# The Finite Intersection Property and Computability Theory

Rod Downey Victoria University Wellington New Zealand

Joint with Diamondstone, Greenberg, Turetsky.

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# FIP

- One equivalent of the axiom of choice
- A family of sets *F* = {*A<sub>i</sub>* |∈ *Q*} has finite intersection property iff for all finite *F* ⊂ *Q*, ∩<sub>*i*∈*F*</sub>*A<sub>i</sub>* ≠ Ø.
- The principal says: Any collection of sets has a maximal subfamily with FIP.
- We investigate the computability of this.
- ► That is computable collections of computable sets.
- First began by Dzharfarov and Mummert.

- The first thing to notice is that it depends on whether you consider the family as set or a sequence
- If as a set then Ø' is easily codable into a sequence and the theorem is equivalent to ACA<sub>0</sub>. (Namely, have a set B = B<sub>e</sub> such that it is initially empty, and if e ∈ Ø'[s] henceforth intersect it with everything, so it must be included. Ø' can clearly figure things out.)
- ► Interesting if a sequence, so that A<sub>1</sub>, A<sub>2</sub>, A<sub>3</sub> is different from A<sub>2</sub>, A<sub>3</sub>, A<sub>1</sub>.
- ▶ Similarly  $\overline{D}_2$ IP for for all pairs  $A_i \cap A_j \neq \emptyset$ . (DM notation)

#### Definition

Say that **a** is FIP (bounding) iff for all computable collections of sets, **a** can compute a solution to the FIP problem.

#### Theorem (Dzharfarov and Mummert)

There is a computable collection of sets with no c.e. subfamily with FIP. So **0** is not FIP, or even  $\overline{D}_2$ IP.

- 1. Meet  $R_e$ :  $W_e$  is not an index for a maximal FIP family.
- 2. In the below I will use  $A_i, \ldots$  and  $X_e, B_i$  etc. Of course these are all the same and really are  $W_{f(j)}$  for a computable f given by the s-m-n theorem, and I am really concerned with the index f(i). Also we will ensure that each nonzero set has a unique idetifier in it, so these are really streams of numbers under consiferderation.
- 3. Use a trap set  $X_e$ .
- **4**. Begin with  $A_0, A_1, \ldots$  Wait for  $W_e$  to respond.
- 5. Start intersecting  $X_e$  "in the back". If  $W_e$  enumerates it win with finite injury.

Theorem (Dzharfarov and Mummert)

If **a** is  $\overline{D}_2IP$  then it is hyperimmune. (i.e. not computably dominated for those under 35, or Bob)

Theorem (Dzharfarov and Mummert)

If  $\mathbf{a} \neq \mathbf{0}$  is c.e. then  $\mathbf{a}$  is FIP.

Theorem (Dzharfarov and Mummert )

If **a** is  $\emptyset'$ -hyperimmune then it is FIP.

- The c.e. noncomputable case below  $C \neq_T \emptyset$ .
- We are building  $A_0, A_1, \ldots A_n$ .
- ► We want to put some element B into this family (with truncation), as we have seen B intersect A<sub>0</sub>,..., A<sub>j</sub>, the first position determined by B's index.
- ► We then place a permitting challenge to C. If later we see C permit j, we change the family to A<sub>0</sub>,...A<sub>j</sub>, B.
- When B meets  $A_{j+1}[s]$  place another challange on B.
- ► The Ø'-hyperimmune is because Ø' knows if we ever want to put things in, and infinitely often the C can decode this.
- It might seem that the c.e. case would also work for Δ<sup>0</sup><sub>2</sub> C, but it fails for a nonuniform reason.
- ► An earlier promise for a C-configuration might force some D<sub>1</sub> into the sequence which might be disjoint from the B we are attempting to put in. (board)

#### Theorem (DM)

There is a computable nontrivial family such that every maximal subfamily with  $\overline{D}_2$ IP has hyperimmune degree.

(proof)[DDGT] We will define a computable family of the form

$$\{A_e^i: e \leq i\} \cup \{B_e: e \in \omega\}.$$

We will call sets  $A_e^i$  and  $B_e$  with subscript e "*e*-sets". We will ensure the following hold.

- Every  $A_e^i$  is nonempty.
- B<sub>e</sub> is nonempty iff φ<sub>e</sub>(e) ↓, and contains only numbers larger than the stage when φ<sub>e</sub>(e) converges.
- If  $i \neq e$ , then every nonempty *e*-set intersects every nonempty *i*-set.
- For all  $i, j \ge e$ ,  $A_e^i$  intersects  $A_e^j$ .
- A<sup>i</sup><sub>e</sub> intersects B<sub>e</sub> iff φ<sub>e</sub>(x) ↓ for all x ≤ i + 1. Moreover, the intersection only contains elements larger than the least stage s such that φ<sub>e</sub>(x) ↓ [s] for all x ≤ i + 1.

We can assume the nonempty sets also code their indices, so that for every subfamily  $C = \{C_n \mid n \in \omega\}$  which does not contain the empty set, we can compute from  $C_n$  which set  $A_e^i$  or  $B_e$  is equal to  $C_n$ .

Let C be a maximal subfamily with  $\overline{D}_2$ IP, and let  $C_s$  denote  $\{C_n \mid n \leq s\}$ . Since C does not contain the empty set, for each e, if  $B_e \notin C$ , then  $A_e^i \in C$  for every  $i \geq e$ , since  $A_e^i$  intersects every nonempty set in our family, except perhaps  $B_e$ .

Now if  $\phi_e(x)$  is total, then  $B_e$  must be in the family. From the family, we can compute the least number q with  $q \in B_e \cap A_i^x$  for  $x \ge e$ , and this will exceed  $\phi_e(x)$ . We need to make the function essentially coding this total whether or not  $\phi_e$  is total.

Let g be defined by

$$g(x) = (\mu s) \forall e \leq i \leq x A_e^i \in C_s \lor B_e \in C_s.$$

Let f be defined by

$$f(x) = (\mu n) \forall i, j \leq g(x) \ C_i \cap C_j \cap [0, n] \neq \emptyset.$$

Observe that  $f \leq_T C$ .

We will show f is not majorized by any computable function. Suppose  $\phi_e$  is total. Then every *e*-set intersects every nonempty set in the family we built, so the maximal subfamily C must contain  $B_e$  and every  $A_e^i$ . Let  $x \ge e$  be minimal such that  $A_e^x$  appears after  $B_e$  in C. We claim  $f(x) > \phi_e(x)$ . Notice g(x) bounds the position that  $B_e$  appears. If x = e, then  $B_e \cap [0, f(x)]$  is nonempty and therefore  $f(x) > \phi_e(e)$ . If x > e, then g(x) also bounds the position  $A_e^{x-1}$  appears, and therefore  $B_e \cap A_e^{x-1} \cap [0, f(x)]$  is nonempty. Thus  $f(x) > \phi_e(x)$ .

#### Theorem (DDGT)

If a bounds a 1-generic then a is FIP.

The main idea: Think about the proof that if **a** is c.e. then it is FIP. If we want to add some B to  $A_0, A_1, \ldots$ , then we put up a permitting challenge to **a**a and if permission occurs slot B in, and truncate the family. If we need to add some B in then it will be dense in the construction so a permission occurs. For a 1-generic construction, for finite partial families, we will see such B occur and challenge generics to include B by the enumeration of a c.e. set of strings (thinking of sequences as strings, and the family as coding the generic). If this is dense then the generic will meet the condition.

In fact:

Call a tree  $T \subset \omega^{<\omega}$  set-like if:

1) if  $\sigma \in T, \ \sigma$  is injective; and

2) if  $\sigma \in T$ , then every permutation of  $\sigma$  is in T.

Call A FIP-generic if for every c.e. set-like tree T, A computes a path f through T such that for every n, it is not the case that  $(f \upharpoonright j) * n \in T$  for all j.

Then FIP-generic is equivalent to FIP. If we drop the requirement that T is set-like, this is precisely 1-generic.

Actually it is enough to be a path to be FIP since there's a univeral family. (as we see later)

One part of the proof for FIP generic is clear. for 1-generic: You build a tree T and a reduction  $\Gamma$ . At every stage, for every  $\sigma \in T$ , you enumerate  $\sigma * 0$  into T and define  $\Gamma^{\sigma*0} = (\Gamma^{\sigma}) * 0$ . Whenever you see strings  $\sigma$  and  $\tau$  with  $\sigma \in T, \tau \in W_e$  and  $\Gamma^{\sigma} \preceq \tau$ , enumerate  $\sigma * (e + 1)$  into the tree and define  $\Gamma^{\sigma*(e+1)} = \tau$ . If  $\Gamma^A$  neither meets nor avoids  $W_e$ , then for every  $j, (A \upharpoonright j) * (e + 1)$  will be enumerated into the tree. So A will neither meet nor avoid e + 1.

#### Theorem (DDGT)

If X is  $\Delta_2^0$  and of FIP degree, then X computes a 1-generic.

The theorem is aided by the fact that there is a universal family.

### Theorem (DDGT)

There is a computable instance of FIP named  $\mathcal{U}$  which is universal in the sense that any maximal solution for  $\mathcal{U}$  computes a maximal solution for every other computable instance of FIP. Further, this reduction is uniform—if  $\mathcal{A}$  is a computable instance of FIP, then from an index for  $\mathcal{A}$ , one can effectively obtain an index for a reduction that computes a maximal solution for  $\mathcal{A}$  from a maximal solution for  $\mathcal{U}$ . Thus FIP for  $\mathcal{U}$  is Medvedev-above all other computable FIPs.

The idea for the proof is "intersect a lot, in a recoverable way."

# The $\Delta_2^0$ case

- Given Q of FIP degree, we build 1-generic G ≤<sub>T</sub> Q, and a family. (NB nonuniformity or use the recursion theorem)
- At some stage have  $X_0, X_1, \ldots$  and  $G \leq_T Q[s]$ .
- Want to make G meet  $V_e$ , say. Use a auxilairy set  $B = B_e$ .
- ► Make it meet, say, X<sub>0</sub>,..., X<sub>e</sub> (but not the rest) (A permitting challenge). Repeat with X<sub>e+1</sub> etc.
- ► If at some stage we get permission, then want to have, say, X<sub>0</sub>,..., X<sub>j</sub>, B<sub>e</sub> want to block this from going back (For the principle all families representing the same collections of sets should give the same 1-generic) using bocker Z<sub>e,j</sub>

#### Theorem (DDGT)

There is a minimal FIP **a** in  $\Delta_3^0$ .

The proof is a tricky full approximation argument.

- ► Image we have so far A<sub>0</sub>, A<sub>1</sub>,..., A<sub>n</sub> and wish to slot in B<sub>2</sub>, postion determined by index and "state".
- Presumably we have enumerated some description of Φ<sup>⟨A<sub>0</sub>,A<sub>1</sub>,...,A<sub>n</sub>⟩(j) for j ≤ p.</sup>
- We can move  $A_0A_1B...$  for one step seeking agreeing computations.
- Then we can go back. If B stops intersecting, then who cares? If B intersects more, repeat.
- If we get a split we can change state.
- ► A split must generate equivalent families. A<sub>0</sub>A<sub>1</sub>B...A<sub>j</sub> and A<sub>0</sub>A<sub>1</sub>...A<sub>j</sub>B and this forces lots of pain when interactions are considered.

- Notably, priorities ensure that you need to force many splits before you believe "split", as places for entry of high priority sets.
- ► These are "left hanging" which is why the trees are partial.
- ► That is, we might have A<sub>0</sub>A<sub>1</sub>B...A<sub>j</sub> and A<sub>0</sub>A<sub>1</sub>...A<sub>j</sub>B, being the place where we promise we would introduce C, but this intersection might never occur, so we force another split (at least).
- Matters can be arranged to make sure that the first splits split with the second, arguing about uses.
- ► Then we would work on the second split unless we need to introduce *C*.
- Interactions are intricate.

# Finite variations

- Do the same but use only families of finite sets.
- Computably true if given as either canonical finite sets, or with a bound on the number.
- FIP is computably true (look at the big intersection)
- If only finite and weak indices:

Theorem (DDGT)

 $\overline{D}_2 IP_{\text{finite}}$  and  $\Delta_2^0$  iff it bounds a 1-generic.

The proof is similar but uses more initialization and priority.

# Thank You