

**RELATING FIELDS OF NORMS ASSOCIATED WITH A FINITE UNRAMIFIED  
EXTENSION**

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Let  $L/K$  be a finite unramified extension of nonarchimedean local fields of characteristic zero, and let  $l, k$  be the corresponding residue fields. We show how to choose primes  $\pi_K \in K, \pi_L \in L$  for which we are able to make explicit the relation between the associated fields of norms  $E_{L, \pi_L} \simeq l((X))$  and  $E_{K, \pi_K} \simeq k((X))$ .

## 1 Introduction

Let  $K$  be a finite extension of  $\mathbb{Q}_p$ , and let  $\pi \in K$  be a prime element. We let  $\mathcal{F}_\pi$  denote the set of Frobenius power series which start with  $\pi$ , i.e.

$$\mathcal{F}_\pi = \{\phi \in \mathcal{O}_K[[X]] \mid \phi = \pi X + \dots, \phi \equiv X^q \pmod{\pi \mathcal{O}_K[[X]]}\}$$

By Lubin-Tate theory, any  $\phi \in \mathcal{F}_\pi$  determines a unique commutative formal group law  $F_\phi$  for which  $\phi$  is a homomorphism. With respect to the addition defined by  $F_\phi$ , one has corresponding  $\mathcal{O}_K$ -modules

$$W_\phi^n = \{x \in \mathbb{C}_p, |x| < 1 \mid \phi^n(x) = 0\}$$

Then  $K_\pi = K(\bigcup_{n \geq 0} W_\phi^n)$  is a maximal totally ramified extension in  $K^{ab}$  which depends only on  $\pi$  and not on  $\phi$ .

There is also the Tate module

$$T_\phi = \varprojlim (\dots \xrightarrow{\phi} W_\phi^2 \xrightarrow{\phi} W_\phi^1 \xrightarrow{\phi} 0)$$

Using the above Tate module, for each prime  $\pi \in K$  one can construct a field of norms  $E_{K, \pi}$  as presented in [3]. First, one constructs the tilting  $\mathbb{C}_p^\flat$ , i.e. the fraction field of

$$\mathcal{O}_{\mathbb{C}_p^\flat} := \varprojlim_{x^q \leftarrow x} \mathcal{O}_{\mathbb{C}_p} / \pi \mathcal{O}_{\mathbb{C}_p} = \varprojlim_{x^q \leftarrow x} (\dots \xrightarrow{x \mapsto x^q} \mathcal{O}_{\mathbb{C}_p} / \pi \mathcal{O}_{\mathbb{C}_p} \xrightarrow{x \mapsto x^q} \mathcal{O}_{\mathbb{C}_p} / \pi \mathcal{O}_{\mathbb{C}_p})$$

It is clearly a  $k$ -algebra, and when induced with the right norm it is a complete nonarchimedean field of characteristic  $p$ ; see Proposition 1.4.7 in [3]. Now one picks any generator  $(\dots, \omega_2, \omega_1, 0)$  of  $T_\phi$ , and after one checks that  $(\dots, \overline{\omega_2}, \overline{\omega_1}, 0) \in \mathcal{O}_{\mathbb{C}_p^\flat}$ , the embedding

$$k((X)) \mapsto \mathbb{C}_p^\flat, f(X) \mapsto f(\omega)$$

Becomes well defined. It can be checked that the image of this map is a subfield  $E_{K,\pi} \subset \mathbb{C}_p^b$  that is preserved by the action of  $\text{Gal}(K_\pi/K)$  and depends only on  $\pi$ , and not on the choice of the generator or  $\phi$ . However, the isomorphism

$$\eta_{\phi,\omega} : k((X)) \xrightarrow{\sim} E_{K,\pi}$$

Still depends on the choice of a Frobenius power series  $\phi$  and a generator  $\omega \in T_\phi$ .

In fact,  $E_{K,\pi}$  is a subfield of the tilting  $\widehat{K}_\pi^b \subset \mathbb{C}_p^b$ . It can be shown that under the topology induced from  $\mathbb{C}_p^b$ ,  $E_{K,\pi} \simeq k((X))$  as topological fields where the latter is induced with  $X$ -topology, and then we have  $\widehat{E_{K,\pi}^{perf}} = \widehat{K}_\pi^b$ .

Now suppose that  $L/K$  is a finite unramified extension. If  $\pi_K \in K$  and  $\pi_L \in L$  are primes such that  $K_{\pi_K} \subset L_{\pi_L}$ , it follows that

$$E_{K,\pi_K} \subset \widehat{E_{L,\pi_L}^{perf}}$$

Since  $E_{L,\pi_L} \simeq l((X))$ , we have

$$\widehat{E_{L,\pi_L}^{perf}} \simeq \cup_{n \geq 0} \widehat{l((X^{q^{-n}}))}$$

Therefore, for any choice of primes  $\pi_K, \pi_L$  with  $K_{\pi_K} \subset L_{\pi_L}$ , Frobenius power series  $\phi_K \in \mathcal{F}_{\pi_K}, \phi_L \in \mathcal{F}_{\pi_L}$  and Tate modules generators  $\omega_K \in T_{\phi_K}, \omega_L \in T_{\phi_L}$ , one obtains a commutative diagram

$$\begin{array}{ccc} k((X)) & \xrightarrow{X \mapsto g(X)} & \cup_{n \geq 0} \widehat{l((X^{q^{-n}}))} \\ \downarrow \eta_{\phi_K, \omega_K} & & \downarrow \eta_{\phi_L, \omega_L} \\ E_{K,\pi_K} & \xrightarrow{\subset} & \widehat{E_{L,\pi_L}^{perf}} \end{array} \quad (1)$$

The aim of this note is to find some specific  $\pi_K, \phi_K, \omega_K, \pi_L, \phi_L, \omega_L$  for which an explicit element  $g(X) \in \cup_{n \geq 0} \widehat{l((X^{q^{-n}}))}$  can be computed, thus making the containment of  $E_{K,\pi_K}$  in  $\widehat{E_{L,\pi_L}^{perf}}$  explicit.

## 2 The $\pi$ 's, $\phi$ 's and $\omega$ 's

In this section we aim to find our desired  $\pi_K, \phi_K, \omega_K, \pi_L, \phi_L, \omega_L$  for which an explicit  $g(X) \in \cup_{n \geq 0} \widehat{l((X^{q^{-n}}))}$  can be computed. We will show how to construct these elements and prove the relevant properties that will be needed for the next sections.

## 2.1 Choosing the $\pi$ 's, $\phi$ 's and $\omega$ 's

The following definition and two lemmas are presented in sections 0.2 and 0.3 of [1], although the notations differ.

**Definition 1.** A **Lubin-Tate splitting** (over  $K$ ) is a choice of a Frobenius automorphism  $\varphi_K \in \text{Gal}(\overline{K}/K)$ , that is, an extension of the Frobenius  $\varphi_K \in \text{Gal}(K^{nr}/K)$  to an automorphism of  $\overline{K}$ .

We let  $K_{\varphi_K} := \overline{K}^{\varphi_K}$ .

**Lemma 2.** Fix a Lubin-Tate splitting  $\varphi_K$ . There exists a unique norm-compatible sequence  $\{\pi_E \in E^\times \mid K \subset E \subset K_{\varphi_K}, [E : K] < \infty\}$ .

In fact, the construction of the proof shows more specifically that  $\pi_E$  is the unique universal norm from the maximal totally ramified extension  $E^{ab} \cap K_{\varphi_K}$ , and so  $E_{\pi_E} = E^{ab} \cap K_{\varphi_K}$ . Similarly, this also determines all the class fields with norm groups  $\langle \pi_E \rangle U_n(E)$ . We change notations and denote the former by  $E_\infty$  and the latter by  $E_n$ .

We now fix  $\varphi_K$ , so that the unique norm-compatible sequence of primes is determined.

**Lemma 3.** Fix a Lubin-Tate splitting as above, and let  $E \subset K_{\varphi_K}$  with  $[E : K] < \infty$ . There is a unique Frobenius power series  $\phi_E(X) \in \mathcal{F}_{\pi_E}$  such that

$$\phi_E(\pi_{E_{n+1}}) = \phi(\pi_{E_n}), n \geq 1 \text{ and } \phi_E(\pi_{E_1}) = 0$$

Hence both  $\phi_K$  and  $\omega_K = (\dots, \pi_{K_1}, 0)$  are determined.

Now suppose that  $L/K$  is an unramified extension with  $[L : K] = d$ . If  $\varphi_K$  is a Lubin-Tate splitting of  $K$  then  $\varphi_L := \varphi_K^d$  is a Lubin-Tate splitting of  $L$ . Thus, any choice of a Lubin-Tate splitting  $\varphi_K \in G_K$  determines a unique choice of  $\pi_K, \phi_K, \omega_K, \pi_L, \phi_L, \omega_L$ .

However, for the sequel the primes  $\pi_{E_n}$  and Frobenius power series  $\phi_E$  above will also be important. Since  $L/K$  is an unramified extension and the lemmas above only refers to totally ramified extensions of the base field, there will be no ambiguity when we write  $\pi_{E_n}$  or  $\pi_E$  for a field  $E$  that contains  $L$ .

## 2.2 Relevant Properties

Let  $L/K$  be a finite unramified extension, and fix a choice of a Lubin-Tate splitting  $\varphi_K$  of  $K$ . By subsection 2.1 this determines a Lubin-Tate splitting  $\varphi_L$  of  $L$  and unique norm-compatible choices of primes  $\pi_E \in E$  for  $K \subset E \subset K_{\varphi_K}$  or  $L \subset E \subset L_{\varphi_L}$ .

For an integer  $d$ , we let  $E_{n,d} := E_n E_d^{nr}$ , with  $E_d^{nr}$  the unique unramified extension of  $E$  of degree  $d$ .

Our main aim in this section is proving Proposition 6. For this we will need the following lemma on Lubin-Tate splittings.

**Lemma 4.** (i) Let  $F/K$  be a finite Galois extension with  $L \subset F \subset L_{\varphi_L}$ . Then  $\pi_F \in K_{\varphi_K}$ , i.e.  $\pi_F$  is fixed by  $\varphi_K$ .

(ii) Let  $E/K$  a finite extension with  $K \subset E \subset K_{\varphi_K}$ . Then  $\pi_{E_d^{nr}} = \pi_E$ .

*Proof of (i).* Since  $F/K$  is Galois,  $\varphi_K$  induces an automorphism of  $F$ . Denoting by  $\widehat{F^\times}$  the profinite completion of  $F^\times$ , we have by Theorem 6.11 in [2] the commutative diagram

$$\begin{array}{ccc} \widehat{F^\times} & \xrightarrow{\sim} & \text{Gal}(F^{ab}/F) \\ \downarrow \varphi_K & & \downarrow \gamma \mapsto \varphi_K \circ \gamma \circ \varphi_K^{-1} \\ \widehat{F^\times} & \xrightarrow{\sim} & \text{Gal}(F^{ab}/F) \end{array} \quad (2)$$

With the horizontal maps being the Artin reciprocity map.

As we have seen in subsection 2.1,  $\pi_F$  is the unique prime corresponding to the maximal totally ramified abelian extension  $F_\infty = F^{ab} \cap L_{\varphi_L}$  of  $F$ . This means that  $\pi_F$  is sent to  $\varphi_L|_{F^{ab}}$  by the Artin reciprocity map.

Therefore, on the right-down path, we have  $\pi_F \mapsto \varphi_L \mapsto \varphi_L$ . On the other hand,  $\pi_F$  is sent to  $\varphi_K(\pi_F)$  by the left vertical map. By injectivity of the reciprocity map we must have  $\pi_F = \varphi_K(\pi_F)$ .

*Proof of (ii).* Since  $E \subset K_{\varphi_K}$ , for any  $n \geq 0$  we have the corresponding totally ramified abelian extensions  $E \subset E_n \subset E_\infty := E^{ab} \cap K_{\varphi_K}$ . We now consider the field  $E_{n,d}$ , which is clearly contained in  $L_{\varphi_L}$ . Because  $K_{\varphi_K} = E_{\varphi_K}$  and  $E_{n,d}/E$  is Galois, we may use part (i) (substituting  $E_{n,d}$  for  $F$ ,  $E$  for  $K$  and  $E_f$  for  $L$ ) to conclude that  $\pi_{E_{n,d}}$  is fixed by  $\varphi_K$ . In particular,  $\pi_{E_{n,d}} \in E_n$ .

We now have the cartesian diagram

$$\begin{array}{ccc} & E_{n,d} & \\ & / \quad \backslash & \\ E_n & & E_n \\ & \backslash \quad / & \\ & E & \end{array} \quad (3)$$

And restriction induces an isomorphism

$$\text{Gal}(E_{n,d}/E_d^{nr}) \xrightarrow{\sim} \text{Gal}(E_n/E)$$

Using the norm compatibility we have

$$\pi_{E_n} = N_{E_{n,d}/E_d^{nr}}(\pi_{E_{n,d}}) = \prod_{\sigma \in \text{Gal}(E_{n,d}/E_d^{nr})} \pi_{E_{n,d}} = \prod_{\sigma \in \text{Gal}(E_n/E)} \pi_{E_{n,d}} = N_{E_n/E}(\pi_{E_{n,d}})$$

Where for the third equality we recall that  $\pi_{E_{n,d}} \in E_n$ .

In particular, for  $n = 0$  we have  $\pi_{E_d^{nr}} \in E$ . The above equality shows then that  $\pi_{E_d^{nr}}$  is a universal norm from  $E_\infty = E^{ab} \cap K_\phi$ , and hence  $\pi_{E_d^{nr}} = \pi_E$  by the discussion after Lemma 2.  $\square$

**Proposition 5.** (i)  $K_n \subset L_n$  for all  $n \in \mathbb{Z}_{\geq 0} \cup \{\infty\}$ .

(ii) Let  $d = [L : K]$ . Then  $K_{n,d} \subset L_n$  and  $N_{L_n/K_{n,d}}(\pi_{L_n}) = \pi_{K_n}$ ; in particular,  $\pi_L = \pi_K$ .

(iii)  $L_n/K$  is Galois.

(iv)  $\phi_L$  is fixed by  $\varphi_K$ . In other words,  $\phi_L \in \mathcal{O}_K[[X]]$ .

*Proof of (i).* First, I claim that  $K_\infty \subset L_\infty$ . Indeed, we have  $K_\infty = K^{ab} \cap K_{\varphi_K} \subset L^{ab} \cap K_{\varphi_K} \subset L^{ab} \cap L_{\varphi_L} = L_\infty$ . From local class field theory we obtain the following commutative diagram:

$$\begin{array}{ccccc} \text{Gal}(L_\infty/L) & \xleftarrow{\sim} & \text{Gal}(L^{ab}/L^{nr}) & \xrightarrow{\sim} & U(L) \\ \downarrow \text{Res} & & \downarrow \text{Res} & & \downarrow N_{L/K} \\ \text{Gal}(K_\infty/K) & \xleftarrow{\sim} & \text{Gal}(K^{ab}/K^{nr}) & \xrightarrow{\sim} & U(K) \end{array} \quad (4)$$

The left square is clearly commutative since all the maps are restrictions. The right square is commutative by local class field theory.

For any  $n$ , the subgroup  $\text{Gal}(L_\infty/L_n) \triangleleft \text{Gal}(L_\infty/L)$  corresponds to the subgroup  $U_n(L) \triangleleft U(L)$ , and similarly for  $K$ . The map  $N$  sends  $U_n(L)$  into  $U_n(K)$  (see Proposition 1 in Chapter V, §2 of [4]), so the map  $\text{Gal}(L_\infty/L) \rightarrow \text{Gal}(K_\infty/K)$  sends  $\text{Gal}(L_\infty/L_n)$  to  $\text{Gal}(K_\infty/K_n)$ . This means that any automorphism of  $L_\infty$  the fixes  $L_n$  must fix  $K_n$  as well. In other words,  $K_n \subset L_\infty^{\text{Gal}(L_\infty/L_n)} = L_n$ .

*Proof of (ii).* Since the extensions  $L_n/L$  and  $K_n/K$  are totally ramified and the extension  $L/K$  is unramified of degree  $d$ , we see that  $L_n/K_n$  has inertia degree  $d$ , and therefore  $K_{n,d} \subset L_n$ .

In particular, we have  $L \subset K_{n,d} \subset L_{\varphi_L}$  and so  $\pi_{K_{n,d}}$  is defined, and by the norm-compatibility  $N_{L_n/K_{n,d}}(\pi_{L_n}) = \pi_{K_{n,d}}$ . It remains to show that  $\pi_{K_{n,d}} = \pi_{K_n}$ . But this follows from Lemma 4, (ii) by taking  $E = K_n$ .

*Proof of (iii).* Let  $\sigma \in \text{Gal}(\overline{K}/K)$ . Since  $L^{ab}/K$  is Galois,  $\sigma$  preserves  $L^{ab}$  and  $\sigma(L_n)$  has norm group  $\langle \sigma(\pi_L) \rangle U_n(L) = \langle \pi_L \rangle U_n(L)$  by part (ii). Therefore  $\sigma(L_n) = L_n$ , which shows  $L_n/K$  is Galois.

*Proof of (iv).* By Lemma 3, we have that  $\phi_L \in \mathcal{O}_L[[X]]$  is the unique Frobenius power series for  $\pi_L$  with

$$\phi_L(\pi_{L_{n+1}}) = \phi_L(\pi_{L_n}), n \geq 1 \text{ and } \phi_L(\pi_{L_1}) = 0$$

By part (i) of Lemma 4 and part (iii) we just proved, we know that the  $\pi_L, \pi_{L_n}$  are all fixed by  $\varphi_K$ . Thus  $\phi_L^{\varphi_K}$  satisfies the characterizing properties, since

$$\pi_{L_{n-1}} = \varphi_K(\pi_{L_{n-1}}) = \varphi_K(\phi_L(\pi_{L_n})) = \phi_L^{\varphi_K}(\varphi_K(\pi_{L_n})) = \phi_L^{\varphi_K}(\pi_{L_n})$$

Thus  $\phi_L^{\varphi_K} = \phi_L$ .  $\square$

The final proposition that we will need for the next section is the following.

**Proposition 6.** (i) *The extension  $K_{n,d}/L$  is abelian of degree  $(q-1)q^{n-1}$  and*

$$N(K_{n,d}/L) = \langle \pi_L \rangle N_{L/K}^{-1}(U_n(K))$$

(ii)  $\text{Gal}(L_n/K_{n,d}) \simeq N_{L/K}^{-1}(U_n(K))/U_n(L)$ .

*Proof of (i).* By Proposition 5 (i), we have  $K_{n,d} \subset L_n$ ; because  $L_n/L$  is abelian, the subextension  $K_{n,d}/L$  is also abelian. We have  $[K_{n,d} : L] = [K_n : K] = (q-1)q^{n-1}$ .

By local class field theory, we now know that

$$[L^\times : N(K_{n,d}/L)] = [K_{n,d} : L] = (q-1)q^{n-1}$$

Noticing that  $N(K_{n,d}/K) = \langle \pi_K^d \rangle U_n(K)$  and  $N_{K_{n,d}/L}(\pi_{K_{n,d}}) = \pi_L$  by part (ii) of Proposition 5, we have  $N(K_{n,d}/L) \subset \langle \pi_L \rangle N_{L/K}^{-1}(U_n(K))$ .

On the other hand,  $N_{L/K}^{-1}(U_n(K))$  is the kernel of the composition  $U(L) \xrightarrow{N_{L/K}} U(K) \rightarrow U(K)/U_n(K)$ . Since  $L/K$  is unramified, the map  $U(L) \xrightarrow{N_{L/K}} U(K)$  is surjective: see the Corollary to Proposition 3 in Chapter V, §2 of [4]. We therefore obtain

$$U(L)/N_{L/K}^{-1}(U_n(K)) \simeq U(K)/U_n(K)$$

This implies that

$$[L^\times : \langle \pi_L \rangle N_{L/K}^{-1}(U_n(K))] = [U(L) : N_{L/K}^{-1}(U_n(K))] = (q-1)q^{n-1}$$

And thus combining this with the equality  $[L^\times : N(K_{n,d}/L)] = (q-1)q^{n-1}$  and the inclusion  $N(K_{n,d}/L) \subset \langle \pi_L \rangle N_{L/K}^{-1}(U_n(K))$ , we have

$$N(K_{n,d}/L) = \langle \pi_L \rangle N_{L/K}^{-1}(U_n(K))$$

*Proof of (ii).* This is now immediate because of the correspondence between norm subgroups and abelian extensions. We now know the isomorphisms

$$\text{Gal}(L_n/L) \simeq U(L)/U_n(L), \text{Gal}(K_{n,d}/L) \simeq U(L)/N_{L/K}^{-1}(U_n(K))$$

and  $\text{Gal}(L_n/K_{n,d})$  is the kernel of the restriction  $\text{Gal}(L_n/L) \rightarrow \text{Gal}(K_{n,d}/L)$ .  $\square$

### 3 Relating $E_{K,\pi_K}$ and $E_{L,\pi_L}$

We continue with the unramified extension  $L/K$  of degree  $d$  and with the choices of  $\pi_K, \phi_K, \omega_K$  and  $\pi_L, \phi_L, \omega_L$  of section 2. By Proposition 5, part (ii), we have  $\pi_K = \pi_L$ , so we may denote them both by  $\pi$ . We can then take the tilting construction of  $\mathbb{C}_p^b$  with respect to  $\pi$  and the  $q$ -transition maps or  $q^d$ -transition maps. So if we put, as in section 2,

$$\omega_K = (0 + \pi\mathcal{O}_{K_\infty}, \pi_{K_1} + \pi\mathcal{O}_{K_\infty}, \pi_{K_2} + \pi\mathcal{O}_{K_\infty}, \dots) \in \widehat{K_\infty}^b$$

$$\omega_L = (0 + \pi\mathcal{O}_{L_\infty}, \pi_{L_1} + \pi\mathcal{O}_{L_\infty}, \pi_{L_2} + \pi\mathcal{O}_{L_\infty}, \dots) \in \widehat{L_\infty}^b$$

In the first case the transition maps are by raising to the  $q$ -power, while for the second case the transition maps are given by raising to the  $q^d$ -power.

On the other hand, it is known that  $\widehat{E_L^{perf}}$  (the completion of the perfection) is equal to  $\widehat{L_\infty}^b$ . Therefore there must be some way to express  $\omega_K$  as a series in  $\omega_L$  over  $l$ . This is the content of our main theorem.

**Theorem 7.** *For each  $n$ , let  $A_n$  be a set of representatives for  $N_{L/K}^{-1}(U_{nd}(K))/U_{nd}(L)$ . Define a sequence of series  $g_n(X) \in \cup_n l[[X^{-q^n}]]$  by*

$$g_n(X) = \prod_{a \in A_n} \overline{[a]_{\phi_L}}(X^{\frac{1}{q^{dn(d-1)}}})$$

*Then the  $g_n(X)$  converge to an element  $g(X) \in \cup_n l[[\widehat{X^{q^{-n}}}]$  in the  $X$ -topology, and  $g(X)$  doesn't depend on any choice of the  $A_n$ .*

*$g(X)$  satisfies*

$$g(\omega_L) = \omega_K$$

*Proof.* Using Proposition 6, (ii) we know that for any  $n$  we have  $\text{Gal}(L_n/K_{n,d}) \simeq N_{L/K}^{-1}(U_n(K))/U_n(L)$ . Thus by Proposition 5, (ii) we have

$$\pi_{K_{nd}} = N_{L_{nd}/K_{nd,d}}(\pi_{L_{nd}}) = \prod_{\sigma \in \text{Gal}(L_{nd}/K_{nd,d})} \sigma(\pi_{L_{nd}}) = \prod_{a \in A_n} [a]_{\phi_L}(\pi_{L_{nd}}) = \left[ \prod_{a \in A_n} [a]_{\phi_L}(X) \right] \Big|_{X=\pi_{L_{nd}}}$$

Since  $[a]_{\phi_L} \in \mathcal{O}_L[[X]]$  for any such  $a$ , we may write  $\prod_{a \in A_n} [a]_{\phi_L}(X) = \sum_{j=0}^{\infty} \beta_{j,n} X^j$ , with  $\beta_{j,n} \in \mathcal{O}_L$ . With this notation we have

$$\pi_{K_{nd}} = \sum_{j=0}^{\infty} \overline{\beta_{j,n}} (\pi_{L_{nd}} \bmod \pi\mathcal{O}_{L_{nd}})^j$$

This sum converges since  $\widehat{L_\infty}^b$  is complete.

Consider  $\omega_K - \sum_{j=0}^{\infty} \overline{\beta_{j,n}} \omega_L^{q^{dn(d-1)j}}$ . One can write

$$\omega_K = (0 + \pi \mathcal{O}_{L_\infty}, \pi_{K_d} + \pi \mathcal{O}_{L_\infty}, \pi_{K_{2d}} + \pi \mathcal{O}_{L_\infty}, \dots)$$

With the transition maps given by raising to the  $q^d$ -power.

Given this convention, the entry at the  $n$ 'th coordinate is

$$\pi_{K_{nd}} - \sum_{j=0}^{\infty} \overline{\beta_{j,n}} (\pi_{L_{nd}} \bmod \mathcal{O}_{L_{nd}})^j = 0$$

Because taking  $\omega_L$  to the power of  $q^{-dk}$  is the same as shifting by  $k$  coordinates to the left. Shifting the  $nd$ 'th-place  $nd - n$  places to the left moves it to the  $n$ 'th place.

Now  $\omega_K - \sum_{j=0}^{\infty} \overline{\beta_{j,n}} \omega_L^{q^{dn(d-1)j}}$  has 0 coefficient in the  $n$ 'th coordinate, so it must have 0 coefficients in all the lower coordinates as well. This gives

$$|\omega_K - \sum_{j=0}^{\infty} \overline{\beta_{j,n}} \omega_L^{q^{dn(d-1)j}}| \in (\pi^b)^{q^{dn}} \mathcal{O}_{\widehat{L_\infty}^b} \Rightarrow |\omega_K - \sum_{j=0}^{\infty} \overline{\beta_{j,n}} \omega_L^{q^{dn(d-1)j}}| \leq |\pi^b|^{q^{dn}}$$

Notice that  $\sum_{j=0}^{\infty} \overline{\beta_{j,n}} \omega_L^{q^{dn(d-1)j}} = g_n(\omega_L)$ , so this may be rephrased as

$$|\omega_K - g_n(\omega_L)| \leq |\pi^b|^{q^{dn}}$$

We know we can write  $\omega_K = \sum \overline{\beta_\alpha} \omega_L^\alpha$  for corresponding  $\alpha \in \mathbb{Z}[\frac{1}{q^\infty}]$ . Since  $|\omega_K - g_n(\omega_L)| \leq |\pi^b|^{q^{dn}}$  we must have that up to a certain  $\alpha$ , all of the  $\overline{\beta_{j,n}}$  are equal to the corresponding  $\overline{\beta_\alpha}$ , for otherwise  $|\omega_K - g_n(\omega_L)|$  would be bounded from below.

This implies that all the coefficients of  $g_n(X)$  certain coefficients have to be constant from some point. So  $g_n(X) \xrightarrow{n \rightarrow \infty} g(X)$  for  $g = \sum \overline{\beta_\alpha} X^\alpha$ .

Now we have

$$g(\omega_L) = \lim_{n \rightarrow \infty} g_n(\omega_L) = \omega_K$$

Where the last equality follows from the bound  $|\omega_K - g_n(\omega_L)| \leq |\pi^b|^{q^{dn}}$ .  $\square$

We can say a bit more about this power series.

**Proposition 8.** *Let  $g(X) \in \cup_n l[\widehat{X^{-q^n}}]$  be the power series in Theorem 1 such that  $g(\omega_L) = \omega_K$ . Suppose that  $q$  is odd.*



(i) The leading monomial of  $g(X)$  is

$$\begin{aligned} & (-1)^{d-1} X^{\frac{q^d-1}{q^d-q^{d-1}}}, & q \text{ is odd} \\ & X^{\frac{q^d-1}{q^d-q^{d-1}}}, & q \text{ is even} \end{aligned}$$

(ii)  $g(X) \in \cup_n \widehat{\kappa}[[X^{-q^n}]]$ .

For the course of the proof, we let  $D_n = N_{L/K}^{-1}(U_{nd}(K))/U_{nd}(L)$ .

*Proof of (i).* In order to find the power  $\alpha$  of the leading monomial  $X^\alpha$  of  $g$ , we set  $A_n$  as in the notation of the theorem. Note that

$$\#A_n = \#D_n = \#\text{Gal}(L_{nd}/K_{nd,d}) = \frac{q^d-1}{q-1} \frac{q^{d(nd-1)}}{q^{nd-1}} = \frac{q^d-1}{q-1} q^{(nd-1)(d-1)}$$

Thus the smallest exponent for  $g(X) = \lim_{n \rightarrow \infty} \prod_{a \in A_n} \overline{[a]_{\phi_L}}(X^{\frac{1}{q^{dn(d-1)}}})$  is

$$\frac{q^d-1}{q-1} q^{(nd-1)(d-1)} \frac{1}{q^{dn(d-1)}} = \frac{q^d-1}{q^d-q^{d-1}}$$

In order to find the coefficient of  $X^{\frac{q^d-1}{q^d-q^{d-1}}}$ , we notice that it is the limit  $\lim_{n \rightarrow \infty} \prod_{a \in A_n} a$ .

For a finite abelian group  $A$ , one has the easy

$$\prod_{x \in A} x = \prod_{x \in A[2]} x = \begin{cases} 0, & \text{if } \#A[2] > 2 \text{ or } \#A[2] = 0 \\ \text{The unique } 0 \neq a \in A[2], & \text{otherwise} \end{cases}$$

We will exploit this identity in order to compute the above limit.

Assume first that  $q$  is odd. Let  $V$  be the set of  $q^d-1$ 'th roots of unity in  $L$ . For  $x \in V$ , we have  $N_{L/K}(x) = x^{\frac{q^d-1}{q-1}}$ , so  $V^{q-1}$  is a subset of  $N_{L/K}^{-1}(U_{nd}(K))$ . In fact, composing with  $N_{L/K}^{-1}(U_{nd}(K)) \rightarrow D_n$ , we remain with a monomorphism

$$V^{q-1} \hookrightarrow D_n$$

Indeed,  $V \cap U_{nd}(K) = \{1\}$  since already  $V \cap U_1(K) = \{1\}$ .

But as  $\#D_n = \frac{q^d-1}{q-1} q^{(nd-1)(d-1)}$ , we see that

$$D_n[2] = \begin{cases} \pm 1, & 2 \text{ divides } \frac{q^d-1}{q-1} \\ 1, & \text{else} \end{cases}$$

Hence

$$\prod_{x \in D_n} x = (-1)^{d-1}$$

So that

$$\prod_{a \in A_n} a \in (-1)^{d-1} U_{nd}(L) \implies \prod_{a \in A_n} a \xrightarrow{n \rightarrow \infty} (-1)^{d-1}$$

Suppose now that  $q$  is even. The homomorphism

$$N_{nd-1} : U_{nd-1}(L)/U_{nd}(L) \rightarrow U_{nd-1}(K)/U_{nd}(K)$$

has kernel of cardinality  $q^{d-1}$  by (Proposition 2,(ii) in Chapter V, §2 of [4]). As  $U_{nd-1}(L)/U_{nd}(L) \simeq \mathbb{F}_{q^d}$  is a 2-torsion abelian group and  $\ker(N_{nd-1}) \subset D_n$ , we see that  $\#D_n[2] \geq q^{d-1}$ . If  $q = 2, d = 1$  the claim is trivial, so we may assume  $\#D_n[2] > 2$ . Therefore

$$\prod_{x \in D_n} x = 1$$

So in the even case

$$\prod_{a \in A_n} a \in U_{nd}(L) \implies \prod_{a \in A_n} a \xrightarrow{n \rightarrow \infty} 1$$

*Proof of (ii).* By part (iv) of Proposition 5, we have  $\phi_L = \phi_L^{\varphi_K}$ . Recall that  $[a]_L$  is characterized by  $\phi_L \circ [a]_L = [a]_L \circ \phi_L$ , and thus by applying  $\varphi_K$  to both sides we see that

$$\phi_L \circ [a]_L^{\varphi_K} = [a]_L^{\varphi_K} \circ \phi_L$$

Hence  $[a]_L^{\varphi_K} = [\varphi_K(a)]_L$ . We then have

$$\left( \prod_{a \in A_n} [a]_L(X) \right)^{\varphi_K} = \prod_{a \in A_n} [\varphi_K(a)]_L(X)$$

Because  $\varphi_K$  preserves both  $N_{L/K}^{-1}(U_{nd}(K))$  and  $U_{nd}(L)$ , it preserves the quotient. So  $A_n^{\varphi_K} := \{\varphi_K(a) : a \in A_n\}$  is a set of representatives for  $N_{L/K}^{-1}(U_{nd}(K))/U_{nd}(L)$ .

We now see that

$$g(X)^{\varphi_K} = \left[ \lim_{n \rightarrow \infty} \prod_{a \in A_n} \overline{[a]_L(X^{q^{\frac{1}{dn(d-1)}}})} \right]^{\varphi_K} = \lim_{n \rightarrow \infty} \prod_{a \in A_n^{\varphi_K}} \overline{[a]_{f_L}(X^{q^{\frac{1}{dn(d-1)}}})} = g(X)$$

Hence  $g \in \cup_n \widehat{\kappa}[[X^{-q^n}]]$ .  $\square$

## References

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