

Recall that  $X(\Gamma)$  is a compact manifold

**Theorem .0.1** (Modularity)

For  $E$  an elliptic curve such that  $j(E) \in \mathbb{Q}$  there exists an  $N$  and a surjective map  $X_0(N) \rightarrow E$  of compact Riemann surfaces.

Goal: Compute the genus of  $X(\Gamma)$ . Recall from the theory of compact riemann surfaces that

- If  $f : X \rightarrow Y$  is a nonconstant map of compact riemann surfaces, then it is surjective.
- For all  $y \in Y$ ,  $f^{-1}(\{y\})$  is discrete, which implies  $|f^{-1}(y)| < \infty$ .
- Away from finitely many points of  $Y$ ,  $|f^{-1}(y)| = d$  is constant and we call this constant  $d$  the degree of  $f$ . We call those points where  $|f^{-1}(y)| \neq d$  the ramification points. Consider  $z \mapsto z^n$  as an example.
- For all  $x \in X$ , there exists some number  $e_x$  such that  $\sum_{x \in f^{-1}(y)} e_x = d$ , and we should think of  $e_x$  as the multiplicity or ramification number.


Important formula in this setting

**Theorem .0.2** (Riemann-Hurwitz Formula)

If  $f : X \rightarrow Y$  is a nonconstant map of compact connected Riemann surfaces then

$$2g_X - 2 = d(2g_Y - 2) + \sum_{x \in X} (e_x - 1)$$

where  $d$  is the degree of  $f$ ,  $g_X, g_Y$  are the genres of  $X, Y$ , and  $e_x$  ramification number at  $x \in X$ .

*Proof Idea.* Triangulate  $Y$  and generically you have  $d$  triangles in  $X$  for each triangle you start with, but we have to account for ramification points. 

In our case, we have  $f : X(\Gamma) \rightarrow X(1)$ , and  $X(1)$  is a sphere, and so it is zero. Thus our formula simplifies to

$$2g - 2 = -2d + \sum_{x \in X} (e_x - 1).$$

The ramification points will be elliptic points and cusps.

Elliptic Points: If  $\langle \gamma \rangle = \text{SL}_2(\mathbb{Z})_\tau$  fixing  $\tau$ , then  $|\langle \gamma \rangle| = 4, 6$  and we have to worry about  $i, \mu_3 = e^{2\pi i/3}$ . Then

$$h_\tau = [\{\pm I\}\Gamma_T : \{\pm I\}] \in \{2, 3\}.$$

Let  $\tau \in U \subseteq \mathcal{H}$  which is a coordinate chart and  $\pi : \mathcal{H}^* \rightarrow X(1)$ ,  $\pi_\Gamma : \mathcal{H}^* \rightarrow X(\Gamma)$ . Then we're looking at

$$\begin{array}{ccc}
 U & \xrightarrow{\text{Id}} & U \\
 \downarrow \pi_\Gamma & & \downarrow \pi \\
 \pi_\Gamma(U) & \xrightarrow{f} & \pi(U) \\
 \downarrow \varphi_\Gamma & & \downarrow \varphi \\
 V_\Gamma & \xrightarrow{f_{\text{loc}}} & V
 \end{array}
 \begin{array}{l}
 \text{dashed circle around the diagram} \\
 q \mapsto q^{h_\Gamma} \text{ on the left} \\
 q \mapsto q^h \text{ on the right}
 \end{array}$$

$$q \dashrightarrow q^{h/h_\Gamma}$$

We know  $h/h_\Gamma \in \{1, 2, 3\}$ . The interesting case is when  $\tau$  is elliptic for  $\mathrm{SL}_2(\mathbb{Z})$  but NOT  $\Gamma$ . Then this determines the ramification number.

Cusps: We have  $z \mapsto e^{2\pi iz/h_\Gamma}$  where  $h_\Gamma = |\mathrm{SL}_2(\mathbb{Z})_\infty| / |\{\pm I\}\Gamma_s|$ . Then the ramification number is

$$e_x = \frac{h_\Gamma}{h} = h_\Gamma.$$

Say  $\tau$  is elliptic, and consider  $F_\tau = f^{-1}(\tau)$ , and  $\mathcal{E}_h$  is the number of elliptic points in  $F_\tau$  for  $\Gamma$ , and  $n$  is the number of other points. Then

$$|F_\tau| = \mathcal{E}_h + n \qquad d = \sum_{x \in F_\tau} e_x = hn + \mathcal{E}_h.$$

We then see that

$$\sum_{x \in F_\tau} e_x - 1 = (h-1)n = \frac{h-1}{h}(d - \mathcal{E}_h).$$

For cusps, notice that

$$\sum_{x \in F_\infty} e_x - 1 = d - \mathcal{E}_\infty.$$

Therefore

$$\begin{aligned} 2g - 2 &= -2d + d - \mathcal{E}_\infty + \frac{1}{2}(d - \mathcal{E}_i) + \frac{2}{3}(d - \mathcal{E}_{\mu_3}) \\ &= \frac{1}{6}d - \mathcal{E}_\infty - \frac{1}{2}\mathcal{E}_i - \frac{2}{3}\mathcal{E}_{\mu_3} \\ g &= 1 + \frac{d}{12} - \frac{\mathcal{E}_\infty}{2} - \frac{\mathcal{E}_i}{4} - \frac{\mathcal{E}_{\mu_3}}{6}. \end{aligned}$$

Generally this computation is hard. Why is it important?

Idea:

Modular forms of weight  $k$   $\rightsquigarrow$  meromorphic  $\Gamma$ -invariant differentials on  $\mathcal{H}$ ,  $H^0(X(\Gamma), \Omega^{\otimes k})$ .

The right hand side is computable using the Riemann-Roch theorem if you have seen it.

#### Definition .0.1

A function  $f : \mathcal{H} \rightarrow \widehat{\mathbb{C}}$  is an automorphic function of weight  $k$  and level  $\Gamma$  if

- (1)  $f$  is meromorphic on  $\mathcal{H}$ .
- (2)  $f$  is weight  $k$ ,  $\Gamma$ -invariant
- (3)  $f[\alpha]_k$  is meromorphic at  $\infty$  for all  $\alpha \in \mathrm{SL}_2(\mathbb{Z})$ .

This is not an automorphic form if you have heard of that! We call these  $\mathcal{A}_k(\Gamma)$ , and we note that  $\mathcal{A}_0(\Gamma)$  consists of the meromorphic functions on  $X(\Gamma)$ , as the function must descend. Let  $\mathbb{C}(X)$  denote the meromorphic functions to  $\mathbb{C}$  from  $X$ .

#### Definition .0.2

For  $X$  a compact riemann surface,  $f \in \mathbb{C}(X)$ ,

$$\mathrm{div} f = \sum_X n_x [x].$$

We define the degree of  $D \in \text{Div}(X) = \mathbb{Z}X$  as

$$\deg D = \deg \sum_X n_x [x] = \sum_X n_x.$$

Fact: If  $X$  is a compact Riemann surface then

- If  $f : X \rightarrow \mathbb{C}$  is holomorphic on  $X$ , then  $f$  is constant.
- $\mathbb{C}(\widehat{\mathbb{C}}) = \mathbb{C}(t)$ .
- For  $f$  on the Riemann sphere,  $\deg \text{div } f = 0$ .


**Proposition .0.3**

$$\mathcal{A}_0(\text{SL}_2(\mathbb{Z})) = \mathbb{C}(j).$$

Recall that  $j : \mathcal{H} \rightarrow \widehat{\mathbb{C}}$  is given by  $j := \frac{1728g_2^3}{\Delta}$

*Proof.* Suppose  $f \in \mathcal{A}_0(\text{SL}_2(\mathbb{Z}))$ . Then  $f$  has zeroes  $z_1, \dots, z_n$  and poles  $p_1, \dots, p_m$  in a fundamental domain for  $\mathcal{H}$  (which we can think of as  $X(1) \setminus \{\infty\}$ ). We can define

$$g(\tau) = \frac{\prod_i j(\tau) - j(z_i)}{\prod_j j(\tau) - j(p_j)}.$$

Then  $g$  has the same zeroes and poles as  $f$  in  $\mathcal{H}$ , because  $j$  is holomorphic on  $\mathcal{H}$  with a pole at  $\infty$ . This implies  $f/g$  is holomorphic on  $\mathcal{H}$ , so it must be holomorphic on  $X(1)$  as it will have the same behavior at  $\infty$ . Thus it will be constant! 

**Exercise .0.1**

If  $\mathcal{A}_k(\Gamma)$  is nonempty containing some  $f$ , then

$$\mathcal{A}_k(\Gamma) = \mathbb{C}(X(\Gamma))f.$$

Furthermore  $j' \in \mathcal{A}_2(\Gamma)$ , hence  $\mathcal{A}_k(\Gamma)$  for  $k$  even is nonempty.