

## II. 4-manifolds

A quick overview

Theorem (Markov, 1958)

∃ algorithm s.t.

Input: Triangulation of closed 4-manifolds  
 $M_1, M_2$

Output: Tells you whether or not  $M_1 \stackrel{\text{homeo.}}{\cong} M_2$ .

Proof idea: 1. Every f.p. group  $\Gamma = \langle a_1, \dots, a_m \mid R_1, \dots, R_n \rangle$   
is  $\Gamma = \pi_1(M)$ ,  $M$  closed, orientable 4-manifold

Pf:  $\pi_1\left(\coprod_{j=1}^m (S^1 \times S^3)\right) \cong F_m$  by van Kampen  
↑  
connect  
sum

Pick loops  $\alpha_1, \dots, \alpha_n$  representing  $R_i$   
↑  
embedded,  
disjoint

Let  $N := \coprod_{j=1}^m (S^1 \times S^3) - \text{Unbhd}(\alpha_i)$   
cpt manifold w/ bdry  $\partial N \cong \underbrace{(S^1 \times S^2) \cup \dots \cup (S^1 \times S^2)}_n$

For each relator  $R_i$ , glue  $S^2 \times D^2$  to  $N$ , via word  $\alpha_i$   $\left[ \partial(S^2 \times D^2) \cong S^2 \times S^1 \right]$

2. Markov:  $\nexists$  algorithm

Input: 2 f.p. groups

Output: Tells you if isomorphic  $\square$

So classifying all 4-manifolds is a little hopeless. But of the 4-manifolds that arise in "nature"

Two Big Classes:

1.  $\pi_1 M = 0$

2. Algebraic surfaces := smooth 2-dim complex proj. variety.

Two main invariants:

$\pi_1 M$  and  $(H_2(M; \mathbb{Z}), Q_M)$   
 $\uparrow$  intersection form.

B. The intersection form

Recall: closed, oriented  $2n$ -manifold  $M$ .

$$\exists \text{ bilinear form } Q_M: \underbrace{H^n(M; \mathbb{Z})}_{\text{torsion}} \times \underbrace{H^n(M; \mathbb{Z})}_{\text{torsion}} \rightarrow \mathbb{Z}$$

$$\begin{aligned} (\alpha, \beta) &\mapsto \langle \alpha \cup \beta, [M] \rangle \\ &= \int \alpha \wedge \beta. \end{aligned}$$

*cap product*  
*viewing as forms*

Dually:  $H_n(M; \mathbb{Z}) \times H_n(M; \mathbb{Z}) \rightarrow \mathbb{Z}$

*torsion*      *torsion*

$$(\alpha, b) \mapsto \langle PD(a) \cup PD(b), [M] \rangle$$

*Poincaré dual*

Will also call  $Q_M$ .

Remarks:

1.  $Q_M$  is non-degenerate,  $\forall \alpha \neq 0, \exists \beta$  s.t.  $Q_M(\alpha, \beta) \neq 0$ .

2. Since  $a \cup b = (-1)^n b \cup a$

$n$  odd  $\Rightarrow Q_M$  is skew symmetric (symplectic)

$n$  even  $\Rightarrow Q_M$  is symmetric

So, e.g. when  $n$  is even  $Q_M$  is represented by a

symmetric matrix wrt. any basis.

## $Q_M$ as an intersection number

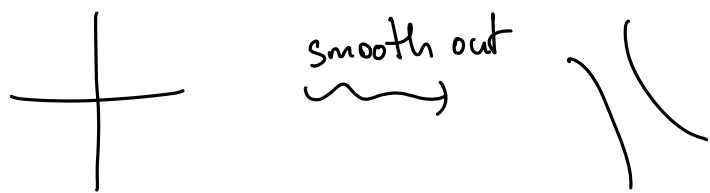
Prop:  $M$  any (oriented) 4-manifold,  $\xi \in H_2(M; \mathbb{Z})$

$\Rightarrow \exists g \geq 0, \exists i: \Sigma_g \hookrightarrow M$  s.t.  $i_*([\Sigma_g]) = \xi$ .  
embedding

Proof #1:

①  $\exists$  immersion  $i: \Sigma_g \rightarrow M$  (Hatcher) with  $i_*[\Sigma_g] = \xi$

$\Rightarrow$  finitely many self intersections



(see figure 3.2, pg 113  
in Scorpan)

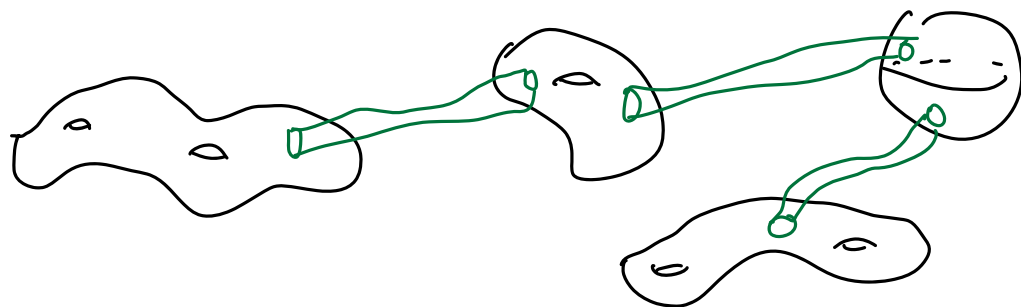
local picture

$$z_1, z_2 = 0$$

$$z_1, z_2 = \xi$$

$\xrightarrow{\text{get}}$  embedded, possibly disconnected surface representing  $\xi$ .

③ Tubing



□

Proof #2:  $\exists$  bijection

$$\{\text{elements of } H^2(M; \mathbb{Z})\} \longleftrightarrow [M, \mathbb{K}(\mathbb{Z}, 2)]$$

htpy classes of maps

$$M \rightarrow \mathbb{C}P^\infty$$

$$H^*(\mathbb{C}P^\infty) = \mathbb{Z}[\alpha]$$

↑ deg. 2

$$\text{So } \xi \rightsquigarrow F_\xi: M^4 \rightarrow \mathbb{C}P^\infty$$

$$\rightsquigarrow F_\xi: M^4 \rightarrow \mathbb{C}P^2 \quad (4\text{-skeleton})$$

$$\rightsquigarrow F_\xi \cap \mathbb{C}P^1.$$

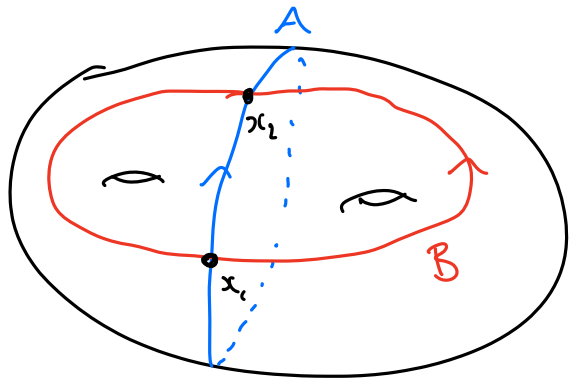
$\Rightarrow F_\xi^{-1}(\mathbb{C}P^1)$  is the required 2-manifold.  $\square$

Def:  $A^n, B^n \subset M^{2n}$  closed,  $A \cap B$  closed

$$\text{Alg. int. \# is: } [A] \cdot [B] = \sum_{x \in A \cap B} \varepsilon(x)$$

$\varepsilon(x)$  is  $\pm 1$  (orientation)

e.g.



$$\varepsilon(x_1) = -\varepsilon(x_2), \text{ so}$$

$$[A] \cdot [B] = 0.$$

Fundamental Theorem of Intersection Theory:

$$Q_M([A], [B]) = [A] \cdot [B]$$

Now, let  $\dim(M) = 4$ ,  $M$  closed oriented

$$H_2(M; \mathbb{Z}) / \text{torsion} \cong H^2(M; \mathbb{Z}) / \text{torsion} \cong \mathbb{Z}^d, \quad d \geq 0.$$

$Q_M$  symmetric ( $\frac{4}{2}$  is even)

Def: A lattice  $\Omega$  is a free abelian group  $\mathbb{Z}^d$  equipped with a non-degenerate symm. bilinear form  $Q_\Omega$ .  
(note: has assoc. quadratic form)

• basis for  $\Omega \rightsquigarrow$  A symm. matrix  $Q_\Omega(u, v) = uAv^T$

•  $\Omega \cong \Gamma \iff \exists F: \Omega \xrightarrow{\cong} \Gamma$  of free ab. groups  
s.t.  $F^* Q_\Gamma \cong Q_\Omega$ .

•  $\text{rank}(\Omega) := d$ .

•  $\Omega$  is unimodular if  $\det(A) = 1$ .

Prop:  $M^4$  closed, oriented.

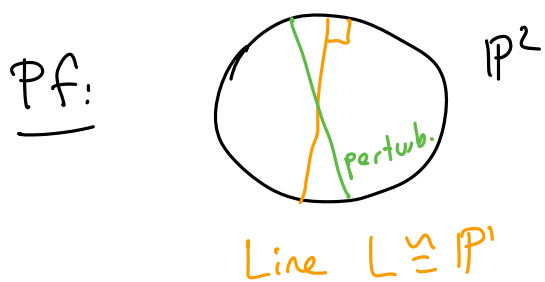
Let  $H_M := (H_2(M, \mathbb{Z}) / \text{torsion}, \mathbb{Q}_M)$  is a unimodular lattice.

Pf: exercise.  $\square$

First Examples:

①  $M = S^4, H_M = 0$

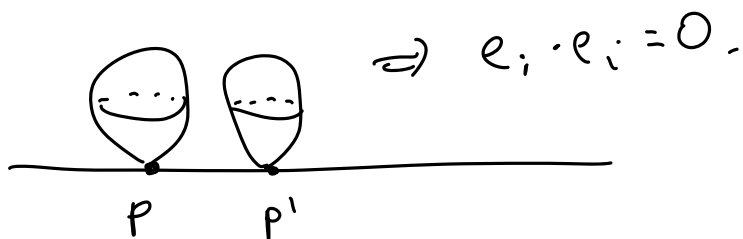
②  $M = \mathbb{C}P^2 = \mathbb{P}^2$   
 $H_M = (\mathbb{Z}, (1))$



$[L] \cdot [L] = 1 \Rightarrow \mathbb{Q}_M = (1)$ .

③  $M = S^2 \times S^2$   
 $H_M = (\mathbb{Z}^2, \begin{pmatrix} e_1 & e_2 \\ 0 & 1 \\ 1 & 0 \end{pmatrix})$

$e_1 = [S^2 \times \{p\}]$   
 $e_2 = [\{p\} \times S^2]$



④  $M = M_1 \# M_2$

$\Rightarrow \mathbb{Q}_M = \mathbb{Q}_{M_1} \oplus \mathbb{Q}_{M_2} = \begin{pmatrix} \mathbb{Q}_{M_1} & 0 \\ 0 & \mathbb{Q}_{M_2} \end{pmatrix}$ .

# C. Integral Quadratic Forms

Isomorphism Invariants of  $(\Omega, Q_\Omega)$ :

1. rank  $(\Omega)$

2. Signature  $\sigma(Q_\Omega)$  of  $Q_\Omega$ .

diagonalize matrix  $A$  of  $Q_\Omega$  over  $\mathbb{R}$

$$\begin{pmatrix} \lambda_1 & & & & & & & 0 \\ & \dots & & & & & & \\ & & \lambda_p & & & & & \\ 0 & & & & & & & \\ & & & & \lambda_{p+1} & & & \\ & & & & & \dots & & \\ & & & & & & & \lambda_{p+q} \end{pmatrix}$$

$$p+q = \text{rank}(\Omega)$$

$$\lambda_1, \dots, \lambda_p > 0$$

$$\lambda_{p+1}, \dots, \lambda_{p+q} < 0$$

Say " $\Omega$  has type  $(p, q)$ "

$$\sigma(\Omega) = p - q$$

3. Definiteness (detectable from  $\sigma$ )

$Q_\Omega > 0$  means pos. def.  $Q(v, v) > 0 \quad \forall v \neq 0$

$Q_\Omega < 0$  means neg. def.  $Q(v, v) < 0 \quad \forall v \neq 0.$

$Q_\Omega$  indefinite otherwise

4. Parity

$Q_\Omega$  is  $\begin{cases} \text{even} & \text{if } Q_\Omega(v, v) \in 2\mathbb{Z} \quad \forall v \in \Omega \\ \text{odd} & \text{otherwise} \end{cases}$

exercise: enough to check on a basis of  $\Omega$ .