

**Definition .0.1**

We say  $f \in \mathcal{M}_k(\Gamma_1(N))$  is an eigenform if it is an eigenvector for all  $\langle n \rangle, T_n$ .

We say it is normalized if  $a_1(f) = 1$ . A newform is an eigenform in  $S_k(\Gamma_1(N))^{\text{new}}$ .

The eigenvalues for diamond operators  $\langle n \rangle$  will just be  $\chi(n)$  where  $f \in \mathcal{M}_k(N, \chi)$ . What about for  $T_n$ ? Recall the formula is

$$a_m(T_n f) = \sum_{d|(m,n)} \chi(d) d^{k-1} a_{mn/d^2}(f).$$

Setting  $m = 1$  yields

$$a_1(T_n f) = \chi(1) a_n(f) = a_n(f).$$

thus the eigenvalue is  $a_n(f)/a_1(f)$ .

**Proposition .0.1**

For  $f \in S_k(\Gamma_1(N))^{\text{new}}$ , an eigenvector for  $\{\langle n \rangle, T_n \mid (n, N) = 1\}$  is an eigenform.

*Proof.* All we have to check are the  $T_n$ .


**Claim**

For  $f \in S_k(\Gamma_1(N))^{\text{new}}$ , we have  $a_1(f) \neq 0$ .

If not, then we know for  $(n, N) = 1$ , we have


$$a_n(f) = a_1(T_n f) = c_n a_1(f) = 0.$$

The main lemma then would tell us  $f \in S_k(\Gamma_1(N))^{\text{old}}$  because  $a_n(f) \neq 0$  whenever  $f$  is a newform and  $(n, N) = 1$ .

Without loss of generality, assume  $a_1(f) = 1$ . Let  $m \in \mathbb{Z}^+$ , and consider  $g_m = T_m f - a_m(f)f$ . Then  $g_m$  is still an eigenform away from  $N$  (that is for  $T_n, (n, N) = 1$ ). Furthermore  $a_1(g_m) = 0$ . Thus  $g_m$  is an oldform and a newform. Thus  $g_m = 0$ , so  $T_m f = a_m(f)f$ . 

**Corollary .0.2 (Multiplicity 1)**

If  $f, f'$  have the same  $T_m$  eigenvalues then  $f' = cf$ .

*Proof.* The eigenvalues are the coefficients upon normalization! 

**Theorem .0.3**

We have

$$B_k(N) := \{f(n\tau) \mid f \text{ is a newform of level } M, nM \mid N\}$$

is a basis for  $S_k(\Gamma_1(N))$ .

*Proof.* We look at

$$S_k(\Gamma_1(N)) = S_k(\Gamma_1)^{\text{new}} \oplus \sum_{p|N} \iota_p(S_k(\Gamma_1(Np^{-1})))^2.$$


Spanning happens via induction.

Linear independence. Choose minimal linear combination

$$\sum_{i,j} c_{i,j} f_i(n_{i,j} \tau) = 0$$

where  $f_i \in S_k(M_i, \chi_j)$ . We can in fact require that all the  $\chi_i$  lift to the same  $\chi$ . Namely we can do this by applying  $\langle d \rangle - \tilde{\chi}_i(d)$  for some  $d$  with  $\tilde{\chi}_i(d) \neq \tilde{\chi}_j(d)$  to get a nontrivial relation with fewer terms.

By applying  $T_p - a_p(f_i)$  we can require all fourier coefficients away from  $N$  to agree, as otherwise we'd have a nontrivial relation with fewer terms.

Strong Multiplicity One implies the  $f_i$  must be the same, and then we're actually done. 

#### Proposition .0.4

Let  $f \in \mathcal{M}_k(N, \chi)$ . Then  $f$  is a normalized eigenform if and only if the Fourier coefficients satisfy

- (1)  $a_1(f) = 1$
- (2)  $a_{p^r}(f) = a_p(f)a_{p^{r-1}}(f) - \chi(p)p^{k-1}a_{p^{r-2}}(f)$ .
- (3)  $a_{mn}(f) = a_m(f)a_n(f)$  for  $m, n$  coprime.

*Proof.* The forward direction is a bunch of computation. For the converse, we need to show

$$a_m(T_p f) = a_p(f)a_m(f)$$

for all  $p, m$ . If  $p \nmid m$  then

$$a_m(T_p f) = a_{pm}(f) = a_p(f)a_m(f).$$

If  $p \mid m$ , write  $m = p^r m'$  for  $p \nmid m'$ , then

$$a_m(T_p f) = a_{p^{r+1}m'}(f) + \chi(p)p^{k-1}a_{m'p^{r-1}}(f)$$

via the formula. Then

$$\begin{aligned} a_m(T_p f) &= a_{m'}(f) [a_{p^{r+1}}(f) + \chi(p)p^{k-1}a_{p^{r-1}}(f)] \\ &= a_{m'}(f)a_p(f)a_{p^r}(f). \\ &= a_p(f)a_m(f). \end{aligned}$$



Fact:  $E_k^{\psi, \varphi}$  satisfy this. You just write down the Fourier coefficients...

### .1. Connection with $L$ -functions

Let  $f \in \mathcal{M}_k(\Gamma_1(\mathbb{N}))$ . We may define for a complex variable  $s \in \mathbb{C}$

$$L(s, f) = \sum_{n=1}^{\infty} \frac{a_n(f)}{n^s}.$$

The convergence of  $L(s, f)$  in a half plane will be given by estimating the Fourier coefficients. Namely it converges if  $\text{Re}(s) > k$ , and if it is a cuspform then it converges if  $\text{Re}(s) > \frac{k}{2} + 1$ .

**Theorem .1.1**

The following are equivalent

- $f$  is a normalized eigenform
- We have a product as

$$L(s, f) = \prod_p (1 - a_p p^{-s} + \chi(p) p^{k-1-2s})^{-1}.$$

*Proof.* Being a normalized eigenform is equivalent to conditions (1),(2),(3) from before.

**Exercise .1.1**

Let

$$X = \sum_{r=0}^{\infty} \frac{a_p r}{p^{rs}},$$

then  $X$  is the  $p$ -part of the Euler product.

Idea: Plug in condition (2) for  $r \geq 2$ , and find an equation  $X$  must satisfy. Doing this in reverse shows relation (2) if we have the Euler product.

Taking  $s \rightarrow +\infty$  yields  $L(s, f) = 1$  if and only if  $a_1(f) = 1$ .

Fact: Let  $g$  be a function on prime powers. Then

$$\prod_p \sum_{r=0}^{\infty} g(p^r) = \sum_{n=1}^{\infty} \prod_{p^r || n} g(p^r).$$

Assuming (1),(2),(3) We then write

$$\begin{aligned} L(s, f) &= \sum_{n=1}^{\infty} \frac{a_n}{n^s} = \sum_{n=1}^{\infty} \left( \prod_{p^r | a_p n} n^{-s} \right) \\ &= \sum_{n=1}^{\infty} \prod_{p^r | n} \frac{a_p r}{p^{rs}} \\ &= \prod_p \sum_{r=0}^{\infty} \frac{a_p r}{p^{rs}} \\ &= \prod_p (1 - a_p p^{-s} + \chi(p) p^{k-1-2s})^{-1}. \end{aligned}$$

Running the equalities backwards gives essentially the converse. 

Now we'll look at functional equations. Let  $f = \sum a_n q^n \in S_k(\Gamma_1(\mathbb{N}))$ . Recall that the Mellin transform of some function  $\phi$  is defined to be

$$\psi(s) = \int_{t=0}^{\infty} \phi(it) t^s \frac{dt}{t}.$$

**Proposition .1.2**

The Mellin transform of  $f = \sum a_n q^n$  is  $\frac{1}{(2\pi)^s} \Gamma(s) L(s, f)$ .

Well we see that

$$\begin{aligned}
 g(s) &= \int_{t=0}^{\infty} \sum_n a_n e^{-2\pi n t} t^s \frac{dt}{t} \\
 &= \sum_n a_n \int_{t=0}^{\infty} e^{-2\pi n t} t^s \frac{dt}{t} \\
 &= \sum_n \frac{a_n}{(2\pi)^s n^s} \Gamma(s) \\
 &= \frac{1}{(2\pi)^s} \Gamma(s) L(s, f).
 \end{aligned}$$

via change of variables.

**Definition .1.1**

Let  $\Gamma_N = \frac{N^{s/2}}{(2\pi)^s} \Gamma(s) L(s, f)$ . Then define the operator  $W_N$  as

$$f \mapsto i^k N^{1-k/2} f \begin{bmatrix} 0 & -1 \\ N & 0 \end{bmatrix}_k.$$

This is in fact an involution and so has eigenvalues  $\pm 1$ .

**Theorem .1.3**

If  $f \in S_k(\Gamma_1(N))^\pm$  (eigenspaces for  $W_N$ ) then  $\Gamma_N(s) = \pm \Gamma_N(k-s)$ .

This implies that  $L(s, f)$  has an analytic continuation just as for the Riemann zeta function.