

## Set 6.

Please study the following Problems 51–60 by February 22 (Friday).

The following fact about Noetherian property may be useful for Problem 51. For a commutative ring  $A$ , the following (i) and (ii) are equivalent. (i)  $A$  is Noetherian. (ii) For any sequence  $I_1, I_2, I_3, \dots$  of ideals of  $A$  such that  $I_1 \subset I_2 \subset I_3 \subset \dots$ , there is  $n \geq 1$  such that  $I_n = I_{n+1} = I_{n+2} = \dots$ . The proof of (i)  $\Rightarrow$  (ii) is that the ideal  $I := \cup_{n \geq 1} I_n$  is finitely generated by the Noetherian assumption, and the finite generators should belong to some  $I_n$  for  $n$  big enough, and  $I = I_n$  and hence  $I_n = I_{n+1} = I_{n+2} = \dots$ . I omit the proof of (ii)  $\Rightarrow$  (i).

51. Let  $A$  be a Noetherian integral domain. Let  $f$  be a prime element of  $A$  (this means that  $f \neq 0$  and the ideal  $(f)$  of  $A$  is a prime ideal). Prove that there is no prime ideal  $\mathfrak{p}$  of  $A$  such that  $0 \subsetneq \mathfrak{p} \subsetneq (f)$ .

A suggestion for the proof: Assume such  $\mathfrak{p}$  exists. Take a non-zero element  $g$  of  $\mathfrak{p}$ . By using the fact  $f \notin \mathfrak{p}$  and by some argument, get  $g = g_1 f$  for some  $g_1 \in A$ ,  $g_1 = g_2 f$  for some  $g_2 \in A$ ,  $g_2 = g_3 f$  for some  $g_3 \in A$ ,  $\dots$ , and use the Noetherian property of  $A$  looking at the ideals  $(g) \subset (g_1) \subset (g_2), \dots$  of  $A$ .

52. Let  $A$  be a unique factorization domain (UFD; see below). Let  $\mathfrak{p}$  be a prime ideal of  $A$ . Assume that there is no prime ideal  $\mathfrak{q}$  of  $A$  such that  $(0) \subsetneq \mathfrak{q} \subsetneq \mathfrak{p}$ . Prove that  $\mathfrak{p} = (f)$  for some prime element  $f$  of  $A$ .

A suggestion for the proof. Take a non-zero element of  $\mathfrak{p}$  and consider the prime factorization of it.

Remark. This is just a remark concerning UFD. For a non-zero element  $a$  of an integral domain  $A$ , the following conditions (i) and (ii) need not coincide. (i)  $a$  is a prime element (in the sense written in Problem 51). (ii)  $a \notin A^\times$  and  $a$  can not be written as  $bc$  with  $b, c \in A$  such that  $b \notin A^\times$  and  $c \notin A^\times$ . (i) implies (ii) but (ii) need not imply (i). If a non-zero element  $a$  of  $A$  is written in the form  $a = u\pi_1 \dots \pi_n$  with  $u \in A^\times$  and with elements  $\pi_i$  of  $A$  satisfying (ii), we do not have any uniqueness of such expression of  $a$ . But if  $\pi_i$  in this expression are prime elements, this expression of  $a$  is unique up to replacements of  $\pi_i$  by  $v_i \pi_i$  for units  $v_i$  and changes of the order of  $\pi_1, \dots, \pi_n$  in the presentation. An integral domain is called UFD if any non-zero element of  $A$  is written in the form  $u\pi_1 \dots \pi_r$  where  $u \in A^\times$  and  $\pi_i$  are prime elements.

53. In the polynomial ring  $k[T_1, \dots, T_n]$  in  $n$  variables over a field  $k$ , the ideals  $\mathfrak{p}_i = (T_1, \dots, T_i)$  ( $i = 0, 1, \dots, n$ ) are prime ideals. ( $\mathfrak{p}_0$  means the ideal  $(0)$ . You do not need prove that they are prime ideals.) Prove that for each  $i = 0, 1, \dots, n - 1$ , there is no prime ideal  $\mathfrak{q}$  of  $A$  such that  $\mathfrak{p}_i \subsetneq \mathfrak{q} \subsetneq \mathfrak{p}_{i+1}$ .

Hint. Apply Problem 51 to  $A = k[T_1, \dots, T_n]/\mathfrak{p}_i \cong k[T_{i+1}, \dots, T_n]$  and  $f = T_{i+1}$ .

Let  $A$  be the ring of polynomial functions on the algebraic set  $X = \{(x, y) \in \mathbb{C}^2 \mid y^2 = x^3 + 1\}$ . We have an isomorphism  $\mathbb{C}[T_1, T_2]/(T_2^2 - T_1^3 - 1) \xrightarrow{\cong} A$  by

sending  $T_1$  (resp.  $T_2$ ) to the function  $x$  (resp.  $y$ ) on  $X$  which has value  $x$  (resp.  $y$ ) at  $(x, y) \in X$ . In the course, I will tell (without proof) the following.  $A$  is not PID, but the local ring of  $A$  at any prime ideal is PID. In the following Problems 54-56, let  $\mathfrak{p}$  be the maximal ideal  $\{f \in A \mid f(0, 1) = 0\}$  of  $A$ . Note that we have  $\mathfrak{p} = (x, y - 1)$  and that  $(y - 1)(y + 1) = x^3$ .

54. Note that any element  $f$  of  $A$  is written in the form  $f_0(y) + f_1(y)x + f_2(y)x^2$ , where  $f_i(y)$  ( $i = 0, 1, 2$ ) are polynomials in  $y$ . For  $i = 0, 1, 2$ , let  $m_i$  be the  $(y - 1)$ -adic order of  $f_i(y)$ . (This means that in the case  $f_i(y) \neq 0$ ,  $f_i(y)$  is divisible by  $(y - 1)^{m_i}$  but not divisible by  $(y - 1)^{m_i+1}$ . In the case  $f_i(y) = 0$ ,  $m_i$  is defined to be  $\infty$ .) Let  $m = \min\{3m_i + i \mid i = 0, 1, 2\}$ . Prove that if  $f \neq 0$ , in the local ring  $A_{\mathfrak{p}}$  of  $A$  at  $\mathfrak{p}$ ,  $f$  is  $x^m$  times a unit.

55. Let the notation be as in Problem 54. Prove that any non-zero ideal of  $A_{\mathfrak{p}}$  is written in the form  $(x^m)$  for some  $m \geq 0$ , and hence  $A_{\mathfrak{p}}$  is a PID.

Recall that we have the Taylor expansion

$$(1 + x)^a = \sum_{n=0}^{\infty} \binom{a}{n} x^n$$

for  $x \in \mathbb{C}$  such that  $|x| < 1$ , where

$$\binom{a}{0} = 1, \quad \binom{a}{1} = a, \quad \binom{a}{2} = \frac{a(a-1)}{2}, \quad \binom{a}{n} = \frac{a(a-1)\dots(a-(n-1))}{n!}.$$

In the case  $a = 1/m$  ( $m \geq 1$ ), this gives an  $m$ -th root of  $1 + x$ . For example,

$$(1 + x)^{1/2} = 1 + \frac{1}{2}x - \frac{1}{8}x^2 + \dots$$

56. Consider the ring homomorphism  $h : A \rightarrow \mathbb{C}[[T]]$  over  $\mathbb{C}$  which sends  $x$  to  $T$  and  $y$  to  $\sum_{n=0}^{\infty} \binom{1/2}{n} T^{3n} = 1 + \frac{1}{2}T^3 - \frac{1}{8}T^6 + \dots$ . Prove that  $h$  induces a ring homomorphism  $A_{\mathfrak{p}} \rightarrow \mathbb{C}[[T]]$ . Prove that for any  $n \geq 1$ , the two arrows in

$$\mathbb{C}[T]/(T^n) \rightarrow A/\mathfrak{p}^n \cong A_{\mathfrak{p}}/(\mathfrak{p}A_{\mathfrak{p}})^n = A_{\mathfrak{p}}/x^n A_{\mathfrak{p}} \rightarrow \mathbb{C}[[T]]/(T^n) \cong \mathbb{C}[T]/(T^n)$$

are isomorphisms. Here the first arrow is the ring homomorphism over  $\mathbb{C}$  which sends  $T$  to the class of  $x$ , and the second arrow is the ring homomorphism induced by  $h$ . Obtain an isomorphism

$$\varprojlim_n A_{\mathfrak{p}}/(\mathfrak{p}A_{\mathfrak{p}})^n \cong \mathbb{C}[[T]].$$

57. Prove that for any  $n \geq 1$ , the canonical ring homomorphism  $\mathbb{Z}/5^n\mathbb{Z} \rightarrow \mathbb{Z}[i]/(2 - i)^n$  is an isomorphism. By taking  $\varprojlim_n$ , deduce that  $\mathbb{Z}_5 := \varprojlim_n \mathbb{Z}/5^n\mathbb{Z}$  contains a square root of  $-1$ .

58. (Here assume that you already know that  $\mathbb{Z}_5$  has a square root of  $-1$ .) Prove that there are two ring homomorphisms  $\mathbb{Z}[i] \rightarrow \mathbb{Z}_5$ . (You can use the fact  $\mathbb{Z}_5$  is an integral domain.) Show that the inverse image of  $5\mathbb{Z}_5 \subset \mathbb{Z}_5$  under one homomorphism is  $(2 - i) \subset \mathbb{Z}[i]$ , and the inverse image of  $5\mathbb{Z}_5$  under the other homomorphism is  $(2 + i) \subset \mathbb{Z}[i]$ .

The following is a complement to the story of Taylor expansion written before Problem 56.

For a prime number  $p$  and for a rational number  $a$  which belongs to  $\mathbb{Z}_{(p)} = \{\frac{r}{m} \mid r, m \in \mathbb{Z}, p \nmid m\}$ , it is known that  $\binom{a}{n} \in \mathbb{Z}_{(p)}$  for any  $n \geq 0$ . For  $m \geq 1$  which is prime to  $p$  and for  $x \in p\mathbb{Z}_p$ , an  $m$ -th root of  $1 + x$  in  $\mathbb{Z}_p$  is obtained as  $\sum_{n=0}^{\infty} \binom{1/m}{n} x^n$ . (You do not need to prove these.) The case  $p = 5$ ,  $m = 2$  and  $x = -5/4$  of this implies that a square root of  $1 - 5/4 = -1/2^2$  exists in  $\mathbb{Z}_5$  and hence a square root of  $-1$  exists in  $\mathbb{Z}_5$ .

59. Obtain a square root  $68 \pmod{\mathbb{Z}/5^3\mathbb{Z}}$  of  $-1 = 2^2(1 - \frac{5}{4})$  in  $\mathbb{Z}/5^3\mathbb{Z}$  by applying the above Taylor expansion of  $(1 - 5/4)^{1/2}$ .

(In the computation, if  $1/4$  appears, a good method is to expand it as  $1/4 = -1/(1 - 5) = -1 - 5 - 5^2 - \dots$ .)

Note that for a sequence  $a_n$  ( $n = 1, 2, 3, \dots$ ) of rational numbers, for a prime number  $p$ , and for  $c \in \mathbb{Q}$ ,  $a_n$  converges to  $c$  in the  $p$ -adic number field  $\mathbb{Q}_p$  if and only if the  $p$ -adic order  $\text{ord}_p(a_n - c)$  tends to  $\infty$ .

60. Prove that  $1 - (2/3)^{n!}$  (resp.  $1 - 6^{n!}$ ) ( $n = 1, 2, 3, \dots$ ) converges to 0 in  $\mathbb{Q}_p$  for any prime number  $p \neq 2, 3$ , and converges to 1 in  $\mathbb{Q}_2$  and in  $\mathbb{R}$  (resp. in  $\mathbb{Q}_2$  and in  $\mathbb{Q}_3$ ).