All rings are assumed commutative in the below. Let A be a commutative ring. For any prime  $\mathfrak{p} \subset A$ , let  $\kappa(\mathfrak{p})$  denote the field  $K(A/\mathfrak{p}) = (A/\mathfrak{p})_{(0)} = A_{\mathfrak{p}}/\mathfrak{p}_{\mathfrak{p}}$ . A local ring is a ring with exactly one maximal ideal. The following lemma, stated in restricted form, is known as Nakayama's lemma, and is used below.

**Lemma.** Let A be a local ring with maximal ideal  $\mathfrak{m}$  and M a finitely-generated A-module. Then if  $m_1, \ldots, m_n$  are elements of M whose images in  $M \otimes \kappa(\mathfrak{m}) = M/\mathfrak{m}M$  generate it as a  $\kappa(\mathfrak{m})$ -vector space, then  $m_1, \ldots, m_n$  generate M as an A-module.

(1) Let A be a ring. Prove that a sequence of A-modules

$$0 \longrightarrow M \longrightarrow N \longrightarrow Q \longrightarrow 0 \tag{*}$$

is exact if and only if the localized sequence

$$0 \longrightarrow M_{\mathfrak{m}} \longrightarrow N_{\mathfrak{m}} \longrightarrow Q_{\mathfrak{m}} \longrightarrow 0$$

is exact for every maximal ideal  $\mathfrak{m} \subset A$  ( $\Leftrightarrow$  for every prime ideal  $\mathfrak{m}$ ).

(2) There is a tendency for ideals maximal with respect to a certain property to be prime. Case in point: prove that if  $U \subset A$  is a multiplicatively closed subset, and  $I \subset A$  is an ideal maximal among those not meeting U, then I is prime. Use this result to prove the formula

$$\{f \in A : f^n \in J \text{ for some } n\} =: \operatorname{rad} J = \bigcap_{J \subset \mathfrak{p}} \mathfrak{p}$$

for any ideal  $J \subset A$ , where the intersection is over all primes containing J.

(3) An A-module P is called projective if it satisfies any number of equivalent properties: it is a direct summand of a free module, or the functor Hom(P, -) is exact, or every short exact sequence of the form

$$0 \longrightarrow M \longrightarrow N \longrightarrow P \longrightarrow 0$$

splits. In particular if A is local noetherian, prove that the first characterization of a projective module as a direct summand of a free module actually implies that every finitely-generated projective A-module is free, using Nakayama's lemma.

As a corollary of this, one finds that a finitely-generated module M over a noetherian ring A is projective only if it is locally free, i.e.  $M_{\mathfrak{p}}$  is free for all primes (equivalently, for all maximal ideals)  $\mathfrak{p} \subset A$ . In fact the 'only if' is an if and only if. (Such modules are the vector bundles over the scheme Spec A.)

(4) An A-module M is called faithfully flat if the functor A-mod  $\rightarrow A$ -mod  $- \otimes_A M$  is exact and faithful; equivalently if it is flat and reflects zero objects (an A-module F has  $F \otimes M = 0$  if and only if F = 0);<sup>1</sup> equivalently if it is flat and whenever one has a complex of A-modules

$$N \longrightarrow P \longrightarrow Q \tag{(*)}$$

<sup>&</sup>lt;sup>1</sup>An exact functor T between abelian categories is faithful if and only if it reflects zero objects. Proof: First assume T faithful. An object o in an abelian category is called a zero object if the identity morphism  $1_o$  is the zero morphism; as T is faithful, T reflects zero objects. For the converse, let  $\alpha : X \to Y$  be a nonzero morphism, and factor  $\alpha$  as  $X \to \text{im } \alpha \to Y$ . As T is exact,  $T\alpha$  factors as  $X \to \text{im } \alpha \to Y$ . Since im  $\alpha \neq 0$ , by hypothesis  $T(\text{im } \alpha) \neq 0$ , so  $T\alpha$  is nonzero.  $\Box$ 

such that the tensored complex

$$N \otimes M \longrightarrow P \otimes M \longrightarrow Q \otimes M$$

is exact, then the complex (\*) is exact. Prove that a flat module M over a ring A is faithfully flat if and only if it has nonempty fibers; i.e. if  $M \otimes \kappa(\mathfrak{p}) \neq 0$  for every prime  $\mathfrak{p}$  (as usual it suffices to check only the maximal ones). (Hint: For  $\Rightarrow$ , use that  $A \to \kappa(\mathfrak{p})$  is nonzero. For  $\Leftarrow$ , study the cohomology  $H = \ker(P \to Q) / \operatorname{im}(N \to P)$  of the complex (\*).) Using this criterion, for  $\mathfrak{p} \subset A$  prime, when is the flat A-module  $A_{\mathfrak{p}}$  faithfully flat?

(5) An artinian ring is a ring with finitely many prime ideals, all of which are maximal. It is a theorem that if a ring has a finite composition series (is 'of finite length') as a module over itself; i.e. A = A<sub>0</sub> ⊃ A<sub>1</sub> ⊃ A<sub>2</sub> ⊃ ··· ⊃ A<sub>n</sub> = 0 with quotients A<sub>i</sub>/A<sub>i+1</sub> which are simple modules (no nonzero submodules; i.e. isomorphic to A/m for m maximal), then A is artinian and noetherian. A finite morphism of rings A → B is one which makes B into a finite A-module; this is equivalent to B being generated over A by finitely many integral elements (elements which satisfy a monic polynomial with coefficients in A). Prove that a finite morphism is quasi-finite; i.e. for every prime p ⊂ A the fibers B ⊗<sub>A</sub> κ(p) are rings with only finitely many primes.

The next two questions concern the relationship between ideals in polynomial rings and their vanishing in affine space. Let k denote an algebraically closed field. Given a subset  $I \subset k[x_1, \ldots, x_n]$ , we define an algebraic subset of  $\mathbf{A}^n(k)$ , considered as simply  $k^n$ , by

$$Z(I) = \{(a_1, \dots, a_n) \in k^n : f(a_1, \dots, a_n) = 0 \text{ for all } f \in I\}.$$

Given a set  $X \subset \mathbf{A}^n(k)$ , define

$$I(X) = \{ f \in k[x_1, \dots, x_n] : f(a_1, \dots, a_n) = 0 \text{ for all } (a_1, \dots, a_n) \in X \}.$$

Then the classical Nullstellensatz states that if I as above is an ideal, then

$$I(Z(I)) = \operatorname{rad} I,$$

where rad I is defined in a previous problem. Thus, the correspondences  $I \mapsto Z(I)$ and  $X \mapsto I(X)$  induce a bijection between the collection of algebraic subsets of  $\mathbf{A}^n(k)$  (subsets of the form Z(I) for I as above; we may assume I is moreover an ideal) and radical ideals of  $k[x_1, \ldots, x_n]$  (ideals which equal their own radical). The next two problems obtain this result as a corollary of a result about Jacobson rings.

Preserve all the notation above. It is easy to see that for each  $p = (a_1, \ldots, a_n) \in \mathbf{A}^n(k)$ , the ideal  $\mathfrak{m}_p := (x_1 - a_1, \ldots, x_n - a_n) \subset k[x_1, \ldots, x_n]$  is a maximal ideal, even if k is not algebraically closed (continue to assume that it is); simply quotient by  $\mathfrak{m}_p$  to see this.

A Jacobson ring is a ring in which every prime ideal is an intersection of maximal ideals. Grant the following theorem (general version of the Nullstellensatz).

**Theorem.** Let R be a Jacobson ring and S be an R-algebra of finite type (finitely generated as an algebra). Then S is a Jacobson ring. Moreover, let  $\mathfrak{n} \subset S$  be a maximal ideal. Then its restriction  $\mathfrak{m} := \mathfrak{n} \cap R$  is maximal, and moreover the extension of residue fields  $\kappa(\mathfrak{m}) \subset \kappa(\mathfrak{n})$  is finite.

- (6) Let  $X \subset \mathbf{A}^n(k)$  be an algebraic set X = Z(I). Then every maximal ideal of  $A(X) := k[x_1, \ldots, x_n]/I$  is of the form  $\mathfrak{m}_p/I$  for some  $p \in X$ . In particular, the points of X are in bijection with the maximal ideals of A(X). (Hint: use the general form of the Nullstellensatz to show that every maximal ideal in the ring  $k[x_1, \ldots, x_n]$  is of the form  $\mathfrak{m}_p$  for some p.)
- (7) Prove the classical Nullstellensatz from the general version, using the formula for the radical in the second problem.

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