

**UNIVERSITY OF CHICAGO REU 2007:  
GROUPS OF  $2 \times 2$  MATRICES**

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JULY 16-27, 2007  
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1. LINEAR TRANSFORMATIONS

Let  $K$  be a field (such as  $\mathbb{R}$ ,  $\mathbb{C}$ ,  $\dots$ ), and let  $V = K^2 = \left\{ \begin{pmatrix} s \\ t \end{pmatrix} : s, t \in K \right\} = \{se_1 + te_2 : s, t \in K\}$  (where  $e_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ ,  $e_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ ).

**Definition 1.1.** A *linear transformation* is a map  $T : V \rightarrow V$  such that

$$T(\alpha x + \beta y) = \alpha T(x) + \beta T(y)$$

for all  $x, y \in V$  and all  $\alpha, \beta \in K$ .

**Claim 1.2.**  $T$  is determined by the four scalars  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  where  $T(e_1) = ae_1 + ce_2$ ,  $T(e_2) = be_1 + de_2$

*Proof.* For the vector  $\begin{pmatrix} s \\ t \end{pmatrix} = se_1 + te_2 \in V$ , we have

$$\begin{aligned} T\left(\begin{pmatrix} s \\ t \end{pmatrix}\right) &= T(se_1 + te_2) = sT(e_1) + tT(e_2) = s(ae_1 + ce_2) + t(be_1 + de_2) \\ &= (as + bt)e_1 + (cs + dt)e_2 = \begin{pmatrix} as+bt \\ cs+dt \end{pmatrix} =: \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} s \\ t \end{pmatrix} \end{aligned}$$

□

Matrix multiplication is given by the composition rule: If  $T : V \rightarrow V$  and  $T' : V \rightarrow V$  are linear transformations corresponding to  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  and  $\begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix}$ , then  $T \circ T'$  is the linear transformation corresponding to

$$\begin{pmatrix} aa'+bc' & ab'+bd' \\ ca'+dc' & cb'+dd' \end{pmatrix} =: \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix}$$

In particular, matrix multiplication is associative and non-commutative. (Why? Because we know this for linear transformations). Also, if  $0 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$  is the zero matrix and  $I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  is the identity matrix, then

$$\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

**Definition 1.3.** A matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is *invertible* if there exists a matrix  $\begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix}$  such that  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix} = I$ .

**Claim 1.4.** The matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is invertible if and only if  $\det \begin{pmatrix} a & b \\ c & d \end{pmatrix} = ad - bc \neq 0$

*Proof.* Firstly, if  $ad - bc \neq 0$  then one easily checks that  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} = \frac{1}{ad-bc} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$  so that  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is indeed invertible. Conversely, if  $ad - bc = 0$  then  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} d \\ -c \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$ . Thus, if  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} = \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix}$ , then

$$\begin{pmatrix} d \\ -c \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} d \\ -c \end{pmatrix} = \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} d \\ -c \end{pmatrix} = \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \implies c, d = 0$$

Similarly,  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} -b \\ a \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \implies a, b = 0$ . This is a contradiction since  $\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$  is not invertible.  $\square$

*Exercise.* Show that  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is invertible as a matrix if and only if the corresponding linear transformation  $T : V \rightarrow V$  is one-to-one and onto.

**Definition 1.5.** The above shows that the set of invertible matrices over  $K$  forms a group. This group is called the *General Linear Group* and is denoted by  $GL_2(K)$ .

*Remark.*  $GL_2(\mathbb{R}) \subset \mathcal{M}_{2 \times 2}(\mathbb{R}) \simeq \mathbb{R}^4$  ( $\mathcal{M}_{2 \times 2}(K)$  is the set of  $2 \times 2$  matrices over  $K$ ). The compliment of this subset is the inverse image of  $\{0\}$  under the determinant map  $\det : \mathcal{M}_{2 \times 2}(\mathbb{R}) \rightarrow \mathbb{R}$ ; so  $GL_2(\mathbb{R}) = \det^{-1}(\mathbb{R} - \{0\})$  which is an open set. Thus  $GL_2(\mathbb{R})$  is not only an algebraic object (group) but is also a topological and geometrical object.

## 2. THE PROJECTIVE LINE

**Definition 2.1.** Let  $\mathbb{P}(V)$  denote the set of all linear lines in  $V$ . (A linear line in  $V$  is a straight line through the origin, i.e. a linear subspace of dimension 1; such lines should not be confused with affine lines which need not pass through the origin). Thus

$$\mathbb{P}(V) = \{\text{all linear lines in } V\} = \{\text{all 1-dimensional linear subspaces of } V\}$$

To each nonzero vector we may associate the unique line containing it and the origin, thus we have a map  $V - \{0\} \rightarrow \mathbb{P}(V)$ ,  $x \mapsto \{\lambda x : \lambda \in K\}$ . In this way, two nonzero vectors define the same line iff they are scalar multiples of each other; whence  $\mathbb{P}(V) \simeq V - \{0\} / \sim$  where  $u \sim v$  if there exists a scalar  $\lambda \in K$  such that  $\lambda u = v$  (this is obviously an equivalence relation).

**Definition 2.2.**  $\mathbb{P}^1(K) := \mathbb{P}(K^2)$  is called the *projective line over  $K$* . (It is called a line because it is (in some sense) a one-dimensional object. In higher dimensions we set  $\mathbb{P}^n(K) = \mathbb{P}(K^{n+1})$ ).

If  $v \in V - \{0\}$ , then let  $[v] = \{u \in V - \{0\} : u \sim v\}$  be the equivalence class of all nonzero vectors equivalent to  $v$  (i.e., all nonzero vectors on the same linear line as  $v$ ). Then

$$\mathbb{P}^1(K) = \left\{ \begin{bmatrix} s \\ t \end{bmatrix} : s, t \in K \right\} = \left\{ \begin{bmatrix} 1 \\ t \end{bmatrix} : t \in K \right\} \cup \left\{ \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right\} \cong K \cup \{\infty\} = \hat{K}$$

(think “one-point compactification”).

*Exercise.* Convince yourself that  $\mathbb{P}^1(\mathbb{C}) = \hat{\mathbb{C}}$  is homeomorphic (that is, topologically equivalent) to the two-sphere  $\mathbb{S}^2$ .

Note that the projective line is homogeneous: it looks the same in every direction (the point  $\{\infty\}$  is not special). Observe that the action of  $GL_2(K)$  on  $K^2$  preserves lines (i.e., it sends lines to lines). Therefore this action descends to an action of  $GL_2(K)$  on  $\mathbb{P}^1(K)$ .

**Claim 2.3.** *The action of  $GL_2(K)$  on  $\mathbb{P}^1(K)$  is three-transitive (but not four-transitive), that is, every (ordered) triple of distinct lines can be mapped to any other (ordered) triple of distinct lines by some element of  $GL_2(K)$ .*

The proof of this is given below, but try to prove it yourself first as an exercise. (Hint: consider the triple  $0, 1, \infty \in \hat{K} = \mathbb{P}^1(K)$ . Show that every triple of distinct lines can be mapped to it.)

*Remark.* The action of  $GL_2(K)$  on  $\mathbb{P}^1(K)$  has a kernel. (If a group  $G$  acts on the set  $X$ , then the *kernel* of the action is the set of those group elements  $g \in G$  which act trivially on  $X$ .) The kernel of this action is exactly the subgroup of scalar matrices:

$$\text{kernel} = \left\{ \begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix} : \lambda \in K \right\} = \text{Scalars}$$

(note that this subgroup is exactly the center of  $GL_2(K)$ ).

Since the scalar matrices are the kernel of the action of  $GL_2(K)$  on  $\mathbb{P}^1(K)$ , the action factors through an action of the quotient group  $PGL_2(K) := GL_2(K)/\text{Scalars}$  on  $\mathbb{P}^1(K)$  (note that scalar matrices are a normal subgroup of  $GL_2(K)$ ). In fact,  $PGL_2(K)$  acts *simply transitively* on the set of distinct ordered triples in  $\mathbb{P}^1(K)$ , that is, for every two ordered triples of distinct points in  $\mathbb{P}^1(K)$  there is a unique element in  $PGL_2(K)$  that maps the one to the other.

*Exercise.* These remarks are justified below, but you should try to prove them yourself first.

### 3. MÖBIUS TRANSFORMATIONS: ANOTHER POINT OF VIEW

Recall that  $\mathbb{P}^1(K) = K \cup \{\infty\}$ . In coordinates ( $z \leftrightarrow \begin{bmatrix} z \\ 1 \end{bmatrix}$ ,  $\infty \leftrightarrow \begin{bmatrix} 1 \\ 0 \end{bmatrix}$ ) the action of  $GL_2(K)$  on  $\mathbb{P}^1(K)$  is given by

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{bmatrix} z \\ 1 \end{bmatrix} = \begin{bmatrix} az+b \\ cz+d \end{bmatrix} = \begin{bmatrix} \frac{az+b}{cz+d} \\ 1 \end{bmatrix}$$

On  $K \subset \mathbb{P}^1(K)$  this is the mapping  $z \mapsto \frac{az+b}{cz+d}$  (such mappings are called *Möbius transformations*). More precisely,  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(K)$  acts on  $\mathbb{P}^1(K)$  by

$$z \mapsto \begin{cases} \infty & cz + d = 0 \\ \frac{a}{c} & z = \infty, c \neq 0 \\ \infty & z = \infty, c = 0 \\ \frac{az+b}{cz+d} & \text{else} \end{cases}$$

*Exercise.* Verify that composition of Möbius transformations corresponds to matrix multiplication.

*Remark.* If  $\text{Möb}(K)$  is the group of Möbius transformations of  $K$ , then  $\text{Möb}(K) \simeq PGL_2(K) \simeq \text{Gal}(K(z), K)$

We now give a proof of Claim 2.3:

*Proof.* (of 2.3) We will show that for every triple of lines  $L_1, L_2, L_3 \in \mathbb{P}^1(K)$ , we can find a  $g \in GL_2(K)$  such that  $g\left(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right) \in L_1$ ,  $g\left(\begin{smallmatrix} 0 \\ 1 \end{smallmatrix}\right) \in L_2$ , and  $g\left(\begin{smallmatrix} 1 \\ 1 \end{smallmatrix}\right) \in L_3$ . Pick  $u_i \in L_i$ .  $\{u_1, u_2\}$  is a basis, hence  $u_3 = \alpha u_1 + \beta u_2$  for some  $\alpha, \beta \in K$ . Set  $v_1 = \alpha u_1$ ,  $v_2 = \beta u_2$ ,  $v_3 = u_3 = \alpha u_1 + \beta u_2 = v_1 + v_2$ . Let  $g \in GL_2(K)$  be the unique element sending  $\begin{pmatrix} 1 \\ 0 \end{pmatrix} \mapsto v_1$  and  $\begin{pmatrix} 0 \\ 1 \end{pmatrix} \mapsto v_2$  (if in coordinates  $v_i = \begin{pmatrix} v_{ix} \\ v_{iy} \end{pmatrix}$ , then take  $g = \begin{pmatrix} v_{1x} & v_{2x} \\ v_{1y} & v_{2y} \end{pmatrix}$ ). Then  $g$  satisfies  $\begin{pmatrix} 1 \\ 1 \end{pmatrix} \mapsto v_1 + v_2 = v_3$ . Hence it will satisfy  $0 \mapsto L_1$ ,  $\infty \mapsto L_2$ , and  $1 \mapsto L_3$ .  $\square$

We now justify the remark made earlier that the action of  $PGL_2(K)$  on the set of ordered triples of distinct points in  $\mathbb{P}^1(K)$  is simply transitive.

If a group  $G$  acts on a set  $X$  then the *stabilizer* of a point  $x \in X$  is the set  $\text{Stab}(x) = \{g \in G : gx = x\}$ .

**Claim 3.1.** *Suppose that  $x, y \in X$  are such that  $y = gx$  for some  $g \in G$  (such  $x$  and  $y$  are said to lie in the same orbit), Then*

$$\text{Stab}(y) = \{ghg^{-1} : h \in \text{Stab}(x)\} =: \text{Stab}(x)^g$$

*Proof.* If  $h \in \text{Stab}(x)$  then  $(ghg^{-1})y = ghg^{-1}gx = gx = y$  so that  $ghg^{-1} \in \text{Stab}(y)$ . Conversely, if  $\gamma \in \text{Stab}(y)$  then  $g^{-1}\gamma g \in \text{Stab}(x)$  so that  $\gamma = g(g^{-1}\gamma g)g^{-1} \in \text{Stab}(x)^g$ .  $\square$

In the action of  $GL_2(K)$  on ordered triples of distinct points in  $\mathbb{P}^1(K)$ , the stabilizer of  $(0, 1, \infty)$  is the subgroup of scalar matrices. If  $(L_1, L_2, L_3)$  is any ordered triple, then  $(L_1, L_2, L_3) = g(0, 1, \infty)$  for some  $g \in GL_2(K)$ . Whence 3.1 implies that  $\text{Stab}(L_1, L_2, L_3) = \{ghg^{-1} : h \in \text{Scalars}\} = \text{Scalars}$ . Since the stabilizer of every triple is exactly the subgroup of scalar matrices, the quotient group  $PGL_2(K)$  indeed acts simply transitively. In fact, there is a bijection of sets:  $PGL_2(K) \simeq \{(\text{distinct, ordered}) \text{ triples in } \mathbb{P}^1(K)\}$ .

#### 4. CROSS-RATIO

Observe that the mapping  $z \mapsto \frac{(z-z_1)(z_2-z_3)}{(z-z_3)(z_2-z_1)}$  is a Möbius transformation that sends  $(z_1, z_2, z_3) \mapsto (0, 1, \infty)$  (in our coordinates  $z \leftrightarrow \begin{bmatrix} z \\ 1 \end{bmatrix}$  and  $\infty \leftrightarrow \begin{bmatrix} 1 \\ 0 \end{bmatrix}$ ).

*Exercise.* Write this in matrix form

**Definition 4.1.** (first definition) For distinct points  $w, x, y, z \in \mathbb{P}^1(K)$ , let  $g \in GL_2(K)$  be such that  $(gw, gx, gy) = (0, 1, \infty)$ . The *cross-ratio* of  $w, x, y, z$  is defined to be the element  $(w, x, y, z) := gz \in \mathbb{P}^1(K)$ . Thus the cross-ratio is a mapping  $CR : \{\text{distinct 4-tuples in } \mathbb{P}^1(K)\} \rightarrow \mathbb{P}^1(K)$ . Observe that the cross-ratio is  $GL_2(K)$ -invariant: for  $h \in GL_2(K)$ ,  $(hw, hx, hy, hz) = (w, x, y, z)$ .

**Definition 4.2.** (second definition: in coordinates) Representing elements of  $\mathbb{P}^1(K)$  by elements in  $K$  (via  $z \leftrightarrow \begin{bmatrix} z \\ 1 \end{bmatrix}$ ), we define a *cross-ratio*  $CR : \{\text{distinct 4-tuples in } K\} \rightarrow K$  by  $(z_1, z_2, z_3, z_4) \mapsto z$ , where  $(\begin{bmatrix} z_1 \\ 1 \end{bmatrix}, \begin{bmatrix} z_2 \\ 1 \end{bmatrix}, \begin{bmatrix} z_3 \\ 1 \end{bmatrix}, \begin{bmatrix} z_4 \\ 1 \end{bmatrix}) = \begin{bmatrix} z \\ 1 \end{bmatrix}$ . This definition leads to an explicit formula:

$$(z_1, z_2, z_3, z_4) = \frac{(z_4 - z_1)(z_2 - z_3)}{(z_4 - z_3)(z_2 - z_1)}$$

**Corollary 4.3.** *The formula is invariant under Möbius transformations.*

*Proof.* Exercise.  $\square$

**Theorem 4.4.** *A self-map of  $\mathbb{P}^1(K)$  that preserves the cross-ratio is from  $PGL_2(K)$  (i.e. a Möbius transformation).*

*Proof.* Exercise.  $\square$

**Claim 4.5.** *Every Möbius transformation can be written as a composition of transformations of the following forms:*

$$z \mapsto az, \quad z \mapsto z + b, \quad z \mapsto \frac{-1}{z}$$

*Proof.* Consider  $z \mapsto \frac{az+b}{cz+d}$ . If  $c = 0$ , then the map is

$$z \mapsto \frac{az+b}{d} = \frac{a}{d}z + \frac{b}{d} = (z \mapsto z + \frac{b}{d}) \circ (z \mapsto \frac{a}{d}z)$$

If  $c \neq 0$ , then

$$\frac{az+b}{cz+d} = \frac{\frac{a}{c}(cz+d) + (b - \frac{ad}{c})}{cz+d} = (b - \frac{ad}{c}) \frac{1}{cz+d} + \frac{a}{c}$$

Therefore

$$z \mapsto \frac{az+b}{cz+d} = (z \mapsto z + \frac{a}{c}) \circ (z \mapsto (b - \frac{ad}{c})z) \circ (z \mapsto \frac{1}{z}) \circ (z \mapsto z + d) \circ (z \mapsto cz)$$

□

**Corollary 4.6.** *This decomposition of  $PGL_2(K)$  can be used to give a decomposition of  $GL_2(K)$ , known as the Bruhat decomposition of matrices.*

When  $K = \mathbb{C}$ , the complex projective line  $\mathbb{P}^1(\mathbb{C}) = \hat{\mathbb{C}} = \mathbb{C} \cup \{\infty\} \simeq \mathbb{S}^2$  is called the *Riemann Sphere*. In this case the transformation  $z \mapsto z + b$  is a translation by  $b \in \mathbb{C}$ , the transformation  $z \mapsto \lambda z$  is scaling by  $|\lambda|$  and a rotation by  $\alpha$  where  $\lambda = |\lambda|e^{i\alpha}$ , and the transformation  $z \mapsto \frac{1}{z}$  is an inversion and reflection in the unit circle.

**Definition 4.7.** A *generalized circle* in  $\hat{\mathbb{C}}$  is a Euclidean circle or line in  $\mathbb{C}$ . (Lines in  $\mathbb{C}$  are thought of as circles through  $\{\infty\} \in \hat{\mathbb{C}}$ .) Therefore, any three distinct points in  $\hat{\mathbb{C}}$  determine a unique generalized circle.

**Theorem 4.8.** *Let  $w, x, y, z \in \mathbb{P}^1(\mathbb{C})$  be distinct. Then for their cross-ratio we have  $(w, x, y, z) \in \mathbb{R}$  iff the four points  $w, x, y, z$  all lie on a generalized circle (i.e., iff  $z$  lies on the generalized circle determined by  $w, x, y$ ).*

*Proof.* Exercise. (Hint: first prove the case  $w, x, y \in \mathbb{P}^1(\mathbb{R})$ , then generalize by 3-transitivity.) □

**Corollary 4.9.** *Möbius transformations take generalized circles to generalized circles. Moreover,  $Möb(\mathbb{C})$  acts transitively on the set of generalized circles.*

*Proof.* This follows from 3-transitivity and Thm 4.8 since the cross-ratio is preserved by Möbius transformations. □

*Question.* What is the stabilizer of a circle? (recall that since the action is transitive, the stabilizers of different circles will be conjugate subgroups in  $Möb(\mathbb{C})$ ; thus it is enough to know the stabilizer of one circle).

**Claim 4.10.** *The stabilizer of  $\mathbb{P}^1(\mathbb{R}) \subset \mathbb{P}^1(\mathbb{C})$  is exactly  $PGL_2(\mathbb{R})$ .*

*Proof.* It is clear that  $PGL_2(\mathbb{R})$  stabilizes the circle  $\mathbb{P}^1(\mathbb{R}) \subset \mathbb{P}^1(\mathbb{C})$ . The fact that this is the whole stabilizer follows from 3-transitivity: if  $g \in PGL_2(\mathbb{C})$  stabilizes  $\mathbb{P}^1(\mathbb{R})$ , then  $g(0), g(1), g(\infty) \in \mathbb{P}^1(\mathbb{R})$ . By 3-transitivity of the action of  $PGL_2(\mathbb{R})$  on  $\mathbb{P}^1(\mathbb{R})$ , there is a unique  $h \in PGL_2(\mathbb{R})$  with  $h(0, 1, \infty) = (g(0), g(1), g(\infty))$ . Since  $PGL_2(\mathbb{C})$  acts *simply* 3-transitively on  $\mathbb{P}^1(\mathbb{C})$ , the fact that  $g$  and  $h \in PGL_2(\mathbb{R}) \subset PGL_2(\mathbb{C})$  agree on the three points 0, 1, and  $\infty$  implies that they agree everywhere, whence  $g = h \in PGL_2(\mathbb{R})$ . □

Considering the circle  $\mathbb{P}^1(\mathbb{R}) \subset \mathbb{P}^1(\mathbb{C}) = \hat{\mathbb{C}} \cong \mathbb{S}^2$  as the equator, the upper and lower hemispheres are the sets:

$$\text{upper hemisphere} = U = \{z \in \mathbb{C} : \text{Im}z > 0\}$$

$$\text{lower hemisphere} = L = \{z \in \mathbb{C} : \text{Im}z < 0\}$$

(so the points  $\{i\}$  and  $\{-i\}$  are the north and south poles).

**Corollary 4.11.**  $PGL_2(\mathbb{R})$  preserves the decomposition  $\mathbb{P}^1(\mathbb{C}) = \mathbb{P}^1(\mathbb{R}) \cup U \cup L$ .

*Question.* What is the stabilizer of the upper-hemisphere in  $PGL_2(\mathbb{R})$ ?

*Answer.* By the corollary, it suffices to consider whether the image of  $i \in U$  lies in  $U$  or  $L$ . If  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in PGL_2(\mathbb{R})$ , then

$$\operatorname{Im}\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix} i\right) = \operatorname{Im}\left(\frac{ai+b}{ci+d}\right) = \operatorname{Im}\left(\frac{(ai+b)(d-ci)}{c^2+d^2}\right) = \frac{\det\begin{pmatrix} a & b \\ c & d \end{pmatrix}}{c^2+d^2} \in U \iff \det\begin{pmatrix} a & b \\ c & d \end{pmatrix} > 0$$

Whence  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in PGL_2(\mathbb{R})$  stabilizes the upper-hemisphere iff  $\det\begin{pmatrix} a & b \\ c & d \end{pmatrix} > 0$ .

**Definition 4.12.** Let  $GL_2^+(\mathbb{R}) := \{g \in GL_2(\mathbb{R}) : \det g > 0\}$  be the subgroup of invertible matrices with positive determinant. This is an index-2 subgroup of  $GL_2(\mathbb{R})$ . The *Special Linear group* (over  $\mathbb{R}$ ) is the subgroup  $SL_2(\mathbb{R}) := \{\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(\mathbb{R}) : \det\begin{pmatrix} a & b \\ c & d \end{pmatrix} = 1\}$  of invertible matrices with determinant equal to 1. Whence we have the inclusion of subgroups  $SL_2(\mathbb{R}) \leq GL_2^+(\mathbb{R}) \leq GL_2(\mathbb{R})$ . The images of these subgroups under the natural quotient map  $GL_2(\mathbb{R}) \rightarrow PGL_2(\mathbb{R})$  are denoted by  $PSL_2(\mathbb{R})$  and  $PGL_2^+(\mathbb{R})$ .

*Exercise.* Show that  $PSL_2(\mathbb{R}) = PGL_2^+(\mathbb{R})$  and that  $PGL_2^+(\mathbb{R})$  is an index-2 subgroup of  $PGL_2(\mathbb{R})$ . Whence we have the diagram

$$\begin{array}{ccccc} SL_2(\mathbb{R}) & \leq & GL_2^+(\mathbb{R}) & \stackrel{\text{index-2}}{\leq} & GL_2(\mathbb{R}) \\ \downarrow q & & \downarrow q & & \downarrow q \\ PSL_2(\mathbb{R}) & = & PGL_2^+(\mathbb{R}) & \stackrel{\text{index-2}}{\leq} & PGL_2(\mathbb{R}) \end{array}$$

Observations about the action of  $PSL_2(\mathbb{R})$ :

- (i)  $PSL_2(\mathbb{R})$  acts on the upper hemisphere  $\{z : \operatorname{Im}(z) > 0\}$  and on the circle  $\mathbb{P}^1(\mathbb{R})$ .
- (ii) The action of  $PSL_2(\mathbb{R})$  is 2-transitive on  $\mathbb{P}^1(\mathbb{R})$ . (For two lines  $L_1, L_2 \in \mathbb{P}^1(\mathbb{R})$ , choose two nonzero vectors  $v_i \in L_i$ . Rescale  $v_1$  so that the matrix having  $v_1$  and  $v_2$  as its columns has determinant 1. Then this matrix sends  $e_i$  to  $v_i$  and hence  $\infty \mapsto L_1, 0 \mapsto L_2$ .)
- (iii) The action of  $PSL_2(\mathbb{R})$  is not 3-transitive on  $\mathbb{P}^1(\mathbb{R})$ . Rather, the action on (distinct, ordered) triples has two orbits. (Given three points  $x, y, z \in \mathbb{P}^1(\mathbb{R})$ , one may map  $x$  and  $y$  to 0 and  $\infty$  by 2-transitivity. An easy calculation shows that the stabilizer of the pair  $(0, \infty)$  is diagonal matrices, i.e. matrices of the form  $\begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix}$ . Such matrices act on the third point  $z$  by  $\begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix} \begin{bmatrix} z \\ 1 \end{bmatrix} = \begin{bmatrix} \lambda z \\ \lambda^{-1} \end{bmatrix} = \begin{bmatrix} \lambda^2 z \\ 1 \end{bmatrix}$ . Whence the third point  $z$  can be scaled by any positive real number, but its sign cannot be changed. Therefore the orbits of the triples  $(0, \infty, 1)$  and  $(0, \infty, -1)$  are distinct.)
- (iv) The action of  $PSL_2(\mathbb{R})$  on the upper hemisphere  $U = \{z : \operatorname{Im}(z) > 0\}$  is transitive. (By choosing the appropriate  $a, b \in \mathbb{R}$ , the matrix  $\begin{pmatrix} a & ba^{-1} \\ 0 & a^{-1} \end{pmatrix}$  will map the point  $\begin{bmatrix} i \\ 1 \end{bmatrix} \leftrightarrow i \in U$  to any desired point in  $U$ .)
- (v) The stabilizer of  $i$  is  $\left\{ \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} : \theta \in \mathbb{R} \right\} = SO_2(\mathbb{R})$  (exercise: verify this by a calculation). Identifying  $i \in \hat{\mathbb{C}} \simeq \mathbb{S}^2$  with the north pole and  $\mathbb{P}^1(\mathbb{R}) \subset \hat{\mathbb{C}}$  with the equator, such matrices rotate the sphere an angle of  $\theta$  about the axis through  $i$  and  $-i$ . Be careful! This action of  $SO_2(\mathbb{R})$  on  $\hat{\mathbb{C}}$

by Möbius transformations is not linear and should not be confused with the usual (linear) action of  $SO_2(\mathbb{R})$  on  $\mathbb{R}^2 \simeq \mathbb{C}$  by rotation.

Observations about the action of  $\text{Möb}(\mathbb{C})$ :

- (i) The subgroup of  $\text{Möb}(\mathbb{C})$  that fixes the origin and the unit circle is  $\{z \mapsto e^{2\pi i\alpha} z : \alpha \in [0, 1]\}$ . (Clearly such maps fix the origin and unit circle. Use 3-transitivity to show that all such maps are of this form.)
- (ii) The action of the Möbius group is conformal (i.e. it preserves angles). (This follows from the complex-differentiability of Möbius transformations: the action of the differential is by scalar multiplication, which is conformal).

## 5. HYPERBOLIC SPACE

**Definition 5.1.** Consider the unit disk  $\mathbb{D} = \{z \in \hat{\mathbb{C}} : |z| < 1\}$ . A *hyperbolic line* in  $\mathbb{D}$  is a (generalized) circle that intersects the unit circle  $\mathbb{S}^1 = \partial\mathbb{D}$  in right angles.

By 3-transitivity, there is an element  $g \in \text{Möb}(\mathbb{C})$  sending the circle  $\mathbb{P}^1(\mathbb{R})$  to the unit circle  $\partial\mathbb{D}$  and the upper-hemisphere  $\{\text{Im}z > 0\}$  to the unit disk  $\mathbb{D}$ . It follows that the conjugate subgroup  $\mathcal{D} = g(\text{PSL}_2(\mathbb{R}))g^{-1}$  is the subgroup of  $\text{Möb}(\mathbb{C})$  which preserves  $\mathbb{D}$ . The action of  $\mathcal{D}$  on  $\mathbb{D}$  is therefore conformal, 2-transitive on  $\partial\mathbb{D}$ , 1-transitive on  $\mathbb{D}$ , and sends hyperbolic-lines to hyperbolic lines.

**Claim 5.2.** *For every two (distinct) points in  $\overline{\mathbb{D}}$ , there is a unique hyperbolic line containing them.*

*Proof.* This is immediate for a pair of antipodal points on  $\partial\mathbb{D}$  as diameters are clearly hyperbolic lines. The claim thus follows for arbitrary pairs on  $\partial\mathbb{D}$  by the 2-transitivity of the conformal action of  $\mathcal{D}$  on  $\partial\mathbb{D}$ . Similarly, the claim is clear for pairs of the form  $(0, y)$  with  $y \in \overline{\mathbb{D}}$  as the diameter containing  $y$  again suffices. Therefore, the result holds for arbitrary pairs by the 1-transitivity of the action of  $\mathcal{D}$  on  $\mathbb{D}$ .  $\square$

**Claim 5.3.** *The stabilizer of  $\partial\mathbb{D}$  in  $\text{Möb}(\mathbb{C})$  acts transitively on the set of hyperbolic lines.*

*Proof.* This follows from the 3-transitivity of the action of  $\text{Möb}(\mathbb{C})$  on the unit circle and the bijective correspondence between hyperbolic lines and pairs of points on the unit circle.  $\square$

We now adjust our ordering convention for the cross ratio: For  $z_0, z_1, z_2, z_3 \in \hat{\mathbb{C}}$ , set their cross ratio to be  $(z_0, z_1, z_2, z_3) = \frac{(z_2 - z_0)(z_3 - z_1)}{(z_1 - z_0)(z_3 - z_2)}$ . (Note: this is the standard convention for the cross-ratio; it is a permutation of the definition given earlier).

**Definition 5.4.** For  $x, y \in \mathbb{D}$ , let  $L$  be the unique hyperbolic line through  $x$  and  $y$ , and let  $s, t$  be the intersections of  $L$  with the unit circle  $\partial\mathbb{D}$  (labeled so that the points appear in the order  $(s, x, y, t)$  on  $L$ ). Define a metric on  $\mathbb{D}$  by setting

$$d(x, y) = \frac{1}{2} |\log(s, x, y, t)|$$

(recall that by Thm 4.8 the cross-ratio of four points on the same circle is a real number).

Note that there are some symmetries in the cross-ratio  $(s, x, y, t)$  obtained by permuting the variables. Exercise: Find all of the possibilities for the cross-ratio of four distinct points.

*Remark.*  $d$  is invariant under the subgroup  $\mathcal{D}$  of  $\text{Möb}(\mathbb{C})$  preserving  $\mathbb{D}$ .

*Observation.* Let  $z_0, z_1, \dots, z_4$  be any 5 points on the same circle. Then

$$\begin{aligned} (z_0, z_1, z_3, z_4) &= \frac{(z_3 - z_0)(z_4 - z_1)}{(z_1 - z_0)(z_4 - z_3)} = \frac{(z_2 - z_0)(z_4 - z_1)}{(z_1 - z_0)(z_4 - z_2)} \cdot \frac{(z_3 - z_0)(z_4 - z_2)}{(z_2 - z_0)(z_4 - z_3)} \\ &= (z_0, z_1, z_2, z_4) \cdot (z_0, z_2, z_3, z_4) \end{aligned}$$

**Corollary 5.5.** *Hyperbolic lines are geodesics in the metric space  $(\mathbb{D}, d)$ . (A geodesic is an isometric embedding (copy) of  $\mathbb{R}$  into a metric space.)*

**Theorem 5.6.**  *$d$  is a metric on  $\mathbb{D}$ .*

*Proof.* The only non-trivial thing to check is the triangle inequality. We sketch a proof of this: Let  $x, y, z \in \mathbb{D}$  and consider the (hyperbolic) triangle  $\Delta \subset \mathbb{D}$  having these points as vertices. Let  $a, b, c$  denote the lengths of these sides so that  $d(x, z) = a$ ,  $d(x, y) = b$ , and  $d(y, z) = c$ , and let  $A, B, C$  be the corresponding hyperbolic lines comprising the sides of  $\Delta$ . We must show  $a \leq b + c$ .

Let  $P$  be the hyperbolic line through  $y$  and perpendicular to  $A$ . and let  $w$  be the intersection point of  $A$  and  $P$ . If  $a' = d(x, w)$  and  $a'' = d(w, z)$ , then, by the above observation, we have  $a = a' + a''$ . Thus it suffices to prove  $a' \leq b$  and  $a'' \leq c$ , so we have reduced to the case of a right triangle.

By applying a Möbius transformation, we may assume that  $x = 0$  so that the hyperbolic lines  $A$  and  $B$  connecting  $x$  to  $w$  and  $y$  are diameters (i.e. straight Euclidean lines). Therefore, if  $\tilde{a}$  and  $\tilde{b}$  are the Euclidean distances from  $x$  to  $w$  and  $y$ , it suffices to check that  $\tilde{a} \leq \tilde{b}$ . The hyperbolic line  $P$  from  $w$  to  $y$  is now a circular arc perpendicular to the diameter  $A$ . Draw the straight Euclidean line  $E$  through  $w$  perpendicular to  $A$  (so  $E$  is tangent to  $P$  at  $w$ ). Since (looking at things Euclideanly) the line  $P$  is curving away from the origin  $x$ , we see that  $E$  intersects  $B$  closer to  $x$  than does  $P$ ; call this Euclidean distance  $\tilde{b}'$  so that  $\tilde{b}' \leq \tilde{b}$ . Finally, the lines  $A, B, E$  form a Euclidean right triangle with hypotenuse of length  $\tilde{b}'$ . As  $\tilde{a}$  is the length of one leg of this triangle, Euclidean geometry implies that  $\tilde{a} \leq \tilde{b}' \leq \tilde{b}$  as desired.  $\square$

**Corollary 5.7.**  *$(\mathbb{D}, d)$  is a metric space and the subgroup  $\mathcal{D} \leq \text{PGL}_2(\mathbb{C})$  preserving  $\mathbb{D}$  acts on it by isometries. Indeed, the same holds for any other circle in  $\hat{\mathbb{C}}$ , in particular, for the upper half plane  $\{z : \text{Im}(z) > 0\}$  on which  $\text{PSL}_2(\mathbb{R})$  acts by isometries.*

*Exercise.* Show that  $\text{PSL}_2(\mathbb{R}) \leq \text{Isom}(\text{Upper half-plane})$  is an index-2 subgroup.

**Definition 5.8.** The metric space  $(\mathbb{D}, d)$  is called the *hyperbolic plane*, and is denoted by  $\mathbb{H}^2$  (sometimes  $\mathbb{H}^2(\mathbb{R})$ ).

## 6. THE GROUP $\text{PSL}_2(\mathbb{Z})$

The goal in this section is to look at images of  $\text{PSL}_2(\mathbb{Z})$  in  $\text{PSL}_2(\mathbb{R})$  and understand the corresponding actions on  $\mathbb{H}^2$ . To this end, we study the space of unimodular lattices.

What are all the basis of  $\mathbb{C}$ ? Each such is given by a map (of abelian groups)  $\mathbb{Z}^2 \xrightarrow{\varphi} \mathbb{C}$  such that  $\varphi\left(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right)$  and  $\varphi\left(\begin{smallmatrix} 0 \\ 1 \end{smallmatrix}\right)$  are not on the same line. Considering  $\mathbb{C}$  as a 2-dimensional vector space, all such maps are linear, so we may set

$\text{Map}^+(\mathbb{Z}^2, \mathbb{C}) = \{\varphi : \mathbb{Z}^2 \rightarrow \mathbb{C} : \det(\varphi) > 0\}$  to be the space of all such maps with positive determinant.

We will now mod out by some obvious equivalences on this space: There is an obvious left-action of  $\mathbb{C}^*$  on  $\text{Map}^+(\mathbb{Z}^2, \mathbb{C})$  by post-multiplication by a scalar:  $\lambda \cdot \varphi = \lambda\varphi$ . Similarly, there is a right-action of  $\text{Aut}^+(\mathbb{Z}^2) = SL_2(\mathbb{Z})$  on  $\text{Map}^+(\mathbb{Z}^2, \mathbb{C})$  by pre-composition:  $\varphi \cdot \alpha = \varphi \circ \alpha$ . Since these two actions correspond to post- and pre-composition respectively ( $\lambda \cdot \varphi \cdot \alpha = \mathbb{Z}^2 \xrightarrow{\alpha} \mathbb{Z}^2 \xrightarrow{\varphi} \mathbb{C} \xrightarrow{\lambda} \mathbb{C}$ ), the associativity of composition of maps implies that the two actions commute with each other

(Note: For  $R$  a ring,

$$GL_2(R) = \{2 \times 2 \text{ invertible matrices over } R\} = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : ad - bc \in R^* \right\}$$

(where  $R^*$  denotes the set of units of  $R$ ). Therefore  $GL_2(\mathbb{Z}) \neq \mathcal{M}_{2 \times 2} \cap GL_2(\mathbb{R})$ ; but rather  $GL_2(\mathbb{Z}) = \mathcal{M}_{2 \times 2} \cap \{\det = \pm 1\}$ . Whence  $SL_2(\mathbb{Z})$  is an index-2 subgroup of  $GL_2(\mathbb{Z})$ .)

There is a natural correspondence:  $\text{Map}^+(\mathbb{Z}^2, \mathbb{C})/C^* \rightarrow \mathbb{H}^2$  (in upper half-plane model) given by  $\varphi \mapsto \varphi \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \varphi \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}^{-1}$ ; this is clearly a bijection because for every  $z \in \mathbb{H}^2$ , there is a  $\varphi \in \text{Map}^+(\mathbb{Z}^2, \mathbb{C})$  with  $\varphi \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = z$  and  $\varphi \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = 1$ . Since the actions of  $SL_2(\mathbb{Z})$  and  $\mathbb{C}^*$  on  $\text{Map}^+(\mathbb{Z}^2, \mathbb{C})$  commute, we get an induced action of  $SL_2(\mathbb{Z})$  on the quotient  $\text{Map}^+(\mathbb{Z}^2, \mathbb{C})/C^* \cong \mathbb{H}^2$ . We also have the natural action of  $SL_2(\mathbb{Z})$  on  $\mathbb{H}^2$  by Möbius transformations. These two actions are related very simply:

**Claim 6.1.** *The action of  $SL_2(\mathbb{Z})$  on the quotient  $\text{Map}^+(\mathbb{Z}^2, \mathbb{C})/C^* \cong \mathbb{H}^2$  agrees with the usual action on  $\mathbb{H}^2$  by Möbius transformations; i.e. the correspondence  $\text{Map}^+(\mathbb{Z}^2, \mathbb{C})/C^* \rightarrow \mathbb{H}^2$  is an  $SL_2(\mathbb{Z})$ -equivariant bijection.*

*Remark.*  $GL_2(\mathbb{Z}) \backslash \text{Map}^+(\mathbb{Z}^2, \mathbb{C}) \cong SL_2(\mathbb{Z}) \backslash \text{Map}^+(\mathbb{Z}^2, \mathbb{C})$  can be identified with the space of lattices in  $\mathbb{C}$ , or with the space of “torus group quotients” of  $\mathbb{C}$ . In fact the quotient

$$MV = PSL_2(\mathbb{Z}) \backslash \text{Map}^+(\mathbb{Z}^2, \mathbb{C})/C^*$$

is the space of “complex structures on the torus”; also called the *modular variety*. Accordingly,  $PSL_2(\mathbb{Z})$  is also called the *modular group*.

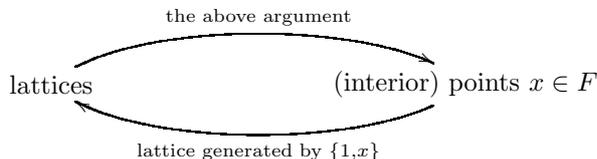
*Question.* What does the space  $MV$  look like?

To answer this, we will study/find a *fundamental domain* for the action of  $PSL_2(\mathbb{Z})$  on  $\mathbb{H}^2$  (a *fundamental domain* is a subset of  $\mathbb{H}^2$  that meets each (generic) orbit in exactly one point). (Note: Although  $PSL_2(\mathbb{R})$  acts transitively on  $\mathbb{H}^2$ , the subgroup  $PSL_2(\mathbb{Z}) \leq PSL_2(\mathbb{R})$  is discrete and therefore cannot act transitively.)

**Claim 6.2.** *Let  $F = \{z \in \mathbb{H}^2 : |z| \geq 1\} \cap \{z \in \mathbb{H}^2 : -\frac{1}{2} \leq \text{Im}(z) \leq \frac{1}{2}\}$ . Then  $F$  is a fundamental domain for the action of  $PSL_2(\mathbb{Z})$  on  $\mathbb{H}^2$ ; more precisely, every orbit of  $PSL_2(\mathbb{Z})$  in  $\mathbb{H}^2$  meets  $F$  in exactly one interior point, exactly two boundary points, or the point  $i$ .*

*Proof.* (sketch) Consider a lattice  $\Lambda = \varphi(\mathbb{Z}^2)$  for  $\varphi \in \text{Map}^+(\mathbb{Z}^2, \mathbb{C})$ . Up to the action of  $\mathbb{C}^*$ , we may assume that  $\varphi \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = 1$ . Up to the  $SL_2(\mathbb{Z})$  action, we may assume that (i)  $\varphi \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  is of shortest length in  $\varphi(\mathbb{Z}^2)$  and that (ii)  $|\text{Re}(\varphi \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix})| \leq \frac{1}{2}$ ; whence  $x = \varphi \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \in F$ . Since  $\{\varphi \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \varphi \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\}$  forms a basis of  $\varphi(\mathbb{Z}^2)$ , it follows that (iii)  $\text{Im}(x)$  is minimal in  $\varphi(\mathbb{Z}^2)$ . (Note that facts (i)-(iii) uniquely determine a vector  $v$  such that  $\{1, v\}$  is a basis.) Therefore, to the lattice  $\Lambda$  we associate the unique

point  $x = \varphi \begin{pmatrix} 0 \\ 1 \end{pmatrix} \in \Lambda$  such that  $x \in F$  and  $\text{Im}(x)$  is minimal in  $\Lambda$ . Conversely, given an interior point  $y \in F$  we associate the lattice with basis  $\{1, y\}$ . Thus we have a bijection:



However, this is not a bijection on  $\partial F$  where we have the identifications  $z \leftrightarrow z+1$  for  $z \in F \cap \{\text{Re}(z) = -\frac{1}{2}\}$  (corresponding to the action by the group element  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \in PSL_2(\mathbb{Z})$ ), and  $z \leftrightarrow -\frac{1}{z}$  for  $z \in F \cap \{|z| = 1\}$  (corresponding to the action by the group element  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \in PSL_2(\mathbb{Z})$ ). Making these identifications, we see that the modular variety (recall that  $MV = \text{the quotient space } PSL_2(\mathbb{Z}) \backslash \text{Map}^+(\mathbb{Z}^2, \mathbb{C}) / \mathbb{C}^*$ ) is shaped like a “triangular pillowcase” with cusps at  $i, (\frac{1}{2}, \frac{\sqrt{3}}{2})$ , and  $\infty$ .  $\square$

This picture of the modular variety should help us understand the algebraic structure of  $PSL_2(\mathbb{Z})$ .

### 7. THE STRUCTURE OF $PSL_2(\mathbb{Z})$

Consider the elements  $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \in PSL_2(\mathbb{Z})$  corresponding to the Möbius transformations  $S(z) = -\frac{1}{z}$ , and  $T(z) = z + 1$ .

**Claim 7.1.** *The elements  $S$  and  $T$  generate  $PSL_2(\mathbb{Z})$ .*

*Proof.* (sketch) Observe that the stabilizer of  $2i \in F$  is trivial in  $PSL_2(\mathbb{Z})$ . (Exercise: show this. Idea: if  $\frac{a(2i)+b}{c(2i)+d} = 2i$  with  $a, b, c, d \in \mathbb{Z}$ , then conclude  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} \pm 1 & 0 \\ 0 & \pm 1 \end{pmatrix}$ .) Now, consider  $g \in PSL_2(\mathbb{Z})$  and let  $y = g(2i)$ . The goal is to use  $S$  and  $T$  to send  $y$  into  $F$ , i.e. to find a word  $w$  in  $S$  and  $T$  such that  $wy \in F$ . Since  $\text{Orbit}_{2i} \cap F = \{2i\}$ , we have that  $2i = wy = (wg)2i$  which implies that  $g = w^{-1}$  is a word in  $S$  and  $T$  since the stabilizer of  $2i$  is trivial.

We now describe the algorithm to construct the word  $w$ :

- (i) If  $\text{Im}(y) > 1$ , then  $T^n y \in F$  for some  $n \in \mathbb{Z}$
- (ii) If  $\text{Im}(y) < 1$ , then we apply  $T^n$  to get either into  $F$  or into the open hemisphere  $\{|z| < 1\}$ . In the first case we are done, in the second, apply  $S$  to increase  $\text{Im}(y)$ .
- (iii) Repeat.

We need only check that  $\text{Im}(y)$  increases by a sufficient amount each time so that we always eventually obtain  $\text{Im}(y) > 1$  (i.e., so that the algorithm terminates). This is made more precise by the following compactness argument: If I start at  $y = a + bi$ , then when I apply  $S$  and  $T$  as in the above algorithm, I always end up in  $\{z : |z| \leq \frac{1}{b}\} \cap \{z : \text{Im}(z) \geq b\}$ , which is a compact set. This compact set can only intersect finitely many copies of the fundamental domain (in the tiling of  $\mathbb{H}^2$  by the images of  $F$  under  $S$  and  $T$ ), so the algorithm must terminate.  $\square$

**Corollary 7.2.** *Set  $U = ST$ . Then  $PSL_2(\mathbb{Z})$  is generated by  $S$  and  $U$ .*

Observe that  $S(z) = -\frac{1}{z} \leftrightarrow \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  and  $U(z) = \frac{-1}{z+1} \leftrightarrow \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}$ . One easily checks that  $S^2 = 1 = U^3$ .

Consider the group  $G$  given by the presentation  $G = \langle \tilde{S}, \tilde{U} : \tilde{S}^2 = \tilde{U}^3 = 1 \rangle$ . Thus  $G$  is the (universal) group generated by the two elements  $\tilde{S}, \tilde{U}$  under the relations  $\tilde{S}^2 = 1 = \tilde{U}^3$ . (Note that this is the same as the free product:  $G = C_2 * C_3$ , where  $C_n$  is the cyclic group of order  $n$ .)

Such a group can be constructed as follows: Take the free group  $F(\tilde{S}, \tilde{U})$  on the two symbols  $\tilde{S}$  and  $\tilde{U}$ . That is

$$F(\tilde{S}, \tilde{U}) = \{\text{all reduced words in } \tilde{S}, \tilde{U}, \tilde{S}^{-1}, \tilde{U}^{-1}\}$$

(*reduced* means that we cancel all terms  $\tilde{S}\tilde{S}^{-1}, \tilde{S}^{-1}\tilde{S}, \tilde{U}\tilde{U}^{-1}, \tilde{U}^{-1}\tilde{U}$ ). Note that this  $F$  is a functor from the category of sets to the category of groups. It is left adjoint to the forgetful functor  $U$  from the category of groups to the category of sets.

Let  $N$  be the minimal normal subgroup of  $F(\tilde{S}, \tilde{U})$  containing the elements  $\tilde{S}^2$  and  $\tilde{U}^3$  (e.g. take  $N$  to be the intersection of all such subgroups). The presentation  $\langle \tilde{S}, \tilde{U} | \tilde{S}^2 = \tilde{U}^3 = 1 \rangle$  is defined to be the quotient by  $N$ :

$$G = \langle \tilde{S}, \tilde{U} | \tilde{S}^2 = \tilde{U}^3 = 1 \rangle := F(\tilde{S}, \tilde{U})/N$$

The groups  $F(\tilde{S}, \tilde{U})$  and  $G$  satisfy the following “universal” properties:

- (i) For every group  $\Gamma$ , and any two elements  $s, u \in \Gamma$ , there exists a unique homomorphism  $\Theta : F(\tilde{S}, \tilde{U}) \rightarrow \Gamma$  such that  $\tilde{S} \mapsto s$  and  $\tilde{U} \mapsto u$ . Moreover, this map is onto iff  $\Gamma$  is generated by  $s$  and  $u$ .
- (ii) If in  $\Gamma$  we have  $s^2 = u^3 = 1$ , then  $\Theta$  factors through the quotient group  $G$ :

$$\begin{array}{ccc} F(\tilde{S}, \tilde{U}) & & \\ \downarrow & \searrow \Theta & \\ G = F(\tilde{S}, \tilde{U})/N & \xrightarrow{\exists! \theta} & \Gamma \end{array}$$

and gives a unique map  $\theta : G \rightarrow \Gamma$  such that  $\tilde{S} \mapsto s$  and  $\tilde{U} \mapsto u$ .

**Corollary 7.3.** *There is a unique (surjective) map  $\Psi : G = \langle \tilde{S}, \tilde{U} | \tilde{S}^2 = \tilde{U}^3 = 1 \rangle \rightarrow PSL_2(\mathbb{Z})$  such that  $\Psi(\tilde{S}) = S$  and  $\Psi(\tilde{U}) = U$ .*

**Theorem 7.4.** *The natural map  $\Psi : G \rightarrow PSL_2(\mathbb{Z})$  is an isomorphism.*

*Proof.* It remains to prove that  $\Psi$  is injective.

First, let's consider the action of  $PLS_2(\mathbb{Z})$  on  $\mathbb{P}^1(\mathbb{R})$ . Consider  $\mathbb{S}^1 \cong \mathbb{P}^1(\mathbb{R}) \subset \mathbb{P}^1(\mathbb{C}) \cong \mathbb{S}^2$ . If we let  $0, \infty \in \mathbb{P}^1(\mathbb{C})$  be the south and north poles of  $\mathbb{S}^2$  respectively, then  $\mathbb{P}^1(\mathbb{R})$  is a great meridian of the sphere. Then  $\mathbb{P}^1(\mathbb{R}) - \{0, \infty\} = L' \cup R'$  where  $L'$  and  $R'$  are the two (open) circular arcs from  $0$  to  $\infty$ , labelled such that  $-1 \in L'$  and  $1 \in R'$  (i.e. the left and right halves of the circle  $\mathbb{P}^1(\mathbb{R})$ ). Let  $L = \overline{L'} = L' \cup \{0, \infty\}$  and, similarly,  $R = \overline{R'} = R' \cup \{0, \infty\}$ . We now consider the images of  $L$  and  $R$  under the maps  $S, U$ , and  $U^2$ :

$S(z) = -\frac{1}{z}$ . Thus  $S$  maps  $0 \mapsto \infty, \infty \mapsto 0, 1 \mapsto -1$ , and  $-1 \mapsto 1$ ; whence  $S(L) \subset R$ .  $U(z) = \frac{-1}{z+1}$ . Thus  $U$  maps  $0 \mapsto -1, \infty \mapsto 0, 1 \mapsto -\frac{1}{2}$ , and  $-1 \mapsto \infty$ ; whence  $U(R) \subset L$ . Finally,  $U^2(z) = \frac{z+1}{-z}$ . Thus  $U^2$  maps  $0 \mapsto \infty, \infty \mapsto -1, 1 \mapsto -2$ , and  $-1 \mapsto 0$ ; whence  $U^2(R) \subset L$  as well.

The point is that  $S$  sends the left half to the right half, whereas  $U$  and  $U^2$  send the right half to the left half. Therefore, in their action on  $\mathbb{P}^1(\mathbb{R})$ , we may imagine that  $S$  is playing ping-pong against the  $U$ 's.

We now prove the claim: If  $\Psi$  is not injective, then there is an element  $w \in G$  with  $\Psi(w) = 1 \in PSL_2(\mathbb{Z})$ . As  $w \in \langle \tilde{S}, \tilde{U} \mid \tilde{S}^2 = \tilde{U}^3 = 1 \rangle$ ,  $w$  can be written as a reduced word in  $\tilde{S}$ ,  $\tilde{U}$ , and  $\tilde{U}^2 = \tilde{U}^{-1}$  in which no two  $\tilde{U}$  terms next appear to each other. As  $\Psi(gwg^{-1}) = 1$  for all  $g \in G$ , by conjugating by an appropriate  $g \in G$ , we may assume that  $w$  starts and ends with  $\tilde{U}$  and  $\tilde{U}^{-1}$  (i.e. that  $\tilde{S}$  is neither the first nor last letter in  $w$ ), hence  $w = \tilde{U}^{\varepsilon_1} \tilde{S} \tilde{U}^{\varepsilon_2} \tilde{S} \cdots \tilde{S} \tilde{U}^{\varepsilon_n}$  for some  $n$  with  $\varepsilon_i = \pm 1$  for each  $i$ . Then  $U^{\varepsilon_1} S U^{\varepsilon_2} S \cdots S U^{\varepsilon_n} = \Psi(w) = 1$  acts as the identity on  $\mathbb{P}^1(\mathbb{R})$ . However, this contradicts the ping-pong game that  $S$  and the  $U$ 's are playing against each other: since there are  $n$   $U$  letters and  $n - 1$   $S$  letters, we see that  $\Psi(w)$  maps  $R$  into  $L$ . In particular have  $1 = \text{Id}_{\mathbb{P}^1(\mathbb{R})}(1) = (\Psi(w))(1) \in L$ , which is a contradiction. Therefore, there is no such  $w \in G$  and  $\Psi$  must be injective.  $\square$

*Remark.* People take this ping-pong idea to great extents; there is even a whole abstract formulation and a “ping-pong lemma” and such. Indeed, by utilizing the ping-pong lemma, Jacques Tits proved the following:

**Theorem 7.5.** (*Tit's Alternative*) *Over any field  $K$ , for any dimension  $n$ , and any group  $\Gamma < GL_n(K)$ , either  $\Gamma$  contains a copy of  $\mathbb{F}_2$  (the free group on 2 generators), or  $\Gamma$  is virtually solvable (i.e. contains a solvable subgroup of finite index).*

*Exercise.* Prove, using the same techniques, that  $SL_2(\mathbb{Z}) \cong \langle a, b \mid a^4 = b^6 = 1 \rangle$ .