  
 $S_n$ -reps  
Further  
Applications  
Littlewood-  
Richardson  
Rule  
Lie Theory

We use the following notation:

- 1  $\mathfrak{g}$ : simple Lie algebra over  $\mathbf{C}$
- 2  $\mathfrak{b}$ : Borel subalgebra = maximal solvable subalgebra
- 3  $\mathfrak{h}$ : Cartan subalgebra = maximal abelian subalgebra such that  $ad\mathfrak{h}$  consists of diagonalizable operators
- 4  $W$  = Weyl group = (finite) complex reflection group
- 5  $ad : \mathfrak{g} \rightarrow GL(\mathfrak{g})$  where  $X \rightarrow (ad_X : Y \rightarrow [X, Y])$  gives root space decomposition

$$\mathfrak{g} = \mathfrak{h} \oplus \bigoplus_{\alpha \in \Phi} \mathfrak{g}_{\alpha}$$

- 6  $\Lambda$  = weight space =  $\{\lambda \in \text{span}_{\mathbf{R}}(\Phi) : (\lambda, \check{\alpha}) \in \mathbf{Z} \forall \alpha \in \Phi\}$
- 7  $\Lambda^+$  = dominant weights =  $\{\lambda \in \Lambda : (\lambda, \check{\alpha}) \geq 0 \forall \alpha \in \Phi^+\}$

# Lie Theory (Algebra)

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

## Theorem of Highest Weights

Let  $V$  be irreducible  $\mathfrak{g}$ -module. Then there exists unique dominant weight  $\lambda$  such that

- $\dim V_\lambda = 1$
- $\forall \mu$  weight of  $V$ ,  $\mu = \lambda - \sum n_i \alpha_i$ ,  $n_i \geq 0$ .
- $\mathfrak{g}_\alpha \cdot V_\lambda = 0$  for  $\alpha \in \Phi^+$

For irreducible  $\mathfrak{g}$ -representation,  $V(\lambda)$ , define its character as

$$\text{ch } V(\lambda) := \sum_{\mu \in \Lambda} (\dim V(\lambda)_\mu) e^\mu \in \mathbf{Z}[\Lambda]$$

# Weyl's Formula

Nikolay  
Grantcharov

Symmetric  
Functions

Ring of  
Symmetric  
Functions

Four Bases of  $\Lambda$

One More Basis  
of  $\Lambda$ : Schur  
Functions

Orthogonality

Characters of  $S_n$

Finite Group  
Representation  
Theory

$S_n$ -reps

Further  
Applications

Littlewood-  
Richardson  
Rule

Lie Theory

## Weyl Character Formula

Let  $\lambda \in \Lambda^+$ . Then

$$\left( \sum_{\sigma \in W} \operatorname{sgn}(\sigma) e^{\sigma \rho} \right) * \operatorname{ch}(V(\lambda)) = \sum_{\sigma \in W} \operatorname{sgn}(\sigma) e^{\sigma \cdot (\lambda + \rho)}$$

where  $\rho = 1/2 \sum_{\mu \in \Phi^+} \mu$  and  $*$  is the convolution product for  $\mathbf{Z}[\Lambda]$ .

Recall the Schur function was defined as

$$s_\lambda = \frac{\sum \epsilon(w) \cdot w(x^{\lambda+\delta})}{\sum \epsilon(w) \cdot w(x^\delta)}$$

Writing  $x_i = e^{\lambda_i}$  and letting  $\mathfrak{g} = \mathfrak{sl}(n+1)$ , we see  $W = S_n$ ,  $\delta = \rho$  and thus Weyl's character formula is literally the Schur function. Same thing with Weyl character formula for Lie group  $GL(n)$ .

# References I

Nikolay  
Grantcharov

Appendix  
For Further  
Reading

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