

# KURATOWSKI'S THEOREM

YIFAN XU

ABSTRACT. This paper introduces basic concepts and theorems in graph theory, with a focus on planar graphs. On the foundation of the basics, we state and present a rigorous proof of Kuratowski's theorem, a necessary and sufficient condition for planarity.

## CONTENTS

|                         |    |
|-------------------------|----|
| 1. Introduction         | 1  |
| 2. Basic Graph Theory   | 1  |
| 3. Planar Graphs        | 3  |
| 4. Kuratowski's Theorem | 7  |
| Acknowledgments         | 12 |
| References              | 12 |

## 1. INTRODUCTION

The planarity of a graph, whether a graph can be drawn on a plane in a way that no edges intersect, is an interesting property to investigate. With a few simple theorems it can be seen that  $K_5$  (see figure 1) and  $K_{3,3}$  (see figure 2) are nonplanar. Kuratowski pushes this nearly effortless observation into a powerful theorem exposing the sufficient and necessary condition of planarity. Simple as the theorem appears to be, to prove this we need a significant amount of preparations. In this paper, we start with basic graph theory and proceed into concepts and theorems related to planar graphs. In the last section we will give a proof of Kuratowski's theorem, which in general corresponds with that in *Graph Theory with Applications* (see [1] in the list of references) but provides more details and hopefully more clarity.

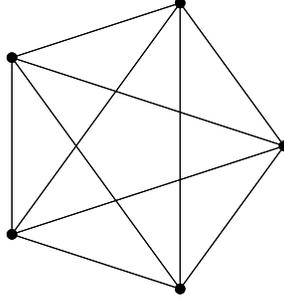
## 2. BASIC GRAPH THEORY

We need several definitions as a start.

**Definition 2.1.** A *graph*  $G$  is an ordered pair  $(V(G), E(G))$ , consisting of a nonempty set  $V(G)$  of *vertices* and a set  $E(G)$  of *edges*, each edge a two-element subset of  $V$ . Denote  $|V(G)|$ , the number of vertices in the vertex set, by  $\nu$  and  $|E(G)|$  by  $\epsilon$ . Note that (i)  $E(G)$  can be empty and (ii) an edge can link a vertex to itself.

---

*Date:* August 28, 2017.

FIGURE 1. a diagram of  $K_5$ 

**Definition 2.2.** An edge whose ends are identical is a *loop*. Otherwise, the edge is a *link*. A graph is *simple* if it has no loops and no two links have the same pair of unordered vertices.

**Definition 2.3.** A vertex is *incident* to an edge if the vertex is one of the ends of the edge; two vertices are *adjacent* to each other if they are connected by an edge. The *degree* of a vertex  $v$ , denoted by  $\deg(v)$ , is the number of edges incident to  $v$ , with a loop counted as two edges. We let  $\delta := \min_{v \in V}(\deg v)$  and  $\Delta := \max_{v \in V}(\deg v)$ .

**Definition 2.4.** A *walk*  $W$  is a set of alternating vertices and edges, denoted by  $W = v_0 e_1 v_1 e_2 \dots e_k v_k$  where  $e_i$  ( $i \in [1, k]$ ,  $i \in \mathbb{N}$ ) links  $v_{i-1}$  with  $v_i$ . A walk where all  $e_i$ 's are distinct is a *trail*. In addition, if all vertices are distinct, then  $W$  is a *path*. A graph  $G$  is *connected* if there exists a path between every pair of vertices in  $G$ .

A walk is *closed* if it has positive length and identical ends. A closed trail with distinct vertices is a *cycle*.

A family of walks is *internally disjoint* if no vertex is an internal vertex of more than one walk in the family.

**Definition 2.5.**  $H$  is a *subgraph* of  $G$  if  $V(H) \subseteq V(G)$ ,  $E(H) \subseteq E(G)$ , and endpoints of all edges in  $E(H)$  are included in  $V(H)$ . In addition, if  $H$  is a maximally connected subgraph,  $H$  is a *component* of  $G$ . The number of components in  $G$  is denoted by  $\omega(G)$ . An edge  $e$  is a *cut edge* if  $\omega(G - e) > \omega(G)$ .

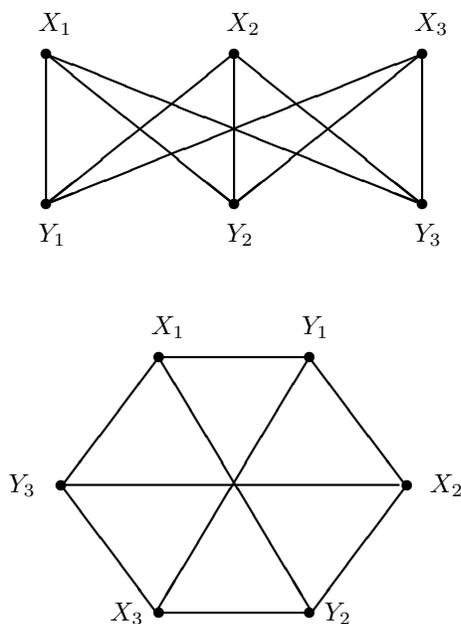
**Definition 2.6.** A *complete graph* is a graph where each pair of vertices is connected by a unique edge. We let  $K_m$  denote the complete graph on  $m$  vertices.

A graph  $G$  is *bipartite* if its vertex set  $V$  can be divided into two nonempty subsets  $X$  and  $Y$  such that every edge in  $G$  connects one vertex in  $X$  to another one in  $Y$ .

A graph  $G$  is *complete bipartite* if for all  $x \in X, y \in Y$ ,  $x$  is connected to  $y$  by a unique edge. When  $X$  contains  $m$  vertices and  $Y$  contains  $n$  vertices,  $G$  is denoted by  $K_{m,n}$ .

**Theorem 2.7.** For a graph  $G$  with vertex set  $V$  and edge set  $E$ ,

$$\sum_{v \in V} \deg(v) = 2\epsilon$$

FIGURE 2. two diagrams of  $K_{3,3}$ 

*Proof.* For  $v \in V$  and  $e \in E$ , we let  $n(v)$  denote the set of edges incident to  $v$  and  $m(e)$  the set of endpoints of  $e$ . Then

$$\begin{aligned} \sum_{v \in V} \deg(v) &= \sum_{v \in V} \left( \sum_{e \in n(v)} 1 \right) \\ &= \sum_{e \in E} \left( \sum_{v \in m(e)} 1 \right) \\ &= 2\epsilon. \end{aligned}$$

□

### 3. PLANAR GRAPHS

**Definition 3.1.** A way to draw the graph, representing vertices by points and edges by lines connecting points, is called a *diagram* of the graph. A diagram is embedded in the plane. A graph that has a diagram whose edges do not intersect anywhere besides their ends (i.e., vertices) is called a *planar graph*. The diagram is then called the *planar embedding* of a planar graph, or simply, a *plane graph*.

**Definition 3.2.** Closures of regions partitioned by a plane graph are *faces*, the number of which is denoted by  $\phi$ . In a plane graph, the degree of a face  $f$ , denoted by  $\deg(f)$ , is the number of edges incident to  $f$ , with cut edges being counted twice.

**Definition 3.3.** A *dual* of a plane graph  $G$ , denoted by  $G^*$ , can be constructed as follows: every vertex  $v^*$  in  $G^*$  corresponds to a face  $f$  in  $G$  and every edge  $e^*$  in  $G^*$  corresponds to an edge  $e$  in  $G$ . Two vertices in  $G^*$ ,  $v^*$  and  $w^*$ , are joined by the edge  $e^*$  if and only if their corresponding faces in  $G$ ,  $f$  and  $g$ , are separated by  $e$ .

**Theorem 3.4.** For  $G$  a plane graph, let  $F(G)$  denote the set of faces in  $G$ . The following holds:

$$\sum_{f \in F(G)} \deg(f) = 2\epsilon.$$

*Proof.* Consider the dual,  $G^*$ , of  $G$ . By Theorem 2.13,

$$\sum_{v^* \in V^*} \deg(v^*) = 2\epsilon^*.$$

By definition of a dual graph,  $\forall f \in F(G)$ ,  $\deg(f) = \deg(v^*)$ , and  $\epsilon = \epsilon^*$ . Then

$$\sum_{f \in F(G)} \deg(f) = \sum_{v^* \in V^*} \deg(v^*) = 2\epsilon^* = 2\epsilon.$$

□

**Theorem 3.5.** (Euler's Formula) For  $G$  a connected plane graph, the following relationship holds:

$$\nu - \epsilon + \phi = 2.$$

*Proof.* We prove this by induction on  $\epsilon$ .

(1) Basic step: when  $\epsilon = 0$ , since  $G$  is connected,  $G$  must be trivial. Then  $\nu = 1$ ,  $\epsilon = 0$ ,  $\phi = 1$ .

$$\nu - \epsilon + \phi = 1 - 0 + 1 = 2.$$

Clearly the formula holds.

(2) Inductive step: suppose for  $G$  with  $\epsilon(G) = k$ ,

$$\nu(G) - \epsilon(G) + \phi(G) = 2.$$

Let  $H$  be a planar graph such that  $G$  is a subgraph and  $\epsilon(H) = k + 1$ . There are 3 cases:

Case(a):  $e$  is a loop added on some  $v \in V$ . Then  $\nu(H) = \nu(G)$ . Since  $H$  needs to remain a plane graph, the loop does not intersect with any other edge in  $G$ . Therefore the loop constitutes a new face,  $\phi(H) = \phi(G) + 1$ , which then gives us

$$\begin{aligned} \nu(H) - \epsilon(H) + \phi(H) &= \nu(G) - (\epsilon(G) + 1) + (\phi(G) + 1) \\ &= \nu(G) - \epsilon(G) + \phi(G) \\ &= 2. \end{aligned}$$

Case(b):  $e$  adds a link between two vertices  $v_1, v_2$  in  $G$ . Since  $G$  is connected, there is a path between  $v_1$  and  $v_2$ . The addition of  $e$  creates a new cycle, and hence a new face (if there are more than one path between  $v_1$  and  $v_2$ , there is necessarily one that borders the new face). Therefore  $\nu(H) = \nu(G)$ ,  $\phi(H) = \phi(G) + 1$ ,  $\epsilon(H) = \epsilon(G) + 1$ . Similar to (a), the formula holds.

Case(c):  $e$  links some  $v_1 \in V(G)$  to a new vertex  $v_2$ . Then  $\nu(H) = \nu(G) + 1$ . Since  $v_2$  is a new vertex,  $e$  lies in some face bordering  $v_1$ , without creating any new face. Then  $\phi(H) = \phi(G)$ . In this case,

$$\begin{aligned} \nu(H) - \epsilon(H) + \phi(H) &= (\nu(G) + 1) - (\epsilon(G) + 1) + \phi(G) \\ &= \nu(G) - \epsilon(G) + \phi(G) \\ &= 2. \end{aligned}$$

Therefore in all 3 cases, the formula holds in  $H$ . By the principle of induction, the formula holds for all connected plane graphs. □

**Corollary 3.6.** *For  $G$  a simple planar graph with  $\nu \geq 3$ ,*

$$\epsilon \leq 3\nu - 6.$$

*Proof.* Since  $G$  is a simple graph with  $\nu(G) \geq 3$ , the planar embedding of  $G$ ,  $G'$ , is also simple with  $\nu(G') \geq 3$ . For  $f \in F(G')$ , there exist 3 cases:

(1) if  $f$  is bounded by a cycle, since  $G'$  is simple, the minimum size of a cycle is 3 and  $\deg_{G'}(f) \geq 3$ ;

(2) if  $f$  is incident to some edges in addition to a cycle,  $\deg_{G'}(f) > 3$ ;

(3) if  $f$  is incident to no cycle at all, then there exists at least two cut edges on the boundary of  $f$ —if not, the rest of the boundary must be a cycle, which contradicts the condition—which means  $\deg_{G'}(f) \geq 4 > 3$ .

Therefore, for every  $f \in F(G')$ ,

$$\sum \deg_{G'}(f) \geq 3\phi(G')$$

then by Theorem 3.7,

$$\sum_{f \in F(G')} \deg(f) = 2\epsilon(G') \geq 3\phi(G')$$

which yields

$$\phi(G') \leq \frac{2}{3}\epsilon(G').$$

Then by Theorem 3.8,

$$\begin{aligned} \nu(G') - \epsilon(G') + \phi(G') &= 2 \leq \nu(G') - \epsilon(G') + \frac{2}{3}\epsilon(G') \\ &= \nu(G') - \frac{1}{3}\epsilon(G') \\ &= \nu(G) - \frac{1}{3}\epsilon(G). \end{aligned}$$

Therefore,

$$\nu(G) - \frac{1}{3}\epsilon(G) \geq 2$$

and

$$\epsilon \leq 3\nu - 6. \quad \square$$

**Corollary 3.7.**  *$K_5$  is nonplanar.*

*Proof.* We have

$$\epsilon(K_5) = \binom{5}{2} = 10 > 3\nu(K_5) - 6 = 9,$$

by Corollary 3.6,  $K_5$  is nonplanar.  $\square$

**Corollary 3.8.**  *$K_{3,3}$  is nonplanar.*

*Proof.* We are going to prove this by contradiction. Suppose there exists a planar embedding of  $K_{3,3}$ . In a simple bipartite graph, the minimum size of a cycle is 4, which means for  $f \in F(K_{3,3})$ ,

$$\deg(f) \geq 4$$

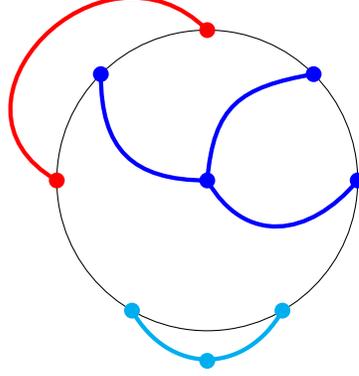


FIGURE 3. an example of 3 bridges on a cycle (the red one is skew to the blue one; the cyan one avoids the other two; red and cyan outer bridges, blue an inner bridge)

(the argument similar to that in Corollary 3.9). By Theorem 3.7,

$$4\phi \leq \sum_{f \in F(G)} \deg(f) = 2\epsilon = 18$$

then since  $\phi \in \mathbb{N}$ ,

$$\phi \leq 4.$$

By Theorem 3.8,

$$\nu - \epsilon + \phi = 2 \leq 6 - 9 + 4 = 1,$$

which is impossible. Therefore,  $K_{3,3}$  is nonplanar.  $\square$

**Definition 3.9.** Let  $H$  be a subgraph of a graph  $G$ . Define an equivalence relation  $\sim$  on  $E(G) \setminus E(H)$  as follows:  $a \sim b$  if there is a walk  $W$  such that  $a$  and  $b$  are the first and last edge in  $W$  respectively, and that no internal vertex of  $W$  is in  $V(H)$ .

A *bridge* of  $H$  in  $G$  is a subgraph of  $G - E(H)$  induced by an equivalent class of  $\sim$  (a bridge containing  $e$  is the subgraph containing every  $e'$ ,  $e' \sim e$ ,  $e$  and  $e'$  edges of  $G$ ). For a bridge  $B$  of  $H$ , we define *vertices of attachment* of  $B$  to  $H$  as the vertices in the set  $V(B) \cap V(H)$ .

Let  $C$  be a cycle. Then two bridges of  $C$ ,  $B_1$  and  $B_2$ , are *skew* if two vertices of attachment of  $B_1$ , say  $u_1$  and  $v_1$ , and two of  $B_2$ ,  $u_2$  and  $v_2$ , appear in the order of  $u_1, u_2, v_1, v_2$  on  $C$ .

**Definition 3.10.** Suppose  $C$  is a cycle in a planar embedding of a planar graph  $G$ . Then for some bridge  $B$  of  $C$ ,  $B$  is contained entirely in either  $Int(C)$  (the region inside  $C$ ) or  $Ext(C)$  (the region outside  $C$ ). A bridge in  $Int(C)$  is an *inner bridge*, while one in  $Ext(C)$  is an *outer bridge*.

In the planar embedding, inner (or outer) bridges *avoid* each other: for all  $B_1, B_2$  two inner(outer) bridges, all vertices of attachment in  $B_1$  lie on the arc  $uv$  of  $C$  which contains no vertices of attachment of  $B_2$  other than  $u$  and  $v$ .

**Definition 3.11.** In some planar embedding  $G_1$  of a planar graph  $G$ , an inner bridge  $B$  of  $C$  (a cycle in  $G$ ) is *transferrable* if there exists another planar embedding  $G_2$  of  $G$ , where  $B$  is an outer bridge but everything else remains the same as in  $G_1$ .

**Theorem 3.12.** *Let  $G$  be a plane graph and  $C$  a cycle in  $G$ . An inner bridge  $B$  of  $C$  is transferrable if  $B$  avoids every outer bridge of  $C$ .*

*Proof.* Find an inner bridge  $B$  that avoids every outer bridge. Then we can find a face in  $\text{Ext}(C)$  whose boundary contains all vertices of attachment of  $B$ . Drawing  $B$  on the new face gives us another planar embedding, which means  $B$  is transferrable.  $\square$

#### 4. KURATOWSKI'S THEOREM

In 1930, Kuratowski published the theorem giving a necessary and sufficient condition for planarity. Kuratowski's Theorem states that a graph is planar if and only if it contains no subdivision of  $K_5$  or  $K_{3,3}$ . To prove this theorem, we first need some simple lemmas.

**Lemma 4.1.** *Every subgraph of a planar graph is planar.*

*Proof.* If  $G$  is planar, then there exists a planar embedding of  $G$ . For every subgraph  $H$  of  $G$ , we can find the vertices and edges of  $H$  in the planar embedding of  $G$ . This is how we can construct a planar embedding of  $H$ .  $\square$

**Definition 4.2.** A *subdivision* of an edge is the operation where the edge is replaced by a path of length 2, the internal vertex added to the original graph. A *subdivision* of a graph  $G$  is a graph achieved by a sequence of edge-subdivisions on  $G$ .

**Lemma 4.3.** *Every subdivision of a nonplanar graph is nonplanar.*

*Proof.* Suppose for  $G$ , there exists a planar embedding of its subdivision,  $G'$ . When we remove the vertices created in edge-subdivisions, and reconstruct the original edge (without changing the shape and position of the path), we get a planar embedding of  $G$  and find  $G$  planar. Therefore, if  $G$  is nonplanar, every subdivision of  $G$  is nonplanar.  $\square$

From the two lemmas above the necessity easily follows. Then it suffices to prove that the condition is also sufficient. In order to show if  $G$  contains no subdivisions of  $K_5$  or  $K_{3,3}$ ,  $G$  is planar, it is equivalent to show that if  $G$  is nonplanar,  $G$  has to contain some subdivision of  $K_5$  or  $K_{3,3}$ . Two definitions are necessary before we get to the strategy of proving the equivalent statement.

**Definition 4.4.** For a graph  $G$ , a *vertex cut*  $V'$  is a subset of  $V$  whose removal renders  $G - V'$  disconnected (when we *remove* a vertex, we remove the vertex as well as all edges incident to it). The connectivity of  $G$ , denoted by  $\kappa(G)$ , is the minimum size of the vertex cut  $V'$ . A graph  $G$  is said to be *k-connected* if  $k \leq \kappa(G)$ .

**Definition 4.5.** For a graph  $G$ ,  $H$  is a *proper subgraph* of  $G$  if  $V(H) \subsetneq V(G)$  and  $E(H) \subsetneq E(G)$ . A *minimal nonplanar graph* is a nonplanar graph that does not have any nonplanar proper subgraph.

Clearly, it suffices to prove the statement for all  $G$  some minimal nonplanar graph. The strategy is as follows:

(1) Show that if minimal nonplanar graphs without any subdivision of  $K_5$  or  $K_{3,3}$

as subgraphs did exist, they would be 3-connected and simple.

(2) Show that every 3-connected graph with no subdivision of  $K_5$  or  $K_{3,3}$  as subgraphs is in fact planar. This is how we arrive at a contradiction, forcing the original statement to be true.

To show (1), we need to establish a few more lemmas.

**Lemma 4.6.** *A minimal nonplanar graph is 2-connected.*

*Proof.* First show that a minimal nonplanar graph is 1-connected (connected). Suppose  $G$  is disconnected and nonplanar, but all of its components are planar. Without loss of generality, suppose  $G$  has two components,  $G_1$  and  $G_2$ . Since  $G_1$  and  $G_2$  are both planar, we can add a planar embedding of  $G_1$  to one of the faces of a planar embedding of  $G_2$  (the infinite face for example), which yields a planar embedding of  $G$ , a contradiction.

Then we show that it is 2-connected. Suppose  $G$  is nonplanar, with  $\kappa(G) = 1$ . By definition of connectivity, there exists a vertex  $v$  such that  $G - v$  is disconnected. Without loss of generality, suppose  $G - v$  has two components,  $H_1$  and  $H_2$ . We know that  $H_1 \cup v$  and  $H_2 \cup v$  are both planar. In the planar embedding of each, we can find a face  $f$  whose boundary contains  $v$ . With stereographic projection, we can get a planar embedding for each of  $H_1 \cup v$  and  $H_2 \cup v$  where  $v$  lies on the boundary of the unbounded face, by placing the point at infinity on the sphere inside  $f$ . Then we can combine  $H_1 \cup v$  and  $H_2 \cup v$  by merging  $v$  and get a planar embedding of  $G$ , a contradiction. Therefore if  $G$  is a minimal nonplanar graph,  $G$  is 2-connected.  $\square$

**Lemma 4.7.** *If  $G$  is a graph that has the fewest edges possible among all connected nonplanar graphs with no subdivision of  $K_5$  or  $K_{3,3}$ , then  $G$  is 3-connected.*

*Proof.* The hypothesis suggests  $G$  is a minimal nonplanar graph. By the previous lemma,  $G$  is 2-connected. Suppose  $\kappa(G) = 2$ . Then there exists a vertex cut  $\{u, v\}$  such that  $G - \{u, v\}$  is disconnected. Name the components of  $G - \{u, v\}$   $H_1, H_2, \dots, H_k$ . Construct  $M_1, M_2, \dots, M_k$ , where  $M_i$  is  $H_i \cup \{u, v\}$  with the addition of a new edge  $uv$ . We claim here that among  $M_i$ ,  $1 \leq i \leq k$ , there exists at least one  $M_i$  that is nonplanar. Below is a proof for the claim:

Suppose all  $M_i$ 's are planar for  $1 \leq i \leq k$ . Then there is a planar embedding for each. Since  $\{u, v\}$  and the edge  $uv$  are the only part  $M_i$ 's share, we can merge the planar embeddings of  $M_i$ 's and get a planar embedding of  $G + uv$  ( $G \cup \{uv\}$ ), which means  $G + uv$  is planar. Then by Lemma 4.1,  $G$  is planar, a contradiction. Therefore, there exists some  $M_j$  where  $1 \leq j \leq k$  that is nonplanar.

It is clear that  $\epsilon(M_j) < \epsilon(G)$ . But since  $G$ , by the original hypothesis, is the smallest connected nonplanar graphs with no subdivision of  $K_5$  or  $K_{3,3}$ ,  $M_j$  must have some subdivision of  $K_5$  or  $K_{3,3}$ . Moreover, since  $G$  contains no such subdivision,  $M_j$  is not a subgraph of  $G$ , which means  $G$  does not have an edge  $uv$ . Now we combine  $M_j - uv$  with  $M_p - uv$  where  $p \neq j, 1 \leq p \leq k$  by merging the vertices  $u$  and  $v$  and get a subgraph of  $G$ . Since  $M_p - uv$  is connected, there exists a path between  $u$  and  $v$ . When we combine this path with  $M_j - uv$ , we get a subdivision of  $K_5$  or  $K_{3,3}$ . This means  $G$  contains such a subdivision, a contradiction. Therefore,  $G$  has to be 3-connected.  $\square$

Now (1) has been shown. To complete (2), we again need some lemmas.

**Lemma 4.8.** (*Whitney's Theorem*) *Let  $G$  be a graph with  $\nu \geq 3$ . Then  $G$  is 2-connected if and only if for all  $u, v \in V(G)$ , there are at least two internally-disjoint paths between them.*

*Proof.* ( $\Leftarrow$ ) If any two vertices in  $G$  are connected by at least two internally-disjoint paths, then clearly there exists no 1-vertex cut (since no matter which vertex is removed, between every two vertices that remain, there still exists at least one path between them). Hence  $G$  is 2-connected.

( $\Rightarrow$ ) Suppose  $G$  is 2-connected. We shall prove this direction by induction. Take two vertices  $u, v \in V(G)$ . Denote the number of edges in the shortest paths between them by  $d(u, v)$ .

(a) Basic step: when  $d(u, v) = 1$ . Since  $G$  is 2-connected, there exists another path connecting  $u, v$ , which does not contain the edge  $uv$ .

(b) Inductive step: Suppose there exist at least two internally-disjoint paths for all  $u, v$  with  $d(u, v) \leq k$ . For  $x, y$  with  $d(x, y) = k + 1$ , find a path  $P_0$  of length  $d(x, y)$  between  $x, y$  and a vertex  $z$  that is closest to  $y$  ( $d(y, z) = 1$ ) in  $P_0$ . Then  $d(x, z) = d(x, y) - 1$ . By the inductive hypothesis, there exist two internally-disjoint paths  $P_1$  and  $P_2$  between  $x, z$ . Since  $G$  is 2-connected, there exists another path  $Q$  between  $x, y$  that does not contain  $z$  (or  $\{z\}$  would be a 1-vertex cut). Let  $w$  be the vertex in  $(Q \cap (P_1 \cup P_2))$  that is closest to  $y$  on  $Q$ . Without loss of generality we suppose  $w$  is contained in  $P_1$ . Then we can find two internally-disjoint paths between  $x, y$ : the first one would be the part from  $x$  to  $w$  on  $P_1$  combined with the part from  $w$  to  $y$  on  $Q$ ; the second one would be  $P_2$  combined with the edge  $zy$ .  $\square$

**Lemma 4.9.** *If  $G$  is simple and 3-connected and  $uv$  is an edge in  $G$ , then  $G - uv$  is 2-connected.*

*Proof.* We want to show that for all  $a, b \in V(G - uv)$ , there exist at least two internally-disjoint paths between them. In other words, we want to show for every two vertices of  $G - uv$ , there exists a cycle they both lie on. We prove this by discussing 3 cases.

(1)  $\{a, b\} = \{u, v\}$ . Clearly  $\nu(G) \geq 4$ . Pick another two vertices  $c$  and  $d$  in  $G - uv$ . Without loss of generality, assume  $u = a$ . Now consider  $u$  and  $c$ . Since  $G$  is 3-connected,  $G$  does not contain any 2-vertex cut, which means when  $v$  and  $d$  are removed,  $u$  and  $c$  are still connected. In other words, there exists a path  $P_1$  between  $u$  and  $c$  which does not contain  $v$  and  $d$ . Similarly, there exists a path  $P_2$  between  $c$  and  $v$  that avoids  $u$  and  $d$ , a  $P_3$  between  $v$  and  $d$  that avoids  $u$  and  $c$ , and finally a  $P_4$  between  $d$  and  $u$  that avoids  $c$  and  $v$ . But then  $u$  and  $v$  lie on the same cycle  $u-P_1-c-P_2-v-P_3-d-P_4-u$ .

(2) One and only one of  $\{a, b\}$  is  $u$  or  $v$ . Without loss of generality, let  $a = u$  and  $b \neq v$ . Find  $c \neq b$  that is neither  $u$  nor  $v$ . Then following the similar argument as in (1), we can find a path  $P_1$  avoiding  $c$  and  $v$  between  $u$  and  $b$ , a  $P_2$  avoiding  $u$  and  $v$  between  $c$  and  $b$ , and a  $P_3$  avoiding  $v$  between  $c$  and  $u$ . Again  $u-P_1-b-P_2-c-P_3-u$  is a cycle containing  $u, b$ .

(3) Neither of  $\{a, b\}$  equals  $u$  or  $v$ . Once again following the same argument, we can find a path  $P_1$  avoiding  $u, v$  between  $a, b$ , a  $P_2$  avoiding  $u, a$  between  $v, b$ , and a  $P_3$  avoiding  $u, b$  between  $a, v$ . Then  $a-P_1-b-P_2-v-P_3-a$  is a cycle containing  $a, b$ .

Since in all 3 cases, we construct a cycle without the edge  $uv$  where both  $a, b$  lie, the same cycles can be constructed in  $G - uv$ , which means  $G - uv$  must be 2-connected.  $\square$

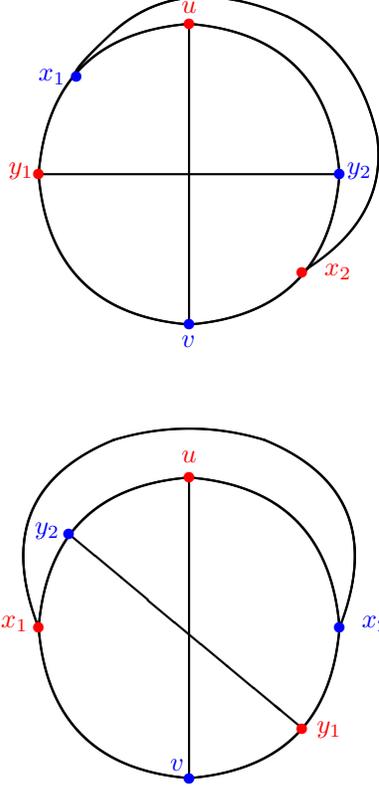


FIGURE 4. Case (1) and (2), with colors indicating bipartition

Now we are ready for the actual proof.

**Theorem 4.10.** (*Kuratowski's Theorem*) *A graph is planar if and only if it does not contain any subdivision of  $K_5$  or  $K_{3,3}$ .*

*Proof.* ( $\Rightarrow$ ) It is true by the first two lemmas in this section.

( $\Leftarrow$ ) Suppose there exists a nonplanar graph that does not contain any subdivision of  $K_5$  or  $K_{3,3}$ . Without loss of generality, let  $G$  be a nonplanar graph that contains no subdivision  $K_5$  or  $K_{3,3}$  and has the fewest edges possible. Then  $G$  is a minimal nonplanar graph. By Lemma 4.7,  $G$  is 3-connected (and simple). Take two adjacent vertices  $u, v \in V(G)$ . Consider the subgraph  $G - uv$ . By minimality,  $G - uv$  is planar.

By Lemma 4.9,  $G - uv$  is 2-connected. By Lemma 4.8, there are at least two internally-disjoint paths between  $u$  and  $v$ . In other words,  $u$  and  $v$  lie on some common cycle. Among all cycles containing  $u$  and  $v$  in a planar embedding of  $G - uv$ , find  $C_0$  with the most edges in  $\text{Int}(C_0)$ .

Now consider the bridges of  $C_0$  in  $G - uv$  (if  $G - uv$  does not contain any bridge of  $C_0$ , then it is clear that with the addition of edge  $uv$ , the graph is still planar, which means  $G$  is planar, a contradiction). Suppose there exists a bridge with only one vertex of attachment  $v_1$ . Then  $v_1$  is a one-vertex cut of  $G - uv$ , which

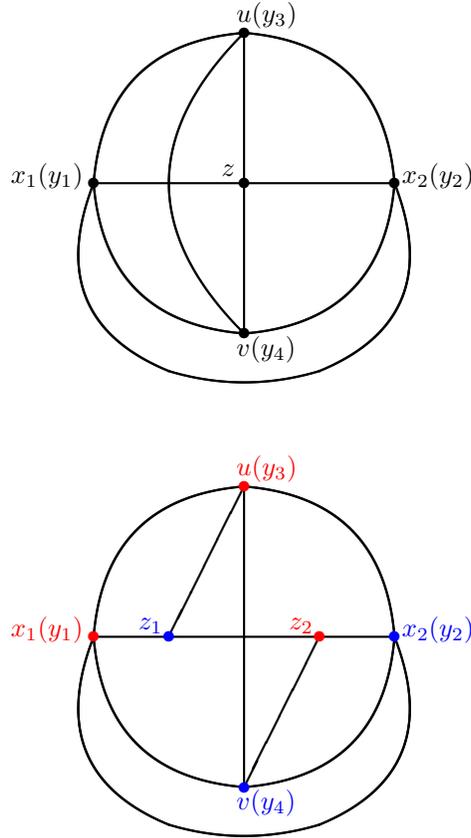


FIGURE 5. Case (3) and (4)

contradicts the condition that  $G - uv$  is 2-connected. Therefore, all bridges of  $C_0$  in  $G - uv$  have at least two vertices of attachment. Moreover, if an outer bridge of  $C_0$  has more than 2 vertices of attachment, we can always find a new cycle that contains parts of the outer bridge and has more edges in its interior. Therefore all outer bridges of  $C_0$  have exactly 2 vertices of attachment. Following the same argument, if an outer bridge avoids the arc  $uv$ , then there would be another cycle with more edges in the interior. Hence all outer bridges overlap the arc  $uv$ , that is, for any outer bridge, not all vertices of attachment lie on the same arc  $uv$ . Also, if the size of an outer bridge is more than one, there exists a vertex that is not on  $C_0$  in the bridge. Then the two vertices of attachment form a 2-vertex cut of both  $G - uv$  and  $G$ , which contradicts the condition of  $G$  being 3-connected. Therefore, we can conclude that all outer bridges of  $C_0$  have 2 vertices of attachment, have the size 1, and overlap the arc  $uv$ .

Find an outer bridge  $B_1$  and an inner bridge  $B_2$  that overlap. Justification for finding such  $B_1, B_2$  is as follows. If all bridges of  $C_0$  are inner (outer) bridges, then we can draw the edge  $uv$  in the exterior (interior) of  $C_0$  and achieve a planar

embedding of  $G$ , which contradicts the hypothesis. Hence  $C_0$  has to have both inner and outer bridges. The reason why there exists a pair that overlap is that if not, then every inner bridge of  $C_0$  avoids every outer bridge, and by Theorem 3.16, all inner bridges of  $C_0$  are transferrable. We can then find a planar embedding of  $G - uv$  where  $C_0$  have only outer bridges, which again contradicts the hypothesis.

Let the vertices of attachment of  $B_1$  be  $x_1, x_2$ , and those of  $B_2$  be  $y_1, y_2, y_3, \dots$ . We know that  $B_2$  overlaps the arc  $uv$ , and is skew to  $B_1$ . We consider 4 cases in terms of the relative position of  $B_1$  and  $B_2$ . Without loss of generality, we assume that  $u, x_2, v, x_1$  lie on the cycle in a clockwise order.

(1) Among all vertices of attachment of  $B_2$ , there exist  $y_1, y_2$  such that  $y_1$  lies between  $x_1$  and  $v$ ,  $y_2$  between  $u$  and  $x_2$ . Then  $G$  contains a subdivision of  $K_{3,3}$ , which is against our assumption.

(2) There exist  $y_1, y_2$  such that  $y_1$  lies between  $x_2$  and  $v$ ,  $y_2$  between  $x_1$  and  $u$ . Still  $G$  contains a subdivision of  $K_{3,3}$ , a contradiction.

(3) There exist  $\{y_1, y_2, y_3, y_4\} = \{x_1, x_2, u, v\}$  such that the  $u$ - $v$  path  $P_1$  and the  $x_1$ - $x_2$  path  $P_2$  have one and only one vertex  $z$  in common ( $P_1$  and  $P_2$  must have some vertices in common because of the planarity of  $G - uv$ ). Then  $G$  contains a subdivision of  $K_5$ , a contradiction.

(4) There exist  $\{y_1, y_2, y_3, y_4\} = \{x_1, x_2, u, v\}$  such that  $P_1$  and  $P_2$  have more than one vertex in common. Then  $G$  again contains a subdivision of  $K_{3,3}$ .

By now we have covered every possible case and derived a contradiction from each of them. Therefore, the theorem is true.  $\square$

**Acknowledgments.** It is a pleasure to thank my mentor, Reid Harris, for his helpful guidance and advice. I would also like to thank Professor Babai for introducing me to graph theory and Professor May for organizing the REU.

#### REFERENCES

- [1] J. A. Bondy and U. S. R. Murty. *Graph Theory with Applications*. The Macmillan Press Ltd., 1982, page 1-15, 143-156.
- [2] Walter Klotz. "A constructive proof of Kuratowski's theorem." <https://www.researchgate.net/publication/256078009>.