

Characterizing the Computable Structures: Boolean Algebras and Linear Orders

Asher M. Kach

University of Wisconsin - Madison

Southern Wisconsin Logic Colloquium
Thesis Defense
1 May 2007

Acknowledgements

Remark

Although I am grateful to many (consult my thesis), I do want to especially recognize and thank both the UW-Madison Math department and Steffen Lempp.

My committee, Jin-Yi Cai, Eric Knuth, Ken Kunen, Steffen Lempp, and Joel Robbin, also deserves special recognition.

1 General Background and Notation

2 Shuffle Sums

3 Boolean Algebras of Low Depth

Definition

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Here we will be considering two specific classes of structures: Boolean algebras and linear orders. We view a Boolean algebra as a structure in the signature $\mathcal{B} = (B : +, \cdot, -, 0, 1)$ and a linear order as a structure in the signature $\mathcal{L} = (L : \prec)$.

The Feiner Hierarchy

Definition

For $n \in \omega$, define $\emptyset^{(\leq n)}$ to be the set

$$\emptyset^{(\leq n)} = \{ \langle k, m \rangle : m \in \emptyset^{(k)}, k \leq n \}.$$

Definition ([1])

Let $S \subseteq \omega$ be a set computable in $\emptyset^{(\omega)}$. Then S is (a, b) in the Feiner hierarchy if there exists an index e such that

- 1 The function $\varphi_e^{\emptyset^{(\omega)}}$ is total and is the characteristic function of S , i.e., $\varphi_e^{\emptyset^{(\omega)}}(n) = \chi_S(n)$ for all n .
- 2 The computations $\varphi_e^{\emptyset^{(\leq bn+a)}}(n)$ and $\varphi_e^{\emptyset^{(\omega)}}(n)$ are identical; in particular, the latter queries $\emptyset^{(\omega)}$ on no number $\langle k, m \rangle$ with $k > bn + a$.

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Shuffle Sums

Definition

Let $S = \{\mathcal{L}_x\}$ be a countable set of linear orders. Then the shuffle sum of S , denoted $\sigma(S)$, is the linear order obtained by partitioning the rationals \mathbb{Q} into $|S|$ many dense sets $\{Q_x\}$ and replacing each point $q \in Q_x$ with the linear order \mathcal{L}_x .

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Definition

If $\mathcal{L} = (L : \prec)$ is a linear order and $\mathcal{L}_a = (L_a : \prec_a)$ is a linear order for each $a \in L$, the lexicographic sum of \mathcal{L} and $\{\mathcal{L}_a\}_{a \in L}$ is the linear order with universe $\{(a, b) : a \in L, b \in L_a\}$ under the lexicographic order induced by \prec and \prec_a .

Definition

The shuffle sum of a set $S = \{\mathcal{L}_x\}_{x \in \omega}$ is the linear order $\sum_{a \in \mathbb{Q}} \mathcal{L}_a$, where \mathcal{L}_a is the linear order \mathcal{L}_x if $a \in Q_x$.

Definition

A set $S \subseteq \omega + 1$ is a limit infimum set, written LIMINF set, if there is a total computable function $g(x, s) : \omega \times \omega \rightarrow \omega$ such that the function

$$f(x) = \liminf_s g(x, s)$$

enumerates S . Here we use the convention that $\liminf_s g(x, s) = \omega$ if $\lim_s g(x, s) = \infty$.

Definition ([2])

A set $S \subseteq \omega + 1$ is a *limitwise monotonic set relative to $(\mathbf{0}')$* , written **LIMMON** $(\mathbf{0}')$ set, if there is a total $(\mathbf{0}')$ -computable function $\tilde{g}(x, t) : \omega \times \omega \rightarrow \omega$ satisfying

$$\tilde{g}(x, t) \leq \tilde{g}(x, t + 1) \quad \text{for all } x \text{ and } t$$

such that the function

$$\tilde{f}(x) = \lim_t \tilde{g}(x, t).$$

enumerates S . Again we use the convention that $\lim_t \tilde{g}(x, t) = \omega$ if $\lim_t \tilde{g}(x, t) = \infty$.

Shuffle Sums: The Main Result

Theorem (K)

For sets $S \subseteq \omega + 1$, the following are equivalent:

- 1 *The shuffle sum $\sigma(S)$ is computable.*
- 2 *The set S is a LIMINF set.*
- 3 *The set S is a LIMMON ($\mathbf{0}'$) set.*

Proof of (1) implies (2)

Proof (Sketch).

From a computable presentation of $\sigma(S)$, define a computable function $g(x, s)$ as the “sum” of the number of points to the left of x in the block of x , one, and the number of points to the right of x in the block of x .

As the block of x is not computable, we guess that it extends from the point last enumerated to the left of x to the point last enumerated to the right of x (exclusive).

Verify that this approximation works, separating the case when the block size of x is finite from when the block size is infinite. □

Proof of (2) implies (1)

Proof (Sketch).

From a function g witnessing that S is a LIMINF set, build infinitely many copies of the linear order $g(x, s)$ at all rationals in the set Q_x .

When the value of $g(x, s)$ increases, add additional points. When the value of $g(x, s)$ decreases, disassociate the extra points from the rational in $q \in Q_x$.

Prioritize the disassociated points so that they eventually become permanently associated to some other rational $q' \in Q_{x'}$. □

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From a computable function $g(r, s)$ witnessing that S is a LIMINF set, define a $(\mathbf{0}')$ -computable function $\tilde{g}(r, t)$ witnessing that S is a LIMMON $(\mathbf{0}')$ set.

Proof of (2) if and only if (3)

Proof (Sketch).

From a computable function $g(r, s)$ witnessing that S is a LIMINF set, define a $(\mathbf{0}')$ -computable function $\tilde{g}(r, t)$ witnessing that S is a LIMMON $(\mathbf{0}')$ set.

Conversely, from a $(\mathbf{0}')$ -computable function $\tilde{g}(r, t)$ witnessing that S is a LIMMON $(\mathbf{0}')$ set, define a computable function $g(r, s)$ witnessing that S is a LIMINF set. □

1 General Background and Notation

2 Shuffle Sums

3 Boolean Algebras of Low Depth

Definition ([5])

A measure σ is a map from the countable atomless Boolean algebra \mathcal{F} to the countable ordinals satisfying $\sigma(x + y) = \max\{\sigma(x), \sigma(y)\}$.

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Remark

By associating the countable atomless Boolean algebra with finite unions of cones of $2^{<\omega}$, a measure can be viewed as a map $\sigma : 2^{<\omega} \rightarrow \omega_1$ satisfying $\sigma(\tau) = \max\{\sigma(\tau \cap 0), \sigma(\tau \cap 1)\}$. Under this interpretation, a measure can be thought of as a labelled binary tree.

Definition ([5])

If $\sigma : \mathcal{F} \rightarrow \omega_1$ is a measure, define maps $\Delta^\alpha \sigma$ with domain \mathcal{F} for $\alpha < \omega_1$ recursively by setting $\Delta^0 \sigma = \sigma$,

$$\Delta^{\alpha+1} \sigma(\mathbf{x}) = \{(\Delta^\alpha \sigma(\mathbf{x}_1), \dots, \Delta^\alpha \sigma(\mathbf{x}_n)) : \mathbf{x} = \mathbf{x}_1 \oplus \dots \oplus \mathbf{x}_n\},$$

and $\Delta^\gamma \sigma(\mathbf{x})$ as the inverse limit of $\Delta^\beta \sigma(\mathbf{x})$ for $\beta < \gamma$.

The set $\Delta^\alpha \sigma(1_B)$ is the α^{th} derivative of \mathcal{B}_σ .

Theorem (K)

For each set $S \subseteq \omega_1$ satisfying $|S| = 1$, there is exactly one depth zero Boolean algebra with range S , namely $B_{u(S)} = B_{v(S)}$.

For each set $S \subseteq \omega_1$ with greatest element satisfying $|S| > 1$, there are exactly two depth zero Boolean algebras with range S , namely $B_{u(S)}$ and $B_{v(S)}$.

Classical Depth Zero

Theorem (K)

For each set $S \subseteq \omega_1$ satisfying $|S| = 1$, there is exactly one depth zero Boolean algebra with range S , namely $B_{u(S)} = B_{v(S)}$.

For each set $S \subseteq \omega_1$ with greatest element satisfying $|S| > 1$, there are exactly two depth zero Boolean algebras with range S , namely $B_{u(S)}$ and $B_{v(S)}$.

Proof (Sketch).

Show the existence of at least two, then of at most two. For the former, define the Boolean algebras $\mathcal{B}_{u(\alpha+1)}$ and $\mathcal{B}_{v(\alpha+1)}$ by induction on α . For the latter, either use pseudo-indecomposability and primitiveness or appeal directly to the depth zero definition. □

Proposition (K)

There are continuum many depth one, rank ω Boolean algebras with range $\omega + 1$.

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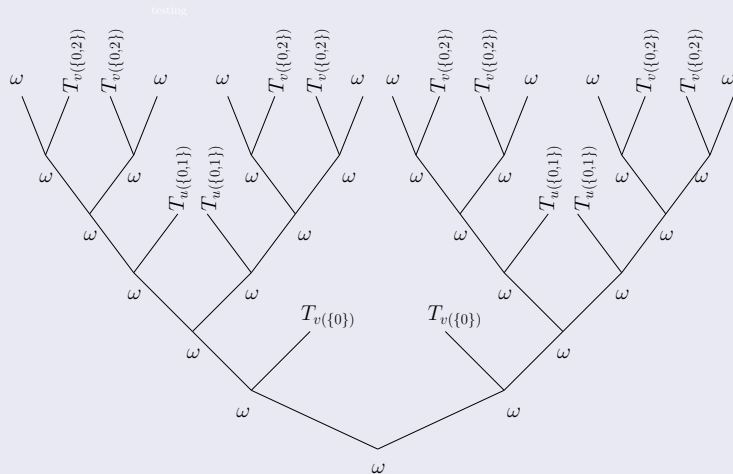
There are continuum many depth one, rank ω Boolean algebras with range $\omega + 1$.

Proof (Sketch).

Code subsets of the positive integers into a Boolean algebra \mathcal{B}^S . Have $\sigma_u(\{0, n\})$ be a subalgebra of \mathcal{B}^S if and only if $n \in S$; have $\sigma_v(\{0, n\})$ be a subalgebra of \mathcal{B}^S if and only if $n \notin S$. □

Depth One, Rank ω Example

Example



The measure σ^S when $S = \{1, 3, 5, \dots\}$

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Depth ω , Rank One

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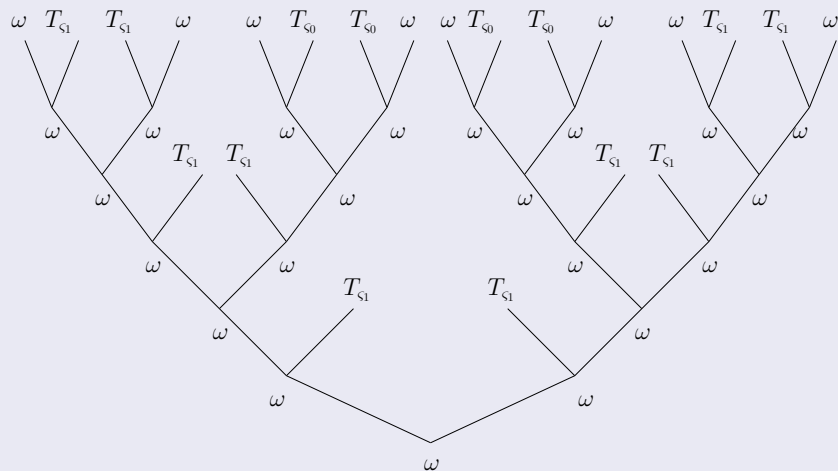
Proof (Sketch).

Define a map π from the space of uniform Boolean algebras to the space of uniform rank one Boolean algebras. The algebra $\pi(\mathcal{B})$ is the algebra generated by the (any) characteristic function of a subset of the rationals whose clopen algebra is \mathcal{B} .

Argue that $\pi(\mathcal{B}_{U(S)})$ and $\pi(\mathcal{B}_{V(S)})$ are (at most) depth ω for sets $S \subseteq \omega + 1$. □

Depth ω , Rank One Example

Example



The measure $\pi(\sigma_{u(2)})$

Effective Boolean Algebras: The Main Results

Proposition (K)

If σ is a depth zero measure of rank at most $\lambda < \omega_1^{\text{CK}}$, then σ is computable (i.e., there is a computable measure σ' such that $\mathcal{B}_\sigma = \mathcal{B}_{\sigma'}$) if and only if $\Delta\sigma(1_{\mathcal{B}})$ is computably enumerable.

Moreover, from an index for either σ or $\Delta\sigma(1_{\mathcal{B}})$, an index for the other can be given uniformly.

Effective Boolean Algebras: The Main Results

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Moreover, from an index for either σ or $\Delta\sigma(1_{\mathcal{B}})$, an index for the other can be given uniformly.

Theorem (K)

Let $S \subseteq \omega + 1$ be a set with greatest element. Then the following are equivalent:

- ❶ *The Boolean algebra $\mathcal{B}_{u(S)}$ is computable.*
- ❷ *The Boolean algebra $\mathcal{B}_{v(S)}$ is computable.*
- ❸ *The set S is $(2, 2)$ in the Feiner hierarchy.*

Proof of (1), (2) implies (3)

Proof (Sketch).

Uniformly in n , define Σ_{2n+3}^0 sentences φ_n satisfying

$$\mathcal{B}_{u(S)}, \mathcal{B}_{v(S)} \models \varphi_n \quad \text{if and only if} \quad n \in S.$$

When defining these formulas, make use of the fact that there are formulas (uniform in α) of complexity $\Pi_{2\alpha+1}^0$ identifying whether an element is an α -atom. □

Proof of (3) implies (1), (2)

Proof (Sketch).

Assume without loss of generality that S is infinite.

Construct $\mathcal{B}_{u(S)}$ ($\mathcal{B}_{v(S)}$, respectively) from an index e witnessing that S is $(2, 2)$ in the Feiner hierarchy. Do so by building a linear order

$$\mathcal{L} = \sum_{\tau \in 2^{<\omega}} \mathcal{L}_\tau$$

and taking its interval algebra.

The linear order \mathcal{L}_τ depends on S and the value of $\sigma_{u(\omega+1)}(\tau)$ ($\sigma_{v(\omega+1)}(\tau)$, respectively). It is built by iterating the following technical lemma. □

Technical Lemma (Statement)

Lemma (K)

Uniformly in

- a Δ_3^0 index for the atomic diagram $D(\mathcal{A})$ of a linear order $\mathcal{A} = (A : \prec) = (\{a_0, a_1, \dots\} : \prec)$ with distinguished first element
- and an index for a Σ_3^0 predicate $\exists n \forall u \exists v R(n, u, v)$,

there is an index for a Δ_1^0 linear order \mathcal{B} such that $\mathcal{B} \cong \sum_{a \in A} \mathcal{L}_a$, where $\mathcal{L}_{a_n} = 1 + \eta + \omega$ if $\forall u \exists v R(n, u, v)$ and $\mathcal{L}_{a_n} = \omega$ otherwise.

Technical Lemma (Proof)

Proof (Sketch).

An infinite injury argument using work of Thurber as an outline. Approximate the atomic diagram of \mathcal{A} using the Limit Lemma twice, imposing (without loss of uniformity) constraints on the approximating functions.

Introduce chronological priorities and build each block as the sum of a singleton segment, a dense segment, and a discrete segment. As the approximations change, attach and detach blocks appropriately. \square

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