

# MODULAR FORMS AND HECKE OPERATORS

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ABSTRACT. This paper focuses on discussing Hecke operators in the theory of modular forms and its relation to Hecke rings which occur in representation theory. We will first introduce the basic definitions and properties of modular forms and Hecke operators. In particular, we will show that the space of cusp forms of an arbitrary weight with respect to a specific congruence subgroup is an inner product space and discuss that the space has an orthogonal basis of simultaneous eigenvectors for the Hecke operators. We will then shift the focus to Hecke rings and do relevant computations regarding the Hecke ring of  $GL_2(\mathbb{Q}_p)$ .

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## 1. INTRODUCTION

Modular forms are functions on the complex upper half plane that satisfy certain transformation conditions and holomorphy conditions. The theory of modular forms is a significant branch of modern number theory – for instance, the Modularity Theorem suggests that *all rational elliptic curves arise from modular forms* with some restrictions. This theorem was essential to the proof of Fermat’s Last Theorem by Richard Taylor and Andrew Wiles.

In this paper, we aim to discuss Hecke operators in the theory of modular forms. We will show how the Hecke ring  $\mathcal{H}(GL_2(\mathbb{Q}_p), GL_2(\mathbb{Z}_p))$ , the ring of all locally constant, compactly supported  $GL_2(\mathbb{Z}_p)$ -bi-invariant functions  $f : GL_2(\mathbb{Q}_p) \rightarrow \mathbb{C}$ , is associated with the Hecke operator attached to  $p$ . To do so, we will first give an introduction to modular forms with some relevant properties and basic

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*Date:* August 28 2020.

computations. We will then introduce Hecke operators and discuss some of their properties. From here, we will shift topics to discuss Hecke rings and give relevant computations on the Hecke ring of  $GL_2(\mathbb{Q}_p)$ .

This expository paper is written in part for the University of Chicago REU programme of 2020. For the paper, we will presume basic knowledge of linear algebra, algebra and complex analysis.

## 2. MODULAR FORM AND CONGRUENCE SUBGROUP

In order to define modular forms, we will first introduce principal congruence subgroup and congruence subgroups.

**Definition 2.1.** Let  $N$  be a positive integer. The *principal congruence subgroup of level  $N$*  is

$$\Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}.$$

A subgroup  $\Gamma$  of  $SL_2(\mathbb{Z})$  is a *congruence subgroup* if  $\Gamma(N) \subseteq \Gamma$  for some  $N \in \mathbb{Z}^+$ , in which case  $\Gamma$  is a *congruence subgroup of level  $N$* .

Some important congruence subgroups are

$$(2.2) \quad \Gamma_0(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} \star & \star \\ 0 & \star \end{pmatrix} \pmod{N} \right\}$$

and

$$(2.3) \quad \Gamma_1(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & \star \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$

where  $\star$  denotes unspecified value at that position.

We need two more definitions to define what a modular forms with respect to a congruence subgroup.

**Definition 2.4.** Let  $\mathbb{H}$  denote the upper half complex plane, i.e.

$$\mathbb{H} = \{\tau \in \mathbb{C} : \text{Im}(\tau) > 0\}.$$

Let  $\gamma \in SL_2(\mathbb{Z})$  be  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  and  $\tau \in \mathbb{H}$ . The *factor of automorphy*  $j(\gamma, \tau)$  is

$$j(\gamma, \tau) = c\tau + d.$$

Now, let  $f$  be a function mapping from  $\mathbb{H}$  to  $\mathbb{C}$  and  $k$  be an element in  $\mathbb{Z}$ . The *weight- $k$  operator*  $[\gamma]_k$  on  $f$  is

$$(f[\gamma]_k)(\tau) = j(\gamma, \tau)^{-k} f(\gamma(\tau))$$

where  $\gamma(\tau)$  is

$$(2.5) \quad \gamma(\tau) = \frac{a\tau + b}{c\tau + d}.$$

Using the above notation,

**Definition 2.6.** Let  $\Gamma$  be a congruence subgroup of  $SL_2(\mathbb{Z})$  and  $k$  be an integer. A function  $f : \mathbb{H} \rightarrow \mathbb{C}$  is a *modular form of weight  $k$  with respect to  $\Gamma$*  if

- (i)  $f$  is holomorphic
- (ii)  $f$  is weight- $k$  invariant under  $\Gamma$ , i.e. for all  $\gamma \in \Gamma$ ,  $f[\gamma]_k = f$
- (iii)  $f[\alpha]_k$  is holomorphic at  $\infty$  for all  $\alpha \in SL_2(\mathbb{Z})$ .

The set of modular forms of weight  $k$  with respect to  $\Gamma$  is denoted as  $\mathcal{M}_k(\Gamma)$ . In addition, the set of  $\mathcal{M}_k(\Gamma)$  forms a graded ring. This can be denoted as

$$\mathcal{M}(\Gamma) = \bigoplus_{k \in \mathbb{Z}} \mathcal{M}_k(\Gamma).$$

In addition,  $\mathcal{M}_k(\Gamma)$  are vector spaces over  $\mathbb{C}$ .

Some trivial examples include constant functions on  $\mathbb{H}$  – they are modular forms of weight 0; in particular, the zero function on  $\mathbb{H}$  is a modular form of every weight. For non-trivial examples, we turn to Eisenstein series of even weight greater than 2. They are defined as follows,

**Definition 2.7.** An *Eisenstein series of weight  $2k$* , with  $k > 1, k \in \mathbb{Z}$ , is defined as

$$G_{2k}(\tau) = \sum_{(c,d) \in \mathbb{Z}^2 - \{(0,0)\}} \frac{1}{(c\tau + d)^{2k}}, \tau \in \mathbb{H}.$$

By checking conditions in the definition, we see that Eisenstein series of weight  $2k$  for  $k > 1$  is a modular form of weight  $2k$ .

Each congruence subgroup  $\Gamma$  of  $SL_2(\mathbb{Z})$  contains a translation matrix  $\begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix}$  for some minimal  $h \in \mathbb{Z}^+$ . Thus, if  $f$  is a modular form with respect to  $\Gamma$ , then  $f$  has a Fourier expansion,

$$f(\tau) = \sum_{n=0}^{\infty} a_n q_h^n, q_h = e^{2\pi i \tau / h}.$$

By considering the Fourier expansion of  $f[\alpha]_k$  for all  $\alpha \in SL_2(\mathbb{Z})$ , we can define a cusp form with respect to  $\Gamma$ .

**Definition 2.8.** A *cusp form of weight  $k$  with respect to  $\Gamma$*  is a modular form of weight  $k$  with respect to  $\Gamma$  such that for all  $\alpha \in SL_2(\mathbb{Z})$ , the Fourier expansion of  $f[\alpha]_k$  has no constant coefficients, i.e.  $a_0 = 0$ .

The set of cusp forms of weight  $k$  with respect to  $\Gamma$  is denoted as  $\mathcal{S}_k(\Gamma)$  and the set of cusp forms with respect to  $\Gamma$  forms a graded ideal in  $\mathcal{M}(\Gamma)$ , denoted as

$$\mathcal{S}(\Gamma) = \bigoplus_{k \in \mathbb{Z}} \mathcal{S}_k(\Gamma).$$

### 3. ACTION OF $GL_2^+(\mathbb{Q})$ ON $\mathbb{H}$

Hecke operators are defined by double coset operators, which are characterized by the action of  $GL_2^+(\mathbb{Q})$ , the group of 2-by-2 matrices with positive determinant and rational entries, on modular forms. We will first look at how  $GL_2^+(\mathbb{Q})$  acts on  $\mathbb{H}$ . From here, by extending the definition of factors of automorphy and weight- $k$  operators to  $GL_2^+(\mathbb{Q})$ , we get an action of  $GL_2^+(\mathbb{Q})$  on the space of modular forms.

For  $\gamma \in GL_2^+(\mathbb{Q})$  and  $\tau \in \mathbb{H}$ , define  $\gamma(\tau)$  as

$$\gamma(\tau) = \begin{pmatrix} a & b \\ c & d \end{pmatrix} (\tau) = \frac{a\tau + b}{c\tau + d}.$$

Because  $\text{Im}(\gamma(\tau)) = \det \gamma \cdot \text{Im}(\tau) / |c\tau + d|^2$  and  $\det \gamma \geq 0, i\gamma(\tau) \in \mathbb{H}$ . The definition above gives us an action of  $GL_2^+(\mathbb{Q})$  on  $\mathbb{H}$ .

**Definition 3.1.** Let  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2^+(\mathbb{Q})$  and  $\tau \in \mathbb{H}$ . The *factor of automorphy*  $j(\gamma, \tau)$  is

$$(3.2) \quad j(\gamma, \tau) = c\tau + d.$$

For  $f : \mathbb{H} \rightarrow \mathbb{C}$  and  $k \in \mathbb{Z}^+$ . The *weight- $k$  operator*  $[\gamma]_k$  on  $f$  is

$$(3.3) \quad (f[\gamma]_k)(\tau) = (\det \gamma)^{k-1} j(\gamma, \tau)^{-k} f(\gamma(\tau)).$$

The following result gives us that by mapping  $f \in \mathcal{M}_k(\Gamma)$  to  $f[\gamma]_k$  for  $\gamma \in GL_2^+(\mathbb{Q})$ ,  $GL_2^+(\mathbb{Q})$  acts on  $\mathcal{M}_k(\Gamma)$  for a congruence subgroup  $\Gamma$ .

**Lemma 3.4.** For all  $\gamma, \gamma' \in GL_2^+(\mathbb{Q})$  and  $\tau \in \mathbb{H}$

- (i)  $j(\gamma\gamma', \tau) = j(\gamma, \gamma'(\tau))j(\gamma', \tau)$
- (ii)  $(\gamma\gamma')(\tau) = \gamma(\gamma'(\tau))$
- (iii)  $[\gamma\gamma']_k = [\gamma]_k[\gamma']_k$

*Proof.* The first two parts of the lemma can be proven by direct computations. For the last part of the lemma, take  $f : \mathbb{H} \rightarrow \mathbb{C}$ . By direct substitution on the left hand side of the equation,

$$(3.5) \quad (f[\gamma\gamma']_k)(\tau) = (\det(\gamma\gamma'))^{k-1} j(\gamma\gamma', \tau)^{-k} f(\gamma\gamma'(\tau)).$$

On the right hand side of  $[\gamma\gamma']_k = [\gamma]_k[\gamma']_k$ ,

$$((f([\gamma]_k))[\gamma']_k)(\tau) = (\det \gamma')^{k-1} j(\gamma', \tau)^{-k} (f([\gamma]_k))(\gamma'(\tau)).$$

Expanding the equation gives

$$(3.6) \quad ((f([\gamma]_k))[\gamma']_k)(\tau) = (\det \gamma' \cdot \det \gamma)^{k-1} j(\gamma', \tau)^{-k} j(\gamma, \gamma'(\tau))^{-k} f(\gamma(\gamma'(\tau))).$$

By rearranging, applying the first two parts of the current proposition and the fact that the determinant is multiplicative, the right-hand side of (3.6) is thus equal to the right-hand side of (3.5). Therefore,  $[\gamma\gamma']_k = [\gamma]_k[\gamma']_k$ .  $\square$

#### 4. HECKE OPERATORS

Since Hecke operators are defined through double coset operators, we will first introduce double coset operators and prove the definition is well-defined. Then, we will define Hecke operators and introduce some of their properties, in particular, proving that the Hecke operators commute with each other. From here, we will focus on the space of cusp forms  $\mathcal{S}_k(\Gamma_1(N))$  and show that it is an inner product space with an orthogonal basis of simultaneous eigenvectors of Hecke operators.

**4.1. Double Coset Operator.** Let  $\Gamma_1$  and  $\Gamma_2$  be congruence subgroups of  $SL_2(\mathbb{Z})$ . For  $\alpha \in GL_2^+(\mathbb{Q})$ ,

$$\Gamma_1\alpha\Gamma_2 = \{\gamma_1\alpha\gamma_2 : \gamma_1 \in \Gamma_1, \gamma_2 \in \Gamma_2\}$$

is a *double coset* of  $GL_2^+(\mathbb{Q})$ . Because  $\Gamma_1$  acts on the double coset  $\Gamma_1\alpha\Gamma_2$  by left multiplication, we can rewrite  $\Gamma_1\alpha\Gamma_2$  as a disjoint union of orbit spaces of the group action,

$$\Gamma_1\alpha\Gamma_2 = \bigcup \Gamma_1\beta_j$$

where  $\beta_j$  are orbit representatives.

We will define the double coset operator as the sum of the weight- $k$  operator for each right coset representative. However, for the definition to be well-defined, we need to show the decomposition of the double coset is a *finite* disjoint union of right cosets of  $\Gamma_1$ .

**Proposition 4.1.** *The double coset  $\Gamma_1\alpha\Gamma_2 = \bigcup \Gamma_1\beta_j$  and the union is finite.*

*Proof.* We will follow the proof presented on [1] P164. In order to show that the union is finite, we will first show two following lemmas:

**Lemma 4.2.** *Let  $\alpha$  be an element in  $GL_2^+(\mathbb{Q})$  and  $\Gamma$  be a congruence subgroup. Then  $\alpha^{-1}\Gamma\alpha \cap SL_2(\mathbb{Z})$  is also a congruence subgroup.*

*Proof of the lemma.* By  $\alpha \in GL_2^+(\mathbb{Q})$ , there exists minimal positive integers  $n_0, n_1$  such that  $n_0\alpha \in M_2(\mathbb{Z})$ ,  $n_1\alpha^{-1} \in M_2(\mathbb{Z})$ . Additionally, as  $\Gamma$  is a congruence subgroup, there exists  $n \in \mathbb{Z}$  such that  $\Gamma(n) \subseteq \Gamma$ . Let  $\tilde{N}$  be the product  $n_0n_1n$  and  $N$  be  $\tilde{N}^3$ . This gives us the following containment,

$$\alpha\Gamma(N)\alpha^{-1} \subseteq \alpha(I + N \cdot M_2(\mathbb{Z}))\alpha^{-1} = I + \tilde{N} \cdot \alpha \cdot \tilde{N} \cdot M_2(\mathbb{Z}) \cdot \tilde{N} \cdot \alpha^{-1}.$$

By the above expression, we have

$$\alpha\Gamma(N)\alpha^{-1} \cap SL_2(\mathbb{Z}) \subseteq \Gamma(\tilde{N}).$$

Rearranging the equation gives

$$\Gamma(N) \subseteq \alpha^{-1}\Gamma(\tilde{N})\alpha \cap SL_2(\mathbb{Z}) \subseteq \alpha^{-1}\Gamma(n)\alpha \cap SL_2(\mathbb{Z}) \subseteq \alpha^{-1}\Gamma\alpha \cap SL_2(\mathbb{Z}),$$

hence proving the lemma.  $\square$

**Lemma 4.3.** *Let  $\Gamma_3 = \alpha\Gamma_1\alpha^{-1} \cap \Gamma_2$ .  $\Gamma_3$  is a subgroup of  $\Gamma_2$  and it acts on  $\Gamma_2$  by left multiplication. Let  $l_\alpha$  denote left multiplication by  $\alpha$ ,*

$$l_\alpha : \Gamma_2 \rightarrow \Gamma_1\alpha\Gamma_2$$

$$(4.4) \quad \gamma_2 \mapsto \alpha\gamma_2.$$

*The left multiplication induces a bijection mapping from the orbit space of left multiplication by  $\Gamma_3$  on  $\Gamma_2$  to the orbit space of the left multiplication by  $\Gamma_1$  on  $\Gamma_1\alpha\Gamma_2$ .*

*Proof of the lemma.* Notice that all orbit space representatives are of the form  $\gamma_1\alpha\gamma_2$  for some  $\gamma_1 \in \Gamma_1$  and  $\gamma_2 \in \Gamma_2$ . Since  $\Gamma_1$  acts on  $\Gamma_1\alpha\Gamma_2$  by left multiplication, each orbit representative can be rewritten as  $\alpha \cdot \beta_i$  for some  $\beta_i \in \Gamma_2$ . This shows that  $l_\alpha$  is a surjection.

For proving the map is an injection, let  $\gamma, \gamma' \in \Gamma_2$  be two orbit space representatives such that

$$\Gamma_1\alpha\gamma = \Gamma_1\alpha\gamma'.$$

This implies that

$$\alpha\gamma\gamma'^{-1} \in \Gamma_1\alpha.$$

Multiplying on the left with  $\alpha^{-1}$  on both sides of the equations gives us

$$\gamma\gamma'^{-1} \in \alpha^{-1}\Gamma_1\alpha.$$

Since  $\gamma, \gamma' \in \Gamma_2$ ,  $\gamma\gamma'^{-1}$  is also contained in  $\Gamma_2$ . This implies that

$$\gamma\gamma'^{-1} \in \alpha^{-1}\Gamma_1\alpha \cap \Gamma_2 = \Gamma_3.$$

Therefore,

$$\Gamma_3\gamma = \Gamma_3\gamma',$$

and the left multiplication by  $\alpha$  induces a bijection on orbit spaces.  $\square$

By definition of left multiplication, all orbit spaces of the action by  $\Gamma_3$  are right cosets of  $\Gamma_3$  in  $\Gamma_2$ . Hence, the number of orbits is the same as  $[\Gamma_2 : \Gamma_3]$ . By Lemma 4.3, the fact that  $\Gamma_1$  is a congruence subgroup implies that  $\alpha\Gamma_1\alpha^{-1}$  is also a congruence subgroup. Since the index  $[SL_2(\mathbb{Z}) : \Gamma(N)]$  is finite for any  $n \in \mathbb{Z}$  ([1] P13) and there exists a  $N \in \mathbb{Z}$  such that  $\Gamma(N) \subseteq \Gamma_3$ ,  $[SL_2(\mathbb{Z}) : \Gamma_3]$  is finite. This implies that  $[\Gamma_2 : \Gamma_3]$  is also finite. By bijection of orbit spaces,

$$\Gamma_1\alpha\Gamma_2 = \bigcup \Gamma_1\beta_j$$

is a finite union.  $\square$

Because the double coset is a finite union of orbit spaces, we can define an action on modular forms.

**Definition 4.5.** Let  $\Gamma_1$  and  $\Gamma_2$  be congruence subgroups of  $SL_2(\mathbb{Z})$  and  $\alpha$  be an element in  $GL_2^+(\mathbb{Q})$ . The *weight- $k$   $\Gamma_1\alpha\Gamma_2$  operator* takes functions  $f \in \mathcal{M}_k(\Gamma_1)$  to

$$(4.6) \quad f[\Gamma_1\alpha\Gamma_2]_k = \sum_j f[\beta_j]_k$$

where  $\{\beta_j\}$  are orbit representatives.

For any two orbit representatives  $\beta, \beta'$  of the same orbit,  $\beta\beta'^{-1} \in \Gamma_1$ . For  $f \in \mathcal{M}_k(\Gamma_1)$ , this implies that

$$f[\beta]_k = f[\beta\beta'^{-1}]_k[\beta']_k = f[\beta']_k.$$

The definition of the double coset operator is therefore well-defined.

**4.2.  $\langle d \rangle$  and  $T_p$  operator.** For this section, we will first define two types of Hecke operators and then generalize the definitions. The operators we introduced are presumed to be on modular forms with respect to  $\Gamma_1(N)$ .

**Definition 4.7.** Let  $\alpha$  be the matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(N)$  and define

$$\langle d \rangle : \mathcal{M}_k(\Gamma_1(N)) \rightarrow \mathcal{M}_k(\Gamma_1(N))$$

by

$$\langle d \rangle f = f[\alpha]_k \text{ for any } \alpha = \begin{pmatrix} a & b \\ c & \delta \end{pmatrix} \in \Gamma_0(N) \text{ with } \delta \equiv d \pmod{N}.$$

This is the first type of Hecke operators, also known as a *diamond operator*. To prove that the diamond operator is well-defined, we will first show that  $\Gamma_1(N)$  is a normal subgroup of  $\Gamma_0(N)$ . By definition of  $\Gamma_0(N)$ , the map

$$\phi : \Gamma_0(N) \rightarrow (\mathbb{Z}/N\mathbb{Z})^\times$$

$$(4.8) \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mapsto d \pmod{N}$$

is well-defined and has  $\Gamma_1(N)$  as the kernel. Hence,  $\Gamma_1(N) \triangleleft \Gamma_0(N)$ .

For  $\alpha$  and  $\alpha'$  with  $\phi(\alpha) = \phi(\alpha')$ , we have

$$\alpha' \in \Gamma_1(N)\alpha\Gamma_1(N).$$

Given  $\Gamma_1(N) \triangleleft \Gamma_0(N)$  and equation above,  $\Gamma_1(N)$  acts trivially on  $\mathcal{M}_k(\Gamma_1(N))$ . Thus  $\langle d \rangle$  is well-defined. The second type of Hecke operator is denoted as  $T_p$ . It is defined as follows,

$$T_p : \mathcal{M}_k(\Gamma_1(N)) \rightarrow \mathcal{M}_k(\Gamma_1(N))$$

$$T_p f = f[\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \Gamma_1(N)]_k$$

for  $p$  prime.

Recall that the weight- $k$  double coset operator is defined to be the sum of weight- $k$  operators of the right coset representatives of the double coset. (Definition 4.5) Therefore, by finding the right coset representatives of  $\Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \Gamma_1(N)$ , we can find an explicit representation of the  $T_p$  operator.

**Proposition 4.9.** *Let  $N$  be a positive integer, and let both  $\Gamma_1$  and  $\Gamma_2$  be equal to the congruence subgroup  $\Gamma_1(N)$  (as in (2.3)), and let  $\alpha$  be the matrix  $\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$  where  $p$  is prime. The operator  $T_p = [\Gamma_1 \alpha \Gamma_2]_k$  on  $\mathcal{M}_k(\Gamma_1(N))$  is given by*

$$T_p f = \begin{cases} \sum_{j=0}^{p-1} f \left[ \begin{pmatrix} 1 & j \\ 0 & p \end{pmatrix} \right]_k & \text{if } p|N \\ \sum_{j=0}^{p-1} f \left[ \begin{pmatrix} 1 & j \\ 0 & p \end{pmatrix} \right]_k + f \left[ \begin{pmatrix} m & n \\ N & p \end{pmatrix} \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} \right]_k & \text{if } p \nmid N, \text{ and } mp - nN = 1. \end{cases}$$

*Proof.* By definition of  $T_p$ , it suffices to find the orbit representatives of  $\Gamma_1(N) \alpha \Gamma_1(N)$  for  $\alpha$  as defined by (4.15). By Lemma 4.3, the orbits of  $\Gamma_1(N) \alpha \Gamma_1(N)$  correspond bijectively with right cosets of  $\Gamma_3 = \alpha^{-1} \Gamma_1(N) \alpha \cap \Gamma_1(N)$  in  $\Gamma_1(N)$ . In particular, since  $l_\alpha$  (defined in 4.4) denotes left multiplication with  $\alpha$ , all orbit representatives of  $\Gamma_1(N) \alpha \Gamma_1(N)$  are of the form  $\alpha \beta_j$  with  $\beta_j$  are right coset representatives of  $\Gamma_3$  in  $\Gamma_1(N)$ . Thus, it suffices to find the right coset representatives of  $\Gamma_3$  in  $\Gamma_1(N)$ .

Let

$$\Gamma^0(N, p) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} \star & 0 \\ \star & \star \end{pmatrix} \pmod{p} \right\} \cap \Gamma_1(N).$$

We claim that

$$\Gamma_3 = \Gamma^0(N, p).$$

By computation, for  $\alpha^{-1} = \begin{pmatrix} 1 & 0 \\ 0 & p^{-1} \end{pmatrix}$  and  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_3$ , we have

$$(4.10) \quad \alpha^{-1} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \alpha = \begin{pmatrix} a & pb \\ p^{-1}c & d \end{pmatrix}.$$

In order for  $\alpha^{-1} \gamma \alpha \in \Gamma_1(N)$ ,  $c$  must be divisible by  $p$  and

$$\begin{pmatrix} a & pb \\ p^{-1}c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & \star \\ 0 & 1 \end{pmatrix} \pmod{N}.$$

In addition, by (4.10),

$$\begin{pmatrix} a & pb \\ p^{-1}c & d \end{pmatrix} \equiv \begin{pmatrix} \star & 0 \\ \star & \star \end{pmatrix} \pmod{p}.$$

This implies  $\Gamma \subseteq \Gamma^0(N, p)$ .

For reverse containment, take  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma^0(N, p)$ , in particular, let  $c'$  denotes the element  $p \cdot c$  and  $b$  denotes the element  $p \cdot k$ , where  $k \in \mathbb{Z}$  by definition of

$\Gamma^0(N, p)$ . By computation,

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & p^{-1} \end{pmatrix} \begin{pmatrix} a & k \\ c' & d \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$$

where  $\begin{pmatrix} a & k \\ c' & d \end{pmatrix} \in \Gamma_1(N)$ . Thus,  $\Gamma_3 = \Gamma^0(N, p)$ . In fact,  $\Gamma_3$  is just  $\Gamma_1(N)$  with the additional requirement that  $b \equiv 0 \pmod{p}$ .

Now let

$$\gamma_{2,j} = \begin{pmatrix} 1 & j \\ 0 & 1 \end{pmatrix} \text{ for } 0 \leq j < p.$$

We claim that  $\gamma_{2,j}$  are right coset representatives of  $\Gamma_3$  in  $\Gamma_1(N)$ . For

$$(4.11) \quad \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_1(N),$$

consider

$$\gamma\gamma_{2,j}^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & -j \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a & b - aj \\ c & d - cj \end{pmatrix}.$$

If  $\gamma\gamma_{2,j} \in \Gamma_3$  for some  $0 \leq j < p$ , we then must have

$$b \equiv aj \pmod{p}.$$

This splits into 2 possible cases:  $p \nmid a$  and  $p|a$ . If  $p \nmid a$ , then we are done by taking  $j \equiv a^{-1}b \pmod{p}$ . If  $p|a$ ,  $b$  has to be divisible by  $p$  by computation. However, this would contradict that  $ad - bc = 1$ , so  $a$  has to be indivisible by  $p$ . Instances of  $\gamma \in \Gamma_1(N)$  with  $p|a$  occurs if and only if  $p \nmid N$ . This happens when the set  $\{\gamma_{2,j} \mid 0 \leq j < p\}$  fails to be the complete right coset representatives of  $\Gamma_3$  in  $\Gamma_1(N)$ . In this case, let

$$\gamma_{2,\infty} = \begin{pmatrix} mp & n \\ N & 1 \end{pmatrix} \text{ where } mp - nN = 1.$$

For  $\gamma$  defined by (4.11) with  $p|a$ ,

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & -n \\ -N & mp \end{pmatrix} = \begin{pmatrix} a - Nb & bmp - na \\ c - dN & dmp - nc \end{pmatrix} \text{ with } bmp - na \equiv 0 \pmod{p} \text{ as } p|a,$$

implies that  $\gamma\gamma_{2,\infty}^{-1} \in \Gamma_3$ .

We will now show that  $\gamma_{2,\infty}$  and  $\gamma_{2,j}$  for  $j$  between 0 and  $p-1$  represent distinct right cosets. Let  $0 \leq j, j' < p$  be distinct and consider

$$\gamma_{2,j} \cdot \gamma_{2,j'} = \begin{pmatrix} 1 & j - j' \\ 0 & 1 \end{pmatrix}.$$

$\gamma_{2,j} \cdot \gamma_{2,j'}^{-1}$  is contained in  $\Gamma_3$  if and only if  $j - j' \equiv 0 \pmod{p}$ . This could only happen if  $j \equiv j' \pmod{p}$ . Since  $0 \leq j, j' < p$ ,  $\gamma_{2,j}$  and  $\gamma_{2,j'}$  must represent distinct right cosets.

For

$$\gamma_{2,j} \cdot \gamma_{2,\infty}^{-1} = \begin{pmatrix} 1 - Nj & jmp - n \\ -N & mp \end{pmatrix},$$

because  $nN = 1 + mp$  and  $p \nmid n$  (as  $p$  is prime and  $p \nmid nN$ ),  $\gamma_{2,j}\gamma_{2,\infty}^{-1} \notin \Gamma_3$ . Therefore,  $\gamma_{2,\infty}$  and  $\gamma_{2,j}$  ( $0 \leq j < p$ ) represent distinct right cosets.



This suggests that  $\beta_j = \alpha\gamma_{2,j}$  represents distinct orbit representatives of  $\Gamma_1(N)\alpha\Gamma_1(N)$ . By computation,

$$\beta_j = \begin{pmatrix} 1 & j \\ 0 & p \end{pmatrix} \text{ for } 0 \leq j < p$$

and

$$\beta_\infty = \alpha\gamma_{2,\infty} = \begin{pmatrix} m & n \\ N & p \end{pmatrix} \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}.$$

Since  $\begin{pmatrix} m & n \\ N & p \end{pmatrix} \in \Gamma_1(N)$ , it suffices to define  $\beta_\infty = \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}$ . Therefore,

$$T_p f = \begin{cases} \sum_{j=0}^{p-1} f \left[ \begin{pmatrix} 1 & j \\ 0 & p \end{pmatrix} \right]_k & \text{if } p|N \\ \sum_{j=0}^{p-1} f \left[ \begin{pmatrix} 1 & j \\ 0 & p \end{pmatrix} \right]_k + f \left[ \begin{pmatrix} m & n \\ N & p \end{pmatrix} \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} \right]_k & \text{if } p \nmid N, \text{ and } mp - nN = 1. \end{cases}$$

□

The explicit representation of  $T_p$  allows us to prove result on how  $T_p$  operators affect the Fourier coefficients of a modular form.

**Proposition 4.12.** *Let  $\chi : (\mathbb{Z}/N\mathbb{Z})^\times \rightarrow \mathbb{C}^\times$  be a character and  $\mathcal{M}_k(N, \chi)$  denote the set,*

$$\mathcal{M}_k(N, \chi) = \{f \in \mathcal{M}_k(\Gamma_1(N)) : \langle d \rangle f = \chi(d)f \text{ for all } d \in (\mathbb{Z}/N\mathbb{Z})^\times\}.$$

*If  $f$  is an element in  $\mathcal{M}_k(N, \chi)$ , then  $T_p f$  is also contained in  $\mathcal{M}_k(N, \chi)$ . Furthermore, its Fourier expansion is*

$$\begin{aligned} (T_p f)(\tau) &= \sum_{n=0}^{\infty} a_{np}(f)q^n + \chi(p)p^{k-1} \sum_{n=0}^{\infty} a_n(f)q^{np} \\ &= \sum_{n=0}^{\infty} (a_{np}(f) + \chi(p)p^{k-1}a_{n/p}(f))q^n. \end{aligned}$$

*That is*

$$(4.13) \quad a_n(T_p f) = a_{np}(f) + \chi(p)p^{k-1}a_{n/p}(f) \text{ for } f \in \mathcal{M}_k(N, \chi).$$

*Proof.* The proof of the proposition is on Page 171-172 of [1]. □

The result above allows us to prove that the Hecke operators commute.

**Proposition 4.14.** *Let  $d$  and  $e$  be elements in  $(\mathbb{Z}/n\mathbb{Z})^*$ , and let  $p, q$  be prime. Then*

- (a)  $\langle d \rangle T_p = T_p \langle d \rangle$
- (b)  $\langle d \rangle \langle e \rangle = \langle e \rangle \langle d \rangle$
- (c)  $T_p T_q = T_q T_p$

*Proof.* We will prove each part of the proposition separately. Let

$$(4.15) \quad \alpha = \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \text{ for some prime } p.$$

- (a) We will compute both side of the equation for  $f \in \mathcal{M}_k(\Gamma_1(N))$ . Let  $\gamma = \begin{pmatrix} a & b \\ c & \delta \end{pmatrix} \in \Gamma_0(N)$  with  $\delta \equiv d \pmod{N}$  and  $\beta_j$  be orbit representatives of the double coset  $\Gamma_1(N)\alpha\Gamma_1(N)$ . Because showing that  $\langle d \rangle T_p f = T_p \langle d \rangle f$  is equivalently to showing that

$$\langle d \rangle T_p f = \sum f[\beta_j \gamma]_k = \sum f[\gamma \beta_j]_k = T_p \langle d \rangle f,$$

it suffices to show that

$$\bigcup \Gamma_1(N) \beta_j \gamma = \bigcup \Gamma_1(N) \gamma \beta_j.$$

For this, we will first check that  $\gamma \alpha \gamma^{-1} \in \Gamma_1(N) \alpha \Gamma_1(N)$ . By definition of the double coset, it suffices to check that

$$\gamma \alpha \gamma^{-1} \equiv \begin{pmatrix} 1 & \star \\ 0 & p \end{pmatrix} \pmod{N}.$$

For  $\gamma = \begin{pmatrix} a & b \\ Nk & \delta \end{pmatrix}$ ,  $\gamma \alpha \gamma^{-1}$  is

$$\gamma \alpha \gamma^{-1} = \begin{pmatrix} a & b \\ Nk & \delta \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \begin{pmatrix} \delta & -b \\ -Nk & a \end{pmatrix} = \begin{pmatrix} a\delta - pbNk & pab - ab \\ Nk\delta - Nkp\delta & a\delta p - Nkb \end{pmatrix}.$$

Taking the equation modulo  $N$ ,

$$\gamma \alpha \gamma^{-1} \equiv \begin{pmatrix} a\delta - bNk & \star \\ 0 & p(a\delta - Nkb) \end{pmatrix} \pmod{N}.$$

Since  $a\delta - Nkb = \det \gamma = 1$ ,

$$\gamma \alpha \gamma^{-1} \equiv \begin{pmatrix} 1 & \star \\ 0 & p \end{pmatrix} \in \Gamma_1(N) \alpha \Gamma_1(N).$$

Hence,

$$\begin{aligned} \Gamma_1(N) \alpha \Gamma_1(N) &= \Gamma_1(N) \gamma \alpha \gamma^{-1} \Gamma_1(N) = \gamma \Gamma_1(N) \alpha \Gamma_1(N) \gamma^{-1} \\ &= \gamma \bigcup \Gamma_1(N) \beta_j \gamma^{-1} = \bigcup \Gamma_1(N) \gamma \beta_j \gamma^{-1}. \end{aligned}$$

This gives us that

$$\bigcup \Gamma_1(N) \beta_j \gamma = \bigcup \Gamma_1(N) \gamma \beta_j.$$

Referring back to the definition of  $\langle d \rangle$  and  $T_p$ , we have that

$$\langle d \rangle T_p f = \sum f[\beta_j \gamma]_k = \sum f[\gamma \beta_j]_k = T_p \langle d \rangle f.$$

Thus, the two operators commute.

- (b) Let  $\alpha = \begin{pmatrix} a & b \\ c & \delta \end{pmatrix}$  such that  $\delta \equiv d \pmod{N}$  and  $\beta = \begin{pmatrix} a' & b' \\ c' & \varepsilon \end{pmatrix}$  such that  $\varepsilon \equiv e \pmod{N}$ . Thus,

$$\alpha \beta \equiv \begin{pmatrix} \star & \star \\ \star & de \end{pmatrix} \pmod{N}.$$

On the other hand,

$$\beta \alpha \equiv \begin{pmatrix} \star & \star \\ \star & de \end{pmatrix}.$$

This gives us that

$$\langle d \rangle \langle e \rangle f = f[\beta \alpha]_k = \langle de \rangle f = f[\alpha \beta]_k = \langle e \rangle \langle d \rangle f.$$

- (c) To prove that the  $T_p$  operators commute, we will apply Proposition 4.12 and follow the proof on [1] Page 173. By applying (4.13) twice, we have

$$\begin{aligned}
 a_n(T_p(T_q f)) &= a_{np}(T_p f) + \chi(p)p^{k-1}a_{n/p}(T_p f) \\
 &= a_{npq}(f) + \chi(q)q^{k-1}a_{np/q}(f) + \chi(p)p^{k-1}(a_{nq/p}(f) + \chi(q)q^{k-1}a_{n/pq}(f)) \\
 &= a_{nqp}(f) + \chi(q)q^{k-1}a_{nq/p}(f) + \chi(p)p^{k-1}a_{np/q}(f) + \chi(pq)(pq)^{k-1}a_{n/pq}(f)
 \end{aligned}$$

which is symmetric in  $p$  and  $q$ . Given all coefficients of the Fourier expansion of  $T_p T_q$  and  $T_q T_p$  are the same, the  $T_p$  operators commute.  $\square$

The definitions of the two type of Hecke operators can be generalised for any  $n \in \mathbb{Z}^+$ . This gives us an algebra generated by Hecke operators. By Proposition 4.14, the algebra formed is commutative.

**Definition 4.16.** Let  $\Gamma$  be  $\Gamma_1(N)$ . Then,

$$\langle n \rangle = \begin{cases} \Gamma \begin{pmatrix} * & * \\ * & n \end{pmatrix} \Gamma \text{ where } \begin{pmatrix} * & * \\ * & n \end{pmatrix} \in \Gamma_0(N) & \text{if } \gcd(n, N) = 1 \\ 0 & \text{if } \gcd(n, N) > 1 \end{cases}$$

and

$$T_n = \prod T_{p_i^{r_i}}, \text{ where } n = \prod p_i^{r_i}$$

with  $T_1 = 1$  and  $T_{p^r}$  is defined inductively

$$T_{p^r} = T_p T_{p^{r-1}} - p^{k-1} \langle p \rangle T_{p^{r-2}}, \text{ for } r \geq 2.$$

The  $\mathbb{C}$ -algebra generated by all  $\langle n \rangle$  and  $T_n$  operators for  $n \in \mathbb{Z}^+$  is known as the *Hecke algebra over  $\mathbb{C}$* .

**4.3. Petersson Inner Product and Adjoints of Hecke Operators.** In this section, we will focus on studying the space  $\mathcal{S}_k(\Gamma_1(N))$ . In particular, we will establish that  $\mathcal{S}_k(\Gamma_1(N))$  is an inner product space and has an orthogonal basis of simultaneous eigenvectors.

**4.3.1. Petersson Inner Product.** Define the *hyperbolic measure* on the upper half plane as

$$d\mu(\tau) = \frac{dx dy}{y^2}, \text{ for } \tau = x + iy \in \mathbb{H}.$$

One can check that the measure is invariant under the automorphism group  $GL_2^+(\mathbb{R})$  and in particular,  $d\mu$  is  $SL_2(\mathbb{Z})$ -invariant. Recall that a fundamental domain of  $\mathbb{H}^*$  under the action of  $SL_2(\mathbb{Z})$  is

$$\mathbb{D}^* = \{\tau \in \mathbb{H} : |\operatorname{Re}(\tau)| \leq \frac{1}{2}, |\tau| \geq 1\} \cup \{\infty\}.$$

Let  $\Gamma$  be a congruence subgroup and  $\{\alpha_j\}$  be the set of right coset representatives of  $\{\pm I\}\Gamma$  in  $SL_2(\mathbb{Z})$ . Thus,

$$SL_2(\mathbb{Z}) = \bigcup_j \{\pm I\}\Gamma\alpha_j$$

and it is a disjoint union. For  $\phi \in \mathcal{M}_k(\Gamma)$ , because  $\phi$  is  $\Gamma$ -invariant and  $d\mu$  is  $SL_2(\mathbb{Z})$ -invariant,

$$\sum_j \int_{\mathbb{D}^*} \phi(\alpha_j(\tau)) d\mu(\tau) = \int_{\cup \alpha_j(\mathbb{D}^*)} \phi(\tau) d\mu(\tau).$$

Since  $\cup \alpha_j(\mathbb{D}^*)$  represents the modular curve  $X(\Gamma)$  up to some boundary identifications, we denote that

$$\int_{X(\Gamma)} \phi(\tau) d\mu(\tau) = \int_{\cup \alpha_j(\mathbb{D}^*)} \phi(\tau) d\mu(\tau).$$

In particular, when  $\phi = 1$ ,

$$\int_{X(\Gamma)} d\mu(\tau) = V_\Gamma.$$

The volume and index of a congruence subgroup are related by

$$V_\Gamma = [SL_2(\mathbb{Z}) : \{\pm I\}\Gamma] V_{SL_2(\mathbb{Z})}.$$

**Definition 4.17.** Let  $\Gamma \subseteq SL_2(\mathbb{Z})$  be a congruence subgroup. The *Petersson inner product* is defined as

$$\begin{aligned} \langle \cdot, \cdot \rangle_\Gamma : \mathcal{S}_k(\Gamma) \times \mathcal{S}_k(\Gamma) &\rightarrow \mathbb{C} \\ \langle f, g \rangle_\Gamma &= \frac{1}{V_\Gamma} \int_{X(\Gamma)} f(\tau) \overline{g(\tau)} (\text{Im}(\tau))^k d\mu(\tau). \end{aligned}$$

The proof for the definition is well-defined and convergent is on Page 183 of [1]. Furthermore, the product defined above is linear in  $f$ , conjugate linear in  $g$ , Hermitian-symmetric and positive definite.

**4.3.2. Adjoints of Hecke Algebra.** Recall that if  $V$  is an inner product space and  $T$  is a linear operator on  $V$ , then *the adjoint*  $T^\dagger$  is the linear operator on  $V$  defined by the condition

$$\langle Tv, w \rangle = \langle v, T^\dagger w \rangle \text{ for all } v, w \in V.$$

The operator  $T$  is called *normal* when it commutes with its adjoint. We will continue working with the inner product space  $\mathcal{S}_k(\Gamma_1(N))$  endowed with the Petersson inner product. For this section, we will refer all the proofs of lemmas, propositions and theorems mentioned to Section 5.5 of [1].

Let  $\Gamma \subseteq SL_2(\mathbb{Z})$  be a congruence subgroup and  $SL_2(\mathbb{Z}) = \cup_j \{\pm I\}\Gamma\alpha_j$ . Consider  $\alpha \in GL_2^+(\mathbb{Q})$ , then the map  $\tau \mapsto \alpha(\tau)$  induces a bijection from  $\alpha^{-1}\Gamma\alpha \backslash \mathbb{H}^*$  to  $X(\Gamma)$ . We thus have that

**Lemma 4.18.** *Let  $\Gamma \subseteq SL_2(\mathbb{Z})$  be a congruence subgroup, and  $\alpha \in GL_2^+(\mathbb{Q})$ .*

(a) *If  $\phi : \mathbb{H} \rightarrow \mathbb{C}$  is continuous, bounded and  $\Gamma$ -invariant, then*

$$\int_{\alpha^{-1}\Gamma\alpha \backslash \mathbb{H}^*} \phi(\alpha(\tau)) d\mu(\tau) = \int_{X(\Gamma)} \phi(\tau) d\mu(\tau)$$

(b) *If  $\alpha^{-1}\Gamma\alpha \subseteq SL_2(\mathbb{Z})$ , then  $V_{\alpha^{-1}\Gamma\alpha} = V_\Gamma$  and  $[SL_2(\mathbb{Z}) : \alpha^{-1}\Gamma\alpha] = [SL_2(\mathbb{Z}) : \Gamma]$ .*

(c) *There exist  $\beta_1, \dots, \beta_n \in GL_2^+(\mathbb{Q})$ , where  $n = [\Gamma : \alpha^{-1}\Gamma\alpha \cap \Gamma] = [\Gamma : \alpha\Gamma\alpha^{-1} \cap \Gamma]$ , such that*

$$\Gamma\alpha\Gamma = \bigcup \Gamma\beta_j = \bigcup \beta_j\Gamma.$$

*Both unions are disjoint.*

With this fact established, we could now know how to compute the adjoints.

**Proposition 4.19.** *Let  $\Gamma \subseteq SL_2(\mathbb{Z})$  be a congruence subgroup, and  $\alpha \in GL_2^+(\mathbb{Q})$ . Set  $\alpha' = \det(\alpha)\alpha^{-1}$ . Then*

(a) *If  $\alpha^{-1}\Gamma\alpha \subseteq SL_2(\mathbb{Z})$ , then for all  $f \in \mathcal{S}_k(\Gamma)$  and  $g \in \mathcal{S}_k(\alpha^{-1}\Gamma\alpha)$ ,*

$$\langle f[\alpha]_k, g \rangle_{\alpha^{-1}\Gamma\alpha} = \langle f, g[\alpha']_k \rangle_{\Gamma}.$$

(b) *For all  $f, g \in \mathcal{S}_k(\Gamma)$ ,*

$$\langle f[\Gamma\alpha\Gamma]_k, g \rangle = \langle f, g[\Gamma\alpha'\Gamma]_k \rangle.$$

*In particular, if  $\alpha^{-1}\Gamma\alpha = \Gamma$ , then  $[\alpha]_k^\dagger = [\alpha']_k$ , and in any case  $[\Gamma\alpha\Gamma]_k^\dagger = [\Gamma\alpha'\Gamma]_k$ .*

Following the proposition above, we could deduce that

**Theorem 4.20.** *In the inner product space  $\mathcal{S}_k(\Gamma_1(N))$ , the Hecke operators  $\langle p \rangle$  and  $T_p$  for  $p \nmid N$  have adjoints*

$$\langle p \rangle^\dagger = \langle p \rangle^{-1} \text{ and } T_p^\dagger = \langle p \rangle^{-1} T_p.$$

*Thus, for  $n$  and  $N$  are relatively prime, the Hecke operators  $\langle n \rangle$  and  $T_n$  are normal.*

From the Spectral Theorem of linear algebra, since  $\mathcal{S}_k(\Gamma_1(N))$  is a finite-dimensional inner product space with a commuting family of normal operators, it has an orthogonal basis of simultaneous eigenvectors for the operators. We refer these eigenvectors as *eigenforms*. Therefore,

**Theorem 4.21.** *The space  $\mathcal{S}_k(\Gamma_1(N))$  has an orthogonal basis of simultaneous eigenforms for the Hecke operators  $\{\langle n \rangle, T_n : (n, N) = 1\}$ .*

## 5. ANOTHER INTERPRETATION OF HECKE ALGEBRA

We will now shift away from the theory of modular forms. In the greater theory of the Langlands program, we view modular forms as automorphic forms. Under the scenario of the Langlands program, modular forms generate sub-representations of the space  $L^2(G)$ . There is also an analogous theory of Hecke operators in this context. The Hecke algebra is commutative like in the classical setting. Analogous to how the Hecke operators act on the modular forms and decompose spaces of modular forms into eigenspaces, here we look at how the Hecke ring acts on representations and how the representations break down into irreducible sub-representations. In particular, because of Schur's lemma, elements in the Hecke ring act as scalars on the irreducible representations. Thus we have the following correspondence where the eigenforms correspond to the irreducible sub-representations and the eigenvalues correspond to the scalars (as determined by Schur's lemma) the ring acts by. The material of the rest of the paper is primarily based on the paper [2].

For this section, we will ignore the general case but focus primarily on explaining the theory for the case of  $GL_2(\mathbb{Q}_p)$  (we will denote as  $GL_2$  for  $GL_2(\mathbb{Q}_p)$  for the rest of the section unless specified otherwise). Its maximal torus is  $T$ , the set of invertible diagonal matrices over  $\mathbb{Q}_p$ , and is contained in the Borel subgroup,  $B$ , the set of invertible upper triangular matrices over  $\mathbb{Q}_p$ . The Weyl group of  $T$  is  $S_2$ , which is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ .

The characters and co-characters for the maximal torus is defined by

$$X^* = X^*(T) = \text{Hom}(T, \mathbb{G}_m)$$

$$X_\bullet = X_\bullet(\underline{T}) = \text{Hom}(\mathbb{G}_m, \underline{T}).$$

All characters in  $X_\bullet$  are generated by the characters  $\varepsilon_i : \underline{T} \rightarrow \mathbb{G}_m$  with  $\varepsilon_i(g) = t_i$  ( $t_i$  is the  $i$ -th diagonal entry of the matrix  $g$ ). All co-characters in  $X_\bullet$  are generated by the co-character  $e_i : \mathbb{G}_m \rightarrow \underline{T}$  with  $e_i(a) = A_i$  ( $A_i$  is the diagonal matrix with  $a$  at  $ii$ -th entry and the rest of the diagonal entries are 1). Thus,  $X_\bullet$  is a  $\mathbb{Z}$ -module generated by  $\varepsilon_1, \varepsilon_2$  and  $X_\bullet$  is a  $\mathbb{Z}$ -module generated by  $e_1, e_2$ .

For  $\chi \in X_\bullet$  and  $\gamma \in X_\bullet$ ,  $\chi \circ \gamma \in \text{End}(\mathbb{G}_m) = \mathbb{Z}$  (proof is on page 15-16 of [4]). For  $a \in \mathbb{G}_m$ , there exists an integer  $\langle \gamma, \chi \rangle$  with  $\chi \circ \gamma(a) = a^{\langle \gamma, \chi \rangle}$ . In particular, for  $\varepsilon_i$  and  $e_j$ ,  $\langle \varepsilon_i, e_j \rangle = \delta_{ij}$ .

The roots are  $\varepsilon_1 - \varepsilon_2$  and  $\varepsilon_2 - \varepsilon_2$  with  $\varepsilon_1 - \varepsilon_2$  being the positive root (due to our choice of the Borel subgroup). The set of roots is denoted as  $\Phi$  with  $\Phi^+$  denotes the set of positive roots. The positive Weyl chamber  $P^+$  in  $X_\bullet(\underline{T})$  is

$$P^+ = \{\lambda \in X_\bullet : \langle \lambda, \alpha \rangle \geq 0, \forall \alpha \in \Phi^+\}.$$

Given  $\varepsilon_1 - \varepsilon_2$  is the only positive root,

$$P^+ = \{\lambda \in X_\bullet : \langle \lambda, \varepsilon_1 - \varepsilon_2 \rangle \geq 0\}.$$

For all  $\lambda \in X_\bullet$ ,  $\lambda = k_1 e_1 + k_2 e_2$  for some  $k_1, k_2 \in \mathbb{Z}$ . Because  $\langle \lambda, \varepsilon_1 - \varepsilon_2 \rangle$  is defined by the exponent of  $(\varepsilon_1 - \varepsilon_2)(\lambda(t))$  for  $t \in \mathbb{G}_m$  and

$$(\varepsilon_1 - \varepsilon_2)(k_1 e_1 + k_2 e_2)(t) = (\varepsilon_1 - \varepsilon_2) \begin{pmatrix} t^{k_1} & 0 \\ 0 & t^{k_2} \end{pmatrix} = t^{k_1 - k_2},$$

$\langle \lambda, \varepsilon_1 - \varepsilon_2 \rangle \geq 0$  is equivalent to  $k_1 - k_2 \geq 0$ . This suggests that

$$P^+ = \{k_1 e_1 + k_2 e_2 \in X_\bullet : k_1 - k_2 \geq 0\}.$$

There is a partial ordering on  $P^+$ :  $\lambda \geq \mu$  if  $\lambda - \mu$  can be written as sum of positive co-roots. In particular, for  $\rho = \frac{1}{2}(\varepsilon_1 - \varepsilon_2)$ ,  $\lambda \geq \mu$  implies that  $\langle \lambda - \mu, \rho \rangle \geq 0$  and is an integer.

**5.1. Hecke Ring.** Let  $G$  denote the group  $GL_2(\mathbb{Q}_p)$  and  $K$  denote  $GL_2(\mathbb{Z}_p)$ .

**Definition 5.1.** The *Hecke ring*  $\mathcal{H} = \mathcal{H}(G, K)$  is defined as the ring of all smooth, compactly supported functions  $f : G \rightarrow \mathbb{C}$  which are  $K$ -bi-invariant, i.e.  $f(kx) = f(xk') = f(x)$  for all  $k, k' \in K$  and  $x \in G$ . This is also denoted as  $C_c^\infty(K \backslash G / K)$ .

The multiplication in  $\mathcal{H}$  is defined by convolution

$$(f \cdot g)(z) = \int_G f(x) \cdot g(x^{-1}z) dx$$

where  $dx$  is the unique Haar measure on  $G$  giving  $K$  volume 1 and the unit element of  $\mathcal{H}$  is the characteristic function of  $K$ .

Smooth functions on  $\mathbb{Q}_p$  are locally constant. Given functions in the Hecke ring are also compactly supported and  $K$ -bi-invariant, they are finite sums of characteristic functions of double cosets  $KxK$ . Thus, the characteristic functions  $\mathbb{1}_{KxK}$  form a  $\mathbb{C}$ -basis of  $\mathcal{H}$ .

Denote  $\pi$  as the uniformizer of  $\mathbb{Q}_p$ , i.e.  $\pi = p$ . For any  $\lambda \in X_\bullet(\underline{T})$ ,  $\lambda(\pi)$  is in  $T$ . Since  $\lambda(\mathbb{Z}_p^*) \subseteq K$ , the double coset  $K\lambda(\pi)K$  is independent of the choice of the uniformizer. Furthermore, from [6] P51, which we will state without proof,

**Proposition 5.2.** *The group  $G$  is the disjoint union of the double cosets  $K\lambda(\pi)K$ , where  $\lambda$  runs through the cocharacters in  $P^+$  [2].*

*It follows that the elements*

$$c_\lambda = \mathbb{1}_{K\lambda(\pi)K} : G \rightarrow \mathbb{C}$$

$$g \mapsto \begin{cases} 1 & \text{if } g \in KxK \\ 0 & \text{otherwise} \end{cases}$$

*form a  $\mathbb{C}$ -basis of  $\mathcal{H}$  and multiplication is determined by the products*

$$(5.3) \quad c_\lambda \cdot c_\mu = \sum n_{\lambda,\mu}(\nu) \cdot c_\nu, \quad \text{with } n_{\lambda,\mu}(\nu(\pi)) \in \mathbb{C}.$$

From the proposition,  $GL_2$  is the disjoint union of the double cosets  $GL_2(\mathbb{Z}_p)\lambda(\pi)GL_2(\mathbb{Z}_p)$ , where  $\lambda = ae_1 + be_2$  has  $a - b \geq 0$ , i.e.,

$$(5.4) \quad GL_2 = \coprod_{a \geq b} GL_2(\mathbb{Z}_p) \begin{pmatrix} p^a & 0 \\ 0 & p^b \end{pmatrix} GL_2(\mathbb{Z}_p).$$

We are particularly interested in the following two double cosets

$$K \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K \quad \text{and} \quad K \begin{pmatrix} p & 0 \\ 0 & p \end{pmatrix} K.$$

Define

$$T_p = \mathbb{1}_{K \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K} \quad \text{and} \quad R_p = \mathbb{1}_{K \begin{pmatrix} p & 0 \\ 0 & p \end{pmatrix} K}.$$

Notice that the  $T_p$  here is similar to that of the  $T_p$  operator in the theory of modular forms.

We will now find an explicit formula for  $n_{\lambda,\mu}(\nu(\pi))$ . Similar to the computation for finding the explicit formula for  $T_p$  operators in the theory of modular forms, we will consider the action of right multiplication on the double cosets. The double cosets are then written in terms of left coset representatives where

$$K\lambda(\pi)K = \coprod x_i K$$

and

$$K\mu(\pi)K = \coprod y_j K.$$

Thus, we have the following result

**Proposition 5.5.**

$$n_{\lambda,\mu}(\nu(\pi)) = |\{(i, j) : \nu(\pi) \in x_i y_j K\}|.$$

*Proof.* Let  $t = \nu(\pi)$ . By the definition of characteristic function and (5.3), it suffices to compute  $c_\lambda \cdot c_\mu$  at the left coset  $K\nu(\pi)K$  for calculating  $n_{\lambda,\mu}(\nu)$ . Thus,

$$n_{\lambda,\mu}(\nu(\pi)) = (c_\lambda \cdot c_\mu)(\nu(\pi)) = \int_G c_\lambda(x) c_\mu(x^{-1}t) dx.$$

Since  $c_\lambda(x) = 1$  if and only if  $x \in K\lambda(\pi)K$ ,

$$n_{\lambda,\mu}(\nu(\pi)) = \int_{K\lambda(\pi)K} c_\mu(x^{-1}t) dx.$$

Because  $K\lambda(\pi)K = \coprod x_i K$ , the equation can be rewritten as

$$n_{\lambda,\mu}(\nu) = \sum_{x_i} \int_{x_i K} c_\mu((k \cdot x_i)^{-1}t) dx.$$

By  $c_\mu$  is  $K$ -bi-invariant,

$$\begin{aligned} n_{\lambda,\mu}(\nu) &= \sum_{x_i} \int_K c_\mu(x_i^{-1}t) dx \\ &= \sum_i \text{vol}(K) \cdot c_\mu(x_i^{-1}t). \end{aligned}$$

Given  $c_\mu$  is a characteristic function,  $c_\mu(x_i^{-1}t) \neq 0$  if and only if  $x_i^{-1}t \in K\mu(\pi)K$ . This is then equivalent to  $x_i^{-1}t \in \bigcup y_j K$ , which is also equivalent to  $t \in \bigcup x_i y_j K$ . Thus,

$$n_{\lambda,\mu}(\nu(\pi)) = \sum_i \text{vol}(K) \cdot c_\mu(x_i^{-1}t) = |\{(i, j) : \nu(\pi) \in x_i y_j K\}|.$$

□

By proposition,

$$n_{\lambda,\mu}(\lambda + \mu)(\pi) = |\{(i, j) : (\lambda + \mu)(\pi) \in x_i y_j K\}|.$$

and  $(\lambda + \mu)(\pi) \in \lambda(\pi)\mu(\pi)K$ . This implies that

$$n_{\lambda,\mu}(\lambda + \mu)(\pi) \geq 1.$$

In fact,  $n_{\lambda,\mu}(\lambda + \mu) = 1$  and  $n_{\lambda,\mu}(\nu) \neq 0$  implies that  $\nu \leq (\lambda + \mu)$ . Thus,

$$(5.6) \quad c_\lambda \cdot c_\mu = c_{\lambda+\mu} + \sum_{\nu < (\lambda+\mu)} n_{\lambda,\mu}(\nu) \cdot c_\nu.$$

Following Proposition 5.2, we will find a set of left coset representatives for the double coset  $K \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K$ . Then, we will compute  $c_{e_1} \cdot c_{e_1}$ . Similar to Lemma 4.3 and the proof of Proposition 4.9, consider the right multiplication action by  $K$  on  $K \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K$  and the right multiplication action by  $\begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}^{-1} \cap K$  on  $K$ . Analogous to Lemma 4.3, define

$$\begin{aligned} \rho : K &\rightarrow K \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K \\ k &\mapsto k \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}. \end{aligned}$$

The map also induces a bijection between the orbit space of  $K \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K$  and the left cosets of  $\begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}^{-1} \cap K$  in  $K$ . (The proof is almost exactly the same as the proof for Lemma 4.3). Similar to the proof of Proposition 4.9, for

$$\begin{aligned} H &= \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}^{-1} \cap K, \\ H &= \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in K : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} \star & 0 \\ \star & \star \end{pmatrix} \pmod{p} \right\}. \end{aligned}$$



Thus, consider the elements

$$\mu_{2,j} = \begin{pmatrix} 1 & j \\ 0 & 1 \end{pmatrix} \text{ for } 0 \leq j < p$$

and

$$\mu_{2,\infty} = \begin{pmatrix} 1 & p-1 \\ 1 & p \end{pmatrix}.$$

They represent distinct cosets and form a complete set of left coset representatives of  $H$ . Since  $\rho$  is a bijection on the cosets, the orbit representatives are

$$\beta_j = \mu_{2,j} \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} \text{ for } 0 \leq j < p,$$

which is

$$\beta_j = \begin{pmatrix} p & j \\ 0 & 1 \end{pmatrix} \text{ for } 0 \leq j < p.$$

Given

$$\mu_{2,\infty} \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \begin{pmatrix} p & p-1 \\ 1 & 1 \end{pmatrix}$$

and  $\begin{pmatrix} p & p-1 \\ 1 & 1 \end{pmatrix} \in GL_2(\mathbb{Z}_p)$ , define

$$\beta_\infty = \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}.$$

Therefore,

$$(5.7) \quad K \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K = \prod_{k=0}^{p-1} \begin{pmatrix} p & j \\ 0 & 1 \end{pmatrix} K \amalg \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} K.$$

We will now compute  $c_{e_1} \cdot c_{e_1}$ . By Proposition 5.5, for  $\nu = k_1 e_1 + k_2 e_2$  with  $a \geq b$ ,

$$n_{e_1, e_1} = c_{e_1} \cdot c_{e_1}(\nu(p)) = |\{(i, j) : \nu(p) \in \beta_i \beta_j K\}|$$

for  $\beta_j$  defined above. We will now look at each  $\beta_i \beta_j K$  and check whether  $\nu(p) = \begin{pmatrix} p^{k_1} & 0 \\ 0 & p^{k_2} \end{pmatrix} \in \beta_i \beta_j K$ .

For both  $\beta_i, \beta_j$  with indices between 0 and  $p-1$ ,

$$\begin{pmatrix} p & i \\ 0 & 1 \end{pmatrix} \begin{pmatrix} p & j \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} p^2 a & p^2 b + d(i + pj) \\ c & d \end{pmatrix}$$

If  $\nu(p) = \begin{pmatrix} p^{k_1} & 0 \\ 0 & p^{k_2} \end{pmatrix} \in \beta_i \beta_j K$ , then the matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  must have  $c = 0$  and  $d = 1$ .

Furthermore, since  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(\mathbb{Z}_p)$ , the determinant of the matrix must be in  $\mathbb{Z}_p^\times$ . Given  $c = 0$  and  $d = 1$ ,  $a$  must be 1. In order for  $\nu(p) \in \beta_i \beta_j K$ ,  $\nu(p)$  must satisfy

$$\nu(p) = \begin{pmatrix} p^2 & 0 \\ 0 & 1 \end{pmatrix}.$$

Because  $p^2 b + pj + i = 0$  has integer solutions only if  $p|i$  ( $i$  has to be  $-p(pb + j)$ ),  $\begin{pmatrix} p^2 & 0 \\ 0 & 1 \end{pmatrix}$  is contained in  $\beta_i \beta_j K$  only if  $i = j = 0$ .

Now consider  $\beta_j = \beta_\infty$  and  $\beta_i$  with index between 0 and  $p - 1$ . Thus,

$$\begin{pmatrix} p & i \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} p(a+ic) & p(b+id) \\ pc & pd \end{pmatrix}.$$

Again given  $\nu(p) = \begin{pmatrix} p^{k_1} & 0 \\ 0 & p^{k_2} \end{pmatrix}$ ,  $c$  has to be 0 and  $a = d = 1$ . Since for all  $i$  between 0 and  $p - 1$ , there is an integer solution to  $b + i = 0$ . Therefore, for all  $i$  between 0 and  $p - 1$ ,  $\begin{pmatrix} p & 0 \\ 0 & p \end{pmatrix} \in \beta_i \beta_\infty K$ .

Now consider  $\beta_i = \beta_\infty$  and  $\beta_j$  with index between 0 and  $p - 1$ . In order for  $\nu(p) \in \beta_\infty \beta_j K$ , the computation

$$\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \begin{pmatrix} p & j \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} pa+cj & pb+dj \\ pc & pd \end{pmatrix} = \begin{pmatrix} p^{k_1} & 0 \\ 0 & p^{k_2} \end{pmatrix}$$

must imply that  $c = 0$  and  $a = d = 1$ . Furthermore, for  $0 \leq j < p$  to be an integer solution of  $pb + j = 0$ ,  $p$  must divide  $j$ . Therefore,  $j$  has to be 0.

For  $\beta_i = \beta_j = \beta_\infty$ , we have that

$$\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a & b \\ p^2c & p^2d \end{pmatrix}.$$

By  $\nu \in P^+$ ,  $\nu(p)$  can not be contained in  $\beta_\infty \beta_\infty K$ .

Given  $c_{e_1} = T_p$  and  $c_{e_2} = R_p$ ,

$$T_p \cdot T_p = T_{p^2} + (p+1) \cdot R_p.$$

Compare to the formula of  $T_p^2$  in the theory of modular forms

$$T_p \cdot T_p = T_{p^2} - p^{k-1} \langle p \rangle$$

for  $T_p$  operator on  $\mathcal{M}_k(\Gamma_1(N))$ , we see a similarity between the two formulas. Moreover, similar to the Hecke algebra in the theory of modular form,

**Proposition 5.8.** *The Hecke ring  $\mathcal{H}$  is commutative.*

There are two ways to prove the proposition. One method of proving the commutativity is through applying Gelfand's lemma [3], which suggests that if there exists an anti-involution of  $G$  that stabilizes  $K$  and acts trivially on the double coset space  $K \backslash G/K$ , then the Hecke algebra is commutative and  $(G, K)$  is a Gelfand pair. Consider the map

$$\begin{aligned} \iota : GL_2 &\mapsto GL_2 \\ \begin{pmatrix} a & b \\ c & d \end{pmatrix} &\mapsto \begin{pmatrix} a & c \\ b & d \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}^t. \end{aligned}$$

One can check by direct computation that  $\iota^2 = \text{id}$ ,  $\iota(gh) = \iota(h)\iota(g)$  for  $g, h \in GL_2$ , and  $GL_2(\mathbb{Z}_p)$  is stable under  $\iota$ . Furthermore, by (5.4), all double cosets are of the form  $GL_2(\mathbb{Z}_p) \begin{pmatrix} p^a & 0 \\ 0 & p^b \end{pmatrix} GL_2(\mathbb{Z}_p)$  with  $a \geq b$ . By definition of transposition,  $\iota$  clearly acts trivially on the double coset space. Therefore,  $\iota$  is an anti-involution satisfying the condition of Gelfand's lemma. This implies that the Hecke ring  $\mathcal{H}(GL_2, GL_2(\mathbb{Z}_p))$  is commutative.

Another method of proving the commutativity is through applying the Satake transform which injects  $\mathcal{H}$  into the commutative ring  $\mathbb{C}[e_1, e_2]^{S_2}$  (the set of homogeneous polynomials in 2 variables over  $\mathbb{C}$ ). We will discuss about the Satake transform in the next section.

5.1.1. *Hecke Ring of the Torus.* Before we discuss about the Satake transform, we will investigate further in propoerties of the Hecke ring for the torus. Denote the Hecke ring for  $T$  the torus as  $\mathcal{H}_T$ . Since the set of invertible diagonal 2-by-2 matrices over  $\mathbb{Q}_p$  (also denote as  $T$ ) is the torus of  $GL_2$ ,  $\mathcal{H}_T$  is commutative. Furthermore, we have the following exact sequence

(5.9)

$$0 \longrightarrow \begin{pmatrix} \mathbb{Z}_p^\times & 0 \\ 0 & \mathbb{Z}_p^\times \end{pmatrix} \longrightarrow \begin{pmatrix} \mathbb{Q}_p^\times & 0 \\ 0 & \mathbb{Q}_p^\times \end{pmatrix} \xrightarrow{\gamma} X \cdot \left( \begin{pmatrix} \mathbb{Q}_p^\times & 0 \\ 0 & \mathbb{Q}_p^\times \end{pmatrix} \right) \longrightarrow 0$$

with  $\gamma(t)$  a co-character satisfying

$$\langle \gamma(t), \chi \rangle = \text{ord}(\chi(t))$$

for all characters  $\chi \in X^*(\underline{T})$ . In fact, we can find an explicit formula for  $\gamma$ . Let  $t$  be the matrix  $\begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \in T$  with  $ab = 1$ . Given  $\text{ord}(\chi(t)) = \langle \gamma(t), \chi \rangle$  is defined as the exponent of  $(\chi\gamma(t))(g)$  for  $g \in \mathbb{G}_m$ , we will define  $\gamma(t)$  as follow,

$$\begin{aligned} \gamma(t) : \mathbb{G}_m &\rightarrow \underline{T} \\ g &\mapsto \begin{pmatrix} g^{v_p(a)} & 0 \\ 0 & g^{v_p(b)} \end{pmatrix}. \end{aligned}$$

Because  $X^*(\underline{T}) = \mathbb{Z}[\varepsilon_1, \varepsilon_2]$ , for any  $\chi \in X^*$ , for  $\chi = c\varepsilon_1 + d\varepsilon_2$ ,

$$\chi(t) = a^c \cdot b^d.$$

This implies that

$$\text{ord}(\chi(t)) = v_p(a^c \cdot b^d) = cv_p(a) + dv_p(b).$$

On the other hand,

$$(\chi(\gamma(t)))(g) = \chi \left( \begin{pmatrix} g^{v_p(a)} & 0 \\ 0 & g^{v_p(b)} \end{pmatrix} \right) = g^{c \cdot v_p(a) + d \cdot v_p(b)}$$

implies that

$$\langle \gamma(t), \chi \rangle = c \cdot v_p(a) + d \cdot v_p(b).$$

Since

$$\text{ord}(\chi(t)) = c \cdot v_p(a) + d \cdot v_p(b),$$

for  $t \in D_2$ ,  $\gamma(t)$  defined above satisfies the condition

$$\langle \gamma(t), \chi \rangle = \text{ord}(\chi(t)).$$

Furthermore, because  $v_p : \mathbb{Q}_p \mapsto \mathbb{Z}$  is a surjection,  $\gamma$  is a surjection. Because all elements in  $\mathbb{Z}_p^\times$  has valuation zero, the sequence 5.9 with  $\gamma$  defined above forms an exact sequence as we wanted.

We could actually deduce more about the exact sequence 5.9. It in fact has a splitting,

$$(5.10) \quad 0 \longrightarrow \underline{T}(\mathbb{Z}_p) \longrightarrow \underline{T} \xrightarrow[\gamma]{} X \cdot (\underline{T}) \longrightarrow 0$$

$\xleftarrow{\lambda}$

where

$$\lambda : X \cdot \rightarrow D_2$$

is defined by

$$\mu \mapsto \mu(p).$$

Take  $\mu = k_1 e_1 + k_2 e_2 \in X \cdot (\underline{T})$ ,

$$\begin{aligned} \gamma(\lambda(\mu)) &= \gamma \left( \begin{pmatrix} p^{k_1} & 0 \\ 0 & p^{k_2} \end{pmatrix} \right) : \mathbb{G}_m \rightarrow \underline{T} \\ g &\longmapsto \begin{pmatrix} g^{k_1} & 0 \\ 0 & g^{k_2} \end{pmatrix} \end{aligned}$$

implies that  $\gamma(\lambda(\mu)) = k_1 e_1 + k_2 e_2 = \mu$ . Hence,  $\gamma\lambda = \text{id}_{X \cdot (\underline{T})}$  and the exact sequence 5.10 splits.

For  $G$  (in the definition of the Hecke ring) is  $T$  and  $K$  is  $T(\mathbb{Z}_p)$  (the set of invertible diagonal 2-by-2 matrices onver  $\mathbb{Z}_p$ ), because  $T$  is commutative, the double cosets  $T(\mathbb{Z}_p)xT(\mathbb{Z}_p)$  are single cosets  $xT(\mathbb{Z}_p)$ . Thus, in  $\mathcal{H}_T$ ,

$$(5.11) \quad c_\lambda \cdot c_\mu = c_{\lambda+\mu}.$$

By (5.11), the splitting of the exact sequence 5.10 and definition of the Hecke ring, we have an isomorphism of rings

$$\begin{aligned} \mathcal{H}_T &\cong \mathbb{C}[X \cdot (\underline{T})]. \\ c_\lambda &\leftrightarrow [\lambda]. \end{aligned}$$

This isomorphism is important when we discuss about the Satake transform.

**5.2. Satake Isomorphism.** Let  $N$  denote the set of unipotent matrix in  $GL_2$ , i.e.

$$N = \begin{pmatrix} 1 & \mathbb{Q}_p^\times \\ 0 & 1 \end{pmatrix}.$$

In particular,  $T \subseteq B = T \cdot N \subset GL_2$ . Let  $dn$  be the unique Haar measure on  $N$  which gives  $\text{vol}(N(\mathbb{Z}_p)) = 1$ . Let

$$\delta : B \rightarrow \mathbb{R}_+^*$$

be the modular function on  $B$ , defined by the formula

$$d(bnb^{-1}) = \delta(b)dn.$$

By computation, for  $m = bnb^{-1}$ ,

$$\int_N \mathbb{1}_N d(bnb^{-1}) = \int_N b^{-1}mb \mathbb{1}_N dm = \int_{b^{-1}Nb} \mathbb{1}_N dm = \text{vol}(b^{-1}Nb) = \frac{\text{vol}(N)}{[N : b^{-1}Nb]}.$$

This implies that

$$(5.12) \quad \delta(b) = \frac{1}{[N : b^{-1}Nb]}.$$

By (5.12),  $\delta$  is trivial on  $N$ . Thus, we can define a character  $\delta : T \rightarrow \mathbb{R}_+^*$  based on the definition of  $\delta$  as the modular function on  $B$ . In particular, if  $t = \mu(p)$  for  $\mu \in X \cdot (\underline{T})$ ,

$$(5.13) \quad \delta(t)^{\frac{1}{2}} = |\det(\text{adt}| \text{Lie}(N))|_p^{\frac{1}{2}}.$$

From [2] (2.4), we have that

$$\det(\text{adt}| \text{Lie}(N)) = 2\rho(t).$$

Substituting equation above into (5.13) gives us that

$$\begin{aligned} \delta(t)^{\frac{1}{2}} &= |p^{\langle \mu, 2\rho \rangle}|_p^{\frac{1}{2}} \\ &= p^{-\langle \mu, \rho \rangle} \in \mathbb{C}. \end{aligned}$$

Now we can define the Satake transform,

$$\begin{aligned} \mathcal{S} : \mathcal{H}_G &\rightarrow \mathcal{H}_T \\ f &\mapsto \mathcal{S}f \end{aligned}$$

where

$$\mathcal{S}f(t) = \delta(t)^{\frac{1}{2}} \cdot \int_N f(tn)dn.$$

The important result about the Satake transform is that the image of the Hecke ring  $\mathcal{H}(GL_2, GL_2(\mathbb{Z}_p))$  lies in the subring

$$\mathcal{H}_T^{S_2} = R(GL_2(\mathbb{C})) \otimes \mathbb{C}.$$

Given

$$R(GL_2(\mathbb{C})) = \mathbb{Z}[X.(T)] = \mathbb{Z}[e_1, e_2],$$

we have that

**Proposition 5.14.** *The Satake transform gives a ring isomorphism*

$$\mathcal{S} : \mathcal{H}_G \rightarrow \mathbb{C}[e_1, e_2]^{S_2}.$$

5.2.1. *Sample Computation for  $\mathcal{S}(T_p)$ .* We will now give a sample calculation using the Satake transform. In particular, we will compute what  $\mathcal{S}(T_p)$  is on  $t = \mu(p)$  for  $\mu \in P^+$ , i.e.

$$t = \begin{pmatrix} p^a & 0 \\ 0 & p^b \end{pmatrix}$$

with  $a \geq b$ . Applying the definition of the Satake transform gives us that

$$\mathcal{S}(T_p)(t) = p^{-\langle \mu, \rho \rangle} \cdot \int_N T_p(tn)dn.$$

Recall that we have a decomposition of the following double coset into right cosets from (5.7),

$$K \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K = \prod_{k=0}^{p-1} \begin{pmatrix} p & j \\ 0 & 1 \end{pmatrix}_K \amalg \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}_K.$$

Thus,

$$\mathcal{S}(T_p)(t) = p^{-\langle \mu, \rho \rangle} \left( \sum_{i=0}^{p-1} \int_{N \cap t^{-1}} \begin{pmatrix} p & j \\ 0 & 1 \end{pmatrix}_K 1dn + \int_{N \cap t^{-1}} \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}_K 1dn \right).$$

Let  $x_i = \begin{pmatrix} p & i \\ 0 & 1 \end{pmatrix}$  for  $i$  between 0 and  $p-1$  and  $x'$  be  $\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$ . Notice that the statement

$$N \cap t^{-1}x_iK \neq \emptyset \text{ or } N \cap t^{-1}x'K \neq \emptyset$$

is equivalent to

$$(5.15) \quad x_i^{-1}tN \cap K \neq \emptyset \text{ or } x'^{-1}tN \cap K \neq \emptyset.$$

Given  $x_i$  and  $x'$  are in  $B = TN$ , we could write  $x_i = t(x_i)n(x_i)$  and  $x' = t(x')n(x')$  for  $t(x_i), t(x') \in T$  and  $n(x_i), n(x') \in N$ . Furthermore, given  $B$  normalizes  $N$ , (5.15) can be rewritten as

$$n(x_i)^{-1}Nt(x_i)^{-1}t \cap K \neq \emptyset \text{ or } n(x')^{-1}Nt(x')^{-1}t \cap K \neq \emptyset.$$

Given  $n(x_i), n(x') \in N$ , statement above is simplified as

$$Nt(x_i)^{-1}t \cap K \neq \emptyset \text{ or } Nt(x')^{-1}t \cap K \neq \emptyset.$$

This implies that in order for the intersection to be non-empty,  $t^{-1}t(x_i) \in T(\mathbb{Z}_p)$  or  $t^{-1}t(x') \in T(\mathbb{Z}_p)$ . For  $t^{-1}t(x_i) \in T(\mathbb{Z}_p)$  or  $t^{-1}t(x') \in T(\mathbb{Z}_p)$ , the intersection is equal to  $N \cap K$ . Thus, for  $t^{-1}t(x_i) \in T(\mathbb{Z}_p)$ ,

$$\int_{N \cap t^{-1} \begin{pmatrix} p & j \\ 0 & 1 \end{pmatrix} K} 1 dn = \int_{N \cap K} 1 dn = 1.$$

Therefore,

$$\mathcal{S}(T_p)(t) = p^{-\langle \mu, \rho \rangle} |\{x_i \text{ or } x' : t^{-1}t(x_i) \text{ or } t^{-1}t(x') \in T(\mathbb{Z}_p)\}|.$$

By computation, for all  $0 \leq i < p$ ,  $t(x_i) = \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}$  and  $t(x') = \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$ . For  $\mu \in P^+$  and  $t = \mu(p) = \begin{pmatrix} p^a & 0 \\ 0 & p^b \end{pmatrix}$  with  $a \geq b$ , in order for  $t^{-1}t(x_i)$  to be contained in  $T(\mathbb{Z}_p)$ ,

$$\begin{pmatrix} p^{-a} & 0 \\ 0 & p^{-b} \end{pmatrix} \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} p^{1-a} & 0 \\ 0 & p^{-b} \end{pmatrix}$$

must satisfy  $1-a = 0$  and  $-b = 0$ . On the other hand, in order for  $t^{-1}t(x') \in T(\mathbb{Z}_p)$ ,

$$\begin{pmatrix} p^{-a} & 0 \\ 0 & p^{-b} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} = \begin{pmatrix} p^{-a} & 0 \\ 0 & p^{1-b} \end{pmatrix}$$

must satisfy  $-a = 0$  and  $1-b = 0$ . Therefore, for all  $t = \mu(p)$  with  $\mu \in P^+$ , if  $\mu \neq e_1$  or  $e_2$ , then  $\mathcal{S}(T_p)(t) = 0$ ; otherwise, for  $t = e_1(p) = \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}$ ,  $t^{-1}t(x_i) = I \in T(\mathbb{Z}_p)$  but  $t^{-1}t(x') \notin T(\mathbb{Z}_p)$ . Given  $\langle e_1, \rho \rangle = \frac{1}{2}$ , computation gives us that

$$\mathcal{S}(T_p)(e_1(p)) = p^{-\frac{1}{2}} \cdot p = p^{\frac{1}{2}}.$$

On the other hand, for  $t = e_2(p) = \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix}$ , since only  $t^{-1}t(x')$  is contained in  $T(\mathbb{Z}_p)$  and  $\langle e_2, \rho \rangle = -1/2$ ,

$$\mathcal{S}(T_p)(e_2(p)) = p^{\frac{1}{2}} \cdot 1 = p^{\frac{1}{2}}$$

This gives us that

$$\mathcal{S}(T_p) = p^{\frac{1}{2}} \cdot (\mathbb{1}_{e_1(p)T(\mathbb{Z}_p)} + \mathbb{1}_{e_2(p)T(\mathbb{Z}_p)}).$$

In fact, given  $\mathcal{S}$  maps  $T_p$  from  $\mathcal{H}_G$  to  $R(GL_2(\mathbb{C})) \otimes \mathbb{C}$ , according to [2] (3.9), in general, we have that

$$\mathcal{S}(T_p) = p^{\langle e_1, \rho \rangle} \chi_{e_1} + \sum_{\mu < e_1} a_{e_1}(\mu) \chi_\mu,$$

where  $\chi_\mu = \text{Trace}(V_\mu)$  and  $V_\mu$  is the irreducible representation of  $GL_2(\mathbb{C})$  with  $\mu \in P^+$  is the highest weight for  $V_\mu$ . Furthermore, given  $e_1$  is a minuscule weight for  $GL_2(\mathbb{C})$ , i.e. there are no elements  $\mu \in P^+$  with  $\mu < e_1$ , from [2] (3.14), we have

$$\mathcal{S}(T_p) = p^{\frac{1}{2}} \cdot \text{Trace}(\mathbb{C}^2)$$

with  $\mathbb{C}^2$  is the standard representation.

Moreover, from Proposition 5.14, it is clear that  $\mathcal{H}_G$  is commutative as we wanted. As we can see from the previous section that multiplication in the Hecke ring is quite difficult. Given the Hecke ring is isomorphic to a polynomial ring, the multiplication of elements in the Hecke ring can therefore be identified with the multiplication of elements in the polynomial ring, thus making the computation of multiplication is easier.

#### ACKNOWLEDGEMENT

I am particularly grateful for the continuous assistance and guidance given by my mentor, Hao (Billy) Lee on the readings and revisions of this paper. Much understanding of the materials mentioned in this paper come under his explanations during our weekly meeting.

In addition, I am also immensely thankful to Professor Peter May on organizing this REU. Without his support and making it possible as an online project, under such difficult condition due to the COVID pandemic, the REU wouldn't be possible, not mentioning how much new materials I learnt from the REU during this summer.

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