

Stuff:

- HW 7 Due Friday October 21st, 11:59pm
- Problem 3
  - Setup:  $j \mapsto g_j$ , nowhere vanishing entire, and we have  $j \mapsto p_j$  polynomials with  $\deg(p_j) \leq 10$ .
  - $f_j := g_j p_j$  converges locally uniformly on  $\mathbb{C}$  to  $f : \mathbb{C} \rightarrow \mathbb{C}$ . Then  $f = g \cdot p$ , where  $g$  is nowhere vanishing and entire,  $p$  is a polynomial. What do we know about  $\deg(p)$ ?
  - This requires Hurwitz's theorem, so we will delay this problem until later!

Last time: Powerful result! Analytic functions.

We saw that if  $f(z)$  is not identically zero on a connected open set  $D \subseteq \mathbb{C}$ , and if  $z_0 \in D$  is a zero of  $f$ , then  $z_0$  has a finite order as a zero; i.e.,  $f(z) = (z - z_0)^N \cdot h(z)$  where  $h(z_0) \neq 0$  is analytic locally about  $z_0$ .

We used this to show that the zeros of  $f$  (when  $f$  is not identically zero) are isolated from each other.

We were then able to prove the uniqueness principle: if  $f, g$  are analytic on a connected open set  $D \subseteq \mathbb{C}$  and  $f(z) = g(z)$  for  $z$  belonging to a set with an accumulation point then  $f = g$  on  $D$ .

Cool application: Let  $g(z)$  be an entire function such that  $g(x) = \exp(x)$  for all  $x \in \mathbb{R}$ , and then  $g(z) = \exp(z)$ .

## .1. The Open Mapping Theorem

Pause: Topology Break!

### Definition .1.1

Let  $X, Y$  be topological spaces, a map  $f : X \rightarrow Y$  is called open provided that for every open  $U \subseteq X$  we have  $f(U) \subseteq Y$  is open.

### Example .1.1

Projection  $X \times Y \rightarrow X$  taking  $(x, y) \mapsto x$ . The identity map. Conway's base 13 function is an example of an open map which is not continuous. It is given by writing a real number  $x$  in base 13, using the additional symbols  $\{+, -, .\}$ , and saying  $x$  maps to a number if some tail of the base 13 expansion is a valid base 10 number (and we take the longest such tail). If no such tail exists then we send  $x$  to 0.

The function  $\mathbb{R} \rightarrow \mathbb{R}$  taking  $x \mapsto x^2$  is not open since the image of  $\mathbb{R}$  is  $[0, \infty)$ . Similarly the map  $F : \mathbb{C} \rightarrow \mathbb{R}$  with  $F(z) = |z|$  is not open, since  $F(\mathbb{C}) = [0, \infty)$ . However these are "close" to being open in some sense.

Another nonexample is the constant function  $z \mapsto 57 + 53i$ .

An example of an open map are affine maps  $f : \mathbb{C} \rightarrow \mathbb{C}$  with  $z \mapsto az + b$  for  $a \neq 0$  since they are homeomorphisms.

### Remark .1.1

If  $f : U \rightarrow \mathbb{C}$  is holomorphic with  $f'(z) \neq 0$  for all  $z \in U$ , then  $f$  is locally invertible. Thus  $f$  is a local homeomorphism, and so  $f$  must be open. This is a consequence of the inverse function theorem.

### Exercise .1.2

Show a local homeomorphism must be open. More generally show that being open is a "local" property (appropriately define this as well).

**Example .1.3**

The map  $z \mapsto z^k$  on  $\mathbb{C}$  for  $k \geq 1$  is open. Yes! We only have to worry about points where  $f'(z) = 0$ . Thus we only need to worry about  $z = 0$ .

Working with a basis of the topology, take a small open disk of radius  $r > 0$  about 0, this maps to a small open disk of radius  $r^k$  about 0, with  $k$  preimages for each point. Great!

**Theorem .1.1 (Open Mapping Theorem)**

Let  $D \subseteq \mathbb{C}$  be open and connected and let  $f : D \rightarrow \mathbb{C}$  be a nonconstant holomorphic function. Then  $f$  is an open map.

*Proof.* We only need to worry about  $z_0 \in D$  where  $f'(z_0) = 0$ , since it is a local homeomorphism elsewhere.

Since  $f$  is nonconstant, we know that  $f' \not\equiv 0$  (not identically zero). Thus there exists a minimal  $k \geq 1$  such that  $f^{(k)}(z_0) \neq 0$ . There is then some disk  $|z - z_0| < \rho$  in  $D$  so that

$$f(z) = f(z_0) + a_k(z - z_0)^k \cdot h(z)$$

with  $a_k \neq 0$ ,  $h(z_0) = 1$ , and  $h(z)$  analytic. We know the map  $z \mapsto z^k$  is locally invertible in a neighborhood of  $z = 1$ . Let  $g$  be a local inverse.

When  $z$  is close to  $z_0$ ,  $h(z)$  is close to 1, and so in a neighborhood of  $z_0$  we have  $(g(h(z)))^k = h(z)$ . Thus we can look at

$$f(z) = f(z_0) + a_k((z - z_0) \cdot g(h(z)))^k$$

This is a composition of open maps near  $z_0$  (translation, powering, and a mystery function) since

$$\begin{aligned} \frac{d}{dz}(z - z_0)g(h(z)) \Big|_{z=z_0} &= \left[ (z - z_0)g'(h(z))h'(z) + g(h(z)) \right]_{z=z_0} \\ &= g(h(z_0)) = 1. \end{aligned}$$

Perfect! This shows that  $f$  is open near  $z_0$  as desired!



Back to Gamelin!

**.2. Analytic Continuation**

This is section V.8 in [Gam03]. There are no homework problems / QR problems on this part especially anything with paths/monodromy.

**Definition .2.1**

Let  $U \subseteq V \subseteq \mathbb{C}$  be open and connected. Now let  $f : U \rightarrow \mathbb{C}$  be analytic. We call  $F : V \rightarrow \mathbb{C}$  an analytic continuation provided that  $F|_U = f$ .

**Example .2.1**

Define  $f(z) = \sum_k \left(\frac{z}{2}\right)^k$  for  $|z| < 2$ . Well when  $|z| < 2$  we have

$$f(z) = \frac{2}{2 - z}.$$

We can expand  $f(z)$  at  $z_0 = -1$  to get a different series

$$\begin{aligned} f(z) &= \frac{2}{2 - (z + 1 - 1)} = \frac{2}{3 - (z + 1)} = \frac{2}{3} \cdot \frac{1}{1 - \frac{(z+1)}{3}} \\ &= \frac{2}{3} \cdot \sum_{k=0}^{\infty} \left( \frac{z+1}{3} \right)^k, \end{aligned}$$

which is valid for  $|z + 1| < 3$ .

This gives an analytic continuation!

**END OF MIDTERM I MATERIAL**  
**MIDTERM I is in class October 25th**

How do we extend analytic functions? Especially important for things like the Riemann  $\zeta$  function.

**Lemma .2.1**

Let  $D \subseteq \mathbb{C}$  be open and connected and let  $f(z)$  be analytic on  $D$ . Now let  $R(z_1)$  be the radius of convergence of the power series expansion about  $z_1 \in D$ . Then in fact

$$|R(z_1) - R(z_2)| \leq |z_1 - z_2|.$$

*Proof.* Gamelin!



We say that  $f(z)$  is analytically continuable along  $\gamma \subseteq \mathbb{C}$  if for each  $t \in [a, b]$  there exists a convergent power series

$$f_t(z) = \sum_{n=0}^{\infty} a_n(t)(z - \gamma(t))^n$$

for  $|z - \gamma(t)| < r(t)$  such that  $f_a(z)$  is the power series representation for  $f(z)$  at  $z_0 = \gamma(a)$  and when  $s \in [a, b]$  is near  $t \in [a, b]$ , then  $f_s(z) = f_t(z)$  for  $z$  in the intersection of the disks in convergence.

By the uniqueness principle, the series  $f_t(z)$  determines uniquely each of the series  $f_s(z)$  for  $s$  near  $t$ .

**Theorem .2.2**

Suppose  $f(z)$  can be continued analytically along the path  $\gamma$  for  $t \in [a, b]$ . Then the analytic continuation is unique.

**Example .2.2**

Take  $f(z)$  to be the principal branch of the square root function, and  $\gamma(t) = e^{it}$ .

In a neighborhood of  $z = 1$  we have

$$f(z) = 1 + \frac{1}{2}(z - 1) - \frac{1}{8}(z - 1)^2 + \cdots$$

We may then change centers to get

$$f_t(z) = e^{it/2} + \frac{e^{-it/2}}{2}(z - e^{it}) - \frac{e^{-3it/2}}{8}(z - e^{it})^2 + \cdots$$

$$f_{2\pi}(z) = -1 - \frac{1}{2}(z-1) + \frac{1}{8}(z-1)^2 - \cdots .$$

It turns out  $f_{2\pi}$  gives us the *other branch* of the square root. The fancy way of saying this is we picked up *monodromy*.