

## .1. Oldforms and Newforms

Last time we defined the Peterson inner product on  $S_k(\Gamma)$ . We then showed  $S_k(\Gamma_1(N))$  has an orthonormal eigenbasis under  $\{T_n, \langle n \rangle \mid (n, N) = 1\}$ .

We'll work on the non-coprime case as well! We want to talk about modular forms “coming from lower level.”

- If  $M \mid N$  we have a trivial inclusion  $S_k(\Gamma_1(M)) \hookrightarrow S_k(\Gamma_1(N))$  because  $\Gamma_1(M) \supseteq \Gamma_1(N)$ .
- Now suppose  $d \mid N/M$ , and let  $\alpha_d = \begin{bmatrix} d & 0 \\ 0 & 1 \end{bmatrix}$  (the action is  $\alpha_d \tau = d\tau$ ). Then if  $f \in S_k(\Gamma_1(M))$  then  $f[\alpha_d]_k \in S_k(\Gamma_1(dM)) \subseteq S_k(\Gamma_1(N))$ .

*Proof.* Fix  $\gamma \in \Gamma_1(\delta M)$ . Then we compute that

$$\begin{pmatrix} \delta & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \delta^{-1} & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a & b\delta \\ c\delta^{-1} & d \end{pmatrix}.$$

Thus since  $c$  contains a factor of  $\delta$  we have this conjugate lies in  $\Gamma_1(M)$ . 

Thus for each  $d \mid N$  we may define

$$\begin{aligned} \iota_d : S_k(\Gamma_1(Nd^{-1}))^2 &\rightarrow S_k(\Gamma_1(N)) \\ (f, g) &\mapsto f + g[\alpha_d]_k. \end{aligned}$$

### Definition .1.1

We call the oldforms

$$S_k(\Gamma_1(N))^{\text{old}} := \text{span}(\text{im}(\iota_p) : p \mid N \text{ prime}).$$

We define the newforms  $S_k(\Gamma_1(N))^{\text{old}}$  as the orthogonal complement of the oldforms under the Peterson inner product.

### Proposition .1.1

For all  $n \in \mathbb{Z}_{>0}$ , these spaces are stable under  $\{T_n, \langle n \rangle\}$ .

*Proof.* Let  $p \mid N$ . Case 1 is to take  $(d, N) = 1$ . Let  $T = \langle d \rangle$  or  $T = T_{p'}$  for  $p' \neq p$ . Then we can consider the diagram

$$\begin{array}{ccc} S_k(\Gamma_1(Np^{-1}))^2 & \xrightarrow{\begin{bmatrix} T & 0 \\ 0 & T \end{bmatrix}} & S_k(\Gamma_1(Np^{-1}))^2 \\ \iota_p \downarrow & & \downarrow \iota_p \\ S_k(\Gamma_1(N)) & \xrightarrow{T} & S_k(\Gamma_1(N)) \end{array}$$

Showing this commutes is shows that the oldforms remain oldforms. For  $T = \langle d \rangle_N$ , we can show  $\langle d \rangle_N = \langle d \rangle_{Np^{-1}} = [\alpha_p] \langle d \rangle_N [\alpha_p]^{-1}$ . For the other case one must check  $T_{p', Np^{-1}} = T_{p', N}$ . Checking the compatibility with  $[\alpha_p]$  is frankly awful. We check Dirichlet character by Dirichlet character. That is we check for  $g \in S_k(Np^{-1}, \chi)$  that we have

$$(T_{p', Np^{-1}} g)[\alpha_p] = T_{p', N}(g[\alpha_p]).$$

We can check this at the level of Fourier series.

The one thing we haven't checked is  $T_p$ , as all other operators are zero or combinations of these via multiplication (and recursion for say  $T_{p^2}$ . We do the same thing with a different matrix. Namely

$$\begin{array}{ccc} S_k(\Gamma_1(Np^{-1}))^2 & \xrightarrow{\begin{bmatrix} T_p & p^{k-1} \\ \langle p \rangle & 0 \end{bmatrix}^I} & S_k(\Gamma_1(Np^{-1}))^2 \\ \downarrow \iota_p & & \downarrow \iota_p \\ S_k(\Gamma_1(N)) & \xrightarrow{T_p} & S_k(\Gamma_1(N)) \end{array}$$

Proof for newforms is to show oldforms are invariant under  $\langle n \rangle^*, T_n^*$ . The only interesting case is  $T_n, (n, N) > 1$ . Then we have  $T_n^* = \omega T_n \omega^{-1}$ , where  $\omega = \begin{bmatrix} 0 & 1 \\ -N & 0 \end{bmatrix}_k$ .

We then need to suffer through the computation that

$$\iota_p \circ \begin{bmatrix} 0 & p^{k-2}\omega \\ \omega & 0 \end{bmatrix} = \omega \circ \iota_p.$$



### Corollary .1.2

$S_k(\Gamma_1(N))^{\text{old, new}}$  each have an orthonormal basis under  $\{T_n, \langle n \rangle \mid (n, N) = 1\}$ .

Consider  $L_d : d^{1-k}[\alpha_d]_k$ . Then on Fourier series this acts very simply

$$\sum_{n=1}^{\infty} a_n q^n \mapsto \sum_{n=1}^{\infty} a_n q^{dn}.$$

Thus if  $f \in L_d$ , then  $a_n(f) = 0$  for  $d \nmid n$ . Then to have  $f \in \text{span}(\text{im } L_p \mid p \mid N)$  we must have  $a_n(f) = 0$  for all  $(n, N) = 1$ .

### Theorem .1.3 (Main lemma, Atkin-Lehmer)

The converse is true. That is if  $a_n(f) = 0$  for all  $(n, N) = 1$  then  $f \in \text{span}(\text{im } L_p \mid p \mid N)$ .

*Proof of 1st Reduction.* Define

$$\Gamma^1(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ * & 1 \end{pmatrix} \pmod{N} \right\}$$

Fact:  $\alpha_N \Gamma_1(N) \alpha_N^{-1} = \Gamma^1(N)$ .

We can consider a map

$$L^M = M^{k-1}[\alpha_M^{-1}] : S_k(\Gamma_1(M)) \rightarrow S_k(\Gamma^1(N))$$

which sends  $\sum a_n q^n$  to  $\sum a_n q_m^n$  where  $q_M = e^{2\pi i \tau / M}$ . Then in fact the following diagram commutes where  $N = dM$ ,

$$S_k(\Gamma_1(M)) \xrightarrow{L_d} S_k(\Gamma_1(N))$$

$$S_k(\Gamma^1(M)) \xrightarrow{\text{Incl}} S_k(\Gamma^1(N))$$

by computing via Fourier series

$$\sum a_n q^n \xrightarrow{L_d} \sum a_n q^{dn}$$

$$\sum a_n q^{n/M} \xrightarrow{\text{Incl}} \sum a_n q^{n/M} = \sum a_n q^{dn/N}.$$

Thus the main lemma amounts to saying that if  $f \in S_k(\Gamma^1(N))$ ,  $f = \sum_n a_n(f) q_N^n$  with  $a_n(f) = 0$  for all  $(n, N) = 1$  then

$$f \in \sum_p S_k(\Gamma^1(Np^{-1})) \subseteq S_k(\Gamma^1(N)).$$



*Proof of Second Reduction, projections.* We work in  $\Gamma(N)$ . For  $d \mid N$  define

$$\Gamma_d = \Gamma_1(N) \cap \Gamma^0(Nd^{-1}).$$

Fact:  $\Gamma(N) \setminus \Gamma_d$  has representatives

$$\left\{ \begin{pmatrix} 1 & bN/d \\ 0 & 1 \end{pmatrix} \mid 0 \leq b \leq d \right\}.$$

We'll define the following

$$\begin{aligned} \pi_d : S_k(\Gamma(N)) &\rightarrow S_k(\Gamma_d) \subseteq S_k(\Gamma(N)) \\ f &\mapsto \frac{1}{d} \sum_{b=0}^{d-1} f \left[ \begin{pmatrix} 1 & bN/d \\ 0 & 1 \end{pmatrix} \right]_k \\ \sum_{n=1}^{\infty} a_n q_N^n &\mapsto \sum_{n, d \mid n} a_n q_N^n. \end{aligned}$$

We then can define

$$\pi = \prod_{p \mid N} (\text{Id} - \pi_p).$$

This kills everything that's not coprime to  $N$ . Thus the condition for the Main Lemma is that  $f \in S_k(\Gamma^1(N)) \cap \ker(\pi)$ . We can then apply some linear algebra

$$\ker \pi = \ker \left( \prod_{p \mid N} (\text{Id} - \pi_p) \right) = \sum_{p \mid N} \ker(\text{Id} - \pi_p) = \sum_{p \mid N} \text{im}(\pi_p).$$

But wait we know that  $\text{im}(\pi_p) = S_k(\Gamma_p)$ . Thus for our reduction we need to show that

$$S_k(\Gamma^1(N)) \cap \sum_{p \mid N} S_k(\Gamma_1(N)) \cap \Gamma^0(Np^{-1}) = \sum_{p \mid N} S_k(\Gamma^1(Np^{-1})).$$

The  $\supseteq$  inclusion is true from previous discussion.



*Proof.* We know  $G = \mathrm{SL}_2(\mathbb{Z}/N\mathbb{Z})$  acts on  $S_k(\Gamma(N))$ . We want to think of the spaces above as various fixed points of  $G$ . Write  $G = \prod_i G_i = \prod_i \mathrm{SL}_2(\mathbb{Z}/p_i^{e_i})$  where  $N = \prod_i p_i^{e_i}$ . We then define  $H_i$  as

$$H_i := \Gamma^1(p_i^{e_i})/\Gamma(p_i^{e_i})$$

and  $H = \prod H_i$ . Define

$$K_i = \frac{\Gamma_1(p_i^{e_i}) \cap \Gamma^0(p_i^{e_i-1})}{\Gamma(p_i^{e_i})}$$

Fact:

$$\langle \Gamma^1(p^e), \Gamma_1(p^e) \cap \Gamma^0(p^{e-1}) \rangle = \Gamma^1(p^{e-1}).$$

The third reduction becomes

$$S_k(\Gamma(N)) \cap \sum_{i=1}^n S_k(\Gamma(N))^{K_i} = \sum_{i=1}^n S_k(\Gamma(N)).$$

Now were looking at  $G$  acting on  $S_k(\Gamma(N))$ , we know that

