

# A FIBRED HOMOTOPY EQUIVALENCE AND HOMOLOGY THEORIES FOR THE CATEGORY OF SMALL CATEGORIES

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Communicated by J. MacLane

Received 4 March 1977

## 1. Introduction

Watts [23], Laudal [12], Oberst [19], André [1], and more recently Quillen [20], have asserted that the derived functors of colimit of an appropriate diagram define a homology theory for  $\mathcal{C}at$ , the category of small categories, with arbitrary coefficients. In this paper, we develop a variation of the Eilenberg–Steenrod–Milnor axioms ([3], [17]) for such homology theories, give a constructive definition of these homology theories, and show, up to natural isomorphism, that such theories are uniquely determined by their coefficient system.

In [11], the homotopic (as different from homotopy; see Section 3) category of the functor category  $\mathcal{K}$  of (semi-) simplicial sets was shown to be equivalent to the “corresponding” homotopic category of  $\mathcal{C}at$ , by constructing a weak homotopy (WH) inverse  $\Gamma: \mathcal{K} \rightarrow \mathcal{C}at$  of the nerve functor  $N: \mathcal{C}at \rightarrow \mathcal{K}$ . This was done by defining natural transformations

$$\eta'': N\Gamma \rightarrow 1d_{\mathcal{K}} \quad \text{and} \quad \eta': \Gamma N \rightarrow 1d_{\mathcal{C}at}$$

such that the morphisms corresponding to each object are equivalences in the respective homotopic categories. This enabled us to use the uniqueness of homology in  $\mathcal{K}$ , developed by Milnor [17], to demonstrate the uniqueness of homology for  $\mathcal{C}at$  with constant coefficients.

A homology theory for  $\mathcal{C}at$  with arbitrary coefficients is defined by a functor

$$h: A(\mathcal{C}at \downarrow \mathbf{B}) \rightarrow \mathcal{A}b^{\mathbb{Z}}$$

from a category of pairs of the comma category  $\mathcal{C}at \downarrow \mathbf{B}$  of small categories over a fixed small category  $\mathbf{B}$ , to the category of graded abelian groups. The homology theory is then determined uniquely by a coefficient functor

$$A: \Gamma N \mathbf{B} \rightarrow \mathcal{A}b.$$

When  $\mathbf{B}$  is the trivial one pointed category,  $\mathcal{C}at \downarrow \mathbf{B} = \mathcal{C}at$ , and the homology is just the homology for  $\mathcal{C}at$  with constant coefficients.

The definition of weak homotopy equivalence (WHE) is extended to the corresponding fibred categories; and the fibred homotopic category of  $\mathcal{K} \downarrow N\mathbf{B}$  is shown to be equivalent to the fibred homotopic category of  $\mathcal{C}at \downarrow \mathbf{B}$ , by extending the natural transformations  $\eta''$  and  $\eta'$  to weak fibred homotopy equivalences (WFHE). This enables us to use the uniqueness of homology in  $\mathcal{K} \downarrow N\mathbf{B}$  with varying coefficients developed by Chen [2], to prove the uniqueness of homology for  $\mathcal{C}at \downarrow \mathbf{B}$ .

The paper is organized as follows. In Section 2, we develop the notion of a  $b$ -fibre as a pullback in  $\mathcal{K}$ , and indicate why general pullback functors are bicontinuous (preserve limits and colimits). The strong homotopy and weak homotopy relations for  $\mathcal{C}at$  and  $\mathcal{K}$  are extended to corresponding fibred relations for  $\mathcal{C}at \downarrow \mathbf{B}$  and  $\mathcal{K} \downarrow B$  in Section 3. Also in Section 3, we prove a technical lemma (Lemma 3.8), using a fibred gluing lemma (Lemma 3.7), which builds naturally global weak fibre homotopy equivalences from weak homotopy equivalences on each of the fibres. In Section 4, we review the construction of  $\eta'$  and  $\eta''$ , and use the technical lemma (Lemma 3.8) to show that they induce weak fibre homotopy equivalences. Section 5 contains an argument showing that the unique homology theories commute with a larger homotopy relation in  $\mathcal{K} \downarrow B$ : If  $\langle H, \partial \rangle$  is a homology theory for  $\mathcal{K} \downarrow B$ , then the image under  $H$  of a weak fibre homotopy equivalence is an isomorphism in  $\mathcal{A}b^Z$ .

In Section 6, we completely define and describe homology for  $\mathcal{C}at \downarrow \mathbf{B}$  with arbitrary coefficients. The axioms, which are similar to those for  $\mathcal{K} \downarrow N\mathbf{B}$ , are stated first. The definition of homology  $\langle H, \partial \rangle$  for  $\mathcal{C}at \downarrow \mathbf{B}$  is given in terms of homology for  $\mathcal{K} \downarrow N\mathbf{B}$ , and then  $\langle H, \partial \rangle$  is shown to satisfy the axioms for homology in  $\mathcal{C}at \downarrow \mathbf{B}$ . Furthermore, the well-known connection between such homology theories and derived functors of colimit of an appropriate diagram is detailed (see [18], [21], [19], [1], etc.). Lastly, by demonstrating that a homology for  $\mathcal{C}at \downarrow \mathbf{B}$  generates naturally a homology theory for  $\mathcal{K} \downarrow N\mathbf{B}$ , homology for  $\mathcal{C}at \downarrow \mathbf{B}$  with arbitrary coefficients is shown to be unique.

## 2. Preliminaries

The reader is referred to Sections 2 and 3 of [11] for the details that define and describe the category  $\mathcal{K}$  of simplicial sets and the following functors: nerve  $N: \mathcal{C}at \rightarrow \mathcal{K}$ , category of simplices  $\Gamma: \mathcal{K} \rightarrow \mathcal{C}at$ , categorical realization  $c: \mathcal{K} \rightarrow \mathcal{C}at$ , subdivision  $N\Gamma: \mathcal{K} \rightarrow \mathcal{K}$  and Milnor geometric realization  $|-|: \mathcal{K} \rightarrow \mathcal{T}op$  [16]. Also, the notation used in the present paper is the same as that employed in [11].

For each fixed simplicial set  $B$ , let  $\mathcal{K} \downarrow B$  denote the comma category of simplicial sets over  $B$  [14; II, 6]: an object of  $\mathcal{K} \downarrow B$  is a pair  $(X, \phi)$  where  $X \in \text{ob } \mathcal{K}$  and  $\phi: X \rightarrow B$  in  $\mathcal{K}$ ; a morphism  $f: (X, \phi) \rightarrow (Y, \psi)$  is a map  $f: X \rightarrow Y$  in  $\mathcal{K}$  such that  $\psi \circ f = \phi$ . Colimits in  $\mathcal{K} \downarrow B$  are just colimits in  $\mathcal{K}$  mapped “naturally” into  $B$ .

Suppose  $f: B' \rightarrow B$  is a simplicial map. Let  $f^*: \mathcal{K} \downarrow B' \rightarrow \mathcal{K} \downarrow B$  denote the functor composition with  $f$ , i.e.  $f^*(X', \phi') = (X', f \circ \phi')$ . It has a right adjoint,  $P(f): \mathcal{K} \downarrow B \rightarrow \mathcal{K} \downarrow B'$ , called *pullback along  $f$* . For each  $(X, \phi)$  in  $\mathcal{K} \downarrow B$ ,  $Pf(X)(X, \phi) \equiv (X', \phi')$ , where

$$\begin{array}{ccc}
 X' & \xrightarrow{u} & X \\
 \downarrow \phi' & & \downarrow \phi \\
 B' & \xrightarrow{f} & B
 \end{array} \tag{1}$$

is a pullback diagram in  $\mathcal{K}$ . Thus  $P(f)$  preserves all limits, products, and equalizers. In addition, because  $\mathcal{K} = [\Delta^{op}, \mathcal{S}]$  is a locally cartesian closed category [4],  $P(f)$  has a right adjoint as well; and hence, it commutes with colimits.

**Lemma 2.1.** *For each  $f: B' \rightarrow B$ , the pullback along  $f$ ,  $P(f): \mathcal{K} \downarrow B \rightarrow \mathcal{K} \downarrow B'$  is bicontinuous, i.e.  $P(f)$  commutes with both limits and colimits.*

Because of the extensive use of this pullback construction for specific types of maps  $f: B' \rightarrow B$ , we include a catalogue of notation. If  $\hat{b}: \Delta[k] \rightarrow B$  is the representing map for  $b \in B_k$ , then  $P(\hat{b})(X, \phi) \equiv \Delta(b, \phi)$  denotes the  *$b$ -fibre* of  $(x, \phi)$ . Similarly, if  $\hat{\Delta}[k]$  is the boundary subsimplicial set of  $\Delta[k]$  [6; II, 3], then  $P(\hat{b}/\hat{\Delta}[k]) \equiv \hat{\Delta}(b, \phi)$  is the *boundary of the  $b$ -fibre*. Let  $Sk^r B$  denote the  $r$ -skeleton of  $B$ , the subsimplicial set of  $B$  generated by simplices of dimension at most  $r$ , and  $u^r: Sk^r B \rightarrow B$  be the inclusion map. Then  $P(u^r)(X, \phi) \equiv (X^r, \phi)$ . Because  $B = \text{colim}_r Sk^r B$ , and for every  $r \geq 0$ ,  $P(u^r)(X, \phi) \equiv P(\phi)(Sk^r B, u^r)$ ,  $\{(X^r, \phi) | r \geq 0\}$  is a directed filtration of  $(X, \phi)$  in  $\mathcal{K} \downarrow B$ ; i.e.

$$(X, \phi) \simeq \text{colim}_r (X^r, \phi). \tag{2}$$

**Remark 2.2.** In general,  $X^r$  and  $Sk^r X$ , the  $r$ -skeleton of  $X$ , are not the same simplicial set. The difference comes from the fact that  $X^r$  is generated by all those simplices of  $X$  which map under  $\phi$  to nondegenerate  $r$ -simplices of  $B$ , while  $Sk^r X$  is generated by the nondegenerate  $r$ -simplices of  $X$  and  $Sk^{r-1} X$  (see (19)). It is possible that  $X^r$  may contain higher dimensional nondegenerate simplices of  $X$ .

A variant of the above construction will be used in Theorem 3.9. For each  $f: B' \rightarrow B$ , let

$$\bar{P}(f): \mathcal{K} \downarrow B \rightarrow \mathcal{K} \tag{3}$$

be defined by the composition of  $P(f): \mathcal{K} \downarrow B \rightarrow \mathcal{K} \downarrow B'$  with the forgetful functor  $U: \mathcal{K} \downarrow B' \rightarrow \mathcal{K}$ , where  $U(X', \phi') = X'$ . Then for each  $m: (B, f) \rightarrow (C, g)$  in  $\mathcal{K} \downarrow B$ , it follows from the universality of the definition of pullback, that there exists a natural transformation

$$\bar{m}: \bar{P}(f) \rightarrow \bar{P}(g). \tag{4}$$

Since colimits in  $\mathcal{K} \downarrow B'$  are colimits in  $\mathcal{K}$  naturally mapped to  $B'$ ,  $\bar{P}(f): \mathcal{K} \downarrow B \rightarrow \mathcal{K}$  preserves colimits. Furthermore  $\bar{P}(f)$  also commutes with inclusions.

$\mathcal{Cat} \downarrow \mathbf{B}$  denotes the analogous comma category, for each fixed small category  $\mathbf{B}$ . As in the case for  $\mathcal{K} \downarrow B$ , colimits in  $\mathcal{Cat} \downarrow \mathbf{B}$  can be considered as colimits in  $\mathcal{Cat}$  "naturally" mapped to  $\mathbf{B}$ . Each functor can be extended to a functor between corresponding comma categories. For example,  $N: \mathcal{Cat} \downarrow \mathbf{B} \rightarrow \mathcal{K} \downarrow N\mathbf{B}$  is defined by

$$N(\mathbf{C}, \Phi) \equiv (N\mathbf{C}, N\Phi), \tag{5}$$

for each  $(\mathbf{C}, \Phi)$  in  $\mathcal{Cat} \downarrow \mathbf{B}$ . In a natural way, the proofs of the properties of these functors in the absolute case, given in [11], can be extended to show that the analogous properties hold for functors between comma categories. For completeness, the following lemmas are listed.

**Lemma 2.3.**  $\Gamma: \mathcal{K} \downarrow B \rightarrow \mathcal{Cat} \downarrow \Gamma B$  commutes with pullbacks; and hence  $\Gamma: \mathcal{K} \downarrow B \rightarrow \mathcal{Cat} \downarrow \Gamma B$  preserves bicartesian squares and inclusions.

**Lemma 2.4.**  $N\Gamma: \mathcal{K} \downarrow B \rightarrow \mathcal{K} \downarrow N\Gamma B$  commutes with colimits.

### 3. Homotopy for $\mathcal{K} \downarrow B$ and $\mathcal{Cat} \downarrow \mathbf{B}$

*Strong homotopy* (SH) in  $\mathcal{K}$  is the equivalence relation generated as follows [15]. Let the inclusions  $u_i: X \rightarrow X \times \Delta[1]$  correspond to the simplicial maps

$$1 \times N(\delta^i): X \times \Delta[0] \rightarrow X \times \Delta[1], \quad i = 0, 1.$$

If  $f, g \in \mathcal{K}(X, Y)$ ,  $f \sim g$  iff there is a simplicial map  $h: X \times \Delta[1] \rightarrow Y$  such that  $h \circ u_1 = f$  and  $h \circ u_0 = g$ . In [6; IV, 1], Gabriel and Zizman showed that the strong homotopy equivalence relation could be described so that symmetry and transitivity were "naturally" included in the following manner: Let  $\mathbf{W}$  be the small category whose set of objects is  $\{p_k \mid k \geq 0\}$ , and whose nonidentity morphisms are

$$a_{2m}: p_{2m} \rightarrow p_{2m+1}, \quad m \geq 0,$$

$$b_{2m}: p_{2m} \rightarrow p_{2m-1}, \quad m \geq 1.$$

Then  $\mathbf{W}$  is a small category having no nontrivial compositions. It can be pictured as an infinite "zig-zag." Let  $\varepsilon^k: [0] \rightarrow \mathbf{W}$  denote the injection with  $\varepsilon^k(0) = p_k$ . Then  $W \equiv N(\mathbf{W})$  corresponds to the "simplicial half-line" since  $|W| = |N(\mathbf{W})| \approx \mathbf{R}^+$ .  $f$  and  $g$  in  $\mathcal{K}(X, Y)$  are strongly homotopic (SH),  $f \sim g$ , iff there exists  $h: X \times W \rightarrow Y$  and positive integers  $m \leq n$  (or  $n \leq m$ ) such that

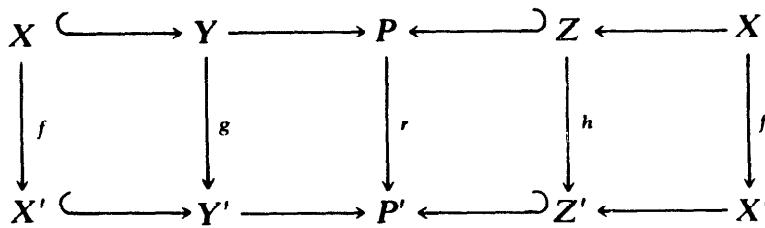
$$h \circ u_k = \begin{cases} f, & k \leq m \text{ (or } k \geq m) \\ g, & k \geq n \text{ (or } k \leq n), \end{cases}$$

where  $u_k: X \rightarrow X \times W$  is the inclusion corresponding to  $1 \times N(\varepsilon^k): X \times \Delta[0] \rightarrow X \times W$ .

Since the Milnor geometric realization functor is compatible with products, the canonical map  $|X \times W| \rightarrow |X| \times \mathbf{R}^+$  is a homeomorphism; and  $|-|: \mathcal{K} \rightarrow \mathcal{Top}$  preserves strong homotopies. However, Milnor's geometric realization is *not* full, even when factored through the homotopy category  $\mathcal{K}/\sim$  of simplicial sets; i.e.,  $|f|: |X| \rightarrow |Y|$  may be a homotopy equivalence (HE) in  $\mathcal{Top}$  without having a simplicial homotopy inverse in  $\mathcal{K}$  (see [5], [20]). Gabriel and Zizman [6; IV] define the homotopic category  $\mathcal{K}'$  of simplicial sets to be the category of fractions in  $\mathcal{K}/\sim$  of the set of anodyne extensions (See [6; IV] or [11; Appendix II]), and show that  $\mathcal{K}$  is isomorphic, via Milnor's geometric realization, to a *full* subcategory of the homotopy category  $\mathcal{Top}/\sim$  [6; VII, 1]. We use this expanded homotopy relation:  $f, g \in \mathcal{K}(X, Y)$  are *weakly homotopic* (WH) iff  $|f|$  is homotopic to  $|g|$  in  $\mathcal{Top}$ .

The following well known "giuing" lemma for weak homotopy equivalences (WHE) in  $\mathcal{K}$  will be extended below to an analogous theorem for  $\mathcal{K} \downarrow B$ . It appeared implicitly in a paper of Heller [7] and its proof is outlined in [11; Appendix I].

**Lemma 3.1** (Heller). *If*



is a commutative diagram in  $\mathcal{K}$  in which the rows are pushouts, with  $X \rightarrow Y$  and  $X' \rightarrow Y'$  inclusions, and with  $f, g, h$  WHE; then  $r: P \rightarrow P'$  is also a WHE. The pushout of a WHE is itself a WHE.

The extension of these definitions of homotopy to the comma category  $\mathcal{K} \downarrow B$ , is straightforward for the SH relation, but is more complicated for the WH relation. The maps  $f, g \in \mathcal{K} \downarrow B((X, \phi), (Y, \psi))$  are *strongly fibre homotopic* (SFH) in  $\mathcal{K} \downarrow B$  iff there exist an  $h: (X \times W, \phi \circ p) \rightarrow (Y, \psi)$  and integers  $m \leq n$  (or  $n \leq m$ ) such that

$$h \circ u_k = \begin{cases} f, & k \leq m \text{ (or } k \geq m) \\ g, & k \geq n \text{ (or } k \leq n), \end{cases}$$

where  $u_k: (X, \phi) \rightarrow (X \times W, \phi \circ p)$  is the inclusion corresponding to  $1 \times N(\epsilon^k)$ . Correspondingly, a morphism  $m: (X, \phi) \rightarrow (Y, \psi)$  in  $\mathcal{K} \downarrow B$  is said to be a *weak fibre homotopy equivalence* (WFHE) iff for each  $b \in B$ , the corresponding morphism of  $b$ -fibres,  $m(b): \Delta(b, \phi) \rightarrow \Delta(b, \psi)$  is a WHE in  $\mathcal{K}$ .

**Remark 3.2.** Because  $\mathcal{K} \downarrow \Delta[0] \simeq \mathcal{K}$ , WFHE in  $\mathcal{K} \downarrow \Delta[0]$  corresponds to WHE in  $\mathcal{K}$ , and SFH in  $\mathcal{K} \downarrow \Delta[0]$  is simply SH in  $\mathcal{K}$ .

**Lemma 3.3.** *If  $h : (X \times W, \phi \circ P) \rightarrow (Y, \psi)$  is a SFH between  $f, g \in \mathcal{K} \downarrow B((X, \phi), (Y, \psi))$  in  $\mathcal{K} \downarrow B$ , then for each  $b \in B$ , the corresponding simplicial map of  $b$ -fibres*

$$h(b) : \Delta(b, \phi \circ p) \rightarrow \Delta(b, \psi)$$

*is a homotopy in  $\mathcal{K}$  between  $f(b), g(b) \in \mathcal{K}(\Delta(b, \phi), \Delta(b, \psi))$ . Furthermore, if  $m : (X, \phi) \rightarrow (Y, \psi)$  is a SFHE in  $\mathcal{K} \downarrow B$ , then  $m : (X, \phi) \rightarrow (Y, \psi)$  is a WFHE in  $\mathcal{K} \downarrow B$ .*

**Proof.** The first part of the lemma follows immediately from the fact that the pullback functor  $P(\hat{b}) : \mathcal{K} \downarrow B \rightarrow \mathcal{K} \downarrow \Delta[k]$  commutes with products. The second from the first part and from the fact that the Milnor geometric realization functor preserves homotopies.  $\square$

**Remark 3.4.** The converse of Lemma 3.3 is not true, because  $|-| : \mathcal{K} \downarrow B \rightarrow \mathcal{T}op \downarrow |B|$  is not full even on the corresponding homotopy categories.

The next lemma follows from the gluing lemma, Lemma 3.1, and the definition of WFHE.

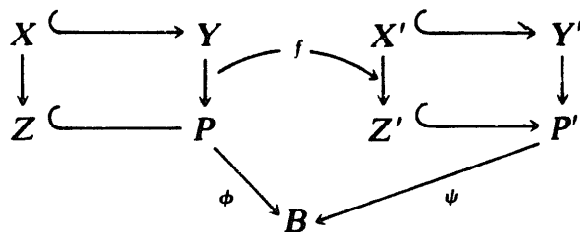
**Lemma 3.5.** *If  $m : (X, \phi) \rightarrow (Y, \psi)$  is a WFHE, then for each  $b \in B$ ,*

$$m/\hat{\Delta}(b, \phi) : \hat{\Delta}(b, \phi) \rightarrow \hat{\Delta}(b, \psi)$$

*is a WHE in  $\mathcal{K}$ .*

**Remark 3.6.** The above lemma is a key step in “building” WHE (see [11, Appendix I]). However, the next lemma ensures that the “gluing” can be accomplished so that the complete fibre structure be preserved.

**Lemma 3.7 (Fibred Gluing Lemma).** *Let  $f$  be a map of pushouts in  $\mathcal{K} \downarrow B$ , i.e. the diagram*



*commutes with each square a pushout and with  $X \rightarrow Y$  and  $X' \rightarrow Y'$  inclusions. If the corresponding simplicial maps  $f_X, f_Y, f_Z$  are WFHE in  $\mathcal{K} \downarrow B$ , then  $f : (P, \phi) \rightarrow (P', \psi)$  is a WFHE in  $\mathcal{K} \downarrow B$ .*

**Proof.** Since the pullback functor,  $P(b) : \mathcal{K} \downarrow B \rightarrow \mathcal{K} \downarrow \Delta[k]$  is continuous (by Lemma 2.1),  $P(b)(f)$  is a map of pushouts in  $\mathcal{K} \downarrow \Delta[k]$ . Because  $f_X, f_Y, f_Z$  are WFHE in  $\mathcal{K} \downarrow B$ , the maps of fibres  $f_X(b), f_Y(b), f_Z(b)$  are all WHE in  $\mathcal{K}$ . Furthermore, since pushouts

in  $\mathcal{K} \downarrow \Delta[k]$  are also pushouts in  $\mathcal{K}$ , the hypotheses of the gluing lemma, Lemma 3.1, are satisfied. Hence  $f_p(b) : \Delta(b, \phi) \rightarrow \Delta(b, \psi)$ , the map between the pushout  $b$ -fibres, is also a WHE and thus  $f_p : (P, \phi) \rightarrow (P', \psi)$  is a WFHE in  $\mathcal{K} \downarrow B$ .  $\square$

The following lemma is technical; however, it will be applied easily in a number of situations: to compare WFHE in  $\mathcal{K} \downarrow B$  with WHE in  $\mathcal{K}$ , to develop generalized subdivisions for  $\mathcal{K} \downarrow B$ , and to show the equivalence between the homotopic categories in  $\mathcal{K} \downarrow NB$  and  $\mathcal{C}at \downarrow \mathbf{B}$  (see below).

**Lemma 3.8.** *Let  $S, T : \mathcal{K} \downarrow B \rightarrow \mathcal{K} \downarrow B'$  be functors which preserve inclusions, pushouts, and sequential colimits. Furthermore, suppose  $m : S \rightarrow T$  is a natural transformation such that for any representable  $\hat{c} : \Delta[k] \rightarrow B$*

$$m(\hat{c}) : S(\Delta[k], \hat{c}) \rightarrow T(\Delta[k], \hat{c})$$

*is a WFHE in  $\mathcal{K} \downarrow B'$ . Then for any  $d : D \rightarrow B$ ,*

$$m(d) : S(D, d) \rightarrow T(D, d)$$

*is a WFHE in  $\mathcal{K} \downarrow B'$ .*

**Proof.** The proof follows immediately from the fibred gluing lemma, Lemma 3.7, using an argument similar to the one appearing in [11; Appendix I].  $\square$

**Theorem 3.9.** *If  $m : (X, \phi) \rightarrow (Y, \psi)$  is a WFHE in  $\mathcal{K} \downarrow B$ , then  $m : X \rightarrow Y$  is a WHE in  $\mathcal{K}$ .*

**Proof.** By (3), there exist functors

$$\bar{P}(\phi), \bar{P}(\psi) : \mathcal{K} \downarrow B \rightarrow \mathcal{K} \downarrow \Delta[0] \cong \mathcal{K}$$

which preserve inclusions, pushouts, and sequential colimits. From (4) and the fact that  $m$  is a WFHE, there is a natural transformation

$$\bar{m} : \bar{P}(\phi) \rightarrow \bar{P}(\psi)$$

such that for each  $\hat{b} : \Delta[k] \rightarrow B$

$$\bar{m}(\hat{b}) \equiv m(b) : \Delta(b, \phi) \rightarrow \Delta(b, \psi)$$

is a WHE in  $\mathcal{K}$ , or a WFHE in  $\mathcal{K} \downarrow \Delta[0]$ . Thus  $\bar{m} : \bar{P}(\phi) \rightarrow \bar{P}(\psi)$  satisfies the hypotheses of Lemma 3.8 with  $B' = \Delta[0]$ , and the result follows.  $\square$

**Remark 3.10.** The converse of Theorem 3.9 is not true, i.e.  $m : X \rightarrow Y$  a WHE in  $\mathcal{K}$  does not necessarily imply that  $m : (X, \phi) \rightarrow (Y, \psi)$  is a WFHE in  $\mathcal{K} \downarrow B$ .

Suppose  $F, G \in \mathcal{C}at(\mathbf{C}, \mathbf{D})$ . Each natural transformation  $\omega : F \rightarrow G$  corresponds to a functor  $\bar{\omega} : \mathbf{C} \times [1] \rightarrow \mathbf{D}$  such that  $\bar{\omega} \circ (1 \times \delta^1) = F$  and  $\bar{\omega} \circ (1 \times \delta^0) = G$ . Because  $N : \mathcal{C}at \rightarrow \mathcal{K}$  commutes with products, Lee [13] was able to show that  $NF \sim NG$  in  $\mathcal{K}$ , whenever such a natural transformation exists. Furthermore, since  $N : \mathcal{C}at \rightarrow \mathcal{K}$  is

fully faithful,  $NF \sim NG$  in  $\mathcal{K}$  implies the existence of a functor  $\bar{\omega} : \mathbf{C} \times [1] \rightarrow \mathbf{D}$ , and thus the existence of a natural transformation  $\omega : F \rightarrow G$ . Hence, the *strong homotopy (SH) relation* in  $\mathcal{Cat}$ , i.e. symmetric transitive closure of natural transformation, corresponds via  $N$  to the SH relation in  $\mathcal{K}$ .

As in the case of simplicial sets,  $|NF| : |NC| \rightarrow |ND|$  may be a HE in  $\mathcal{Top}$  without  $F : \mathbf{C} \rightarrow \mathbf{D}$  being a SHE in  $\mathcal{Cat}$  (see [20]). The corresponding *homotopic category* ( $\mathcal{Cat}'$ ) is defined to reflect the expanded weak homotopy relation in  $\mathcal{K}$ :  $F, G \in \mathcal{Cat}(\mathbf{C}, \mathbf{D})$  are *weakly homotopic (WH)* iff  $NF$  and  $NG$  are equal in  $\mathcal{K}'$ , or equivalently, iff  $|NF|$  and  $|NG|$  are homotopic in  $\mathcal{Top}$ .

The extension of these definitions of homotopy to the comma category  $\mathcal{Cat} \downarrow \mathbf{B}$  is motivated by the desire that  $N : \mathcal{Cat} \downarrow \mathbf{B} \rightarrow \mathcal{K} \downarrow \mathbf{NB}$  preserve both the SFH relation and the WFHE definition:  $F, G : (\mathbf{C}, \Phi) \rightarrow (\mathbf{D}, \Psi)$  are SFH in  $\mathcal{Cat} \downarrow \mathbf{B}$  iff there exist an  $H : (\mathbf{C} \times \mathbf{W}, \Phi \circ P) \rightarrow (\mathbf{D}, \Psi)$  and integers  $m \leq n$  (or  $n \leq m$ ) such that

$$H \circ (1 \times \varepsilon^k) = \begin{cases} F, & k \leq m \text{ (or } k \geq m) \\ G, & k \geq n \text{ (or } k \leq n) \end{cases}$$

where  $P : \mathbf{C} \times \mathbf{W} \rightarrow \mathbf{C}$  is the projection functor. Hence  $F$  and  $G$  are SFH in  $\mathcal{Cat} \downarrow \mathbf{B}$  iff  $NF$  and  $NG$  are SFH in  $\mathcal{K} \downarrow \mathbf{NB}$ . Similarly  $M : (\mathbf{C}, \Phi) \rightarrow (\mathbf{D}, \Psi)$  is defined to be a WFHE in  $\mathcal{Cat} \downarrow \mathbf{B}$  iff  $NM : (NC, N\Phi) \rightarrow (ND, N\psi)$  is a WFHE in  $\mathcal{K} \downarrow \mathbf{NB}$ .

#### 4. WFH inverse for the functor nerve

In [11], the functor  $\Gamma : \mathcal{K} \rightarrow \mathcal{Cat}$  was shown to be a WH inverse for the functor  $N : \mathcal{Cat} \rightarrow \mathcal{K}$ , i.e. natural transformations

$$\eta'' : N\Gamma \rightarrow 1d_{\mathcal{K}}, \quad \eta' : \Gamma N \rightarrow 1d_{\mathcal{Cat}}$$

were given so that  $\eta''(X) : N\Gamma(X) \rightarrow X$  and  $\eta'(C) : \Gamma NC \rightarrow C$ , for each simplicial set  $X$  and small category  $C$ , are WHE in the respective homotopic categories. After a brief review of this process, a similar theorem for the fibred categories is given in this section.

The two functors  $\gamma : \Delta \rightarrow \mathcal{Cat}$  and  $\iota : \Delta \rightarrow \mathcal{Cat}$  are related by a natural transformation “first”,

$$\eta : \gamma \rightarrow \iota; \tag{6}$$

i.e. for each  $k \geq 0$ ,  $\eta_k : \gamma([k]) \rightarrow \iota([k])$  is the functor defined by  $\eta_k(\alpha : [p] \rightarrow [k]) \equiv \alpha(0) \in [k]$ . Composition with “first” gives a natural transformation between singular functors

$$\eta_1 : N \rightarrow S.$$

The universality of adjoint functors [14; IV, 7], ensures the existence of a natural transformation between corresponding left adjoints

$$\eta_2 : \Gamma \rightarrow c.$$

Next, composition on the right with  $N$  yields the natural transformation

$$\eta' \equiv \eta_2 \circ N : \Gamma N \rightarrow cN \simeq 1d_{\mathcal{Cat}}. \tag{7}$$

Similarly, composition on the left with  $N$  and on the right with the Yoneda representing functor  $R : \Delta \rightarrow \mathcal{H}$  gives the natural transformation

$$\eta_3 \equiv N \circ \eta_2 \circ R : N\Gamma R \rightarrow NcR \simeq R,$$

since  $NcR[k] \equiv NcN[k] \simeq N[k] \equiv R[k]$  by (2) of [11]. Lastly, because  $N\Gamma$  preserves colimits,  $\eta_3$  is a natural transformation between representing functors, and each simplicial set is a colimit of representables;  $\eta_3$  extends to a natural transformation

$$\eta'' : N\Gamma \rightarrow 1d_{\mathcal{H}}. \tag{8}$$

In addition, from the uniqueness of  $\eta''$  and the fact that  $cN \simeq 1d_{\mathcal{Cat}}$ ,

$$N(\eta'(\mathbf{C})) \simeq \eta''(N\mathbf{C}) : N\Gamma N\mathbf{C} \rightarrow N\mathbf{C}, \tag{9}$$

for each small category  $\mathbf{C}$ . Whenever the context is clear, we denote both  $\eta'$  and  $\eta''$  simply by  $\eta$ . For example, since

$$\Gamma(\Delta[k]) \simeq \Gamma N([k]) \simeq (\Delta \downarrow [k])^{\text{op}} \equiv \gamma[k]$$

by Lemma A of [11],

$$\eta([k]) \simeq \eta_k : (\Delta \downarrow [k])^{\text{op}} \rightarrow [k]$$

for every  $k \geq 0$ . More generally,  $\eta(\mathbf{B}) : \Gamma N\mathbf{B} \rightarrow \mathbf{B}$ , is defined by

$$\eta(\mathbf{B})(\langle b_0 \xrightarrow{\beta_1} b_1 \longrightarrow \dots \xrightarrow{\beta_k} b_k \rangle, [k]) \equiv b_0 \tag{10}$$

on objects of  $\Gamma N\mathbf{B}$ , and is given by

$$\begin{aligned} \eta(\mathbf{B})(\delta^0) &\equiv b_0 \xrightarrow{\beta_1} b_1, \\ \eta(\mathbf{B})(\delta^i) &\equiv b_0 \xrightarrow{1} b_0, \quad 0 < i \leq k, \\ \eta(\mathbf{B})(\sigma^i) &\equiv b_0 \xrightarrow{1} b_0, \quad 0 \leq i \leq k \end{aligned} \tag{11}$$

on generating morphisms of  $\Gamma N\mathbf{B}$ .

**Lemma 4.1.** For each  $k \geq 0$  and each functor  $b : [k] \rightarrow \mathbf{B}$ ,

$$\eta([k]) : ((\Delta \downarrow [k])^{\text{op}}, \eta(\mathbf{B}) \circ \Gamma Nb) \rightarrow ([k], b)$$

is an SFHE in  $\mathcal{Cat} \downarrow \mathbf{B}$ .

**Proof.** For each  $k \geq 0$ , define the functor

$$\mu([k]) : [k] \rightarrow (\Delta \downarrow [k])^{\text{op}} \tag{12}$$

by

$$\mu([k])(t) \equiv \underbrace{\delta^0 \delta^0 \cdots \delta^0}_{t-1} : [k-t] \twoheadrightarrow [k]$$

for each  $t \in [k]$ . Since  $(\delta^0 \delta^0 \cdots \delta^0)(i) = i+t$ , denote  $\mu([k])(t)$  by  $a(t)$ . By the naturality of  $\eta : \Gamma N \rightarrow 1d_{\mathcal{C}at}$ ,

$$\mu([k]) : ([k], b) \rightarrow ((\Delta \downarrow [k])^{op}, \eta(\mathbf{B}) \circ \Gamma N b)$$

in  $\mathcal{C}at \downarrow \mathbf{B}$ . From the definitions,

$$\eta([k]) \circ \mu([k]) \simeq 1 : ([k], b) \rightarrow ([k], b).$$

Define the SFH

$$H : ((\Delta \downarrow [k])^{op} \times [1], \eta(\mathbf{B}) \circ \Gamma N b \circ P) \rightarrow ((\Delta \downarrow [k])^{op}, \eta(\mathbf{B}) \circ \Gamma B b)$$

in  $\mathcal{C}at \downarrow \mathbf{B}$  by

$$H(\alpha, 1) \equiv \alpha : [p] \rightarrow [k],$$

$$H(\alpha, 0) \equiv a(\alpha(0)) : [k - \alpha(0)] \twoheadrightarrow [k],$$

$$H((1, \rightarrow) : (\alpha, 0) \rightarrow (\alpha, 1)) \equiv (S(\alpha) : a(\alpha(0)) \rightarrow \alpha)$$

where  $S(\alpha) : [p] \rightarrow [k - \alpha(0)]$  is given by  $S(\alpha)(i) = \alpha(i) - \alpha(0)$ . Clearly,  $H$  is an SFH between  $\mu([k]) \circ \eta([k])$  and  $1d$ . Hence  $\eta([k])$  is an SFHE.  $\square$

**Remark 4.2.** Although  $\mu([k]) : [k] \rightarrow (\Delta \downarrow [k])^{op}$  is defined for each  $k \geq 0$ ,  $\mu$  does not define a natural transformation between the functors  $\iota$  and  $\gamma$ . In particular, for each epimorphism  $\varepsilon : [k] \rightarrow [m]$  in  $\Delta$ ,  $\mu([m]) \circ \varepsilon \neq (\Delta \downarrow \varepsilon)^{op} \circ \mu([k])$ . In contrast with  $\eta : \gamma \rightarrow \iota$ ,  $\mu$  cannot be extended to a natural transformation from  $1d_{\mathcal{X}}$  to  $N\Gamma$ .

By definition,  $\eta([k])$  is a SFHE in  $\mathcal{C}at \downarrow \mathbf{B}$  iff  $N(\eta([k]))$  is a SFHE in  $\mathcal{K} \downarrow N\mathbf{B}$ . From (9),  $N(\eta([k])) \simeq \eta(\Delta[k])$ . Hence, the next corollary follows from Lemma 4.1.

**Corollary 4.3.** For each  $\hat{b} : \Delta[k] \rightarrow N\mathbf{B}$ ,

$$\eta(\Delta[k]) : (N\Gamma\Delta[k], \eta(N\mathbf{B}) \circ N\Gamma\hat{b}) \rightarrow (\Delta[k], \hat{b})$$

is a SFHE in  $\mathcal{K} \downarrow N\mathbf{B}$ .

**Theorem 4.4.**  $\eta : N\Gamma \rightarrow 1d : \mathcal{K} \downarrow N\mathbf{B} \rightarrow \mathcal{K} \downarrow N\mathbf{B}$  is a WFHE, i.e.

$$\eta(X) : (N\Gamma X, \eta(N\mathbf{B}) \circ N\Gamma\phi) \rightarrow (X, \phi)$$

is a WFHE, for every  $(X, \phi) \in \mathcal{K} \downarrow N\mathbf{B}$ .

**Proof.**  $N\Gamma : \mathcal{K} \downarrow N\mathbf{B} \rightarrow \mathcal{K} \downarrow N\mathbf{B}$  preserves colimits and inclusions, since  $N\Gamma : \mathcal{K} \rightarrow \mathcal{K}$  does. Clearly,  $1d : \mathcal{K} \downarrow N\mathbf{B} \rightarrow \mathcal{K} \downarrow N\mathbf{B}$  also satisfies the same properties. By Corollary 4.3, the natural transformation  $\eta : N\Gamma \rightarrow 1d$  has the property

$$\eta(\Delta[k]) : (N\Gamma\Delta[k], \eta(N\mathbf{B}) \circ N\Gamma\hat{b}) \rightarrow (\Delta[k], \hat{b})$$

is a SFHE, and hence a WFHE by Lemma 3.3. Thus the hypotheses of Lemma 3.8 are satisfied with  $B = NB = B'$ , and the theorem follows.  $\square$

The definition of WFHE in  $\mathcal{Cat} \downarrow \mathbf{B}$  and formula (9) immediately imply the following corollary.

**Corollary 4.5.** *For each  $(C, \Phi)$  in  $\mathcal{Cat} \downarrow \mathbf{B}$ ,*

$$\eta(C) : (\Gamma NC, \eta(B) \circ \Gamma N\Phi) \rightarrow (C, \Phi)$$

*is a WFHE.*

### 5. Homology for $\mathcal{K} \downarrow B$

In [2], Chen developed axioms for homology in  $\mathcal{K} \downarrow B$  with arbitrary coefficients (called costacks), showed that such homology theories exist, and then proved that these homology theories are unique up to natural isomorphism. For completeness, this section includes a constructive definition of these unique homology theories. Lastly, the unique homology theories are shown to commute with the larger homotopy relation in  $\mathcal{K} \downarrow B$ : Let  $\langle H, \partial \rangle$  be a homology theory. The image under  $H$  of a WFHE is an isomorphism in  $\mathcal{Ab}^{\mathbf{Z}}$ , the category of graded abelian groups.

Let  $A(\mathcal{K} \downarrow B)$  denote the category of admissible pairs in  $\mathcal{K} \downarrow B$ : an object of  $A(\mathcal{K} \downarrow B)$  is a triple  $(X, X'; \phi)$  with  $X'$  a subsimplicial set of  $X$  and  $\phi : X \rightarrow B$ ; a morphism  $f : (X, X'; \phi) \rightarrow (Y, Y'; \psi)$  is a simplicial map  $f : X \rightarrow Y$  such that  $\psi \circ f = \phi$  and  $f(X') \subseteq Y'$ . The pair  $(X; \phi)$  is identified with the admissible pair  $(X, E; \phi)$ , where  $E$  is the empty simplicial set. When there is no ambiguity, the inclusion map is omitted from the notation; e.g.  $(X'; \phi)$  will denote  $(X'; \phi \circ i)$ .

A homology theory for  $\mathcal{K} \downarrow B$  is a pair  $\langle h, \partial \rangle$ , where  $h : A(\mathcal{K} \downarrow B) \rightarrow \mathcal{Ab}^{\mathbf{Z}}$  is a functor and  $\partial$  is a natural transformation of degree  $-1$ , i.e.

$$\partial_* : h_*(X, X'; \phi) \rightarrow h_{*-1}(X'; \phi).$$

These satisfy a variation of the Eilenberg–Steenrod–Milnor axioms which can be found in [2]. However, for Chen’s uniqueness theorem (Theorem 5.1) to hold, the Dimension Axiom of [2] needs to be modified by requiring:

For every nondegenerate  $x \in X_k$  with  $\phi x = b$ , let  $\Delta(x)$  denote subsimplicial set generated by  $x$  and  $\hat{\Delta}(x)$  denote the corresponding subsimplicial set generated by the boundaries of  $x$ . The representable map

$$\hat{x} : (\Delta[k], \hat{\Delta}[k]; \hat{b}) \rightarrow (\Delta(x), \hat{\Delta}(x); \phi)$$

induces a corresponding isomorphism of graded homology groups.

A functor  $A : \Gamma B \rightarrow \mathcal{Ab}$  is called a *costack* whenever  $A : \Gamma B \rightarrow \mathcal{Ab}$  satisfies the normalization condition; i.e., for each degeneracy  $\sigma^i : (b, [k]) \rightarrow (\sigma^i b, [k+1])$  in  $\Gamma B$ ,  $A(\sigma^i) : A(b, [k]) \rightarrow A(\sigma^i b, [k+1])$  is an isomorphism. For each  $(X, X'; \phi)$  in

$A(\mathcal{K} \downarrow B)$ , consider the short exact sequence

$$0 \rightarrow A(X'; \phi) \xrightarrow{\bar{i}} A(X; \phi) \rightarrow A(X, X'; \phi) \rightarrow 0 \tag{13}$$

in the functor category  $[\Gamma X, \mathcal{A}b]$ , where

$$A(X; \phi) \equiv A \circ \Gamma \phi : \Gamma X \rightarrow \mathcal{A}b; \tag{14}$$

$A(X'; \phi) : \Gamma X \rightarrow \mathcal{A}b$  is given by

$$A(X'; \phi)(x, [k]) \equiv \begin{cases} A(\phi x, [k]), & \text{if } x \in X'_k, \\ 0, & \text{if } x \notin X'_k; \end{cases} \tag{15}$$

$\bar{i}$  is the inclusion in  $[\Gamma X, \mathcal{A}b]$ ; and  $A(X, X'; \phi)$  is  $\text{coker}(\bar{i})$  in  $[\Gamma X, \mathcal{A}b]$ . Hence  $A(X, X'; \phi)$  is defined by the dual formula

$$A(X, X'; \phi)(x, [k]) \equiv \begin{cases} 0, & \text{if } x \in X'_k, \\ A(\phi x, [k]), & \text{if } x \notin X'_k. \end{cases} \tag{16}$$

Let  $C((X, X'; \phi), A)$  denote the chain complex of abelian groups given by:

$$C_k((X, X'; \phi), A) \equiv \bigoplus_{x \in X_k} A(X, X'; \phi)(x, [k])$$

with boundary

$$d_k : C_k((X, X'; \phi), A) \rightarrow C_{k-1}((X, X'; \phi), A)$$

given by the usual alternating sum of the face maps. Defining  $C$  in the evident way on simplicial maps of  $A(\mathcal{K} \downarrow B)$ ,

$$C : A(\mathcal{K} \downarrow B) \rightarrow \mathcal{C}(\mathcal{A}b)$$

is a functor from  $A(\mathcal{K} \downarrow B)$  to the category of chain complexes of abelian groups. Let  $H : \mathcal{C}(\mathcal{A}b) \rightarrow \mathcal{A}b^{\mathbb{Z}}$  be the stand homology functor [14; VII, 4] and let  $H$  also denote the composition  $H \circ C$ ; i.e.,

$$H((X, X'; \phi), A) \equiv H(C(X, X'; \phi), A). \tag{17}$$

In [2], Chen showed that  $\langle H, \partial \rangle$  is the unique homology theory for  $\mathcal{K} \downarrow B$  defined by  $A : \Gamma B \rightarrow \mathcal{A}b$ .

**Theorem 5.1 (Chen).** (A) (Existence). *If  $A : \Gamma B \rightarrow \mathcal{A}b$  is a costack and if  $\langle H, \partial \rangle$  is the homology theory for  $\mathcal{K} \downarrow B$  defined by  $A : \Gamma B \rightarrow \mathcal{A}b$ , then  $\langle H, \partial \rangle$  satisfies the axioms for a homology theory for  $\mathcal{K} \downarrow B$ .*

(B) (Uniqueness). *If  $\langle h, \partial \rangle$  is a homology theory for  $\mathcal{K} \downarrow B$  with coefficient costack  $A : \Gamma B \rightarrow \mathcal{A}b$  defined by the Dimension Axiom, then  $\langle h, \partial \rangle$  is naturally isomorphic to  $\langle H, \partial \rangle$ ; i.e.,*

$$h(X, X'; \phi) \simeq H((X, X'; \phi), A)$$

for each  $(X, X'; \phi)$  in  $A(\mathcal{K} \downarrow B)$ .

**Example 5.2.** If  $B = \Delta[0]$ , the terminal object of  $\mathcal{K}$ , then  $\mathcal{K} \downarrow B$  is equivalent to  $\mathcal{K}$ . In this case,  $\langle H, \partial \rangle$  is the unique homology for  $\mathcal{K}$  with constant coefficients defined by Miinor [17]; i.e.,  $H(X, X'; \phi), A \equiv H((X, X'), G)$ .

**Example 5.3.** A costack  $L : \Gamma B \rightarrow \mathcal{A}b$  is called a *local coefficient system on B* with values in  $\mathcal{A}b$  whenever the image  $L(\mu)$  of any  $\mu : (b, [k]) \rightarrow (\mu b, [m])$  in  $\Gamma B$  is invertible. Then the homology theory for  $\mathcal{K} \downarrow B$  defined by  $L : \Gamma B \rightarrow \mathcal{A}b$  is the usual homology theory for  $\mathcal{K} \downarrow B$  with local coefficient system  $L$  (see [6; Appendix II], [20]).

In [6; Appendix II, 1], Gabriel and Zisman outlined a proof of the fact that the WHE Axiom and the SH Axiom are equivalent when the defining costack is *constant* or equivalently, when  $B = \Delta[0]$ . In this section, we also show that a more general homotopy axiom holds for homology  $\langle h, \partial \rangle$  in  $\mathcal{K} \downarrow B$ .

(iii)' *Weak Fibre Homotopy Equivalence Axiom (WFHE Axiom).* If  $m : (X, X'; \phi) \rightarrow (Y, Y'; \psi)$  is a WFHE in  $A(\mathcal{K} \downarrow B)$ ; i.e.,  $m$  and  $m/X'$  are both WFHE in  $\mathcal{K} \downarrow B$ , then  $hm : h(X, X'; \phi) \xrightarrow{=} h(Y, Y'; \psi)$  is an isomorphism in  $\mathcal{A}b^{\mathbb{Z}}$ .

**Theorem 5.4.** Suppose  $A : \Gamma B \rightarrow \mathcal{A}b$  is a costack. If  $\langle H, \partial \rangle$  is the homology theory for  $\mathcal{K} \downarrow B$  defined by  $A$ , then the WFHE Axiom holds for  $\langle H, \partial \rangle$ .

**Proof.** Let  $m : (X, X'; \phi) \rightarrow (Y, Y'; \psi)$  be a WFHE in  $A(\mathcal{K} \downarrow B)$ . From the Exactness Axiom and the Five Lemma [14; VII, 4], it suffices to prove that the image  $Hm$  of a WFHE, in the absolute case, is an isomorphism; i.e.,

$$Hm : H((X; \phi), A) \xrightarrow{=} H((Y; \psi), A).$$

From the definition of the  $b$ -fibre  $\Delta(b, \phi)$  and its boundary  $\dot{\Delta}(b, \phi)$ ,

$$A(\Delta(b, \phi), \dot{\Delta}(b, \phi); \phi) : \Gamma \Delta(b, \phi) \rightarrow \mathcal{A}b$$

is a relatively *constant* functor with value  $A(b)$ . The definition of WFHE and Lemma 3.5 insure that

$$m/\Delta(b, \phi) : (\Delta(b, \phi), \dot{\Delta}(b, \phi)) \rightarrow (\Delta(b, \psi), \dot{\Delta}(b, \psi))$$

is a WHE in  $A(\mathcal{K})$ . Hence the WHE Axiom applies, and

$$\begin{aligned} H(m/\Delta(b, \phi)) : H((\Delta(b, \phi), \dot{\Delta}(b, \phi); \phi), A) &\xrightarrow{=} \\ &\xrightarrow{=} H((\Delta(b, \psi), \dot{\Delta}(b, \psi); \psi), A) \end{aligned} \tag{18}$$

is an isomorphism of graded abelian groups.

The  $r$ -skeleton of  $B$ ,  $Sk^r(B)$ , can be described by the bicartesian square

$$\begin{array}{ccc}
 \coprod_{B'_r} \dot{\Delta}[r] & \hookrightarrow & \coprod_{B'_r} \Delta[r] \\
 \downarrow \coprod \delta/\Delta[r] & & \downarrow \coprod \delta \\
 \text{Sk}^{r-1}(B) & \hookrightarrow & \text{Sk}^r(B)
 \end{array} \tag{19}$$

where  $B'_r$  is the set of nondegenerate  $r$ -simplices of  $B$  (see [6; II, 3]). Applying  $\bar{P}(\phi)$  (see (3)) to diagram (19) yields the bicartesian square

$$\begin{array}{ccc}
 \coprod_{B'_r} \dot{\Delta}(b, \phi) & \hookrightarrow & \coprod_{B'_r} \Delta(b, \phi) \\
 \downarrow & & \downarrow \\
 (X^{r-1}, \phi) & \hookrightarrow & (X^r, \phi)
 \end{array} \tag{20}$$

Because (20) satisfies the hypotheses of the Strong Additivity Axiom [2; p. 110]

$$\bigoplus H(u_b) : \bigoplus H((\Delta(b, \phi), \dot{\Delta}(b, \phi); \phi), A) \xrightarrow{\cong} H((X^r, X^{r-1}; \phi), A) \tag{21}$$

is an isomorphism of  $\mathcal{A}b^{\mathbb{Z}}$ . Similarly, pulling back along  $\psi : Y \rightarrow B$ , yields the isomorphism

$$\bigoplus H(u_b) : \bigoplus H((\Delta(b, \psi), \dot{\Delta}(b, \psi); \psi), A) \xrightarrow{\cong} H((Y^r, Y^{r-1}; \psi), A). \tag{22}$$

Because the direct sum of isomorphisms is an isomorphism in  $\mathcal{A}b^{\mathbb{Z}}$ , an isomorphism of type (18), in conjunction with (21) and (22), ensure

$$H(m/X^r) : H((X^r, X^{r-1}; \phi), A) \xrightarrow{\cong} H((Y^r, Y^{r-1}; \psi), A) \tag{23}$$

is also an isomorphism. A standard inductive argument using the Five Lemma and the Exactness Axiom (see [3]) yields the absolute isomorphism:

$$H(m/X^r) : H((X^r; \phi), A) \xrightarrow{\cong} H((Y^r; \psi), A). \tag{24}$$

Lastly, since  $\langle H, \partial \rangle$  commutes with the exact directed colimit functor, (2) ensures that the isomorphisms (24) extend to

$$H(m) : H((X; \phi), A) \xrightarrow{\cong} H((Y; \psi), A);$$

and the theorem follows.  $\square$

**Theorem 5.5.** *Let  $\langle h, \partial \rangle$  be a homology theory for  $\mathcal{K} \downarrow B$  satisfying all the axioms except possibly the SFH Axiom. If  $\langle h, \partial \rangle$  satisfies the WFHE Axiom, then  $\langle h, \partial \rangle$  also satisfies the SFH Axiom.*

**Proof.** The theorem follows from the functoriality of  $h : \mathcal{K} \downarrow \mathbf{B} \rightarrow \mathcal{A}b^{\mathbf{Z}}$  and the fact that the projection  $p : (X \times W, X' \times W; \phi \circ p) \rightarrow (X, X'; \phi)$  is a WFHE. The argument parallels the well-known one due to Eilenberg and Steenrod [3; p. 12].  $\square$

## 6. Homology for $\mathcal{C}at \downarrow \mathbf{B}$

In this section, we completely define and describe homology for  $\mathcal{C}at \downarrow \mathbf{B}$  with arbitrary coefficients. The axioms, which are similar to those for  $\mathcal{K} \downarrow \mathbf{NB}$ , are stated first. The definition of homology  $\langle H, \partial \rangle$  for  $\mathcal{C}at \downarrow \mathbf{B}$  is given in terms of homology for  $\mathcal{K} \downarrow \mathbf{NB}$ , and then  $\langle H, \partial \rangle$  is shown to satisfy the axioms for homology in  $\mathcal{C}at \downarrow \mathbf{B}$ . Furthermore, the well known connection between such homology theories and derived functors of colimit of an appropriate diagram is detailed (see [21, 18, 12, 23, 1, 6, 19]). Lastly, by demonstrating that a homology theory for  $\mathcal{C}at \downarrow \mathbf{B}$  generates naturally a homology theory for  $\mathcal{K} \downarrow \mathbf{NB}$ , homology for  $\mathcal{C}at \downarrow \mathbf{B}$  with arbitrary coefficients is shown to be unique.

A subcategory  $\mathbf{C}'$  of the small category  $\mathbf{C}$  is *admissible* if all morphisms of  $\mathbf{C}$  with domain in  $\mathbf{C}'$  are in  $\mathbf{C}'$ . Such a  $\mathbf{C}'$  is necessarily a full subcategory of  $\mathbf{C}$ . In particular, when  $\mathbf{P}$  is the category of a poset, an admissible subcategory corresponds to a subposet which is the union of “terminal” segments of  $\mathbf{P}$ . If  $\mathbf{G}$  is a group, then the only admissible subcategories of  $\mathbf{G}$  are the *empty category*  $\mathbf{E}$  or  $\mathbf{G}$  itself. The definition of  $\Gamma : \mathcal{K} \rightarrow \mathcal{C}at$  guarantees that if  $X'$  is a subsimplicial set of  $X$  in  $\mathbf{K}$ , then  $\Gamma X'$  is an admissible subcategory of  $\Gamma X$  in  $\mathcal{C}at$ .

Let  $A(\mathcal{C}at \downarrow \mathbf{B})$  denote the category of admissible pairs in  $\mathcal{C}at \downarrow \mathbf{B}$ : an object is a triple  $(\mathbf{C}, \mathbf{C}'; \Phi)$  with  $\mathbf{C}'$  admissible in  $\mathbf{C}$  and  $\Phi : \mathbf{C} \rightarrow \mathbf{B}$ ; a morphism  $F : (\mathbf{C}, \mathbf{C}'; \Phi) \rightarrow (\mathbf{D}, \mathbf{D}'; \Psi)$  is a functor  $F : (\mathbf{C}, \Phi) \rightarrow (\mathbf{D}, \Psi)$  in  $\mathcal{C}at \downarrow \mathbf{B}$  with  $F/\mathbf{C}' : \mathbf{C}' \rightarrow \mathbf{D}'$ .  $(\mathbf{C}, \Phi)$  in  $\mathcal{C}at \downarrow \mathbf{B}$  is identified with  $(\mathbf{C}, \mathbf{E}; \Phi) \equiv (\mathbf{C}; \Phi)$  in  $A(\mathcal{C}at \downarrow \mathbf{B})$ . When there is little ambiguity, the inclusion functor is omitted from the notation; e.g.  $(\mathbf{C}'; \Phi)$  will denote  $(\mathbf{C}'; \Phi \circ I)$ .

A *homology theory for  $\mathcal{C}at \downarrow \mathbf{B}$*  is a pair  $\langle h, \partial \rangle$ , where  $h : A(\mathcal{C}at \downarrow \mathbf{B}) \rightarrow \mathcal{A}b^{\mathbf{Z}}$  is a functor and  $\partial$  is a natural transformation of degree  $-1$ ; i.e.

$$\partial_* : h_*(\mathbf{C}, \mathbf{C}'; \Phi) \rightarrow h_{*-1}(\mathbf{C}'; \Phi).$$

These satisfy the following variation of the standard Eilenberg–Steenrod–Milnor axioms ([3], [17]).

(i) *Exactness Axiom.* For each admissible pair  $(\mathbf{C}, \mathbf{C}'; \Phi)$  with inclusion functors  $I : (\mathbf{C}'; \Phi) \rightarrow (\mathbf{C}; \Phi)$  and  $J : (\mathbf{C}; \Phi) \rightarrow (\mathbf{C}, \mathbf{C}'; \Phi)$ , there exists a long exact sequence of abelian groups

$$\dots \rightarrow h_q(\mathbf{C}'; \Phi) \xrightarrow{I_a} h_q(\mathbf{C}; \Phi) \xrightarrow{J_a} h_1(\mathbf{C}, \mathbf{C}'; \Phi) \xrightarrow{\partial_a} h_{q-1}(\mathbf{C}'; \Phi) \rightarrow \dots$$

(ii) *Excision Axiom.* If  $\mathbf{C}_1$  and  $\mathbf{C}_2$  are admissible in  $\mathbf{C}$  and  $\Phi : \mathbf{C} \rightarrow \mathbf{B}$ , then the inclusion functor in  $A(\mathcal{C}at \downarrow \mathbf{B})$

$$I : (\mathbf{C}_2, \mathbf{C}_1 \cap \mathbf{C}_2; \Phi) \rightarrow (\mathbf{C}_1 \cup \mathbf{C}_2, \mathbf{C}_1; \Phi)$$

induces the corresponding isomorphism of graded homology groups; where  $C_1 \cap C_2$  and  $C_1 \cup C_2$  are the subcategories of  $C$  making the following square bicartesian in  $\mathcal{C}at \downarrow \mathbf{B}$  (via  $\Phi$ ),

$$\begin{array}{ccc}
 C_1 \cap C_2 & \hookrightarrow & C_1 \\
 \downarrow & & \downarrow \\
 C_2 & \hookrightarrow & C_1 \cup C_2.
 \end{array} \tag{25}$$

(iii) *Weak Fibre Homotopy Equivalence Axiom (WFHE Axiom)*. If  $M : (C, C'; \Phi) \rightarrow (D, D'; \Psi)$  is a WFHE in  $A(\mathcal{C}at \downarrow \mathbf{B})$  i.e.

$$NM : (NC, NC'; N\Phi) \rightarrow (ND, ND'; N\Psi)$$

is a WFHE in  $A(\mathcal{K} \downarrow N\mathbf{B})$ , then

$$hM : h(C, C'; \Phi) \xrightarrow{\cong} h(D, D'; \Psi)$$

is an isomorphism of graded abelian groups.

(iv) *Milnor Additivity Axiom*. Let  $\{(C_\alpha, C'_\alpha; \Phi_\alpha)\}$  be a collection of admissible pairs in  $\mathcal{C}at \downarrow \mathbf{B}$ ; then the inclusion functors  $\{U_\alpha : C_\alpha \rightarrow \sqcup C_\alpha\}$  induce the isomorphism

$$\bigoplus hU_\alpha : \bigoplus h(C_\alpha, C'_\alpha; \Phi_\alpha) \xrightarrow{\cong} h(\sqcup C_\alpha, \sqcup C'_\alpha; \sqcup \Phi_\alpha)$$

in  $\mathcal{A}b^{\mathbb{Z}}$ .

The Dimension Axiom below is defined to reflect the Dimension Axiom for  $\mathcal{K} \downarrow N\mathbf{B}$ .

(v) *Dimension Axiom*. Assume  $(X, X'; \phi)$  is an admissible pair in  $\mathcal{K} \downarrow N\mathbf{B}$ .

(a) For every nondegenerate  $x \in X_k$  with  $\phi x = b$ , the representable map

$$\hat{x} : (\Delta[k], \hat{\Delta}[k]; \hat{b}) \rightarrow (\Delta(x), \hat{\Delta}(x); \phi)$$

induces the natural isomorphism

$$h(\Gamma\hat{x}) : h(\Gamma\Delta[k], \Gamma\hat{\Delta}[k]; \eta(\mathbf{B}) \circ \Gamma\hat{b}) \xrightarrow{\cong} h(\Gamma\Delta(x), \Gamma\hat{\Delta}(x), \eta(\mathbf{B}) \circ \Gamma\phi).$$

(b) For each  $b \in B_k$ ,  $h_r(\Gamma\Delta[k], \Gamma\hat{\Delta}[k]; \eta(\mathbf{B}) \circ \Gamma\hat{b}) = 0$ , whenever  $r \neq k$ .

(c) **Normalization Requirement**: Because  $\Gamma : \mathcal{K} \rightarrow \mathcal{C}at$  preserves bicartesian squares (Lemma 2.3), the image of the bicartesian square [6; IV, 2]

$$\begin{array}{ccc}
 \hat{\Delta}[k-1] & \hookrightarrow & \Delta[k-1] \\
 \downarrow & & \downarrow \Gamma N(\delta^i) \\
 \Lambda^i[k] & \hookrightarrow & \hat{\Delta}[k]
 \end{array}$$

is bicartesian in  $\mathcal{C}at \downarrow \mathbf{B}$ . The Excision Axiom ensures that, for each  $b \in (N\mathbf{B})_k$

$$hu : h(\Gamma\Delta[k-1], \Gamma\hat{\Delta}[k-1]; \eta(\mathbf{B}) \circ \Gamma\hat{\delta}^i b) \xrightarrow{\cong} h(\Gamma\hat{\Delta}[k], \Gamma\Lambda^i[k]; \eta(\mathbf{B}) \circ \Gamma b) \tag{26}$$

is an isomorphism. The Exactness Axiom in conjunction with isomorphism (26) yields the commutative diagram

$$\begin{array}{ccc}
 h_k(\Gamma\Delta[k], \Gamma\dot{\Delta}[k]; \eta(\mathbf{B}) \circ \Gamma\hat{b}) & \xrightarrow{\partial_k} & h_{k-1}(\Gamma\dot{\Delta}[k]; \eta(\mathbf{B}) \circ \Gamma\hat{b}) \\
 & \searrow^{F^i(b)} & \downarrow^{(\Gamma j)_{k-1}} \\
 & & h_{k-1}(\Gamma\dot{\Delta}[k], \Gamma\Lambda^i[k]; \eta(\mathbf{B}) \circ \Gamma\hat{b}) \\
 & & \downarrow^{\alpha_{k-1}} \\
 & & h_{k-1}(\Gamma\Delta[k-1], \Gamma\dot{\Delta}[k-1]; \eta(\mathbf{B}) \circ \Gamma\widehat{\delta_i b})
 \end{array}$$

with  $j: (\dot{\Delta}[k-1]; \hat{b}) \rightarrow (\dot{\Delta}[k], \Lambda^i[k]; \hat{b})$  the inclusion map,  $\alpha_{k-1} \equiv (h_{k-1}u)^{-1}$  and  $F^i(b) \equiv \alpha_{k-1} \circ h_{k-1}(\Gamma j) \circ \partial_k$ . The normalization requirement is that whenever  $b \in B_k$  is degenerate,

$$F^i(b): h_k(\Gamma\Delta[k], \Gamma\dot{\Delta}[k]; \eta(\mathbf{B}) \circ \Gamma\hat{b}) \rightarrow h_{k-1}(\Gamma\Delta[k-1], \Gamma\dot{\Delta}[k-1] \circ \Gamma\widehat{\delta_i b}) \tag{28}$$

be an isomorphism.

Define the coefficient system  $A: \Gamma N\mathbf{B} \rightarrow \mathcal{A}b$  for  $\langle h, \partial \rangle$  as follows. For each object  $(b, [k])$  of  $\Gamma N\mathbf{B}$ ,

$$A(b, [k]) \equiv h_k(\Gamma\Delta[k], \Gamma\dot{\Delta}[k]; \eta(\mathbf{B}) \circ \Gamma\hat{b}). \tag{29}$$

The images of the generating morphisms of  $\Gamma N\mathbf{B}$ ,

$$\delta^i: (b, [k]) \rightarrow (\delta^i b, [k-1]), \quad \sigma^i: (b, [k]) \rightarrow (\sigma^i b, [k+1])$$

are defined respectively by

$$\begin{aligned}
 A(\delta^i) &\equiv F^i(b): h_k(\Gamma\Delta[k], \Gamma\dot{\Delta}[k]; \eta(\mathbf{B}) \circ \Gamma\hat{b}) \\
 &\quad \rightarrow h_{k-1}(\Gamma\Delta[k-1], \Gamma\dot{\Delta}[k-1]; \eta(\mathbf{B}) \circ \Gamma\widehat{\delta_i b}) \\
 A(\sigma^i) &\equiv (F^i(\sigma^i b))^{-1}: h_k(\Gamma\Delta[k], \Gamma\dot{\Delta}[k]; \eta(\mathbf{B}) \circ \Gamma\hat{b}) \\
 &\quad \rightarrow h_{k+1}(\Gamma\Delta[k+1], \Gamma\dot{\Delta}[k+1]; \eta(\mathbf{B}) \circ \Gamma\widehat{\sigma^i b}).
 \end{aligned} \tag{30}$$

Since  $\delta^i \sigma^i b = b$ , the normalization requirement guarantees that  $F^i(\sigma^i b)$  is an isomorphism, and hence that  $A(\sigma^i)$  is well defined.

For each costack  $A: \Gamma N\mathbf{B} \rightarrow \mathcal{A}b$ , define  $H: A(\mathcal{C}at \downarrow \mathbf{B}) \rightarrow \mathcal{A}b$  by

$$H((\mathbf{C}, \mathbf{C}'; \Phi), A) \equiv H((N\mathbf{C}, N\mathbf{C}'; N\Phi), A), \tag{31}$$

where  $H: A(\mathcal{X} \downarrow N\mathbf{B}) \rightarrow \mathcal{A}b$  is the unique homology theory for  $\mathcal{X} \downarrow N\mathbf{B}$  defined by  $A: \Gamma N\mathbf{B} \rightarrow \mathcal{A}b$  (see (17)).

**Theorem 6.1 (Existence).** *If  $A : \Gamma\mathbf{NB} \rightarrow \mathcal{A}b$  is a costack, then  $\langle H, \partial \rangle$  given by (31) defines a homology theory for  $\mathcal{C}at\downarrow\mathbf{B}$ .*

**Proof.** It suffices to show that  $\langle H, \partial \rangle$  satisfies the axioms for homology in  $\mathcal{C}at\downarrow\mathbf{B}$ .

(i) The *Exactness Axiom* is direct consequence of the Exactness Axiom for  $H : A(\mathcal{K}\downarrow\mathbf{NB}) \rightarrow \mathcal{A}b$  and the fact that  $N : \mathcal{C}at\downarrow\mathbf{B} \rightarrow \mathcal{K}\downarrow\mathbf{NB}$  preserves inclusions.

(ii) Similarly, the *Excision Axiom* follows from the Excision Axiom for  $H : A(\mathcal{K}\downarrow\mathbf{NB}) \rightarrow \mathcal{A}b$ . Although,  $N : \mathcal{C}at\downarrow\mathbf{B} \rightarrow \mathcal{K}\downarrow\mathbf{NB}$  preserves all limits and hence intersections, it does not necessarily preserve unions. The requirement of admissibility guarantees that the image of the bicartesian square (25) is a bicartesian square in  $\mathcal{K}\downarrow\mathbf{NB}$ . Thus the Excision Axiom holds.

(iii) The *WFHE Axiom* is an immediate corollary of Theorem 5.4. and the definition of WFHE in  $\mathcal{C}at\downarrow\mathbf{B}$ .

(iv) *Milnor Additivity Axiom.* Since  $N : A(\mathcal{C}at\downarrow\mathbf{B}) \rightarrow A(\mathcal{K}\downarrow\mathbf{NB})$  commutes with disjoint union (coproducts in  $\mathcal{C}at\downarrow\mathbf{B}$ ), additivity follows from the corresponding additivity of  $H : A(\mathcal{K}\downarrow\mathbf{NB}) \rightarrow \mathcal{A}b$ .

(v) *Dimension Axiom.* Assume  $(X, X'; \phi)$  is an admissible pair in  $\mathcal{K}\downarrow\mathbf{NB}$ .

(a) For each representable map

$$\hat{x} : (\Delta[k], \hat{\Delta}[k]; \hat{b}) \rightarrow (\Delta(x), \hat{\Delta}(x); \phi)$$

of a nondegenerate  $x \in X_k$  with  $\phi(x) = b$ , it suffices to show, from the definition of  $\langle H, \partial \rangle$  for  $\mathcal{C}at\downarrow\mathbf{B}$ , that

$$\begin{aligned} H(N\Gamma\hat{x}) : H((N\Gamma\Delta[k], N\Gamma\hat{\Delta}[k]; \eta(\mathbf{NB}) \circ N\Gamma\hat{b}), A) \\ \xrightarrow{\cong} H((N\Gamma\Delta(x), N\Gamma\hat{\Delta}(x), \eta(\mathbf{NB}) \circ N\Gamma\phi), A) \end{aligned}$$

is an isomorphism in  $\mathcal{A}b^{\mathbf{Z}}$ . Consider the commutative diagram in  $A(\mathcal{K}\downarrow\mathbf{NB})$

$$\begin{array}{ccc} (N\Gamma\Delta[k], N\Gamma\hat{\Delta}[k]; \eta(\mathbf{NB}) \circ N\Gamma\hat{b}) & \xrightarrow{N\Gamma\hat{x}} & (N\Gamma\Delta(x), N\Gamma\hat{\Delta}(x); \eta(\mathbf{NB}) \circ N\Gamma\phi) \\ \downarrow \eta(\Delta[k]) & & \downarrow \eta(\Delta(x)) \\ (\Delta[k], \hat{\Delta}[k]; \hat{b}) & \xrightarrow{\hat{x}} & (\Delta(x), \hat{\Delta}(x); \phi) \end{array} \tag{32}$$

Theorem 4.4, insures that  $\eta(\Delta[k])$  and  $\eta(\Delta(x))$  are WFHE; and hence by Theorem 5.4,  $H(\eta(\Delta[k]))$  and  $H(\eta(\Delta(x)))$  are both isomorphisms in  $\mathcal{A}b^{\mathbf{Z}}$ . Since  $\langle H, \partial \rangle$  is the unique homology for  $\mathcal{K}\downarrow\mathbf{NB}$  determined by  $A : \Gamma\mathbf{NB} \rightarrow \mathcal{A}b$ ,  $\langle H, \partial \rangle$  satisfies the Dimension Axiom and  $H(\hat{x})$  is also an isomorphism in  $\mathcal{A}b^{\mathbf{Z}}$ . Thus three sides of (32) have isomorphisms as images under  $\langle H, \partial \rangle$  and  $H(N\Gamma\hat{x})$  is therefore also an isomorphism.

(b) For each  $b \in B_k$ ,

$$\eta(\Delta[k]): (N\Gamma\Delta[k], N\Gamma\hat{\Delta}[k]; \eta(N\mathbf{B}) \circ N\Gamma\hat{b}) \rightarrow (\Delta[k], \hat{\Delta}[k]; \hat{b})$$

is a WFHE by Corollary 4.3. Thus, as above,  $H(\eta(\Delta[k]))$  induces an isomorphism of homology groups. The Dimension Axiom for  $\langle H, \partial \rangle$  in  $\mathcal{X} \downarrow N\mathbf{B}$  ensures that

$$H_r((N\Gamma\Delta[k], N\Gamma\hat{\Delta}[k]; \eta(N\mathbf{B}) \circ N\Gamma\hat{b}), A) = 0$$

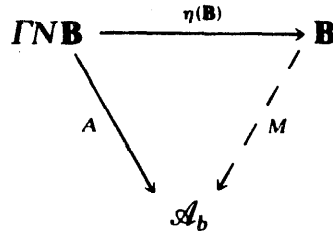
for  $r \neq k$ . Thus condition (b) holds for  $\langle H, \partial \rangle$  in  $\mathcal{C}at \downarrow \mathbf{B}$ .

(c) A similar argument to that of part (a), using both Theorem 4.4 and Theorem 5.4, as well as diagrams of type (32), demonstrates that  $\langle H, \partial \rangle$  in  $\mathcal{C}at \downarrow \mathbf{B}$  satisfies the normalization requirement.

Thus  $\langle H, \partial \rangle$  is a homology theory for  $\mathcal{C}at \downarrow \mathbf{B}$ .  $\square$

Roos [21], Milnor [17], Nöbeling [18], Watts [23], André [1], Laudal [12], and Quillen [20] all have asserted that left derived functors of colimit define a homology theory for  $\mathcal{C}at$ . The next corollary indicates the connection between derived functors and *some* homology theories for  $\mathcal{C}at \downarrow \mathbf{B}$ .

**Corollary 6.2.** *If the costack  $A : \Gamma N\mathbf{B} \rightarrow \mathcal{A}b$  can be factored as*



for some  $M : \mathbf{B} \rightarrow \mathcal{A}b$ , then

$$H_*((\mathbf{C}, \mathbf{C}'; \Phi), A) \simeq (L_* \operatorname{colim}_{\mathbf{C}}) M(\mathbf{C}, \mathbf{C}'; \Phi),$$

where  $M(\mathbf{C}, \mathbf{C}'; \Phi) : \mathbf{C} \rightarrow \mathcal{A}b$  is given by

$$M(\mathbf{C}, \mathbf{C}'; \Phi)_p = \begin{cases} M\Phi(p), & p \in \operatorname{ob} \mathbf{C}' \\ 0, & p \in \operatorname{ob} \mathbf{C} \end{cases}$$

**Proof.** The corollary follows from the fact that the chain complex used to determine homology is the colimit of a canonical coflabby resolution used to calculate the left derived functors of colimit. For details see [6; Appendix II, 3], [18] or [19].  $\square$

**Corollary 6.3.** *If  $A : \Gamma N\mathbf{B} \rightarrow \mathcal{A}b$  is a costack, then*

$$H_*((\mathbf{C}, \mathbf{C}'; \Phi), A) \simeq (L_* \operatorname{colim}_{\Gamma N\mathbf{C}}) A(\Gamma N\mathbf{C}, \Gamma N\mathbf{C}'; \Gamma N\Phi).$$

**Proof.** By Corollary 4.5,

$$\eta(\mathbf{C}) : (\Gamma N\mathbf{C}, \Gamma N\mathbf{C}'; \eta(\mathbf{B}) \circ \Gamma N\Phi) \rightarrow (\mathbf{C}, \mathbf{C}'; \Phi)$$

is a WFHE in  $A(\mathcal{C}at \downarrow \mathbf{B})$ . Since  $\langle H, \partial \rangle$  is a homology theory for  $\mathcal{C}at \downarrow \mathbf{B}$ , the WFHE Axiom insure that

$$H(\eta(\mathbf{C})) : H((\Gamma\mathbf{N}\mathbf{C}, \Gamma\mathbf{N}\mathbf{C}'; \eta(\mathbf{B}) \circ \Gamma\mathbf{N}\Phi), A) \xrightarrow{\cong} H((\mathbf{C}, \mathbf{C}'; \Phi), A)$$

is an isomorphism. However,

$$H((\Gamma\mathbf{N}\mathbf{C}, \Gamma\mathbf{N}\mathbf{C}'; \eta(\mathbf{B}) \circ \Gamma\mathbf{N}\Phi), A) = H((\Gamma\mathbf{N}\mathbf{C}, \Gamma\mathbf{N}\mathbf{C}'; \Gamma\mathbf{N}\Phi), A \circ \eta(\Gamma\mathbf{N}\mathbf{B}))$$

because the chain complexes, used to calculate homology in  $\mathcal{C}at \downarrow \mathbf{B}$  and  $\mathcal{C}at \downarrow \Gamma\mathbf{N}\mathbf{B}$  respectively, are identical. By Corollary 6.2

$$H((\Gamma\mathbf{N}\mathbf{C}, \Gamma\mathbf{N}\mathbf{C}'; \Gamma\mathbf{N}\Phi), A \circ \eta(\Gamma\mathbf{N}\mathbf{B})) \simeq (L_* \operatorname{colim}_{\Gamma\mathbf{N}\mathbf{C}})A(\Gamma\mathbf{N}\mathbf{C}, \Gamma\mathbf{N}\mathbf{C}'; \Gamma\mathbf{N}\Phi)$$

and the corollary follows.  $\square$

**Remark 6.4.** Not all costacks  $A : \Gamma\mathbf{N}\mathbf{B} \rightarrow \mathcal{A}b$  can be factored through  $\mathbf{B}$ , i.e. there may *not* exist a module  $M : \mathbf{B} \rightarrow \mathcal{A}b$  such that  $A = M \circ \eta(\mathbf{B})$ . For example, let  $\mathbf{B} = \mathbf{Z}_2$ , considered as a small category:  $\mathbf{B}$  has one object  $p$  with morphism set  $\mathbf{B}(p, p) = \{1, a \mid a^2 = 1\}$ . Then any module  $M : \mathbf{B} \rightarrow \mathcal{A}b$  can be described as a pair  $\langle G, \alpha : G \rightarrow G \rangle$ , where  $G = M(p)$  and  $\alpha = M(a)$  is an automorphism of  $G$ . By definition of  $\eta(\mathbf{B}) : \Gamma\mathbf{N}\mathbf{B} \rightarrow \mathbf{B}$  (see (10) and (11))

$$(M \circ \eta(\mathbf{B}))(\langle p \xrightarrow{b_1} p \longrightarrow \dots \xrightarrow{b_k} p \rangle, [k]) = M(p) = G$$

on *all* objects of  $\Gamma\mathbf{N}\mathbf{B}$ , and is given by

$$\begin{aligned} (M \circ \eta(\mathbf{B}))(\delta^0) &= \begin{cases} \alpha, & \text{if } b_1 = a, \\ 1, & \text{if } b_1 = 1, \end{cases} \\ (M \circ \eta(\mathbf{B}))(\delta^i) &= 1, \quad \text{for } 0 < i \leq k, \\ (M \circ \eta(\mathbf{B}))(\sigma^i) &= 1, \quad \text{for } 0 \leq i \leq k \end{aligned}$$

on generating morphisms of  $\Gamma\mathbf{N}\mathbf{B}$ . Thus none of the morphisms is 0 *unless*  $G = 0$ . Let

$$((q)) \equiv \langle p \xrightarrow{a} p \xrightarrow{a} \dots \xrightarrow{a} p \rangle$$

denote the unique nondegenerate  $q$ -simplex and  $\Delta((q))$  be the subcomplex of  $\mathbf{N}\mathbf{B}$  generated by  $((q))$ . Then

$$A = \Delta\mathbf{Z}(\mathbf{N}\mathbf{B}, \Delta((q)); 1) : \Gamma\mathbf{N}\mathbf{B} \rightarrow \mathcal{A}b$$

is a costack with many 0 morphisms, where  $\Delta\mathbf{Z} : \Gamma\mathbf{N}\mathbf{B} \rightarrow \mathcal{A}b$  is the constant costack with value  $\mathbf{Z}$ . Hence  $A \neq \eta(\mathbf{B}) \circ M$  for any  $M \in [\mathbf{B}, \mathcal{A}b]$ . Thus there exist more homology theories for  $\mathcal{C}at \downarrow \mathbf{B}$  than those “generated” by modules  $M : \mathbf{B} \rightarrow \mathcal{A}b$ .

**Theorem 6.5 (Comparison).** *Let  $\langle h, \partial \rangle$  be a homology theory for  $\mathcal{C}at \downarrow \mathbf{B}$  and define  $\langle \tilde{h}, \partial \rangle$  by*

$$\tilde{h}(X, X'; \phi) \equiv h(\Gamma X, \Gamma X'; \eta(\mathbf{B}) \circ \Gamma \phi) \tag{33}$$

for each  $(X, X'; \phi) \in A(\mathcal{K} \downarrow \mathbf{NB})$ . Then  $\langle \tilde{h}, \partial \rangle$  is a homology theory for  $\mathcal{K} \downarrow \mathbf{NB}$ , and

$$\tilde{h}(X, X'; \phi) = H((X, X'; \phi), A) \tag{34}$$

where  $A : \Gamma \mathbf{NB} \rightarrow \mathcal{A}b$  is the coefficient costack of  $\langle \tilde{h}, \partial \rangle$ .

**Proof.** It suffices to verify that  $\langle \tilde{h}, \partial \rangle$  satisfies the axioms for homology in  $\mathcal{K} \downarrow \mathbf{NB}$ . Formula (34) follows immediately from the uniqueness of such homology theories (Theorem 5.1). Furthermore

$$\tilde{h} \equiv h \circ (\eta(\mathfrak{B}))^* \circ \Gamma : \mathcal{K} \downarrow \mathbf{NB} \rightarrow \mathcal{A}b, \tag{35}$$

where  $\eta(\mathbf{B})^* : \mathcal{C}at \downarrow \Gamma \mathbf{NB} \rightarrow \mathcal{C}at \downarrow \mathbf{B}$  is defined by composition with  $\eta(\mathbf{B})$  and  $\Gamma : \mathcal{K} \downarrow \mathbf{NB} \rightarrow \mathcal{C}at \downarrow \Gamma \mathbf{NB}$ .

(i) The *Exactness Axiom* is a direct consequence of the Exactness Axiom for  $\langle h, \partial \rangle$  and that  $X'$  a subsimplicial set of  $X$  ensures that  $\Gamma X'$  is admissible in  $\Gamma X$ .

(ii) Similarly the *Excision Axiom* follows from the Excision Axiom for  $\langle h, \partial \rangle$ . By Lemma 2.3,  $\Gamma : \mathcal{K} \downarrow \mathbf{NB} \rightarrow \mathcal{C}at \downarrow \Gamma \mathbf{NB}$  preserves bicartesian squares. Because  $(\eta(\mathbf{B}))^* : \mathcal{C}at \downarrow \Gamma \mathbf{NB} \rightarrow \mathcal{C}at \downarrow \mathbf{B}$  has both left and right adjoints, it is bicontinuous; and hence, it also preserves bicartesian squares. Thus  $(\eta(\mathbf{B}))^* \circ \Gamma : \mathcal{K} \downarrow \mathbf{NB} \rightarrow \mathcal{C}at \downarrow \mathbf{B}$  preserves bicartesian squares and the Excision Axiom follows.

(iii) *SFH Axiom.* From Theorem 5.5, it suffices to verify that the WFHE Axiom holds for  $\langle \tilde{h}, \partial \rangle$ . Suppose  $m : (X, X'; \phi) \rightarrow (Y, Y'; \psi)$  is a WFHE in  $a(\mathcal{K} \downarrow \mathbf{NB})$ . If

$$\Gamma m : (\Gamma X, \Gamma X'; \eta(\mathbf{B}) \circ \Gamma \phi) \rightarrow (\Gamma Y, \Gamma Y'; \eta(\mathbf{B}) \circ \Gamma \psi)$$

is a WFHE in  $A(\mathcal{C}at \downarrow \mathbf{B})$ , then the WFHE Axiom for  $\mathcal{C}at \downarrow \mathbf{B}$  ensures that the WFHE Axiom holds for  $\mathcal{K} \downarrow \mathbf{NB}$ . By definition,  $\Gamma m$  is a WFHE in  $A(\mathcal{C}at \downarrow \mathbf{B})$  iff  $N\Gamma m$  is a WFHE in  $A(\mathcal{K} \downarrow \mathbf{NB})$ . By Theorem 4.4, the natural transformation  $\eta : N\Gamma \rightarrow 1d$  yields the following commutative diagram

$$\begin{array}{ccc} (N\Gamma X, N\Gamma X'; \eta(N\mathbf{B}) \circ N\Gamma \phi) & \xrightarrow{N\Gamma m} & (N\Gamma Y, N\Gamma Y'; \eta(N\mathbf{B}) \circ N\Gamma \psi) \\ \downarrow \eta(X) & & \downarrow \eta(Y) \\ (X, X'; \phi) & \xrightarrow{m} & (Y, Y'; \psi) \end{array}$$

of  $A(\mathcal{K} \downarrow \mathbf{NB})$ , with three sides WFHE; and thus  $N\Gamma m$  is also a WFHE in  $A(\mathcal{K} \downarrow \mathbf{NB})$ , as required.

(iv) *Milnor Additivity Axiom.* Since  $(\eta(\mathbf{B}))^* \circ \Gamma : (\mathcal{K} \downarrow \mathbf{NB}) \rightarrow \mathcal{C}at \downarrow \mathbf{B}$  is cocontinuous, additivity follows from the corresponding additivity of  $h : A(\mathcal{C}at \downarrow \mathbf{B}) \rightarrow \mathcal{A}b$ .

(v) The *Dimension Axiom* follows immediately from the Dimension Axiom of  $\langle h, \partial \rangle$  for  $\mathcal{C}at \downarrow \mathbf{B}$ ; the Dimension Axiom of  $\langle h, \partial \rangle$  was defined so that this occurred.  $\square$

**Theorem 6.6 (Uniqueness).** *If  $\langle h, \partial \rangle$  is a homology theory for  $\mathcal{C}at \downarrow \mathbf{B}$  with coefficient system  $A : \Gamma \mathbf{N} \mathbf{B} \rightarrow \mathcal{A}b$ , then*

$$h_*(\mathbf{C}, \mathbf{C}'; \Phi) \simeq H_*((\mathbf{C}, \mathbf{C}'; \Phi) \simeq (L_* \operatorname{colim}_{\Gamma \mathbf{N} \mathbf{C}})A(\Gamma \mathbf{N} \mathbf{C}, \Gamma \mathbf{N} \mathbf{C}'; \Gamma \mathbf{N} \Phi)$$

*for every  $(\mathbf{C}, \mathbf{C}', \Phi)$  in  $A(\mathcal{C}at \downarrow \mathbf{B})$ , and these equivalences are natural.*

**Proof.** By Corollary 4.5

$$\eta(\mathbf{C}) : (\Gamma \mathbf{N} \mathbf{C}, \Gamma \mathbf{N} \mathbf{C}'; \eta(\mathbf{B}) \circ \Gamma \mathbf{N} \Phi) \rightarrow (\mathbf{C}, \mathbf{C}'; \Phi)$$

is a WFHE in  $a(\mathcal{C}at \downarrow \mathbf{B})$ . Hence, the WFHE Axiom implies

$$h(\eta(\mathbf{C})) : h(\mathbf{C}, \mathbf{C}'; \Phi) \xleftarrow{=} h(\Gamma \mathbf{N} \mathbf{C}, \Gamma \mathbf{N} \mathbf{C}'; \eta(\mathbf{B}) \circ \Gamma \mathbf{N} \Phi),$$

naturally. By the comparison theorem, Theorem 6.5,

$$h(\Gamma \mathbf{N} \mathbf{C}, \Gamma \mathbf{N} \mathbf{C}'; \eta(\mathbf{B}) \circ \Gamma \mathbf{N} \Phi) \simeq H((\mathbf{N} \mathbf{C}, \mathbf{N} \mathbf{C}'; \mathbf{N} \Phi), A),$$

where  $A : \Gamma \mathbf{N} \mathbf{B} \rightarrow \mathcal{A}b$  is the coefficient costack for  $\langle h \circ (\eta(\mathbf{B}))^* \circ \Gamma, \partial \rangle$ . But the existence theorem, Theorem 6.1, ensures

$$H((\mathbf{N} \mathbf{C}, \mathbf{N} \mathbf{C}'; \mathbf{N} \Phi), A) \equiv H((\mathbf{C}, \mathbf{C}'; \Phi), A).$$

Corollary 6.3 yields the last equivalence.  $\square$

**Remark 6.7.** It is well known (see [23, 19, 12, 18]) that more general coefficient categories  $\mathcal{A}$  for  $\langle h, \partial \rangle$  can be chosen – any AB4 abelian category with enough projectives suffices. In this case, if

$$-\otimes_{\Gamma \mathbf{N} \mathbf{C}} - : [(\Gamma \mathbf{N} \mathbf{C})^{\text{op}}, \mathcal{A}b] \times [\Gamma \mathbf{N} \mathbf{C}, \mathcal{A}] \rightarrow \mathcal{A}$$

is a generalized tensor product, then the functors  $\Delta \mathbf{Z} \otimes_{\Gamma \mathbf{N} \mathbf{C}} -$  and  $\operatorname{colim}_{\Gamma \mathbf{N} \mathbf{C}} -$  from  $[\Gamma \mathbf{N} \mathbf{C}, \mathcal{A}]$  to  $\mathcal{A}$  are isomorphic; where  $\Delta \mathbf{Z}$  is the constant diagram of type  $(\Gamma \mathbf{N} \mathbf{C})^{\text{op}}$  with value  $\mathbf{Z}$ . Thus their respective left derived functors,  $\operatorname{Tor}_*^{\Gamma \mathbf{N} \mathbf{C}}(\Delta \mathbf{Z}, -)$  and  $L_* \operatorname{colim}_{\Gamma \mathbf{N} \mathbf{C}}$  are isomorphic. Hence parallel definitions and arguments as those used in the case for  $\mathcal{A}b$ , yield the corresponding uniqueness theorem, where

$$H_*((\mathbf{C}, \mathbf{C}'; \Phi), A) \simeq (L_* \operatorname{colim}_{\Gamma \mathbf{N} \mathbf{C}})A(\Gamma \mathbf{N} \mathbf{C}, \Gamma \mathbf{N} \mathbf{C}'; \eta(\mathbf{B}) \circ \Gamma \mathbf{N} \Phi)$$

and  $A : \Gamma \mathbf{N} \mathbf{B} \rightarrow \mathcal{A}$  is a coefficient system.

### Acknowledgements

I would like to express my appreciation to A. Heller and J. Milnor for many fruitful conversations about this problem. I am indebted to P. Malraison for suggesting relative constant coefficients in Theorem 5.5. Also, special thanks are extended to R. Bumby for being my sounding board through all the nitty-gritty details of this problem. Lastly, I would like to thank the referee for suggesting ways this paper could be shortened.

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