

# Reducibility of Sets in Generalized Settings

Justine Dell<sup>1</sup>, Henry Fleischmann<sup>2</sup>, and Faye Jackson<sup>2</sup>  
Mentors: Leo Goldmakher<sup>3</sup> and Steven J. Miller<sup>3</sup>

Haverford College<sup>1</sup>, University of Michigan<sup>2</sup>, Williams College<sup>3</sup>

August 21, 2021

# Set Addition

## Definition

*Given two sets  $A, B \subseteq \mathbb{Z}$ , we say that  $A + B = \{a + b \mid a \in A, b \in B\}$ .*

# Set Addition

## Definition

*Given two sets  $A, B \subseteq \mathbb{Z}$ , we say that  $A + B = \{a + b \mid a \in A, b \in B\}$ .*

## Example

$$\{0, 1, 2\} + \{0, 1, 2, 4\} = \{0, 1, 2, 3, 4, 5, 6\}$$

# Set Addition

## Definition

Given two sets  $A, B \subseteq \mathbb{Z}$ , we say that  $A + B = \{a + b \mid a \in A, b \in B\}$ .

## Example

$$\{0, 1, 2\} + \{0, 1, 2, 4\} = \{0, 1, 2, 3, 4, 5, 6\}$$

|   | 0 | 1 | 2 |
|---|---|---|---|
| 0 | 0 | 1 | 2 |
| 1 | 1 | 2 | 3 |
| 2 | 2 | 3 | 4 |
| 4 | 4 | 5 | 6 |

# Irreducibility

## Definition

A set  $S \subseteq \mathbb{Z}$  is **reducible** if  $S = A + B$  for two sets  $A, B$  such that  $|A|, |B| \geq 2$ . Otherwise,  $S$  is **irreducible**.

# Irreducibility

## Definition

A set  $S \subseteq \mathbb{Z}$  is **reducible** if  $S = A + B$  for two sets  $A, B$  such that  $|A|, |B| \geq 2$ . Otherwise,  $S$  is **irreducible**.

## Example

The set  $\{0, 1, 2\} = \{0, 1\} + \{0, 1\}$  is reducible.

# Irreducibility

## Definition

A set  $S \subseteq \mathbb{Z}$  is **reducible** if  $S = A + B$  for two sets  $A, B$  such that  $|A|, |B| \geq 2$ . Otherwise,  $S$  is **irreducible**.

## Example

The set  $\{0, 1, 2\} = \{0, 1\} + \{0, 1\}$  is reducible. In contrast,  $\{0, 1, 3\}$  is irreducible.

# Higher Dimensional Irreducibility

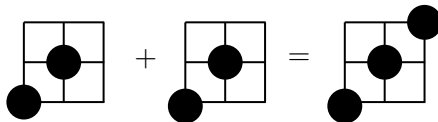
## Definition

Let  $S \subset \mathbb{Z}^d$ .  $S$  is reducible iff  $S = A + B$  for  $A, B \subset \mathbb{Z}^d$  with  $|A|, |B| \geq 2$ .

Let  $S = \{(0, 0), (1, 1), (2, 2)\}$ . Then,

$$S = \{(0, 0), (1, 1)\} + \{(0, 0), (1, 1)\}$$

so  $S$  is reducible.



What is the largest size of an irreducible subset of  $[n]^d$ ?

### Definition

Let  $[n]^d = \underbrace{\{0, 1, \dots, n\} \times \{0, 1, \dots, n\} \cdots \{0, 1, \dots, n\}}_{d \text{ copies}}.$

### Lemma (BDGGPV 2021)

Fix  $S \subset \mathbb{Z}^d$  such that  $|S| \geq 3$  and  $0 \in S$ . Let  $A = \{0, r\}$  for  $r \in \mathbb{Z}^d \setminus \{0\}$ .  $S = A + B$  for some  $B \subset S$  iff for all  $s \in S$ ,  $s - r \in S$  or  $s + r \in S$ .

- We consider the minimum size of the complement of an irreducible subset of  $[n]^d$ .
- The above lemma shows that if  $|[n]^d \setminus S|$  is too small then  $S = A + B$  for some  $A = \{0, r\}$ .

# The Largest Irreducible Subset of $[n]^d$

Theorem (SMALL 2021)

Let  $S \subseteq [n]^d$ . Let  $k = |[n]^d \setminus S|$ . Then,  $S$  is reducible if

$$\frac{k}{d} \ln 2 + H_{\lceil \frac{k}{d} \rceil} + H_{\lceil \binom{k}{2}/d \rceil} < H_{n-1}$$

where  $H_n$  is the  $n$ th Harmonic number ( $H_n \approx \log(n)$ ).

- This tells us that the size of the complements of irreducible subsets of  $[n]^d$  are  $\Omega(d \log n)$ .

# How do you easily show a set is irreducible?

## Proposition (Local Irreducibility)

Suppose  $S \subset \mathbb{Z}_{\geq 0}^d$  with  $0 \in S$  satisfies the following.

- ①  $(M_S + M_S) \cap S = \emptyset$ , for  $M_S$  the set of minimal norm elements.
- ② For each  $s \in S \setminus M_S$  there is some  $t \in S$  with  $|t| < |s|$  and  $s + t \notin S$ .

Then,  $S$  is irreducible.

- Local irreducibility is defined to be easily computer verifiable.
- Irreducibility follows by an iterative contradiction argument.
- In 1-dimension, the minimum size of the complement is  $\Theta(\log(n))$ .

## Local Irreducibility Example

### Proposition (Local Irreducibility)

Suppose a set  $S \subset \mathbb{Z}_{\geq 0}^d$  with  $0 \in S$  satisfies the following.

- ①  $(M_S + M_S) \cap S = \emptyset$ , for  $M_S$  the set of minimal norm elements.
- ② For each  $s \in S \setminus M_S$  there is some  $t \in S$  with  $|t| < |s|$  and  $s + t \notin S$ .

Then,  $S$  is irreducible.

Suppose  $S = \{0, 1, 3\} = A + B$ .

- WLOG,  $0 \in A, B$  and  $A, B \subset S$  by shifting the sets.
- 1 must be in  $A$  or  $B$ . Suppose  $1 \in B$ .
- Then, since  $1 + 1 \notin S$ ,  $1 \notin A$ .
- Since  $1 + 3 \notin S$ , we know  $3 \notin A$ .
- So,  $A = \{0\}$  and  $S$  is irreducible.

$$A = \{\} \quad B = \{\}$$

## Local Irreducibility Example

### Proposition (Local Irreducibility)

Suppose a set  $S \subset \mathbb{Z}_{\geq 0}^d$  *with*  $0 \in S$  satisfies the following.

- ①  $(M_S + M_S) \cap S = \emptyset$ , for  $M_S$  the set of minimal norm elements.
- ② For each  $s \in S \setminus M_S$  there is some  $t \in S$  with  $|t| < |s|$  and  $s + t \notin S$ .

Then,  $S$  is irreducible.

Suppose  $S = \{0, 1, 3\} = A + B$ .

- WLOG,  $0 \in A, B$  and  $A, B \subset S$  by shifting the sets.
- 1 must be in  $A$  or  $B$ . Suppose  $1 \in B$ .
- Then, since  $1 + 1 \notin S$ ,  $1 \notin A$ .
- Since  $1 + 3 \notin S$ , we know  $3 \notin A$ .
- So,  $A = \{0\}$  and  $S$  is irreducible.

$$A = \{0\} \quad B = \{0\}$$

# Local Irreducibility Example

## Proposition (Local Irreducibility)

Suppose a set  $S \subset \mathbb{Z}_{\geq 0}^d$  with  $0 \in S$  satisfies the following.

- ①  $(M_S + M_S) \cap S = \emptyset$ , for  $M_S$  the set of minimal norm elements.
- ② For each  $s \in S \setminus M_S$  there is some  $t \in S$  with  $|t| < |s|$  and  $s + t \notin S$ .

Then,  $S$  is irreducible.

Suppose  $S = \{0, 1, 3\} = A + B$ .

- WLOG,  $0 \in A, B$  and  $A, B \subset S$  by shifting the sets.
- 1 must be in  $A$  or  $B$ . Suppose  $1 \in B$ .
- Then, since  $1 + 1 \notin S$ ,  $1 \notin A$ .
- Since  $1 + 3 \notin S$ , we know  $3 \notin A$ .
- So,  $A = \{0\}$  and  $S$  is irreducible.

$$A = \{0\} \quad B = \{0, 1\}$$

## Local Irreducibility Example

### Proposition (Local Irreducibility)

Suppose a set  $S \subset \mathbb{Z}_{\geq 0}^d$  with  $0 \in S$  satisfies the following.

- 1  $(M_S + M_S) \cap S = \emptyset$ , for  $M_S$  the set of minimal norm elements.
- 2 For each  $s \in S \setminus M_S$  there is some  $t \in S$  with  $|t| < |s|$  and  $s + t \notin S$ .

Then,  $S$  is irreducible.

Suppose  $S = \{0, 1, 3\} = A + B$ .

- WLOG,  $0 \in A, B$  and  $A, B \subset S$  by shifting the sets.
- 1 must be in  $A$  or  $B$ . Suppose  $1 \in B$ .
- Then, since  $1 + 1 \notin S$ ,  $1 \notin A$ .
- Since  $1 + 3 \notin S$ , we know  $3 \notin A$ .
- So,  $A = \{0\}$  and  $S$  is irreducible.

$$A = \{0\} \quad B = \{0, 1, 3\}$$

## Local Irreducibility Example

### Proposition (Local Irreducibility)

Suppose a set  $S \subset \mathbb{Z}_{\geq 0}^d$  with  $0 \in S$  satisfies the following.

- ①  $(M_S + M_S) \cap S = \emptyset$ , for  $M_S$  the set of minimal norm elements.
- ② For each  $s \in S \setminus M_S$  there is some  $t \in S$  with  $|t| < |s|$  and  $s + t \notin S$ .

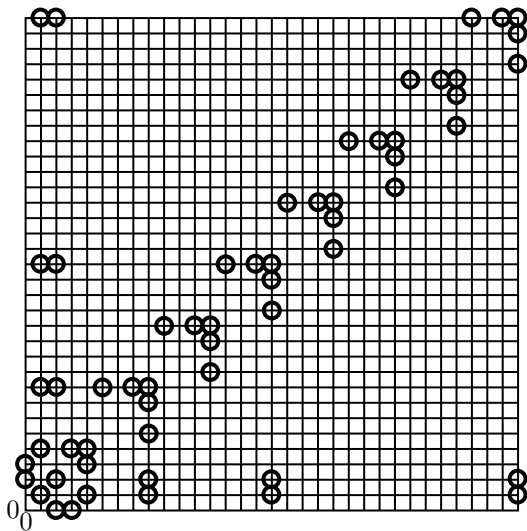
Then,  $S$  is irreducible.

Suppose  $S = \{0, 1, 3\} = A + B$ .

- WLOG,  $0 \in A, B$  and  $A, B \subset S$  by shifting the sets.
- 1 must be in  $A$  or  $B$ . Suppose  $1 \in B$ .
- Then, since  $1 + 1 \notin S$ ,  $1 \notin A$ .
- Since  $1 + 3 \notin S$ , we know  $3 \notin A$ .
- So,  $A = \{0\}$  and  $S$  is irreducible.

$$A = \{0\} \quad B = \{0, 1, 3\}$$

# Constructive upper bound of $O(\sqrt{n})$



$\mathbb{Z}_{\geq 0}^2$  with  $\circ$  denoting belonging to the set complement.

# Lunar Numbers

- In 2011, David Applegate, Marc LeBrun, and Neil Sloane introduced *Lunar arithmetic* (originally called *Dismal arithmetic*), a system for arithmetic without carries.

# Lunar Numbers

- In 2011, David Applegate, Marc LeBrun, and Neil Sloane introduced *Lunar arithmetic* (originally called *Dismal arithmetic*), a system for arithmetic without carries.
- To perform “lunar addition” on single digits, take the larger digit:

$$2 \oplus 6 = 6.$$

# Lunar Numbers

- In 2011, David Applegate, Marc LeBrun, and Neil Sloane introduced *Lunar arithmetic* (originally called *Dismal arithmetic*), a system for arithmetic without carries.
- To perform “lunar addition” on single digits, take the larger digit:

$$2 \oplus 6 = 6.$$

- To perform “lunar multiplication” on single digits, take the smaller digit:

$$2 \otimes 6 = 2.$$

## Arithmetic Without Carries

When we lunar add or multiply numbers with several digits, we will not have to perform any carries.

# Arithmetic Without Carries

When we lunar add or multiply numbers with several digits, we will not have to perform any carries.

## Example

$$\begin{array}{r} 6 \quad 2 \quad 4 \\ \oplus \quad 3 \quad 8 \quad 1 \\ \hline \end{array}$$

# Arithmetic Without Carries

When we lunar add or multiply numbers with several digits, we will not have to perform any carries.

## Example

$$\begin{array}{r}
 6 \quad 2 \quad 4 \\
 \oplus \quad 3 \quad 8 \quad 1 \\
 \hline
 = \quad 6 \quad 8 \quad 4
 \end{array}$$

# Arithmetic Without Carries

When we lunar add or multiply numbers with several digits, we will not have to perform any carries.

## Example

$$\begin{array}{r}
 6 \ 2 \ 4 \\
 \oplus \ 3 \ 8 \ 1 \\
 \hline
 = \ 6 \ 8 \ 4
 \end{array}$$

$$\begin{array}{r}
 6 \ 2 \ 4 \\
 \otimes \ 3 \ 8 \ 1 \\
 \hline
 \end{array}$$

# Arithmetic Without Carries

When we lunar add or multiply numbers with several digits, we will not have to perform any carries.

## Example

$$\begin{array}{r}
 6 \ 2 \ 4 \\
 \oplus \ 3 \ 8 \ 1 \\
 \hline
 = \ 6 \ 8 \ 4
 \end{array}$$

$$\begin{array}{r}
 6 \ 2 \ 4 \\
 \otimes \ 3 \ 8 \ 1 \\
 \hline
 = \ 1 \ 1 \ 1
 \end{array}$$

# Arithmetic Without Carries

When we lunar add or multiply numbers with several digits, we will not have to perform any carries.

## Example

$$\begin{array}{r}
 6 \ 2 \ 4 \\
 \oplus \ 3 \ 8 \ 1 \\
 \hline
 = \ 6 \ 8 \ 4
 \end{array}$$

$$\begin{array}{r}
 6 \ 2 \ 4 \\
 \otimes \ 3 \ 8 \ 1 \\
 \hline
 = \ 1 \ 1 \ 1 \\
 6 \ 2 \ 4
 \end{array}$$

# Arithmetic Without Carries

When we lunar add or multiply numbers with several digits, we will not have to perform any carries.

## Example

$$\begin{array}{r}
 6 \ 2 \ 4 \\
 \oplus \ 3 \ 8 \ 1 \\
 \hline
 = \ 6 \ 8 \ 4
 \end{array}$$

$$\begin{array}{r}
 6 \ 2 \ 4 \\
 \otimes \ 3 \ 8 \ 1 \\
 \hline
 = \ 1 \ 1 \ 1 \\
 6 \ 2 \ 4 \\
 3 \ 2 \ 3 \\
 \hline
 \end{array}$$

# Arithmetic Without Carries

When we lunar add or multiply numbers with several digits, we will not have to perform any carries.

## Example

$$\begin{array}{r}
 6 \ 2 \ 4 \\
 \oplus \ 3 \ 8 \ 1 \\
 \hline
 = \ 6 \ 8 \ 4
 \end{array}$$

$$\begin{array}{r}
 \phantom{\oplus} \phantom{6} \ 2 \ 4 \\
 \oplus \phantom{6} \ 3 \ 8 \ 1 \\
 \hline
 = \phantom{6} \ 1 \ 1 \ 1 \\
 \\
 \phantom{\oplus} \phantom{6} \ 2 \ 4 \\
 \phantom{\oplus} \ 3 \ 2 \ 3 \\
 \hline
 = \ 3 \ 6 \ 3 \ 4 \ 1
 \end{array}$$

## Why study Lunar Numbers?

Base 2 numbers correspond to subsets of the natural numbers, with lunar multiplication corresponding to set addition (Gal Gross, 2019).

## Why study Lunar Numbers?

Base 2 numbers correspond to subsets of the natural numbers, with lunar multiplication corresponding to set addition (Gal Gross, 2019).

### Example

The set  $\{0, 1, 3\}$  corresponds to the base 2 number 1101, and  $\{0, 2\}$  corresponds to 101.

# Why study Lunar Numbers?

Base 2 numbers correspond to subsets of the natural numbers, with lunar multiplication corresponding to set addition (Gal Gross, 2019).

## Example

The set  $\{0, 1, 3\}$  corresponds to the base 2 number 1101, and  $\{0, 2\}$  corresponds to 101. Their lunar product is

$$\begin{array}{rcccccc}
 & & & 1 & 1 & 0 & 1 \\
 & & & & 1 & 0 & 1 \\
 \otimes & & & & & & \\
 \hline
 = & 1 & 1 & 1 & 1 & 0 & 1
 \end{array}$$

This corresponds to the set  $\{0, 1, 2, 3, 5\}$ , which is  $\{0, 1, 3\} + \{0, 2\}$ .

# Why study Lunar Numbers?

Base 2 numbers correspond to subsets of the natural numbers, with lunar multiplication corresponding to set addition (Gal Gross, 2019).

## Example

The set  $\{0, 1, 3\}$  corresponds to the base 2 number 1101, and  $\{0, 2\}$  corresponds to 101. Their lunar product is

$$\begin{array}{rcccccc}
 & & & 1 & 1 & 0 & 1 \\
 & & & & 1 & 0 & 1 \\
 \otimes & & & & & & \\
 \hline
 = & 1 & 1 & 1 & 1 & 0 & 1
 \end{array}$$

This corresponds to the set  $\{0, 1, 2, 3, 5\}$ , which is  $\{0, 1, 3\} + \{0, 2\}$ .

Lunar multiplication in arbitrary base can thus be thought of as a generalization of set addition.

# Lunar Primes

- We say that a lunar number  $n$  is *irreducible* if all of its possible (lunar) factorizations include a number containing only one non-zero digit.
  - In the base 2 case,  $n$  is irreducible if and only if its corresponding set is irreducible.

# Lunar Primes

- We say that a lunar number  $n$  is *irreducible* if all of its possible (lunar) factorizations include a number containing only one non-zero digit.
  - In the base 2 case,  $n$  is irreducible if and only if its corresponding set is irreducible.
- If  $n$  is base  $b$  lunar number, we say that  $n$  is *prime* if its only possible factorization is  $(b - 1) \otimes_b n$ .
  - $(b - 1)$  is the unit for lunar multiplication.

# Lunar Primes

- We say that a lunar number  $n$  is *irreducible* if all of its possible (lunar) factorizations include a number containing only one non-zero digit.
  - In the base 2 case,  $n$  is irreducible if and only if its corresponding set is irreducible.
- If  $n$  is base  $b$  lunar number, we say that  $n$  is *prime* if its only possible factorization is  $(b - 1) \otimes_b n$ .
  - $(b - 1)$  is the unit for lunar multiplication.
- A base  $b$  lunar number is prime if and only if it is irreducible, contains the digit  $b - 1$ , and has non-zero final digit.
  - We call lunar numbers which contain the digit  $b - 1$  and have a non-zero final digit *candidates for primality*.

# How many Lunar Primes are there?

## Theorem (SMALL 2021)

*The proportion of base  $b$ , length  $k$  candidates for primality which are irreducible (and thus prime) tends to 1 as  $k \rightarrow \infty$ .*

# How many Lunar Primes are there?

## Theorem (SMALL 2021)

*The proportion of base  $b$ , length  $k$  candidates for primality which are irreducible (and thus prime) tends to 1 as  $k \rightarrow \infty$ .*

- Conjectured by Applegate et al. in their paper on lunar arithmetic.

# How many Lunar Primes are there?

## Theorem (SMALL 2021)

*The proportion of base  $b$ , length  $k$  candidates for primality which are irreducible (and thus prime) tends to 1 as  $k \rightarrow \infty$ .*

- Conjectured by Applegate et al. in their paper on lunar arithmetic.
- The base 2 case was proven in 2014 by Yaroslav Shitov.

# How many Lunar Primes are there?

## Theorem (SMALL 2021)

*The proportion of base  $b$ , length  $k$  candidates for primality which are irreducible (and thus prime) tends to 1 as  $k \rightarrow \infty$ .*

- Conjectured by Applegate et al. in their paper on lunar arithmetic.
- The base 2 case was proven in 2014 by Yaroslav Shitov.
- The  $b = 2$  case implies that the proportion of subsets of  $\{0, 1, \dots, k\}$  containing 0 and  $k$  which are irreducible tends to 1 as  $k \rightarrow \infty$ .

# Lunar Digit Strings

- It is useful to extend our definition of lunar numbers to include possibly infinitely long strings of digits equipped with lunar operations.

# Lunar Digit Strings

- It is useful to extend our definition of lunar numbers to include possibly infinitely long strings of digits equipped with lunar operations.
- We call these (possibly infinitely long) strings of digits *lunar digit strings*.

# Lunar Digit Strings

- It is useful to extend our definition of lunar numbers to include possibly infinitely long strings of digits equipped with lunar operations.
- We call these (possibly infinitely long) strings of digits *lunar digit strings*.
- In the base 2 case, lunar digit strings correspond to sets with a (possibly infinite) number of elements.

## Example

The set of even natural numbers corresponds to the string 101010101....

# Asymptotic Irreducibility

## Definition

A set  $S \subseteq \mathbb{N}$  is ***asymptotically irreducible*** if adding and removing any finite number of elements of  $S$  results in an irreducible set.

# Asymptotic Irreducibility

## Definition

A set  $S \subseteq \mathbb{N}$  is **asymptotically irreducible** if adding and removing any finite number of elements of  $S$  results in an irreducible set.

## Definition

A lunar digit string  $n$  is **asymptotically irreducible** if changing any finite number of the digits of  $n$  results in an irreducible lunar digit string.

These definitions correspond in the base 2 case.

# Wirsing's Result and our Generalization

Theorem (Eduard Wirsing, 1953)

*The proportion of subsets of  $\mathbb{N}$  which are asymptotically irreducible is 1.*

# Wirsing's Result and our Generalization

Theorem (Eduard Wirsing, 1953)

*The proportion of subsets of  $\mathbb{N}$  which are asymptotically irreducible is 1.*

- Implies that the proportion of base 2 lunar strings which are asymptotically irreducible is 1.

# Wirsing's Result and our Generalization

Theorem (Eduard Wirsing, 1953)

*The proportion of subsets of  $\mathbb{N}$  which are asymptotically irreducible is 1.*

- Implies that the proportion of base 2 lunar strings which are asymptotically irreducible is 1.
- Although Wirsing's result far predates lunar numbers, his proof actually relies heavily on the correspondence between sets and base 2 digit strings.

# Wirsing's Result and our Generalization

## Theorem (Eduard Wirsing, 1953)

*The proportion of subsets of  $\mathbb{N}$  which are asymptotically irreducible is 1.*

- Implies that the proportion of base 2 lunar strings which are asymptotically irreducible is 1.
- Although Wirsing's result far predates lunar numbers, his proof actually relies heavily on the correspondence between sets and base 2 digit strings.

## Theorem (SMALL 2021)

*For  $b \geq 2$ , the proportion of base  $b$  lunar digit strings which are asymptotically irreducible is 1.*

## Acknowledgments

This research was done as part of the SMALL REU program and was funded by NSF grant number 1947438.

Special thanks to our co-researchers Ben Baily, Ethan Pesikoff, and Luke Reifenberg, and Professors Leo Goldmakher and Steven J. Miller for their mentorship.



# References

-  B. Baily, S. Dever, L. Goldmakher, G. Gross, H. Pham, and C. Venkatesh, Large Sets are Sumsets, in preparation.
-  D. Applegate, M. LeBrun, N.J.A Sloane, Dismal Arithmetic, *Journal of Integer Sequences*, Vol.14, 2011.
-  G. Gross, Maximally additively reducible subsets of the integers, preprint, <https://arxiv.org/abs/1908.05220>.
-  Y. Shitov, How many Boolean polynomials are irreducible?, *International Journal of Algebra and Computation*, 2014.
-  E. Wirsing, Ein metrischer Satz über Mengen ganzer Zahlen, *Archiv der Mathematik*, Vol.4, 1953.