

# MATH 395 Notes

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## 1 Metric Spaces

### 1.1 Definition

**Definition.** A set  $X$  is called a metric space provided that it is equipped with a function  $d : X \times X \rightarrow [0, \infty)$  such that

1. For all  $p, q \in X$  we have  $d(p, q) = 0$  if and only if  $p = q$
2.  $d(p, q) = d(q, p)$  for all  $p, q \in X$ .
3. For all  $p, q, r \in X$  we have

$$d(p, q) \leq d(p, r) + d(r, q)$$

We call  $d$  the metric on  $X$ . Formally we might write that  $(X, d)$  is a metric space, since a set  $X$  may admit many different metrics on it.

**Example.** Let  $X = \mathbb{R}^n$  for some  $n \in \mathbb{N}$ . If  $p = (p_1, \dots, p_n)$  and  $q = (q_1, \dots, q_n)$  then we define:

$$d_2(p, q) = \left[ \sum_{j=1}^n (q_j - p_j)^2 \right]^{\frac{1}{2}} = \|p - q\| = \langle q - p, q - p \rangle^{\frac{1}{2}}$$

This is commonly called the  $\ell^2$  metric on  $\mathbb{R}^n$ . The triangle inequality follows from Cauchy-Schwartz. Setting  $x = p - r$  and  $y = r - q$ , then  $x + y = p - q$  and we also

have:

$$\begin{aligned}\|x + y\|^2 &\leq (\|x\| + \|y\|)^2 \\ \|x\|^2 + \|y\|^2 + 2\langle x, y \rangle &\leq \|x\|^2 + \|y\|^2 + 2\|x\|\|y\|\end{aligned}$$

But since we know from Cauchy-Schwarz that  $\langle x, y \rangle \leq \|x\|\|y\|$ , so we win!

We can put another metric on  $R^n$ , namely the  $\ell^s$  metric for any  $1 \leq s < \infty$ :

$$d_s(p, q) = \left[ \sum_{j=1}^n |q_j - p_j|^s \right]^{\frac{1}{s}}$$

This is called the  $\ell^s$  metric. There is also the  $\ell^\infty$  metric denoted as:

$$d_\infty(p, q) = \max_{1 \leq j \leq n} |q_j - p_j|$$

## 1.2 Topology on metric spaces

**Definition.** A topology on a set  $X$  is some collection of subsets  $\mathcal{T} \subseteq P(X)$ , which we will call the open subsets of  $X$ , such that:

- $\emptyset$  and  $X$  are both open.
- Given any arbitrary family of open sets  $\{U_i\}_{i \in I}$ , their union  $\bigcup_{i \in I} U_i$  is an open set
- Given any finite collection of open sets,  $U_1, \dots, U_n$ , then their intersection  $\bigcap_{i=1}^n U_i$  is open.

**Definition.** Let  $(X, d)$  be a metric space. We define a topology on  $X$  as follows:

- For  $x_0 \in X$  and  $\varepsilon > 0$  we define the  $\varepsilon$ -neighborhood of  $x_0$  as:

$$N_\varepsilon(x_0) := \{x \in X \mid d(x, x_0) < \varepsilon\}$$

- A subset  $U \subseteq X$  is called open provided that for every  $p \in U$  there exists some  $\varepsilon > 0$  so that  $N_\varepsilon(p) \subseteq U$ .

*Proof that this is a topology.* The first property follows nearly trivially.

- Fix some arbitrary family of open sets  $\{U_i\}_{i \in I}$ . Fix some  $p \in \bigcup_{i \in I} U_i$ , then there exists some  $j \in I$  so that  $p \in U_j$ . Since  $U_j$  is open there exists some  $\varepsilon > 0$  so that:

$$N_\varepsilon(p) \subseteq U_j \subseteq \bigcup_{i \in I} U_i$$

And so we are done ☺

- Let  $p \in \bigcap_{i=1}^n U_i$  for some finite collection of open sets  $U_1, \dots, U_n$ . Then  $p \in U_j$  for all  $1 \leq j \leq n$ , and so there exists an  $r_j > 0$  for each  $j$  such that:

$$N_{r_j}(p) \subseteq U_j$$

Take  $r = \min(r_1, \dots, r_n)$ . Then for all  $j$  we have  $N_r(p) \subseteq N_{r_j}(p) \subseteq U_j$ . And so:

$$N_r(p) \subseteq \bigcap_{i=1}^n U_i$$

just as desired.

**Remark.** This third property is not true for infinite collections! What part of the proof breaks and provide a counter-example.

With this we are done. 

**Exercise.** Also, as an exercise, show that for any  $r > 0$  we have  $N_r(p)$  is open.

**Definition.** We say a subset  $C \subseteq X$  of a topological space is closed provided that its complement  $X \setminus C$  is open.

**Remark.** By Demorgan's laws we get three properties of closed sets:

- $\emptyset$  and  $X$  are both closed
- If  $\{C_i\}_{i \in I}$  is a collection of closed sets then  $\bigcap_{i \in I} C_i$  is closed
- If  $C_1, \dots, C_n$  is a finite collection of closed sets then  $\bigcup_{i=1}^n C_i$  is a closed set.

The proof is left as an exercise ☺

### 1.3 Limit Points / Accumulation Points

**Definition.** A point  $p$  is called a limit point of a set  $E$  provided that every neighborhood of  $p$  contains a point  $q \neq p$  such that  $q \in E$ .

**Example.** Let  $E = [0, 1) \cup \{2\}$ . Then 1 is a limit point of  $E$  (note that  $1 \notin E$ ), and also 2 is *not* a limit point of  $E$  even though  $2 \in E$ .

**Definition.** When  $p \in E$  is not a limit point of  $E$ ,  $p$  is called an isolated point of  $E$ .

**Definition.** An interior point of  $E$  is a point  $p \in E$  such that there exists  $r > 0$  so that  $N_r(p) \subseteq E$ . Thus a set is open exactly when all its points are interior points. The set of all interior points of a set  $E$  is often denoted by  $\overset{\circ}{E}$ , this is called the interior of  $E$ .

**Example.** This depends on the entire metric space

- Let  $E = [0, 1) \cup \{2\}$  and  $X = [0, \infty)$ . Then 0 is an interior point of  $E$  (since  $N_r(0) = [0, r) \subseteq E$  is  $r$  is small enough). Thus  $\overset{\circ}{E} = [0, 1)$ .
- Let  $E = [0, 1) \cup \{2\}$  and  $X = \mathbb{R}$ . Then 0 is not an interior point of  $E$ , since any neighborhood of 0 will contain negative numbers, which are not contained in  $E$ .

Thus we conclude that the notion of interior (open or closed) depends on the ambient space.

**Definition.** A set  $E$  is bounded provided that there exists a point  $x \in X$  and a number  $M > 0$  such that  $E \subseteq N_M(x)$ .

**Definition.** A set  $E \subseteq X$  is dense provided that every point of  $X$  is either a limit point of  $E$  or an element in  $E$ .

**Example.** Let  $X = [0, 1) \cup \{\pi\}$  then  $X \cap \mathbb{Q} \cup \{\pi\}$  is dense in  $X$ . Notice that  $X \cap \mathbb{Q}$  is not dense in  $X$ .

**Theorem.** If  $p$  is a limit point of a set  $E$ , then every neighborhood of  $p$  contains infinitely many points of  $E$ .

**Exercise.** Prove this

**Corollary.** A finite set can have no limit points

**Theorem.** A set  $E$  is closed if and only if every limit point of  $E$  is contained in  $E$ .

*Proof.* Let's do it! We will use  $X$  as our ambient space.

( $\Rightarrow$ ) Let  $E$  be closed and suppose  $p$  is a limit point of  $E$ . If  $p \notin E$  then  $p \in X \setminus E$ , which is open, and so there exists an  $r > 0$  such that  $N_r(p) \subseteq X \setminus E$ . Therefore  $N_r(p) \cap E = \emptyset$ , but this contradicts the fact that  $p$  is a limit point. Therefore  $p \in E$  as desired.

( $\Leftarrow$ ) Suppose that every limit point belongs to  $E$  and take  $p \in X \setminus E$ . Since  $p$  is not a limit point of  $E$  there must exist some  $r > 0$  such that  $N_r(p) \cap E = \emptyset$ . But then  $N_r(p) \subseteq X \setminus E$ . Therefore  $X \setminus E$  is open, and  $E$  is closed.

Awesome! We win ☺



**Definition.** A set  $E$  is called perfect if  $E$  is closed and every point of  $E$  is a limit point. In other words,  $E$  consists exactly of its limit points.

**Example.**  $[0, 1]$  is perfect in  $\mathbb{R}$ , but  $[0, 1] \cup \{\pi\}$  is not.

**Example.** Let  $X = \mathbb{R}^2 = \mathbb{C}$ . Consider the following sets

- a) The set of all complex numbers  $|z| < 1$
- b) The set of all complex numbers  $|z| \leq 1$
- c) A finite set  $F \subseteq \mathbb{C}$
- d) The set of all integers  $\{(n, 0) \mid n \in \mathbb{N}\}$
- e) The set  $z_n = \frac{1}{n}$  where  $n \in \mathbb{N}$
- f) The set of all complex numbers
- g) The line segment  $(a, b)$  for  $a, b \in \mathbb{R}$ . That is the set of points  $z \in \mathbb{C}$  such that  $\text{Im}(z) = 0$  and  $a < \text{Re}(z) < b$

	Closed	Open	Bounded	Perfect
a)	✗	✓	✓	✗
b)	✓	✗	✓	✓
c)	✓	✗	✓	✗
d)	✓	✗	✗	✗
e)	✗	✗	✓	✗
f)	✓	✓	✗	✓
g)	✗	✗	✓	✗