

# Point-Set Topology 2

Tam

## 1 Connectedness and path-connectedness

**Definition 1.** Let  $X$  be a topological space. A *separation* of  $X$  is a pair of disjoint nonempty open sets  $U$  and  $V$  in  $X$  whose union is  $X$ . The space  $X$  is *connected* if there does not exist a separation of  $X$ .

Connected subsets of the real line are either one-point sets or intervals. Connected sets in  $\mathbb{R}^n$ , for  $n \geq 2$ , are not so nice. For example, the following set in  $\mathbb{R}^2$  is connected.

$$S = \left\{ (x, y) \in \mathbb{R}^2 \mid y = \sin \frac{1}{x}, x > 0 \right\} \cup \{ (x, y) \in \mathbb{R}^2 \mid x = 0, y \in [-1, 1] \}$$

**Lemma 2.** *The image of an connected set under a continuous map is connected.*

**Definition 3.** A metric space  $X$  is *path-connected* if for every  $p, q \in X$ , there exists a continuous map  $\gamma : [0, 1] \rightarrow X$  such that  $\gamma(0) = p$  and  $\gamma(1) = q$ . The map  $\gamma$  is called a *path* connecting  $p$  and  $q$ .

**Theorem 4.** *If  $A$  is path-connected, then  $A$  is connected. The converse is not true.*

The following theorem is an example how we can use connectedness to prove that two spaces are not homeomorphic.

**Theorem 5.** *None of the following space is homeomorphic to any of the others:  $(0, 1)$ ,  $[0, 1)$ ,  $[0, 1]$  and  $\mathbb{R}^2$ .*

The proof of the next theorem is an example of a common argument using connectedness in topology, geometry and analysis. The general principle is that if you want to prove that a property  $P$  is true (or not true) on a connected space  $X$ , then try considering the subset of  $X$  where  $P$  is true and the subset where  $P$  is false. Then try to show both subsets are open. Since  $X$  is connected, one of them has to be empty.

**Theorem 6.** *An open set  $A$  in  $\mathbb{R}^n$  is connected if and only if it is path-connected.*

*Proof.* Since path-connectedness implies connectedness we need to only show that  $A$  is path-connected if it is connected. Suppose  $A$  is nonempty and connected. Pick  $p \in A$ . Let  $U$  be the set of points in  $A$  that can be connected to  $p$  by a path in  $A$ . Let  $V = A \setminus U$ , so  $V$  is the set of points in  $A$  that cannot be connected to  $p$  by path in  $A$ . So  $A = U \cup V$ . We claim that  $U$  and  $V$  are open. To show that  $U$  is open, let  $q \in U$  and  $\gamma$  be a path connecting  $p$  and  $q$ . Since  $A$  is open, there exists a open ball  $B_r(q) \subset A$ . For each  $r \in B_r(q)$ , there is a path  $\lambda$  in  $A$  connecting  $q$  and  $r$ . We can take  $\lambda$  to be the straight line connecting  $q$  and  $r$ . Thus,  $B_r(q) \subset U$  and  $U$  is open. Similarly one can prove that  $V$  is open. Thus, either  $U$  or  $V$  is the empty set by the connectedness of  $A$ .  $\square$

**Definition 7.** If  $x \in X$ , then the *connected (path-connected) component* of  $X$  containing  $x$  is the union of all connected (path-connected) subsets of  $X$  that contain  $x$ .

**Lemma 8.** *Connected components are connected.*

Connected components are not generally open or closed. For examples, the connected component of 0 in  $\mathbb{Q}$  is the set  $\{0\}$ , which is neither open or closed.

A metric space  $X$  is *locally connected at  $x \in X$*  if for each open set  $U$  containing  $x$  there exists an connected open set  $V$  containing  $x$  that is contained in  $U$ . If  $X$  is locally connected at every point in  $X$ , then we say that  $X$  is *locally connected*.

**Theorem 9.** *A metric space  $X$  is locally connected if and only if for each open set  $U$  in  $X$ , each component of  $U$  is open in  $X$ .*

## 2 Metric spaces

**Definition 10.** A *metric space* is a set  $X$  with a function  $d: X \times X \rightarrow \mathbb{R}$  that satisfies the following properties

1.  $d(x, y) = 0$  if and only if  $x = y$  (Definiteness)
2. For all  $x, y \in X$ ,  $d(x, y) = d(y, x)$  (Symmetry)
3. For all  $x, y, z \in X$ ,  $d(x, y) + d(y, z) \geq d(x, z)$  (Triangle inequality)

It is not hard to see that the above three properties imply that the metric  $d$  is always non-negative. A subset of a metric space has an induced metric which makes the subset into a metric space.

**Example 11.** The following spaces are metric spaces.

1.  $\mathbb{R}^n$  with the Euclidean distance.
2. Normed vector spaces and inner product vector spaces.
3. The space  $C[0, 1]$  of continuous functions from  $[0, 1]$  to  $\mathbb{R}$  with the metric  $d(f, g) = \sup\{|f(x) - g(x)| \mid x \in [0, 1]\}$ .

**Definition 12.** Given  $x \in X$  and  $r > 0$ , we define  $B_r(x) = \{y \in X \mid d(x, y) < r\}$  called the  $r$ -ball centered at  $x$ . The collection of all ball  $B_r(x)$  for  $x \in X$  and  $r > 0$  is a basis for the *metric topology* on  $X$  induced by  $d$ .

A sequence  $x_n \in X$  converges to  $x \in X$  if for each  $\epsilon > 0$  there exists  $N > 0$  such that  $d(x_n, x) < \epsilon$  whenever  $n > N$ . We write  $x_n \rightarrow x$ .

For metric spaces  $(X, d)$  and  $(X', d')$ , a map  $f: X \rightarrow X'$  is continuous iff for each  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $d'(f(x), f(y)) < \epsilon$  whenever  $d(x, y) < \delta$ .

**Theorem 13.** Let  $f: X \rightarrow X'$ . Then  $f$  is continuous if and only if for every sequence  $x_n \rightarrow x$  in  $X$ ,  $f(x_n) \rightarrow f(x)$ .

**Definition 14.** Let  $(X, d)$  be a metric space. A sequence  $\{x_n\}$  in  $X$  is a *Cauchy sequence* if for each  $\epsilon > 0$  there exists  $N > 0$  such that  $d(x_n, x_m) < \epsilon$  whenever  $m, n > N$ .

It is clear that a convergent sequence is Cauchy. The converse is not true. A metric space  $X$  is *complete* if every Cauchy sequence in  $X$  converges.

**Definition 15.** Let  $(X, d)$  and  $(X', d')$  be metric spaces.

1. A bijective map  $f: X \rightarrow X'$  is an *isometry* if  $d'(f(x), f(y)) = d(x, y)$ , i.e.  $f$  is distance preserving. We then say that  $X$  and  $X'$  are *isometric*.
2. A map  $g: X \rightarrow X$  is called a *contraction map* if there exists  $0 < \alpha < 1$  such that for every  $x, y \in X$ , we have  $d(g(x), g(y)) \leq \alpha \cdot d(x, y)$ .

Clearly isometries and contraction maps are continuous.

**Theorem 16** (The Banach fixed point principle). Let  $(X, d)$  be a complete metric space, and let  $g: X \rightarrow X$  be a contraction map. Then there exists uniquely a point  $x \in X$  that is fixed by  $g$ , i.e.  $g(x) = x$ .

### 3 Function spaces

Let  $X$  and  $Y$  be topological spaces. We denote  $Y^X$  be the space of all functions from  $X$  to  $Y$ ,  $C(X, Y)$  the space of all continuous functions from  $X$  to  $Y$ . If  $Y$  is a metric space, we denote  $B(X, Y)$  the space of bounded functions from  $X$  to  $Y$ .

#### 3.1 Pointwise convergence topology

For  $x \in X$  and  $U$  open in  $Y$ , let  $S(x, U) = \{f \mid f \in Y^X \text{ and } f(x) \in U\}$ . The sets  $S(x, U)$  form a basis for the *topology of pointwise convergence* of  $Y^X$ . A sequence  $f_n$  of functions from  $X$  to  $Y$  converges to a function  $f$  if for all  $x \in X$ ,  $f_n(x)$  converges to  $f(x)$  in  $Y$ .

#### 3.2 Uniform convergence topology

Now, for this subsection, suppose that  $Y$  is a metric space with a metric  $d$ . We define the *uniform metric*  $\rho$  on  $B(X, Y)$  as

$$\rho(f, g) = \sup\{d(f(x), g(x)) \mid x \in X\}$$

for  $f, g \in B(X, Y)$ . The topology on  $B(X, Y)$  induced by this metric is called the uniform convergence topology. A sequence  $f_n$  of functions from  $X$  to  $Y$  converges to a function  $f$  if for each  $\epsilon > 0$ , there exists  $N > 0$  such that whenever  $n > 0$ , we have  $d(f_n(x), f(x)) < \epsilon$  for all  $x \in X$ .

The space  $C(X, Y)$  is closed in  $B(X, Y)$  with respect to the uniform convergence topology, but not in the pointwise convergence topology.

#### 3.3 The compact-open topology

**Definition 17.** Let  $X$  and  $Y$  be topological spaces. The *compact-open topology* on  $C(X, Y)$  is the topology generated by sets of the form  $S(K, U)$ , defined as follows: fix  $K \subset X$  compact and  $U \subset Y$  open, and let

$$S(K, U) = \{f \in C(X, Y) \mid f(K) \subset U\}.$$

If  $Y$  is a metric space, then convergence in the compact-open topology is the same as uniform convergence on compact sets, i.e. sequence  $f_n$  of functions from  $X$  to  $Y$  converges to a function  $f$  if for all compact  $K \subset X$ ,  $f_n(x)$  converges uniformly to  $f(x)$  for all  $x \in K$ .

For a “nice enough” metric space  $X$ , the set of isometries of  $X$  with the compact-open topology is a Lie group (you’ll learn about these in Manifolds II).

**Example 18.** Consider  $\text{Isom}(\mathbb{R})$ . Let  $Z$  be the set of elements of  $\text{Isom}(\mathbb{R})$  that are translations by an integral distance. Then  $Z$  is a discrete set in  $\text{Isom}(\mathbb{R})$  with respect to the compact-open topology.

In topology/geometry, if you take the quotient of “nice spaces” (i.e. manifolds) by a discrete group of  $\text{Isom}$  of the spaces, you will generally get a “nice spaces” (where “nice” here is not a mathematical term).

**Example 19.** Consider  $\text{Isom}(S^1)$ . If  $\theta$  is an irrational angle, then the set  $\{\text{Rotation by } k\theta \mid k \in \mathbb{Z}\}$  is a non-discrete subset of  $\text{Isom}(S^1)$  with respect to the compact-open topology.