

# FIELDS OF DEFINITION FOR TRIANGLE GROUPS AS FUCHSIAN GROUPS

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ABSTRACT. The compact hyperbolic triangle group  $\Delta(p, q, r)$  admits a canonical representation to  $\mathrm{PSL}_2(\mathbf{R})$  (unique, up to conjugation) whose image is discrete, that is, a Fuchsian group. The trace field of this representation is

$$K = \mathbf{Q}(\cos(\pi/p), \cos(\pi/q), \cos(\pi/r)).$$

We prove that there are exactly eleven such groups which are conjugate to subgroups of  $\mathrm{PSL}_2(K)$ . These groups are precisely the triangle groups which belong to the ‘‘Hilbert Series’’ as coined by McMullen [McM24b, McM24a]. Moreover, we prove that there are no additional compact hyperbolic triangle groups which are conjugate to subgroups of  $\mathrm{PSL}_2(L)$  for *any* totally real field  $L$ . This answers a question first raised by Waterman and Machlachlan in [WM85]. These questions were also recently studied by McMullen [McM24b, McM24a], who raised five (interrelated) conjectures concerning the Hilbert Series; we prove all of these conjectures.

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## 1. INTRODUCTION

1.1. **The main results.** The study of the triangle groups

$$\Delta = \Delta(p, q, r) = \langle x, y | x^p, y^q, (xy)^r \rangle$$

dates back to the 19th century, beginning with the work of Schwarz [Sch1873] and Poincaré [Poi1882] (with respect to complex uniformization) and later by Fricke [Fri1892] from a more arithmetic perspective. If the parameters  $(p, q, r)$  satisfy the inequality

$$(1.1.1) \quad \frac{1}{p} + \frac{1}{q} + \frac{1}{r} < 1,$$

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Then  $\Delta$  is isomorphic to a cocompact subgroup of  $\mathrm{PSL}_2(\mathbf{R})$ . This representation corresponds to a tessellation of the upper half plane  $\mathbf{H}$  by hyperbolic triangles with angles  $\pi/p$ ,  $\pi/q$ , and  $\pi/r$ . It is well known (see [Tak77, Prop 1]) that the embedding  $\Delta \hookrightarrow \mathrm{PSL}_2(\mathbf{R})$  is unique up to conjugation and (as a consequence) is isomorphic to a subgroup of  $\mathrm{PSL}_2(L)$  for some number field  $L \hookrightarrow \mathbf{R}$ ; such a map is given explicitly in [CV19, Equation (2.7)]. The field  $L$  necessarily contains the trace field [MR03, §4.9], [NR92]:

$$(1.1.2) \quad K = \mathbf{Q}(\cos(2\pi/p), \cos(2\pi/q), \cos(2\pi/r)).$$

If  $\gamma \in \mathrm{PSL}_2(\mathbf{R})$ , then the trace of any lift to  $\mathrm{SL}_2(\mathbf{R})$  is well-defined up to sign, and  $K$  is the field generated by generated by these traces. In [Fri1892], Fricke studied the  $(2, 3, 7)$  triangle group in detail:

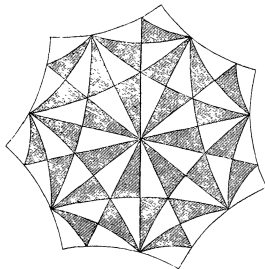


FIGURE 1.1.2. A (partial) tiling of hyperbolic space by  $(2, 3, 7)$  triangles, taken from [Fri1892, Fig 2].

The field (1.1.2) specializes in this case to  $K = \mathbf{Q}(\cos(2\pi/7))$ . Fricke writes down an explicit representation of  $\Delta$  — not over  $K$  but rather the (non-Galois) quadratic extension  $L = K(j)$  of signature  $(2, 2)$  where  $j$  is as follows (see [Fri1892, Eq (1)]):

$$(1.1.3) \quad j = \sqrt{e^{\frac{2i\pi}{7}} + e^{-\frac{2i\pi}{7}} - 1}$$

This construction reflects the following: the group  $\Delta = \Delta(2, 3, 7)$  is an arithmetic group corresponding to the quaternion algebra  $B/K$  ramified at precisely two of the three real places (and no finite places). In particular, there is obstruction to the existence of an embedding  $\Delta \rightarrow \mathrm{PSL}_2(K)$  measured by  $B$ , and a representation to  $L/K$  exists if and only if  $L$  splits  $B$ , as occurs for Fricke's quadratic extension  $L = K(j)$  given in (1.1.3). The situation for all compact arithmetic triangle groups is very similar — they correspond to quaternion algebras  $B$  over ramified at all but one real place, and hence they never split over a totally real field unless the corresponding base field is  $\mathbf{Q}$ .<sup>1</sup> On the other hand, a well-known theorem of [Tak77, Thm 3] implies that there only exist 76 cocompact hyperbolic triangle groups which are arithmetic. This leads to the following natural question, which we answer in this paper:

**Problem A.** *When is  $\Delta(p, q, r)$  isomorphic to a subgroup of  $\mathrm{PSL}_2(K)$ ?*

<sup>1</sup>The base field may be smaller than  $K$  and coincides with the invariant trace field. In particular, in the notation introduced later, the corresponding quaternion algebra and field is  $B^{(2)}/K^{(2)}$ .

This question was first considered by Waterman and Machlachlan in [WM85], where it was proved that Problem A has a negative answer for all but finitely many compact triangle groups.<sup>2</sup> In fact, they prove the stronger statement that only finitely many triangle groups are subgroups of  $\mathrm{PSL}_2(L)$  for *any* totally real finite extension  $L/K$ . Note that by construction, they *are* subgroups of  $\mathrm{PSL}_2(L)$  for some  $L/K$  with at least *one* real embedding, but that is much weaker than demanding that  $L$  is totally real.

In this paper, we will give a complete answer to Question A; there are no further examples beyond the ones found in [WM85]. This question has also recently been considered by McMullen [McM24a, McM24b] in relation to compact geodesic curves on Hilbert modular varieties. Before stating our main results, we introduce some notation for the quaternion algebras associated to  $\Delta$  and to its commensurability class. The quaternion algebras govern the fields  $L$  such that  $\Delta$  and its finite order subgroups admit a representation to  $\mathrm{PSL}_2(L)$ . Let  $\Delta^{(2)} = \langle g^2 : g \in \Delta \rangle$  and let  $K^{(2)}$  be the trace field of  $\Delta^{(2)}$ . The field  $K^{(2)}$  is the *invariant* trace field of  $\Delta$  — it is an invariant of the commensurability class of  $\Delta$  (See [Rei90, MR03]). We have  $[K : K^{(2)}] = 2^e$  where  $e = 2, 1, 0$  depending on whether three of the  $(p, q, r)$  are even, exactly two are even, or at most one is even respectively (See [Tak77] and also [McM24b], where  $\Delta^{(2)}$  is denoted by  $\Delta_0$ ). The groups  $\Delta$  and  $\Delta^{(2)}$  canonically admit representations to  $\mathrm{PSL}(B)$  and  $\mathrm{PSL}(B^{(2)})$  respectively, where  $B$  and  $B^{(2)}$  are the associated quaternion algebras over  $K$  and  $K^{(2)}$ . The quaternion algebra of  $\Gamma$  is given explicitly by  $\mathbf{Q}[\tilde{\Gamma}]$  where  $\tilde{\Gamma}$  is the pre-image of  $\Gamma$  in  $\mathrm{SL}_2(\mathbf{R})$ . The representations of these groups are defined over the respective trace fields if and only if the corresponding quaternion algebras split, and one obtains representations over some totally real extension  $L/K$  if and only if the quaternion algebras split at all real places. Thus question A is equivalent to asking when  $B/K$  is split at all real places. (The “opposite” question of understanding the triangle groups for which  $B/K$  is *ramified* at all but a fixed number of real places was considered in [NV17], following [Tak77].) In [McM24b], McMullen introduces the Hilbert Series consisting of the following eleven hyperbolic triangle groups:

**Definition 1.1.4** (McMullen, [McM24b]). The Hilbert Series consists of the triangle groups  $\Delta$  with  $(p, q, r)$  taken from the following list:

$$(2, 4, 6), (2, 6, 6), (3, 4, 4), (3, 6, 6), (2, 6, 10), (3, 10, 10), \\ (5, 6, 6), (6, 10, 15), (4, 6, 12), (6, 9, 18), \text{ and } (14, 21, 42).$$

(These groups were also identified in [WM85].) McMullen then goes on to make the following five (related) conjectures concerning the Hilbert Series for hyperbolic triangle groups:

**Conjecture A.** [McM24b, Conjecture 1.3], [McM24a, Conjecture 1.15] *The quaternion algebra  $B_v$  is split at all infinite places  $v$  of  $K$  if and only if  $\Delta$  belongs to the Hilbert series.*

**Conjecture B.** [McM24b, Conjecture 1.4] *The quaternion algebra  $B$  is split if and only if  $\Delta$  belongs to the Hilbert series.*

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<sup>2</sup>For the non-compact triangle groups the situation is much simpler; there always exists a representation over the corresponding trace field, see [WM85, Thm 1]. This is because the existence of parabolic elements in  $\Delta$  forces the corresponding quaternion algebra to split, cf. [NR92].

**Conjecture C.** [McM24b, Conjecture 1.5] *The quaternion algebra  $B^{(2)}$  is split if and only if  $\Delta$  is conjugate to  $\Delta(14, 21, 42)$ .*

**Conjecture D.** [McM24b, Conjecture 1.6] *A cocompact triangle group  $\Delta$  has a model over a totally real field if and only if  $\Delta$  belongs to the Hilbert series.*

**Conjecture E.** [McM24b, Conjecture 1.8] *A finite cover of  $\mathbf{H}/\Delta$  can be presented as a Kobayashi geodesic curve on a Hilbert modular variety if and only if  $\Delta$  belongs to the Hilbert series.*

Conjecture B is equivalent to the claim that the answer to Problem A is positive if and only if  $\Delta$  belongs to the Hilbert series. Conjecture A is equivalent to the claim that  $\Delta \subset \mathrm{PSL}_2(L)$  for some totally real field  $L$  if and only if  $\Delta$  belongs to the Hilbert series. The main theorem of this paper is as follows:

**Theorem B.** *Conjectures A, B, C, D, and E are all true.*

**Theorem C.** *Let  $\Delta$  be a hyperbolic triangle group, let  $K$  be the corresponding invariant trace field with integer ring  $\mathcal{O}_K$ . The following are equivalent:*

- (1)  $\Delta$  belongs to the Hilbert series.
- (2) There exists a faithful representation  $\Delta \rightarrow \mathrm{PSL}_2(\mathcal{O}_K)$ .
- (3) There exists a faithful representation  $\Delta \rightarrow \mathrm{PSL}_2(K)$ .
- (4) There exists a faithful representation  $\Delta \rightarrow \mathrm{PSL}_2(L)$ , where  $L$  is totally real.

As established in [McM24b], Conjectures B, C, D, and E all follow from Conjecture A. Theorem C follows from Theorem B together with [McM24b, Thm 1.1]. As mentioned above, these results were previously known to hold *up to finitely many exceptions* by [WM85]. The argument in [WM85] also gives, in principle, an explicit (but huge) upper bound on any counterexample. A new but softer proof of this finiteness result was given by McMullen in [McM24a]. McMullen also verified [McM24b, Thm 1.7] that the conjecture holds when the parameters  $(p, q, r)$  are at most 5000. The perspective of [McM24a] is to recognize the finiteness as a case of an equidistribution result for roots of unity. Results of this kind are natural extensions of Lang’s conjecture (proved by Ihara, Serre, Tate in the 60’s) concerning the intersection of subvarieties of  $\mathbf{G}_m^r$  with the set of torsion points. In addition to these soft equidistribution results, McMullen had to understand the geometry of a moduli space of triangles identified with  $\mathbf{R}^3/\Lambda$ , where  $\Lambda \subset \mathbf{Z}^3$  is the lattice consisting of triples  $(a, b, c)$  with  $a + b + c \equiv 0 \pmod{2}$ . On the other hand, it is notoriously difficult to turn soft equidistribution results into effective finiteness results. Such problems have arisen frequently in the literature; we recall one of the most basic problems of this type now in § 1.2, as a possible model for thinking about Conjecture A.

**1.2. Effective results for roots of unity.** Let  $\zeta$  and  $\xi$  be roots of unity, and consider the sum

$$(1.2.1) \quad \alpha = 1 + \zeta + \xi.$$

The element  $\alpha$  lies in the image of the torsion points of  $\mathbf{G}_m^2$  under the map to  $\mathbf{A}^1$  given by  $1 + X + Y$ . If one defines the *house* of  $\alpha$  to be:

$$|\alpha| := \max_{\sigma} |1 + \sigma\zeta + \sigma\xi|$$

as  $\sigma$  ranges over all automorphisms  $\sigma \in \text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q})$ , then the triangle inequality gives  $|\overline{\alpha}| \leq 3$ . What can we say about the possible  $\alpha$  if we restrict to those for which  $|\overline{\alpha}| < B$  for some explicit  $B < 3$ ? The equidistribution results of the flavor employed in [McM24a] show that, for any fixed  $B < 3$ , all such  $\alpha$  lie on the image of a finite union of translates by torsion points of proper subgroup varieties of  $\mathbf{G}_m^2$ , which (in this case) will be of dimension one and zero. Can one make this explicit? An classical argument due to Kronecker [Kro1857] shows that if  $|\overline{\alpha}| \leq 2$ , then  $\alpha$  is actually a sum of at most two roots of unity, and subsequently turns out to be in the image of the the cosets  $(X, \rho X) \subset \mathbf{G}_m^2$  of the diagonal where  $\rho$  is any root of  $\rho^3 = -1$ . In [Rob65], Raphael Robinson raised this very question of determining all  $\alpha = 1 + \zeta + \xi$  such that

$$|\overline{\alpha}| \leq \sqrt{5}.$$

The reason for Robinson’s choice of this particular bound will be more apparent below. The soft argument combined with some geometry shows that — with finitely many exceptions — all such examples either have  $|\overline{\alpha}| < 2$  or come from the coset  $(X, -X^{-1}) \subset \mathbf{G}_m^2$  of the anti-diagonal, that is, coming from  $\alpha$  of the form

$$(1.2.2) \quad \alpha = 1 + \zeta - \zeta^{-1}.$$

One can check that all specializations on the family (1.2.2) indeed have  $|\overline{\alpha}| \leq \sqrt{5}$ , and this realizes  $\sqrt{5}$  as a limit point of  $|\overline{\alpha}|$  for sums of three roots of unity; this is the second such limit point after  $2 = \sqrt{4}$  coming from numbers which can also be expressed as sums of two roots of unity. However, determining the finitely many zero dimensional exceptions is significantly harder — in this case they were ultimately determined by Jones [Jon68] to consist (up to some obvious equivalences) to precisely the five following examples:

$$1 + \mathbf{e}(1/7) + \mathbf{e}(3/7), 1 + \mathbf{e}(1/13) + \mathbf{e}(4/13), 1 + \mathbf{e}(1/24) + \mathbf{e}(7/24), \\ 1 + \mathbf{e}(1/30) + \mathbf{e}(12/30), 1 + \mathbf{e}(1/42) + \mathbf{e}(13/42),$$

where  $\mathbf{e}(x) = \exp(2\pi ix)$ . Jones used a number of novel arguments via the geometry of numbers and Mahler’s duality theorem to reduce the problem to a manageable calculation — previous work of Schinzel and Davenport [DS67] had produced an explicit but totally unmanageable upper bound (of the flavor that the roots of unity involved could be assumed to have order less than  $10^{10}$ , for example).

Let us now return to Conjecture A. As explained in [WM85] (cf. [DM86, 12.3.6]) The quaternion algebra  $B$  splits at all real places for  $(p, q, r)$  if and only if there exists an integer  $k$  prime to  $[2, p, q, r]$  such that  $d(k) \geq 0$ , where

$$(1.2.3) \quad \begin{aligned} d(t) &= 4 - 4 \cos^2(t\pi/p) - 4 \cos^2(t\pi/q) - 4 \cos^2(t\pi/r) \\ &\quad - 8 \cos(t\pi/p) \cos(t\pi/q) \cos(t\pi/r) \\ &= 4 \cdot \det \begin{vmatrix} 1 & -\cos(\pi/p) & -\cos(\pi/q) \\ -\cos(\pi/p) & 1 & -\cos(\pi/r) \\ -\cos(\pi/q) & -\cos(\pi/r) & 1 \end{vmatrix}. \end{aligned}$$

More concretely, a Hilbert symbol  $\left(\frac{\alpha, \beta}{K}\right)$  for  $B/K$  is given explicitly by

$$\alpha = 4 - 4 \cos^2(\pi/p) - 4 \cos^2(\pi/q) - 4 \cos^2(\pi/r) - 8 \cos(\pi/p) \cos(\pi/q) \cos(\pi/r) \\ \beta = 4 \cos^2 \pi/p - 4.$$

(See [WM85, Thm 2].) It is easy to recognize (1.2.3) as simply the expression

$$(1.2.4) \quad \alpha = -(\zeta + \zeta^{-1})(\xi + \xi^{-1})(\theta + \theta^{-1})(\zeta\xi\theta + \zeta^{-1}\xi^{-1}\theta^{-1})$$

for three roots of unity  $\zeta, \xi, \theta$  depending in an elementary way on  $p, q$ , and  $r$ , which “reduces” Conjecture A to a problem of the same flavor as the problem of [Rob65] discussed above. Concretely, the conjugates of  $\alpha$  lie *a priori* in the interval  $[-16, 4] \subset \mathbf{R}$ , and so we want to classify all  $\alpha$  such that

$$\overline{8 + \alpha} \leq 8.$$

The increased apparent level of complication of (1.2.4) over (1.2.1) suggests that finding an explicit bound which reduces Conjecture A to a manageable computation may present some difficulties. At the same time, there are a number of further approaches in the literature for studying such questions. As noted, there is the work of Jones in [Jon68] and in subsequent papers [Jon69, Jon70, Jon71, Jon75] as well as the work of Cassels [Cas69], as well as more Fourier–theoretic approaches such as Davenport–Schinzel [DS67].

**1.3. The strategy.** In spirit, our argument is much closer to that of [WM85] than anything in [McM24a]. We now discuss the general ideas behind our approach. Suppose one wants to study the distribution of the values of polynomial in a single variable evaluated at roots of unity, but in an effective manner (this is already interesting for  $f(X) = X$ ). The soft statement in this case is the fact that, for  $m$  large, the conjugates of  $\zeta = \mathbf{e}(1/m)$  are equidistributed along the unit circle. More precisely, however, they have the form  $\mathbf{e}(k/m)$  with  $(k, m) = 1$ . So to understand how close to a point on  $|z| = 1$  one can find such an  $\mathbf{e}(k/m)$  for any  $m$ , one has to understand how close to any real  $t \in \mathbf{R}$  one can choose an integer  $k$  with  $(k, m) = 1$ . This immediately reduces to the problem of understanding how long an arithmetic progression  $a, a + 1, a + 2, \dots$  has to be before there exists an element in this sequence coprime to  $m$ . By definition, this is given by the Jacobsthal function  $J(m)$ . Suppose that  $m$  has  $r$  distinct prime factors. A theorem of Iwaniec [Iwa78] shows that  $J(m) \ll (r \log r)^2$ , but Iwaniec’s results are not effective. A theorem of Kanold [Kan67] shows that  $J(m) \leq 2^r$ . This is definitely effective but it is not optimal — if  $m = pqr$ , then an elementary argument shows that  $J(pqr) \leq 6$ , and if  $m = pqrs$ , then  $J(m) \leq 10$ . It is crucial for our ultimate applications that we have excellent bounds on  $J(m)$  for  $m$  with a moderately large number of prime factors, say at most 20 prime factors. Fortunately there are results in the literature which give such bounds. For example, if  $m$  has 20 distinct prime divisors, then  $J(m) \leq 174$  (see Lemma 3.0.5), which is far better than Kanold’s bound  $J(m) \leq 1048576$ . We recall the results we need about the Jacobsthal function in § 3.

Now let us return to higher dimensions. Recall that we wish to find an integer  $k$  prime to  $[2, p, q, r]$  so that  $d(k) \geq 0$  where

$$d(t) = 4 - 4 \cos^2(t\pi/p) - 4 \cos^2(t\pi/q) - 4 \cos^2(t\pi/r) - 8 \cos(t\pi/p) \cos(t\pi/q) \cos(t\pi/r).$$

Let  $\Lambda \subset \mathbf{Z}^3$  denote the sub-lattice of index two with  $a + b + c \equiv 0 \pmod{2}$ , and for a vector  $v = (x, y, z) \in \mathbf{R}^3$  we let

$$|\mathbf{v} - \Lambda| := \min(|x - a| + |y - b| + |z - c|), \quad (a, b, c) \in \Lambda.$$

If  $\mathbf{v} = \left(\frac{1}{p}, \frac{1}{q}, \frac{1}{r}\right)$ , then the condition that  $d(t) \geq 0$  is equivalent to the condition that

$$(1.3.1) \quad |t\mathbf{v} - \Lambda| \geq 1.$$

This follows from [McM24a, Thm 1.10]. Instead of trying to prove that  $d(k) \geq 0$  or  $|k\mathbf{v} - \Lambda| \geq 1$  for some integer  $k$  prime to  $[2, p, q, r]$ , we could first ask to find any integer  $k$  with this property, or — what is nearly equivalent for big  $p, q, r$  — a real number  $t$  so that  $d(t) \geq 0$ . Even better, we can try to produce a  $t$  for which  $|t\mathbf{v} - \Lambda| \geq 1 + \varepsilon$  for some explicit  $\varepsilon > 0$ . Then by varying  $t$  slightly, one can hope to find an integer  $k$  close by to  $t$  for which  $k$  is prime to  $[2, p, q, r]$  and for which

$$1 + \varepsilon < |t\mathbf{v} - \Lambda| \sim |k\mathbf{v} - \Lambda|$$

does not change that much and so the latter is at least one. Our key technical result (Theorem D) is that there always exists a  $t \in \mathbf{R}$  so that

$$\left| \left(\frac{t}{p}, \frac{t}{q}, \frac{t}{r}\right) - \Lambda \right| \geq 1 + \frac{1}{5}.$$

(This result is best possible, see Remark 2.0.1.) We shall give two methods to prove this theorem. The first, using Fourier analysis, constitutes most of § 2. The second, using geometry of numbers, is discussed in § 2.7. We only carry out the first approach — the second one certainly works in principle but it is not a priori clear how computationally feasible it might be.

Returning to our argument, once we have found a  $t \in \mathbf{R}$  so that  $|t\mathbf{v} - \Lambda| - 1 > 0$  is big, we could hope to vary  $t$  slightly to make it an integer prime to  $2pqr$ . This works, proving that that

$$\frac{1}{p} + \frac{1}{q} + \frac{1}{r}$$

is relatively small compared to the lcm  $n = [2, p, q, r]$  — but it fails otherwise, in particular if one of  $p, q, r$  is small. So we need a separate argument to deal with the cases when  $1/p + 1/q + 1/r$  is small compared to  $n$  and when  $\min(p, q, r)$  is small compared to  $n$ . The first case is carried out in § 5, and the case when  $\min(p, q, r)$  is small is carried out in § 6. It turns out that this last case is ultimately the most computationally intensive. Finally, in § 7 we show how the cases understood in §§ 5, 6 cover all cases.

A basic trick that we employ frequently is the following. Suppose that  $p$  is divisible by a prime which does not divide  $q$  or  $r$ , or more generally that  $p$  is divisible by a strictly higher power of a prime than  $q$  or  $r$ , or perhaps a product of such primes. Then we may freely conjugate one of the roots of unity while keeping the other two roots fixed. This is clearly advantageous for our purposes. In practice we employ this idea in the setting of vectors in  $\mathbf{R}^3/\Lambda$  rather than roots of unity, but the idea is the same. We explain this in § 4.2. More generally, in § 4, we prove some analogues of our main theorem in dimensions one and two, which we use inductively to study the problem in dimension three.

Finally, there are a few tricks which by trial and error we simply found that helped — they involve some combinations of ideas that relate both the idea of Galois conjugates and some elementary geometry of lines in  $\mathbf{R}^2/\mathbf{Z}^2$  of rational slope — we apologize that some of these will ultimately seem somewhat ad hoc.

**1.4. Some remarks about the title.** Given some object  $X$  defined over  $\overline{K}$ , the term “field of moduli” usually refers to the smallest field  $L$  for which there exists an automorphism  $\psi_\sigma : X^\sigma \simeq X$  for every  $\sigma \in \text{Gal}(\overline{K}/L)$ , whereas a “field of definition” is a field  $L$  so that  $X$  has a model over  $L$ . The reason that fields of moduli are not always fields of definition is because the  $\psi_\sigma$  do not always give compatible descent data due to the existence of automorphisms of  $X$ . In our context, we think of  $X$  as the (unique up to conjugacy) representation of the triangle group  $\Delta$  to  $\text{PSL}_2(\mathbf{R})$ . The field of moduli in this case is the trace field  $K$ , and a field of definition  $L/K$  is any field where the representation admits an explicit matrix model over this field. We hope that this terminology is clear (although we only ever use this terminology in the title and in this subsection).

**1.5. Preliminaries.** For integers  $n_i$  as  $i = 1, \dots, r$ , let  $[n_1, n_2, \dots, n_r]$  denote the lowest common multiple of the  $n_i$ . Let  $(p, q, r)$  be integers, let  $n = [2, p, q, r]$  be the least common multiple of these numbers, which is equal to  $[p, q, r]$  if at least one of  $p, q, r$  is even, and twice this otherwise.

**Definition 1.5.1.** Let  $\Lambda \subset \mathbf{Z}^3$  be the lattice consisting of triples of integers  $(a, b, c)$  with  $a + b + c$  even.

Given two vectors  $\mathbf{v}$  and  $\mathbf{w}$  in  $\mathbf{R}^3$ , we define a distance  $|\cdot|_1$  to be the sum of the absolute values of the differences, i.e.:

$$|\mathbf{v} - \mathbf{w}|_1 := |v_1 - w_1| + |v_2 - w_2| + |v_3 - w_3|.$$

Since we only use this distance function, we simply write  $|\mathbf{v}|$ .

**Definition 1.5.2.** Given a vector  $\mathbf{v} \in \mathbf{R}^3$ , we define the distance of  $\mathbf{v}$  to the lattice  $\Lambda$  to be the smallest distance from  $\mathbf{v}$  to any point in  $\Lambda$ , i.e.,

$$|\mathbf{v} - \Lambda| := \min\{|\mathbf{v} - \lambda|; \lambda \in \Lambda\}$$

More generally, for  $\mathbf{v} \in \mathbf{R}^n$  and any lattice  $\Lambda \subset \mathbf{R}^n$ , we make the same definition, still using the  $|\cdot|_1$  norm on  $\mathbf{R}^n$ .

Note that this is a genuine distance function and so satisfies the triangle inequality and its variants. Apart from the case of our lattice  $\Lambda$ , we will most often use this notation for  $x \in \mathbf{R}$  and  $\Lambda = \mathbf{Z}$  or  $2\mathbf{Z}$  in  $\mathbf{R}$ , but we shall also use it for the standard lattice  $\mathbf{Z}^3$  in  $\mathbf{R}^3$ , particularly in § 2.

**Example 1.5.3.** If  $\mathbf{w} = (1/2, 1/2, 1/2)$ , then  $|\mathbf{w} - \Lambda| = 3/2$ . This is the maximal value of  $|\mathbf{v} - \Lambda|$ .

We now rephrase the basic result of this paper in elementary terms. By [McM24a], this suffices to prove Conjecture A.

**Theorem 1.5.4.** *Let  $(p, q, r)$  be a triple with  $1/p + 1/q + 1/r < 1$  which is not in the Hilbert Series. Let  $n = [2, p, q, r]$ . Then there exists an integer  $(k, n) = 1$  such that  $|k\mathbf{v} - \Lambda| \geq 1$ , where*

$$\mathbf{v} = \left( \frac{k}{p}, \frac{k}{q}, \frac{k}{r} \right)$$

We finish this section with some more preparatory lemmas.



**Lemma 1.5.5.** *Fix  $x$  and  $y$ , and let  $M(x, y) = \max |(x, y, z) - \Lambda|$  as  $z$  ranges over elements of  $\mathbf{R}$ . Then*

$$\begin{aligned} M(x, y) &= M(y, x) \\ M(x, y) &= M(x \bmod 1, y \bmod 1) \\ M(x, y) &= M(1 - x, y) \end{aligned}$$

*Proof.* Since  $\Lambda$  is invariant under permuting the first two entries, the first claim follows. For the second, we can replace  $z$  by  $z \pm (1, 0, 1)$  or  $z \pm (0, 1, 1)$ . This brings us to the third claim. The main point is that, for  $(a, b, c) \in \Lambda$  we have  $(1 - a, b, 1 - c) \in \Lambda$ , and then

$$|(x, y, z) - (a, b, c)| = |x - a| + |y - b| + |z - c| = |(1 - x, y, 1 - z) - (1 - a, b, 1 - c)|.$$

In particular, the distances from  $(x, y, z)$  to the set of lattice points is the same as the distances from  $(1 - x, y, 1 - z)$  to the set of lattice points. But then

$$M(x, y) = \max |(1 - x, y, 1 - z) - \Lambda| = \max |(1 - x, y, z) - \Lambda| = M(1 - x, y).$$

□

**Lemma 1.5.6.** *If  $0 \leq x, y \leq 1/2$ , then  $M(x, y) = 1 + \min(x, y)$ . More generally,*

$$M(x, y) = 1 + \min(|x - \mathbf{Z}|, |y - \mathbf{Z}|)$$

*Proof.* To see how the latter equality follows from the former, note that  $|t - \mathbf{Z}| = |1 - t - \mathbf{Z}|$  and so by Lemma 1.5.5 we can reduce to this case.

Suppose that  $(a, b, c)$  is the closest lattice point to  $(x, y, z)$ . We have

$$|(x, y, z) - (a, b, c)| = |x - a| + |y - b| + |z - c|.$$

Replacing  $a$  by  $-a$  or  $b$  by  $-b$  preserves the property of  $(a, b, c) \in \Lambda$ , but increases the RHS, so without loss of generality we have

$$(a, b) \in \{(0, 0), (1, 0), (0, 1)\}$$

At the same time, we can always take  $z \in [0, 2]$  and so either  $c = 0, 2$  or  $c = 1$  is optimal. Thus  $|(x, y, z) - \Lambda|$  is the minimum of the four quantities:

$$x + y + z, x + y + 2 - z, 1 - x + y + |z - 1|, x + 1 - y + |z - 1|.$$

Suppose that  $0 \leq x \leq y$ . Then with the choice  $z = 1 - x$ , Suppose without loss of generality that  $x \leq y$ . Then with the choice  $z = 1 - y$ , these quantities become

$$1 + x, 1 + x + 2y, 1 + x + 2(y - x), 1 + x,$$

which has minimum  $1 + x$  and shows that  $M(x, y) \geq 1 + x$ . On the other hand, note that

$$\begin{aligned} x + y + z &\leq 1 + x, & \text{for } z \leq 1 - y, \\ x + 1 - y + |z - 1| &\leq 1 + x, & \text{for } 1 - y \leq z \leq 1 + y, \\ x + y + 2 - z &\leq 1 + x, & \text{for } z \geq 1 + y, \end{aligned}$$

which shows that  $1 + x$  is optimal. □

**Lemma 1.5.7.** *The norm  $|\mathbf{v}|$  is invariant under the group*

$$(\mathbf{Z}/2\mathbf{Z})^3 \ltimes S_3 = \mathbf{Z}/2\mathbf{Z} \wr S_3 \subset O(3)$$

*acting on the coordinates by permutation and by signs. If  $\mathbf{w} = (1/2, 1/2, 1/2)$ , then  $|\mathbf{v} - \mathbf{w} - \mathbf{Z}^3|$  is also preserved by this group, whereas The distances*

$$|\mathbf{v} - \mathbf{w} - \Lambda|$$

is preserved only by

$$(\mathbf{Z}/2\mathbf{Z} \wr S_3) \cap \mathrm{SO}(3) \simeq S_4.$$

*Proof.* It suffices to observe that these groups preserve the lattice  $\mathbf{Z}^3$  and  $\Lambda$ , as well as  $\mathbf{w} + \mathbf{Z}^3$ . Since  $2\mathbf{w} \notin \Lambda$ , the orbit of this group on  $\mathbf{w} + \Lambda$  is given by  $\pm\mathbf{w} + \Lambda$  and the stabilizer has index two.  $\square$

## 2. FOURIER ANALYSIS

The main result of this section is the following:

**Theorem D.** *Let  $(p, q, r)$  be non-zero rational numbers. Then there exists a real number  $t$  such that*

$$\left| \left( \frac{t}{p}, \frac{t}{q}, \frac{t}{r} \right) - \Lambda \right| \geq 1 + \frac{1}{5}.$$

**Remark 2.0.1.** The constant is best possible; one can do no better than equality in the case of  $(p, q, r) = (1, 2, 6)$ ,  $(2, 3, 6)$ , and  $(3, 4, 12)$  — see Figure 2.4.4. On the other hand, our proof shows that, apart from these exceptions, the stronger inequality holds:

$$\left| \left( \frac{t}{p}, \frac{t}{q}, \frac{t}{r} \right) - \Lambda \right| \geq \frac{3}{2} - \frac{3 \arccos\left(\frac{3^{1/6}}{2^{1/3}}\right)}{\pi} = 1.206646\dots > 1 + \frac{1}{5}.$$

Our proof in principle could be modified to increase the constant further (still with finitely many exceptions), but the gain in utility would be marginal.

**2.1. The idea of the proof.** Note that by scaling, we may assume that  $(p, q, r)$  are all non-zero integers. Moreover, using the action of  $\mathbf{Z}/2\mathbf{Z} \wr S_3$  as in Lemma 1.5.7 we are also free to change any of the signs of  $p, q, r$  (note that the element  $-I$  sends any line through the origin to itself). Our approach is as follows. For most  $(p, q, r)$ , we will actually prove the statement that there exists a real  $t$  so that

$$(2.1.1) \quad \left| \left( \frac{t}{p}, \frac{t}{q}, \frac{t}{r} \right) - \mathbf{Z}^3 \right| \geq 1 + \frac{1}{5},$$

where we recall that  $\Lambda$  has index two in  $\mathbf{Z}^3$  so that (2.1.1) is a stronger statement. More precisely, we can even show such an inequality with  $1 + 1/5$  replaced by  $1 + 1/2 - \varepsilon$  for any  $\varepsilon > 0$  as long as we *avoid* finitely many hyperplanes

$$\frac{a}{p} + \frac{b}{q} + \frac{c}{r} = 0,$$

where “finitely many” will depend on  $\varepsilon$  in a way that one can in practice quantify. There are two approaches we have to proving such inequalities; one inspired by Fourier analysis (which is what we ultimately use) and a second using the geometry of numbers which we discuss in the section below. The Fourier analysis approach is roughly as follows. We are working in the settings of functions on the compact torus  $\mathbf{R}^3/\mathbf{Z}^3$  or  $\mathbf{R}^3/\Lambda$  or  $\mathbf{R}^3/(2\mathbf{Z})^3$ . Let  $L \subset \mathbf{R}^3/\Lambda$  be a rational line, which is an embedded one-dimensional torus. Let  $\chi(x, y, z)$  be the characteristic function of the region where we want  $L$  to intersect. To show the intersection is non-zero, we want to compute

$$\int_L \chi(t) dt$$

and prove that it is non-zero. This leads to a soft proof that the result holds away from finitely many hyperplanes; arguments of this kind are well-known to the experts. However, we need a result which is valid in *all* cases, so in particular we need to identify explicitly the list of exceptional hyperplanes which then need to be considered. Moreover, the particular region we are interested in is really quite complicated, as seen in Figure 2.1.1.

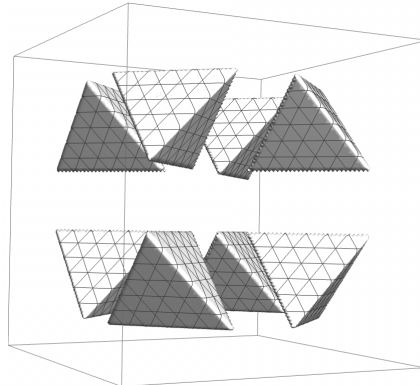


FIGURE 2.1.1. The region  $|(x, y, z) - \Lambda| \geq 6/5$  in  $\mathbf{R}^3/(\mathbf{2Z})^3$ .

As a result, the Fourier series of  $\chi(x, y, z)$  is a complicated mess. For example, for the region  $|\mathbf{v} - \mathbf{w}| \geq 1 + 1/5$  in  $\mathbf{R}^3/\mathbf{Z}^3$ , after the constant term  $9/250$ , the coefficient of  $e^{2\pi i x}$  is

$$\frac{5\sqrt{2(5 + \sqrt{5})} - 12\pi}{20\pi^3}$$

and things only get worse from there.<sup>3</sup>

One alternative is to use a more convenient function than the characteristic function. There *is* a function which is transparently given to us in this situation, namely

$$d(x, y, z) = 4 - 4 \cos^2(\pi x) - 4 \cos^2(\pi y) - 4 \cos^2(\pi z) - 8 \cos(\pi x) \cos(\pi y) \cos(\pi z),$$

$$d(t) = d\left(\frac{t}{p}, \frac{t}{q}, \frac{t}{r}\right).$$

This function is non-negative *precisely* on the region where we wish to ultimately find *integer* points. (One way to translate between the roots of unity picture and the lattice picture is given by [WM85, Thm 2], but it is also transparent by (1.2.4).) However, this function has the disadvantage that while it is positive where we want it to be positive, it is also (even more) negative elsewhere, which complicates the analysis. The situation is not hopeless, and indeed using  $d(t)$  we initially proved a

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<sup>3</sup>In contrast, the characteristic function  $\chi$  of the region  $|\mathbf{v} - \Lambda| \geq 1$  is more pleasant. A current project by undergraduates at the University of Chicago analyzing  $\chi$  more closely, in order to exactly determine the smallest limit point of the *ramification density* (see [McM24a]) which is some real number  $r < 0$ , and perhaps even the smallest non-zero ramification density  $\rho_H > 0$ .

version of Theorem D with  $1 + 1/5$  replaced by  $1 + 1/13$ , but the improvement to  $1/5$  will ultimately be important from a computational viewpoint.

Our approach is to replace  $d(x, y, z)$  by the function

$$\begin{aligned} e(x, y, z) &= (8 \sin(\pi x) \sin(\pi y) \sin(\pi z))^2 \\ e(t) &= (8 \sin(\pi x/p) \sin(\pi y/q) \sin(\pi z/r))^2. \end{aligned}$$

This function is now invariant under the larger lattice  $\mathbf{Z}^3$ . This function also has the advantage that it is *non-negative* everywhere and large exactly near the point  $(1/2, 1/2, 1/2)$ , so  $e(x, y, z)$  or rather its powers play a convenient proxy role for the characteristic function. Actually, instead of powers of  $e(t)$ , we shall consider a minor variant — powers of  $(e(t) - 24)$  — which asymptotically is similar but in practice gives better results within the limits of our computations.

Note that Theorem D is not true if one replaces  $\Lambda$  by  $\mathbf{Z}^3$ . In fact, this fails not only finitely often but for all points on the hyperplane

$$\frac{1}{p} + \frac{1}{q} + \frac{1}{r} = 0.$$

Naturally in our proof we are forced to consider this hyperplane separately and return to the lattice  $\Lambda$ . Moreover, we also have to deal with a moderate number of explicit triples  $(p, q, r)$  — say on the order of a million — for those also we check the less restrictive condition with  $\Lambda$  rather than  $\mathbf{Z}^3$  as well.

## 2.2. The start of the proof of Theorem D.

*Proof.* By scaling, we may assume that  $(p, q, r)$  are all non-zero integers. We introduce the quantity

$$e(t) = (8 \sin(\pi x/p) \sin(\pi y/q) \sin(\pi z/r))^2.$$

We choose this function for a few reasons. First, the function  $8 \sin(\pi x) \sin(\pi y) \sin(\pi z)$  obtains its global maximum exactly at the points  $(1/2, 1/2, 1/2) \bmod \mathbf{Z}^3$ . Hence if we can show that it has a large average over the line  $(t/p, t/q, t/r)$ , we can hopefully show that this point is close to  $(1/2, 1/2, 1/2)$ . Second, the trigonometric form of  $e(t)$  means that we can indeed compute the relevant integrals. Clearly  $e(t)$  is periodic with period (dividing)  $pqr$ . Moreover, it is non-negative, and there is a trivial upper and lower bound of  $0 \leq e(t) \leq 64$ . We would like to find values of  $t$  for which  $e(t)$  is as large as possible. One way is to investigate the moments. More precisely, we shall consider

$$(2.2.1) \quad E[(e(t) - 24)^m] = \frac{1}{pqr} \int_0^{pqr} (e(t) - 24)^{2m} dt,$$

and then use the bound:

$$(2.2.2) \quad \max(|e(t) - 24|) \geq \sqrt[m]{E[(e(t) - 24)^m]}.$$

Since  $e(t) \geq 0$  and is non-constant, if the RHS of (2.2.2) is at least 24, it gives a lower bound for  $e(t) - 24$  for some point  $t \in \mathbf{R}$  rather than its absolute value. However, we can compute the quantity in (2.2.1) by a simple integration. Certainly, using the exponential formula for  $\sin \pi x$ , we can write, with the sum over  $\lambda = (a, b, c) \in \mathbf{Z}^3$ ,

and with  $\mathbf{v} = \left(\frac{1}{p}, \frac{1}{q}, \frac{1}{r}\right)$ ,

$$\begin{aligned} (e(t) - 24)^m &= \sum_{\lambda \in \mathbf{Z}^3} C_{\lambda, m} \exp(2\pi i \lambda \cdot \mathbf{v} \cdot t) \\ &= \sum_{(a, b, c) \in \mathbf{Z}^3} C_{(a, b, c), m} \exp\left(2\pi i \left(\frac{a}{p} + \frac{b}{q} + \frac{c}{r}\right) t\right), \end{aligned}$$

where  $C_{\lambda, m} = 0$  for all but finitely many  $\lambda$ . We plainly have

$$E[(e(t) - 24)^m] = \sum_{\lambda \in \mathbf{Z}^3} C_{\lambda, m} \times \begin{cases} 1 & \lambda \cdot \mathbf{v} = 0, \\ 0 & \text{otherwise.} \end{cases}$$

In particular, the possible values of this average are as follows:

- (1) **The generic case:**  $\lambda \cdot \mathbf{v} \neq 0$  for all  $\lambda \neq 0$  with  $C_{\lambda, m} \neq 0$ , in which case

$$E[(e(t) - 24)^m] = C_{0, m}.$$

- (2) **The co-dimension one case:** The  $\phi$  for which  $\phi \cdot \mathbf{v} = 0$  for some  $\phi \neq 0$  with  $C_{\phi, m} \neq 0$  generate a one dimensional subspace of  $\mathbf{R}^3$ , in which case

$$E[(e(t) - 24)^m] = \sum_{\lambda \in \phi \mathbf{Q} \cap \mathbf{Z}^3} C_{\lambda, m}$$

where the sum is over rational multiples of (any such)  $\phi$ .

- (3) **The co-dimension two case:** We have  $\phi \cdot \mathbf{v} = 0$  and  $\psi \cdot \mathbf{v} = 0$  and  $C_{\phi, m}, C_{\psi, m} \neq 0$  where  $\phi$  and  $\psi$  are linearly independent, in which case

$$E[(e(t) - 24)^m] = \sum_{\lambda \in \phi \mathbf{Q} \oplus \psi \mathbf{Q} \cap \mathbf{Z}^3} C_{\lambda, m}$$

where the sum is over rational multiples of  $\phi$  and  $\psi$  in  $\mathbf{Z}^3$ .

Since  $\mathbf{v} \neq 0$ , it cannot be orthogonal to three linearly independent vectors, and hence these are the only possibilities. Clearly if there are only finitely many  $C_{\lambda, m}$ , there are finitely many values of the sum, and in theory we can compute all the possible values. In order to do so in a convenient way, observe that, for  $m = 1$ , we have the following values:

$$\begin{aligned} C_{(0, 0, 0), 1} &= -16, \\ C_{(\pm 1, 0, 0), 1} &= C_{(0, \pm 1, 0), 1} = C_{(0, 0, \pm 1), 1} = -4, \\ C_{(\pm 1, \pm 1, 0), 1} &= C_{(\pm 1, 0, \pm 1), 1} = C_{(0, \pm 1, \pm 1), 1} = 2, \\ C_{(\pm 1, \pm 1, \pm 1), 1} &= -1, \end{aligned}$$

and all other values are zero, and then the inductive formula:

$$C_{\lambda, m} = \sum_{\lambda' + \lambda'' = \lambda} C_{\lambda', m-1} C_{\lambda'', 1},$$

together with the remark that  $C_{\lambda, m} = 0$  unless all the entries of  $\lambda$  are bounded in absolute value by  $2m$ , and the sum only needs to be evaluated over the  $1+6+24+8 = (1+2)^3 = 27$  terms with  $C_{\lambda'', 1} \neq 0$ .

We now turn to computing the value of  $E[(e(t) - 24)^{12}]$ .

**Remark 2.2.3.** Originally we computed the moments  $E[(e(t) - 24)^m]$ , but the current method gives a better bound as soon as it gives a non-trivial bound. In fact, the optimal choice of  $\theta$  for obtaining a bound for  $e(t)$  by considering twelfth powers of the form  $E[(e(t) - \theta)^{12}]$  is given by  $\theta \sim 24.5686\dots$  where  $\theta$  is a root of a degree 12 irreducible polynomial in  $\mathbf{Q}[x]$ . The choice of the exponent 12 is close to the limit of what one can compute with these direct computations. If necessary, we could probably push this computation slightly further.

**2.3. The generic case.** We find that

$$(2.3.1) \quad C_{0,12} = 48938065973953984 \sim 4.8 \times 10^{16}.$$

**2.4. The co-dimension one case.** We are assuming that the  $\phi$  for which  $\phi \cdot \mathbf{v} = 0$  for some  $\phi \neq 0$  with  $C_{\phi,m} \neq 0$  define a one dimensional subspace. There are exactly 24389 vectors  $\lambda$  with  $C_{\lambda,12} \neq 0$ , which lie on 6337 different lines. In other words, our vector  $\mathbf{v}$  has to lie on one of 6337 different hyperplanes, or else  $E[(e(t) - 24)^m]$  is given by the value in the generic case. On these 6337 lines generated by vectors  $\phi$ , the possible sums

$$\sum_{\lambda \in \phi \mathbf{Q} \cap \mathbf{Z}^3} C_{\lambda,m}$$

take on 334 different values, which, in increasing order, are given by:

$$\begin{aligned} &14495307580935536, 14993075676088944, \\ &16558274382015248, 17182507338527490, \\ &17779880901663312, 20880831907741248, \\ &21658015136699472, 23695946550006558, \\ &25723702367996064, 29439428154585408, \\ &31449612130791616, 32684658530437488, \\ &32786111957612896, 35548827082706688, \\ &35574385398832360, 36520347436056576, \dots \end{aligned}$$

The vectors for which this quantity is strictly less than the sixteenth term:

$$(2.4.1) \quad 24^{12} = 36520347436056576 \sim 3.6 \times 10^{15} < C_{0,12}$$

correspond to hyperplanes for which our computation of  $E[(e(t) - 24)^{12}]$  does not give a useful lower bound for the maximum of  $e(t)$  along this hyperplane. Changing the signs of  $p, q, r$  appropriately we may assume that  $a, b, c$  are non-negative. The possible triples are below, along with a point  $P$  on the hyperplane chosen to be close to  $(1/2, 1/2, 1/2)$  (in practice we tried to choose an optimal such point but there is no need to prove we were successful):

<i>Hyperplanes with <math>E[(e(t) - 24)^{12}] &lt; 24^{12}</math></i>			
triple	$(a, b, c)$	$P$	$ P - (1/2, 1/2, 1/2) $
1	(0, 0, 1)	(1/2, 1/2, 0)	1/2
2	(1, 1, 1)	(1/3, 1/3, 1/3)	1/2
3	(0, 1, 2)	(1/2, 1/2, 1/4)	1/4
4	(1, 2, 2)	(1/2, 1/2, 1/4)	1/4
5	(0, 1, 4)	(1/2, 2/5, 2/5)	1/5
6	(0, 2, 3)	(1/2, 2/5, 2/5)	1/5
7	(1, 1, 3)	(1/2, 1/2, 1/3)	1/6
8	(1, 3, 3)	(1/2, 1/2, 1/3)	1/6
9	(2, 2, 3)	(1/2, 1/2, 1/3)	1/6
10	(0, 2, 5)	(1/2, 3/7, 3/7)	1/7
11	(0, 3, 4)	(1/2, 3/7, 3/7)	1/7
12	(1, 2, 4)	(1/2, 1/2, 5/8)	1/8
13	(1, 4, 4)	(1/2, 1/2, 3/8)	1/8
14	(2, 3, 4)	(1/2, 1/2, 3/8)	1/8
15	(2, 2, 5)	(1/2, 1/2, 2/5)	1/10
16	(1, 1, 5)	(1/2, 1/2, 2/5)	1/10

We shall now prove Theorem D explicitly in these sixteen classes cases, so that later we will be free to assume that  $E[(e(t) - 24)^{12}]$  is bounded below by (2.4.1). Note we are using Lemma 1.5.7 to see we are free to choose the signs of the hyperplane coefficients. The case (0, 0, 1) does not arise since it would force  $r = 0$ . The case (1, 1, 1) also requires exceptional treatment so we leave it until the end. In all other cases, there exists a point  $P$  on the hypersurface with

$$|P - \mathbf{w}| \leq \frac{1}{4}.$$

with  $\mathbf{w} = (1/2, 1/2, 1/2)$ . Thus it remains to determine all the lines  $L$  on  $H$  such that

$$|L - H| \leq \left( |\mathbf{w}| - 1 - \frac{1}{5} \right) - \frac{1}{4} = \frac{1}{20}.$$

As usual, the notation here means the minimum distance of any point on  $L$  to any point on  $H$  in the  $|\cdot|_1$  norm. The basic idea is that any line  $L$  on a *rank two* torus is easily seen to be qualitatively close to any given point as soon as the slope of the line is sufficiently large. In this way, we will reduce the computation to finitely many lines which we check using `magma`. We return to the proof. Consider the hyperplane

$$H : ax + by + cz = 0 \subset \mathbf{R}^3/\mathbf{Z}^3$$

Without loss of generality, we can assume that  $c \neq 0$ , and that  $(a, b, c)$  have no common factor. More precisely, we shall assume that  $c$  is the largest element of the triple.

**Lemma 2.4.2.** *There is a finite surjection:*

$$\pi : T := \mathbf{R}/\mathbf{Z} \times \mathbf{R}/\mathbf{Z} \rightarrow H \subset \mathbf{R}^3/\mathbf{Z}^3$$

given by

$$(s, t) \rightarrow (cs, ct, -as - bt).$$

*Proof.* It is easy to see that the map is well-defined. Let  $(x, y, z)$  be a point on  $H$ . We can find  $s, t$  so that  $(s, t) \mapsto (x, y, z') \in H$ , and then it follows that  $c(z - z') = 0 \in \mathbf{R}/\mathbf{Z}$ , and so  $z - z'$  is a multiple of  $(0, 0, 1/c)$ . Since  $\pi$  is linear, it suffices to show that its image contains the subgroup generated by this element. Let  $s = i/c$  and  $t = j/c$  for integers  $i$  and  $j$ . Then the image under  $\pi$  will generate such a subgroup as long as  $ai + bj$  is prime to  $c$ . But we can find a choice of  $i$  and  $j$  so that  $ai + bj$  is equal to the greatest common divisor  $(a, b)$ , and this is prime to  $c$  since we are assuming that  $(a, b, c)$  do not all have a common factor.  $\square$

We can also show that the map  $\pi$  will have degree  $c$ , but that is not relevant for our purposes; The only fact we need is that any line on  $H$  through the origin is the image of a line  $L$  on  $T$  through the origin (namely,  $\pi^{-1}(L)$ ) which follows directly from the fact that  $\pi$  is surjective by Lemma 2.4.2. Such a line  $L$  has the form

$$(2.4.3) \quad (s, t) = (uz, vz), \quad (u, v) = 1,$$

we define the height  $h(L)$  of this line to be  $h(L) = \max(|u|, |v|)$ .

**Lemma 2.4.4.** *Let  $P \in H$ , where  $H$  is one of the 14 hyperplanes numbered 3 to 16 above. If the height of  $L$  satisfies  $h(L) \geq 70$ , then there is a point  $Q \in \pi(L)$  so that*

$$|P - Q| \leq \frac{1}{20}.$$

*If  $h(L) \geq 84$ , then this bound can be improved to  $\frac{1}{24}$ .*

*Proof.* Let  $\pi^{-1}(P)$  be (any) choice of pre-image of  $P$ . Let  $L$  be of the form (2.4.3), and assume that  $h(L) = u$ . We may certainly find a  $z \in \mathbf{R}$  so that the first coordinate of  $(uz, vz)$  is equal to the first coordinate of  $\pi^{-1}(P)$ . If we then replace  $z$  by  $z + 1/u$ , we may vary the second coordinate by  $v/u$  and then by repeating this and using that  $(u, v) = 1$ , we may find a point so that

$$|R - \pi^{-1}P| \leq \frac{1}{2h}.$$

and moreover that the first coordinate is zero. If  $h(L) = v$ , the exact same argument gives a point where the second coordinate is zero. If we write  $R - \pi^{-1}(P) = (x, y)$ , so  $xy = 0$  and  $\max(|x|, |y|) \leq (2h)^{-1}$ , we find that

$$\begin{aligned} |\pi(R) - P| &\leq cx + cy + ax + by \\ &= (a + c)x + (b + c)y \\ &\leq \frac{1}{2h} \max(a + c, b + c) \end{aligned}$$

But for the 14 hyperplanes above we find that the maximum  $b + c$  and  $a + c$  is 7, and we are assuming that  $h \geq 70$ , and so  $2h \geq 140$ , and so

$$|\pi(R) - P| \leq \frac{7}{140} = \frac{1}{20}.$$

Replacing 70 by 84 gives the improved bound.  $\square$

As a consequence, for each of the 14 hyperplanes  $H$  we are currently considering, if we take any line on  $H$  whose pullback  $L$  to  $T$  has  $h(L) \geq 70$ , then there exists a point  $Q = \pi(R)$  on  $L$  with:

$$|Q - P| \leq \frac{1}{20}, \quad |P - \mathbf{w}| \leq \frac{1}{4},$$



and hence

$$|Q - \mathbf{w}| \leq \frac{1}{20} + \frac{1}{4} = \frac{3}{10},$$

$$|Q - \mathbf{Z}^3| \geq |\mathbf{w} - \mathbf{Z}^3| - |Q - \mathbf{w}| \geq \frac{3}{2} - \frac{3}{10} = 1 + \frac{1}{5}.$$

With the stricter condition  $h(L) \geq 84$ , this bound improves to  $1 + 5/24$ . For the remaining lines  $L$  of height  $h(L) < 70$ , we project them to  $H$  to get a line in  $\mathbf{R}^3/\mathbf{Z}^3$ , and hence an explicit triple  $(p, q, r)$ , and then we check Theorem D explicitly for each of these triples. More explicitly, if we take the line  $(uz, vz)$  on  $T$ , then on  $H$  we explicitly get the line  $L$  on  $H$  corresponding to

$$z(cu, cv, -bv - au).$$

For each of the fourteen  $H$ , we need only consider the lines on  $T$  whose height is at most 70. There are 5878 rational numbers with height less than 70, and thus taking into account 0 and  $\infty$  this gives 5880 lines we need to check on each  $H$ . In fact there is some duplication, and after permuting the orders and taking absolute values there are only 52258 lines which we need to check in total. If we also consider the lines of height less than 84, the total number of such lines increases to 76450. In these cases, we check directly that there exists a  $t$  such that

$$\left| \left( \frac{t}{p}, \frac{t}{q}, \frac{t}{r} \right) - \Lambda \right| \geq 1 + \frac{5}{24} > 1 + \frac{1}{5}$$

in all cases we find such a  $t$ , except for the triples  $(1, 2, 6)$ ,  $(2, 3, 6)$ , and  $(3, 4, 12)$ . For these cases, the bound  $1 + 1/5$  is achieved with  $t = 12/5$ ,  $t = 6/5$ , and  $t = 24/5$  respectively. Note in all these computations we check the condition against  $\Lambda$  rather than  $\mathbf{Z}^3$ .

This brings us to the hyperplane  $H$  corresponding to

$$\frac{1}{p} + \frac{1}{q} + \frac{1}{r} = 0.$$

This hyperplane is different in that no points are within anything less than  $1/2$  of  $(1/2, 1/2, 1/2)$ . We can and will assume in this section that  $(p, q, r)$  have no common factor, since Theorem D is insensitive to scaling these parameters. Moreover, by symmetry, we can assume that  $(p, q, r)$  are positive and that

$$\frac{1}{p} = \frac{1}{q} + \frac{1}{r}.$$

Let us write  $q = p + s$ , so

$$r = \frac{p(p+s)}{s}.$$

For this to be an integer,  $s$  must divide  $p$ , but then we find that  $p, q, r$  are all divisible by  $s$ , and so the only possibility is that

$$\left( \frac{1}{p}, \frac{1}{q}, \frac{1}{r} \right) = \left( \frac{1}{p}, \frac{1}{p+1}, \frac{1}{p(p+1)} \right).$$

We are free to assume that  $p > 0$ . We now choose a suitable  $t$ . Let

$$t = \frac{p(p+1)}{3} + \begin{cases} \frac{p}{3}, & p \equiv 0 \pmod{3}, \\ 0, & p \equiv 1 \pmod{3}, \\ -\frac{p+1}{3}, & p \equiv 2 \pmod{3}. \end{cases}$$

and then

$$|t\mathbf{v} - \Lambda| = 1 + \frac{1}{3} - 2 \begin{cases} \frac{1}{3(p+1)}, & p \equiv 0 \pmod{3}, \\ 0, & p \equiv 1 \pmod{3}, \\ \frac{1}{3p}, & p \equiv 2 \pmod{3}. \end{cases}$$

from which Theorem D (with the improved bound  $1 + 5/24$  follows in these cases unless  $p = 2, 3$ . The remaining cases  $p = 2$  and  $p = 3$  corresponding to  $(p, q, r)$  being  $(2, 3, 6)$  and  $(3, 4, 12)$  respectively which we have already verified.

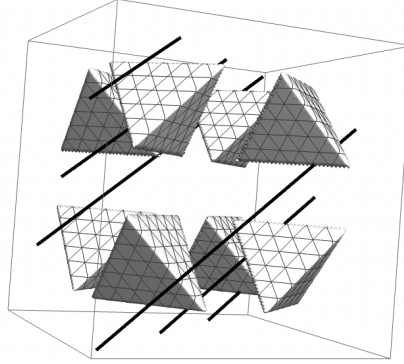


FIGURE 2.4.4. The region  $|(x, y, z) - \Lambda| \geq 6/5$  in  $\mathbf{R}^3/(2\mathbf{Z})^3$  together with the line  $(t/3, t/12, t/4)$ .

2.5. **The co-dimension two case.** For the

$$\binom{6337}{2} = 20075616$$

pairs of different lines, whenever they form a vector space of dimension two, there is a unique line in  $\mathbf{R}^3$  which is orthogonal to both of them given by the cross product. After normalizing these cross products so they are either zero or normalized so that all terms are non-negative and the entries are coprime integers, there are 266743 terms (these numbers include the zero vector as well). The entries with at least one zero do not correspond to any  $(p, q, r)$ . If  $(a, b, c)$  is any other triple, then we may

take  $(p, q, r) = (bc, ac, ab)$  up to scalar. For all such triples, we choose (up to) 1000 random points  $t$  and stop if we have a value with

$$\left| \left( \frac{t}{p}, \frac{t}{q}, \frac{t}{r} \right) - \Lambda \right| \geq 1 + \frac{5}{24} > 1 + \frac{1}{5}.$$

This succeeds in every case except for  $(p, q, r) = (1, 2, 6)$ ,  $(2, 3, 6)$  and  $(3, 4, 12)$ . This takes 70 seconds with one core in `magma`. The remaining cases also come up when we consider the low height lines on the other exceptional hyperplanes. Hence Theorem D is proved in the exceptional case.

**2.6. Relating bounds for  $e(t)$  and  $|tv - \Lambda|$ .** We are now reduced to the case where we may assume (see (2.4.1)) that

$$(2.6.1) \quad E[(e(t) - 24)^{12}] \geq 24^{12}$$

In particular, there exists a  $t$  with  $e(t) \geq 48$ .

We have the following:

**Lemma 2.6.2.** *Let  $\varepsilon \in [0, 1/6]$ . Let  $\mathbf{v} = (x, y, z) \in \mathbf{R}^3/\mathbf{Z}^3$ , and suppose that*

$$|\mathbf{v} - \mathbf{Z}^3| \leq 1 + 3\varepsilon.$$

*Then*

$$(2.6.3) \quad e(x, y, z) = (8 \sin(\pi x) \sin(\pi y) \sin(\pi z))^2 \leq 64 \sin^6 \left( \frac{\pi}{3} + \pi\varepsilon \right).$$

*Proof.* By symmetry, we may assume that  $0 \leq x, y, z \leq 1/2$ . Since  $\sin^2(\pi t)$  is increasing in this range, and the function on the RHS of (2.6.3) is also increasing for  $\varepsilon$  in this interval, we may assume that  $|tv - \Lambda| = 1 + 3\varepsilon$  and then maximize  $e(t)$  in the parameters  $(x, y, z)$ . The choice of  $(x, y, z)$  means that  $(0, 0, 0)$  is the closest lattice point, and so

$$x + y + z = 1 + 3\varepsilon.$$

Let us try to maximize

$$e(x, y, z) = 64 \sin^2(x\pi) \sin^2(y\pi) \sin^2(z\pi)$$

subject to the given constraints and show that  $x = y = z$ . We can use the method of Lagrange multipliers, that is, to consider

$$e(x, y, z) + \lambda(x + y + z - 1 - 3\varepsilon),$$

and thus we find

$$\begin{aligned} \lambda + 128\pi \cos(\pi x) \sin(\pi x) \sin(\pi y)^2 \sin(\pi z)^2 &= 0, \\ \lambda + 128\pi \cos(\pi y) \sin(\pi y) \sin(\pi x)^2 \sin(\pi z)^2 &= 0, \\ \lambda + 128\pi \cos(\pi z) \sin(\pi z) \sin(\pi x)^2 \sin(\pi y)^2 &= 0, \\ x + y + z &= 1 + 3\varepsilon. \end{aligned}$$

The difference of the first two quantities is

$$-128\pi \sin(\pi x) \sin(\pi y) \sin(\pi(x - y)) \sin(\pi z)^2.$$

From this and its symmetrizations we deduce that any pair of parameters in  $x, y, z$  are either equal to each other, or they are equal to  $1/2$ . Considering the cases in turn we are led to the result.  $\square$

Returning to our argument, by (2.6.1) we know there exists a point  $t$  for which

$$(2.6.4) \quad e(t) > \sqrt[12]{24^{12}} + 24 = 48,$$

By Lemma 2.6.2, we deduce that either  $|t\mathbf{v} - \Lambda| \geq |t\mathbf{v} - \mathbf{Z}^3| \geq 1 + 1/5$ , or we must have

$$e(t) \leq 64 \sin(2\pi/5)^6 = (5(5 + 2\sqrt{5})) = 47.3606\dots < 48.$$

But this is contradicts (2.6.4), completing the proof of Theorem D.

Note that in the exceptional cases, we proved (with finitely many explicitly given exceptions) the lower bound  $1 + 5/24$ . We observe that the inequality  $e(t) \geq 48$  leads to the improved bound

$$\frac{3}{2} - \frac{3 \arccos\left(\frac{3^{1/6}}{2^{1/3}}\right)}{\pi} = 1.206646\dots < 1.20833\dots = 1 + \frac{5}{24},$$

which justifies Remark 2.0.1.  $\square$

**2.7. The geometry of numbers.** This section is not used in the paper, but is here as a complement to the rest of § 2, and gives a second approach to proving Theorem D. It ultimately reduces Theorem D to computations on hyperplanes of a sort already seen in § 2. These ideas are inspired by the work of Jones, particularly [Jon69]. We recall:

**Lemma 2.7.1.** *Let  $(p, q, r)$  be integers. Then there exists a real number  $t$  such that*

$$\left| \left( \frac{t}{p}, \frac{t}{q}, \frac{t}{r} \right) - \Lambda \right| \geq 1 + \frac{1}{5}.$$

Note that this theorem is insensitive to a linear scaling of the integers  $(p, q, r)$ .

Let  $(p, q, r)$  be a triple of integers, not all exactly divisible by 2. Let

$$\mathbf{v} = (1/p, 1/q, 1/r) + (1/2, 1/2, 1/2),$$

and let  $\Lambda$  be the lattice  $\mathbf{v} + \mathbf{Z}^3$ . Let  $|\cdot| = |\cdot|_1$ . The covolume of the lattice is  $n^{-1}$  where  $n$  is the smallest integer so that  $n\mathbf{v} \in \mathbf{Z}$ . Either at least one of  $p, q$ , and  $r$  is odd, in which case  $n = [2, p, q, r]$ , or one of  $p, q$ , or  $r$  is divisible by 4, in which case  $n = [p, q, r] = [2, p, q, r]$ . In particular,  $n$  is always even.

Let  $\Phi^\vee$  denote the dual lattice, that is, the set of vectors  $\mathbf{w}$  such that

$$\mathbf{w} \cdot \mathbf{v} \in \mathbf{Z}$$

for all  $\mathbf{v} \in \mathbf{Z}$ . Note that  $\Phi^\vee$  contains  $n\mathbf{Z}^3$ , but the covolume of  $\Phi^\vee$  is  $n$ . (The precise covolumes will not be relevant for our computations, however.) Let  $\mathbf{v}_1$  denote a vector in  $\Phi$  of smallest norm, and then  $\mathbf{v}_2$  the next smallest norm vector not in the space generated by  $\mathbf{v}_1$ , and then  $\mathbf{v}_3$  the final such vector. These are norms with respect to  $F = |\cdot|_1$ . Since  $n$  is even, at least one such vector must involve an odd multiple of  $\mathbf{v}$ . The dual lattice acquires a polar distance function  $F^*$  which is  $|\cdot|_\infty$ , the  $\ell_\infty$ -norm. Correspondingly, let  $\mathbf{w}_i$  denote such a choice of vectors in the dual lattice, and let the lengths of the  $\mathbf{v}_i$  and the  $\mathbf{w}_i$  be  $\lambda_i$  and  $\mu_i$  respectively with respect to the norms  $|\cdot|_1$  and  $|\cdot|_\infty$ .

**Lemma 2.7.2.** *Suppose that there do not exist integers  $a, b, c$ , not all zero, with*

$$\frac{a}{p} + \frac{b}{q} + \frac{c}{r} = 0,$$

$$\max(|a|, |b|, |c|) \leq 20.$$

Then Lemma 2.7.1 holds for  $(p, q, r)$ .

This is somewhat similar (if weaker) to what we proved using Fourier analysis in § 2, but the proof instead uses the geometry of numbers.

*Proof.* Since Theorem D is invariant up to scaling, we may assume that the  $(p, q, r)$  are not all exactly divisible by 2. Note that if  $k$  is an *odd* integer and  $|k\mathbf{v} - \mathbf{Z}^3|$  is small, then

$$\left(\frac{k}{p}, \frac{k}{q}, \frac{k}{r}\right)$$

will be close to  $(1/2, 1/2, 1/2) \bmod 1$ . The problem is that small vectors may not involve odd multiples of  $\mathbf{v}$ . In particular, the smallest vector will typically be

$$2\mathbf{v} - (1, 1, 1) = (2/p, 2/q, 2/r).$$

On the other hand, the vectors  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$  cannot *all* have an even coefficient of  $\mathbf{v}_1$ , and hence what we want to show that that  $\mathbf{v}_3$  is not too large.

Returning to the statement, The claim we want to establish is that we can find a small linear relation. This would mean that we can find an element in the dual lattice of very small length. Hence we first assume this is impossible, and that the smallest vector  $\mathbf{w}_1$  has length  $\mu_1 \geq 20$ . Note that the length is an integer since  $\Phi^\vee \subset \mathbf{Z}^3$ . The first key step is to use Mahler’s duality theorem [Cas97, §VIII.5, Thm VI], which says that that

$$1 \leq \lambda_i \mu_{4-i} \leq 3! = 6.$$

We deduce from this that

$$\lambda_i \leq \lambda_3 \leq \frac{6}{20}.$$

The coefficient of  $\mathbf{v}$  in  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$  cannot all be divisible by 2. Thus there exists a vector of length bounded by  $6/20$  of the form  $k\mathbf{v} + \mathbf{Z}^3$  with  $k$  odd. It follows that

$$\left| \left(\frac{k}{p}, \frac{k}{q}, \frac{k}{r}\right) + \left(\frac{k}{2}, \frac{k}{2}, \frac{k}{2}\right) - \mathbf{Z}^3 \right| \leq \frac{6}{20},$$

and thus, since  $k$  is odd,

$$\left| \left(\frac{k}{p}, \frac{k}{q}, \frac{k}{r}\right) - \mathbf{Z}^3 \right| \geq \frac{3}{2} - \frac{6}{20} = 1 + \frac{1}{5}.$$

Thus we are done unless  $\mu_1 < 20$ , and thus there exists a triple  $(a, b, c)$  with

$$(2.7.3) \quad \frac{a}{p} + \frac{b}{q} + \frac{c}{r} \equiv \frac{a+b+c}{2} \bmod 1.$$

and

$$|a|, |b|, |c| < 20.$$

We are almost done, except the sum on the RHS of (2.7.3) might be non-zero. Note, however,  $k$  constructed above is an integer, but we need only produce a rational number  $t = k/d$ . In particular, since we can always scale  $(p, q, r)$  by a large scalar, we can ensure that

$$\frac{|a|}{p} + \frac{|b|}{q} + \frac{|c|}{r} < \frac{1}{2}.$$

(Remember that the coefficients in the numerator are at most 20.) Thus we are reduced to considering  $(p, q, r)$  which lie on the hyperplanes

$$\frac{a}{p} + \frac{b}{q} + \frac{c}{r} = 0,$$

for integers  $a, b, c$  with

$$\max(|a|, |b|, |c|) \leq 20.$$

□

From this point onwards, we are reduced to considering the exceptional hyperplanes. This is exactly what we had arrived at in § 2, although only after more work. On the other hand, that work also resulted in fewer exceptional hyperplanes to consider. While one can certainly envisage a proof of Lemma 2.7.1 following on in a similar way, we are content with our original proof of (the same result) Theorem D.

### 3. THE JACOBSTHAL FUNCTION

**Definition 3.0.1.** The primordial  $P_r = \prod_{k=1}^r p_k$  is the product of the first  $r$  prime numbers.

**Definition 3.0.2.** Let  $n$  be a positive integer. Let  $(n, d) = 1$ . The Jacobsthal function  $J(n)$  is the smallest integer such that any arithmetic progression:

$$a, a + d, \dots, a + (J(n) - 1)d$$

of length  $J(n)$  contains an element  $a + kd$  which is coprime to  $n$ .

We have:

**Theorem 3.0.3** (Kanold). *Suppose that  $n$  has at most  $m$  distinct prime divisors. Then  $J(n) \leq 2^m$ .*

From this we get:

**Lemma 3.0.4.** *If  $n > 4$ , we have an inequality*

$$J(n) \leq 2\sqrt{n}.$$

*If  $n$  has at least 16 prime factors, then*

$$J(n)^3 \leq \frac{n}{24300}.$$

*Proof.* If  $n$  has one prime divisor, then  $J(n) = 2 < 2\sqrt{n}$  for  $n > 1$ . If  $n$  has two prime divisors, then  $J(n) \leq 4 < 2\sqrt{n}$  for  $n > 4$ . So we may assume that  $J(n)$  has at least three prime divisors. Suppose it has  $m \geq 3$  prime divisors. Then

$$n \geq 2 \cdot 3 \cdot 5 \cdot \dots \cdot p_m,$$

where  $p_m$  is the  $m$ th prime. But then

$$n \geq 2 \cdot 3 \cdot 5 \cdot 5 \dots 5 = 6 \cdot 5^{m-2}.$$

On the other hand, by the previous theorem,  $J(n) \leq 2^m$ . Now it suffices to check that

$$J(n) \leq 2^m \leq \sqrt{4^m} \leq 2\sqrt{6 \cdot 5^{m-2}} \leq \sqrt{n},$$

where the third inequality holds for all  $m$ .

Now for  $n$  with  $m \geq r$  prime factors, and with  $p_{r+1} \geq 2^k$ , we have

$$n \geq P_m \geq P_r \cdot 2^{k(m-r)},$$

and so

$$J(n)^k \leq 2^{mk} = \frac{2^{rk} P_r 2^{k(m-r)}}{P_r} \leq \frac{2^{rk} n}{P_r} = n \cdot \frac{2^{kr}}{P_r}.$$

Now certainly  $p_{17} = 59 \geq 8 = 2^3$ , and so it suffices to note that

$$\frac{P_{16}}{2^{48}} = 115779.94 \dots > 24300.$$

□

We can (and will) improve this inequality, at least for numbers of moderate size. More importantly, we have [HS12] the following bounds on  $J(n)$  when  $n$  has moderately few factors:

**Lemma 3.0.5** ([HS12]). *Suppose that  $n$  has  $r$  distinct prime factors when  $r \leq 24$ . Then  $J(n)$  is bounded above by  $U(r)$  given by the following table:*

Upper bounds for $J(n)$			
$r$	$U(r)$	$r$	$U(r)$
1	2	13	74
2	4	14	90
3	6	15	100
4	10	16	106
5	14	17	118
6	22	18	132
7	26	19	152
8	34	20	174
9	40	21	190
10	46	22	200
11	58	23	216
12	66	24	236

The main result of [HS12] is that it is possible that if  $n$  has  $r$  prime factors that  $J(n) > J(P_r)$ . On the other hand, it is trivial that if  $n$  has  $r$  prime factors then  $n \geq P_r$ . There are also bounds available for larger ranges of  $r$ , including [Hag09, CW15], but these will ultimately not be needed (but whose existence were still psychologically useful).

**3.1. A useful lemma.** We begin by formulating a general lemma.

**Lemma 3.1.1.** *Let  $m_{\mathbf{R}}$  be a real number, and let  $a, d, N$ , be positive integers. If  $(d, N) = 1$ , then there exists an integer  $m$  such that:*

- (1)  $(a + dm)$  is prime to  $N$ .
- (2)  $|m - m_{\mathbf{R}}| \leq J(N)/2$ .

*If  $a = 1$ , the assumption that  $(d, N) = 1$  is unnecessary.*

*Proof.* Let  $m_{\mathbf{Z}}$  denote the nearest integer to  $m_{\mathbf{R}}$ , so  $m_{\mathbf{R}} = m_{\mathbf{Z}} + \varepsilon$  and  $|\varepsilon| < 1/2$ . If  $(d, N) = 1$ , then by definition, any arithmetic progression  $(a + di)$  of length  $J(N)$  contains an element coprime to  $N$ . If  $d$  has a common factor with  $N$ , then let  $N'$  denote the largest factor of  $N$  which is prime to  $d$ , so  $N/N'$  is only divisible by

primes dividing  $d$ . Then  $(d, N') = 1$  and any arithmetic progression  $(a + di)$  of length  $J(N') \leq J(N)$  contains an element coprime to  $N$ . But the prime factors of  $N/N'$  divide  $d$ , so if  $a = 1$  then they are coprime to  $1 + di$ , and so every element in this sequence is coprime to  $N/N'$  and hence once an element is co-prime to  $N'$  it is co-prime to  $N$ . We choose the sequence as follows:

- (1) If  $J(N) = 2k + 1$ , we choose the sequence

$$a + d(m_{\mathbf{Z}} + i), \quad i = -k, \dots, k.$$

We deduce there is a suitable  $m$  with  $|m_{\mathbf{Z}} - m| \leq k$ , and so

$$|m_{\mathbf{R}} - m| \leq k + |\varepsilon| \leq k + \frac{1}{2} = \frac{J(N)}{2}.$$

- (2) If  $J(N) = 2k$ , we choose the sequence as follows:

$$\text{If } \varepsilon > 0, \quad a + d(m_{\mathbf{Z}} + i), \quad i = -(k-1), \dots, k,$$

$$\text{If } \varepsilon \leq 0, \quad a + d(m_{\mathbf{Z}} + i), \quad i = -k, \dots, (k-1).$$

If  $m$  comes from an  $i$  with  $|i| \neq k$ , then  $|m - m_{\mathbf{Z}}| \leq k - 1$  and  $|m - m_{\mathbf{R}}| \leq k - 1/2 = (J(N) - 1)/2$ . If  $|i| = k$ , then, by construction,  $m > m_{\mathbf{Z}}$  if and only if  $m_{\mathbf{R}} > m_{\mathbf{Z}}$ , and so

$$|m - m_{\mathbf{R}}| \leq |m - m_{\mathbf{Z}}| = k = \frac{J(N)}{2}.$$

□

#### 4. LOWER DIMENSIONAL VERSIONS AND GALOIS TWISTS

Our constructions are somewhat inductive, so it will be useful to understand versions of this problem in dimensions one and two. Along the way we also introduce a new technique which we call twisting. Some of the arguments in this section are also ultimately going to be used in cumulative way, where a preliminary version of one lemma is used as input in a second argument which is then used to strengthen the original lemma.

**4.1. A one dimensional version.** Let's consider the one dimensional version of this problem with  $\Lambda = 2\mathbf{Z} \subset \mathbf{R}$ . If we are given a  $n$ , how accurately can we choose a  $k$  with  $(k, 2n) = 1$  and with  $k/n \bmod 2$  in some given range? Equidistribution implies that we can make it more and more accurate the larger  $n$  is. Bounds on the Jacobsthal function allow us to prove this for some explicit lower bound in  $n$ , and then checking directly for smaller  $n$  we can prove a result for all  $n$ .

**Lemma 4.1.1.** *Let  $n > 1$ . There exists an integer  $(k, 2n) = 1$  so that if  $x = k/n \bmod 2$ , then  $x \in [1/6, 1/2]$ . If we assume that  $n \geq 15$  and  $n \neq 18, 21, 33$ , then we can additionally find  $k$  so that  $x \in [4/10, 1/2]$ .*

**Remark 4.1.2.** With our notation (see Definition 1.5.2), we can also write the first condition that  $x = k/n \bmod 2$  lies in  $[1/6, 1/2]$  as

$$\left| \frac{k}{n} - \frac{1}{3} - 2\mathbf{Z} \right| \leq \frac{1}{6}.$$



*Proof.* Write  $k = 1 + 2m$ , and choose a real number  $m_{\mathbf{R}}$  so that  $k/n \pmod 2$  lands in the middle of this interval, which is  $x = 1/3$ . Clearly we can take

$$m_{\mathbf{R}} = \frac{n}{6} - \frac{1}{2}.$$

Now by Lemma 3.1.1 we can find an integer  $m$  so that  $k = 1 + 2m$  is prime to  $n$  and  $|m - m_{\mathbf{R}}| \leq J(N)/2$ , where  $N = n'$  is the largest odd factor of  $n$ . (Certainly if  $m$  is an integer then  $1 + 2m$  is automatically odd and prime to 2.) We then find that

$$\frac{k}{n} = \frac{1 + 2m}{n} = \frac{1 + 2m_{\mathbf{R}}}{n} + \frac{2(m - m_{\mathbf{R}})}{n} = \frac{1}{3} + \frac{2(m - m_{\mathbf{R}})}{n} \pmod 2.$$

We are done as long as

$$\frac{2|m - m_{\mathbf{R}}|}{n} \leq \frac{1}{6},$$

but by construction we have

$$\frac{2|m - m_{\mathbf{R}}|}{n} \leq \frac{J(n')}{n}.$$

Thus we are done as long as

$$(4.1.3) \quad J(n') \leq \frac{n}{6}.$$

Since  $J(n') \leq J(n)$  and  $J(n) \leq 2\sqrt{n}$  by Lemma 3.0.4, this is true for  $n \geq 144$ . For smaller  $n$ , we find by computation that (4.1.3) still holds unless

$$n = 2, 3, 4, 5, 6, 7, 9, 10, 11, 15.$$

But for these values we can choose  $k$  so that  $k/n \pmod 2$  is as follows:

$$\frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{5}, \frac{1}{6}, \frac{2}{7}, \frac{2}{9}, \frac{3}{10}, \frac{3}{11}, \frac{4}{15} \in \left[ \frac{1}{6}, \frac{1}{2} \right],$$

which completes the proof of the first claim.

Now suppose that  $n \geq 16$ . The proof is very similar — we now take

$$m_{\mathbf{R}} = \frac{9n}{40} - \frac{1}{2},$$

and we find an integer  $m$  so that  $k = 1 + 2m$  is prime to  $n$  and  $|m - m_{\mathbf{R}}| \leq J(N)$ , where  $N = n'$  is the largest odd factor of  $n$ . Then we have

$$\frac{k}{n} = \frac{9}{20} + \frac{2(m - m_{\mathbf{R}})}{n} \pmod 2.$$

We are done as above as long as

$$(4.1.4) \quad J(n') \leq \frac{n}{20}.$$

Since  $J(n') \leq J(n)$  and  $J(n) \leq 2\sqrt{n}$  by Lemma 3.0.4, this is true for  $n \geq 1600$ . For smaller  $n \geq 15$  with  $n \neq 18, 21, 33$ , we find by computation that (4.1.4) still holds unless

$$n = 15, 16, 17, 19, 20, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 34, 35, 36, 37, 38, 39, 42, 45, 51, 55, 57.$$

But for these values we can choose the corresponding  $k$  as follows:

$$7, 7, 7, 9, 9, 9, 11, 11, 11, 11, 13, 13, 13, 13, 15, 15, 17, 17, 17, 17, 19, 19, 19, 25, 27, 25,$$

and then  $k/n$  always lies in the desired range, completing the proof.  $\square$

Naturally, if required, one can always prove versions of this lemma allowing more explicit exceptions.

**4.2. Twisting.** As mentioned in the introduction, one can approach Conjecture A by thinking in terms of roots of unity or in terms of lattice points. From the point of view of roots of unity  $\zeta, \xi, \theta$ , if the extension  $[\mathbf{Q}(\zeta, \xi, \theta) : \mathbf{Q}(\xi, \theta)]$  is large, then  $\text{Gal}(\mathbf{Q}(\zeta, \xi, \theta)/\mathbf{Q}(\xi, \theta))$  will move  $\zeta$  around to within a small error of every point in the circle while keeping the other roots of unity fixed. In this subsection we describe the corresponding analogue for the lattice point version of the problem.

**Lemma 4.2.1.** *Let  $m = \frac{[p_1, p_2, \dots, p_r, q]}{[p_1, p_2, \dots, p_r]}$ . Let  $m'$  be the largest odd factor of  $m$ .*

*Let  $k$  be prime to  $2 \prod_{i=1}^r p_i$ . Then there exists another integer  $k'$  with the following properties:*

- (1)  $k'$  is prime to  $2q \prod_{i=1}^r p_i$ .
- (2) For  $i = 1, \dots, r$ , we have  $\frac{k'}{p_i} \equiv \frac{k}{p_i} \pmod{2}$
- (3) We have  $\left| \frac{k'}{q} - x - 2\mathbf{Z} \right| \leq \frac{J(m')}{m}$ .
- (4)  $k'$  is prime to any auxiliary integer.

*Proof.* Since adding  $2 \prod_{i=1}^r p_i$  to  $k'$  doesn't change any of the first three properties, we can easily ensure the fourth by the Chinese Remainder Theorem, so we concentrate on the first three conditions.

We shall consider integers  $k'$  of the form

$$k' = k + 2[p_1, \dots, p_r]ij$$

for integers  $i, j$ . Here  $i$  will vary and  $j$  is fixed, to be chosen later. Certainly  $k'$  is prime to 2 and the integers  $p_i$ . Thus  $k'$  is prime to  $q$  if and only if it is prime to  $m'$ . Finally,  $k'/p_i \equiv k/p_i \pmod{2}$ . Now we need to make a judicious choice of the integer  $i$ . There exists an  $i_{\mathbf{R}}$  and  $k_{\mathbf{R}}$  so that if  $k_{\mathbf{R}} = k + 2[p_1, \dots, p_r]i_{\mathbf{R}}j$ , then

$$k_{\mathbf{R}}/q \equiv x \pmod{2}.$$

By Lemma 3.1.1, we can now find an integer  $i'$  with  $|i' - i_{\mathbf{R}}| \leq J(m')/2$  so that  $k'$  is prime to  $m'$ . Now let us make a careful choice of  $j$ . We note that if we change  $i$  to  $i + 1$  then  $k'/q$  changes by

$$2 \cdot \frac{[p_1, \dots, p_r]j}{q} \pmod{2}.$$

By considering the powers of any prime dividing either  $p$ ,  $q$ , or  $r$ , we find that in reduced terms this is equal to

$$2 \cdot \frac{c_j}{m} \pmod{2},$$

where  $(c, m) = 1$ . We now choose a  $(j, m) = 1$  so that  $cj \equiv 1 \pmod{m}$ , which is possible because  $(c, m) = 1$ . But then we have

$$2 \cdot \frac{[p_1, \dots, p_r]j}{q} \equiv \frac{2}{m} \pmod{2}.$$

Now by construction, we find that, modulo 2, we have

$$\left| \frac{k'}{q} - x - 2\mathbf{Z} \right| = \left| \frac{k'}{q} - \frac{k_{\mathbf{R}}}{q} \right| = \frac{2|i' - i_{\mathbf{R}}|}{m} \leq \frac{J(m')}{m}$$

which gives the third condition.  $\square$

**4.3. A two dimensional problem.** With the one dimensional version and with twisting in hand, we now consider a two dimensional version of our problem.

**Lemma 4.3.1.** *Let  $(p, q)$  be any pair of integers. Then there exists an integer  $(k, 2pq) = 1$  so that if  $x = k/p \pmod{2}$  and  $y = k/q \pmod{2}$ , then, up to reordering,*

$$\frac{1}{2} \geq x \geq \frac{1}{6}, \quad \frac{5}{6} \geq y \geq \frac{1}{6}.$$

*Proof.* Write  $(p, q) = (ad, bd)$  with  $(a, b) = 1$ , and  $b > a$ . Let  $m = [p, q]/p = b$ . By Lemma 4.1.1, we may find a  $k$  with  $k/p \in [1/6, 1/2] \pmod{2}$ . We now want to modify  $k$  so that  $k/p \pmod{2}$  remains unchanged but  $k/q \pmod{2}$  lands in the desired interval. By Lemma 4.2.1, we can keep  $k/p \pmod{2}$  fixed, and make

$$\left| \frac{k}{q} - \frac{1}{2} - 2\mathbf{Z} \right| \leq \frac{J(m')}{m}.$$

Thus we are done as long as

$$\frac{J(m')}{m} \leq \min \left( \left| \frac{1}{2} - \frac{1}{6} \right|, \left| \frac{1}{2} - \frac{5}{6} \right| \right) = \frac{1}{3}.$$

With  $m = b$ , this only occurs for  $b = 1, 2, 3, 5$ . So it remains to consider these remaining cases.

We claim that for all of the finitely many remaining pairs  $(a, b)$ , there exists a real number  $k_{\mathbf{R}}$  so that — after possibly reordering  $a$  and  $b$  — the point

$$\left( \frac{k_{\mathbf{R}}}{ad}, \frac{k_{\mathbf{R}}}{bd} \right)$$

lies on the vertical line segment  $\Phi$  from  $[1/4, 1/4]$  to  $[1/4, 3/4]$ . To prove this, we simply compute each of the seven lines for a suitable ordering of  $(a, b)$ . More concretely, the lines of slopes

$$1/1, 2/1, 3/1, 3/2, 1/5, 2/5, 3/5$$

intersect  $\Phi$  at the points with  $y$  coordinates

$$1/4, 2/4, 3/4, 3/8, 9/20, 11/20$$

respectively. By Lemma 3.1.1, we may find an integer  $k$  with  $(k, 2abd) = 1$  and such that  $|k - k_{\mathbf{R}}| \leq J(2abd)/2$ . We now claim that the point

$$\left( \frac{k}{ad}, \frac{k}{bd} \right)$$

lies in the correct interval. If  $k = k_{\mathbf{R}}$ , then one value would be  $1/4 \pmod{2}$  and the other in  $[1/4, 3/4]$ . After changing to  $k$ , the terms will deviate by at most

$J(2abd)/(2ad)$  for the first term and  $J(2abd)/(2bd)$  for the second. Note that  $a \leq b$  so these are both at most  $J(2abd)/(2ad)$ . In order to stay inside the region, which for one term is in  $[1/6, 1/2]$  and the other is in  $[1/6, 5/6]$ , the terms can both vary as much as the distance from  $1/4$  to the closest boundary point  $1/6$ , or the distance from a point in  $[1/4, 3/4]$  to the nearest boundary point in  $[1/6, 5/6]$ , or in other words by

$$\min \left( \left| \frac{1}{4} - \frac{1}{6} \right|, \left| \frac{3}{4} - \frac{5}{6} \right| \right) = \frac{1}{12}.$$

Thus we are done as long as

$$\frac{J(2abd)}{2ad} \leq \frac{1}{12},$$

but since  $J(2abd) \leq 2\sqrt{2abd}$ , this holds if

$$2\sqrt{2abd} \leq \frac{ad}{6},$$

or

$$d \leq \frac{288b}{a} \leq 288 \cdot 5 = 1440.$$

There are 7 pairs  $(a, b) = 1$  with  $b \in \{1, 2, 3, 5\}$  so this leaves  $4 \times 1440 = 7200$  cases to check directly.  $\square$

In Lemma 4.3.1, one can do no better than equality in the case of  $(p, q) = (6, 6)$ . But we can give an improvement if we impose further lower bounds on  $p$  and  $q$ .

**Lemma 4.3.2.** *Let  $(p, q)$  be any pair of integers with  $p, q \geq 15$  and  $p, q \neq 18, 21, 33$ . Write  $(p, q) = (ad, bd)$  with  $(a, b) = 1$ . Then there exists an integer  $(k, 2pq) = 1$  so that if  $x = k/p \pmod{1}$  and  $y = k/q \pmod{1}$ , then*

$$\frac{5}{7} \geq x \geq \frac{2}{7}, \quad \frac{5}{7} \geq y \geq \frac{2}{7},$$

unless  $(p, q) = (15, 30)$ .

*Proof.* Write  $(p, q) = (ad, bd)$  with  $(a, b) = 1$ , and, without loss of generality, assume that  $b > a$ . Let  $m = [p, q]/p = b$ . By Lemma 4.1.1, we choose a  $(k, 2pq) = 1$  so that  $k/p \pmod{2}$  lies in  $[4/10, 1/2]$ . Arguing as in the proof of Lemma 4.3.1, and using Lemma 4.2.1, we can keep  $k/p \pmod{2}$  fixed, and make

$$\left| \frac{k}{q} - \frac{1}{2} - 2\mathbf{Z} \right| \leq \frac{J(m')}{m}.$$

Thus we are done as long as

$$\frac{J(m')}{m} \leq \min \left( \left| \frac{1}{2} - \frac{2}{7} \right|, \left| \frac{1}{2} - \frac{5}{7} \right| \right) = \frac{3}{14}.$$

With  $m = b$ , this only occurs for

$$(4.3.3) \quad b = 1, 2, 3, 4, 5, 6, 7, 9$$

For  $a < b$  with  $(a, b) = 1$  and  $b$  in this list, but not of the exceptional form above, we claim that there exists a  $k_{\mathbf{R}}$  such that

$$\max \left( \left| \frac{k_{\mathbf{R}}}{ad} - \frac{1}{2} + \mathbf{Z} \right|, \left| \frac{k_{\mathbf{R}}}{bd} - \frac{1}{2} + \mathbf{Z} \right| \right) \leq \begin{cases} \frac{1}{6}, & (a, b) = (1, 2), \\ \frac{1}{10}, & (a, b) = (1, 4), (2, 3), \\ \frac{1}{14}, & \text{otherwise.} \end{cases}$$

We prove this but taking points  $(t/a, t/b)$  with  $t \in \mathbf{Z}/1260$  and finding the largest such point; for this method, the case  $1/14$  is optimal for the choices  $(a, b) = (1, 6), (2, 5), (3, 4)$ . The next step is to now find an integer  $k$  with  $|k - k_{\mathbf{R}}| \leq J(2abd)/2$  and  $(k, 2abd) = 1$ , and then, since  $1/ad \geq 1/bd$ , we need

$$(4.3.4) \quad \frac{J(2abd)}{2ad} \leq \begin{cases} \frac{3}{14} - \frac{1}{6} = \frac{1}{21}, & (a, b) = (1, 2), \\ \frac{3}{14} - \frac{1}{10} = \frac{4}{35}, & (a, b) = (1, 4), (2, 3), \\ \frac{3}{14} - \frac{1}{14} = \frac{2}{7}, & \text{otherwise.} \end{cases}$$

Using that  $J(2abd) \leq 2\sqrt{2abd}$ , we are done if

$$\frac{J(2abd)}{2ad} \leq \frac{1}{C} \Rightarrow abd \leq 2b^2C^2,$$

and hence we are done if

$$(4.3.5) \quad abd \leq \begin{cases} 882 = 2 \cdot 2^2 \cdot 21^2 & (a, b) = (1, 2), \\ 2450 = 2 \cdot 4^2 \cdot \left(\frac{35}{4}\right)^2 & (a, b) = (1, 4), (2, 3), \\ 1985 > 2 \cdot 9^2 \cdot \left(\frac{7}{2}\right)^2 & \text{otherwise.} \end{cases}$$

and we can easily check these cases and find that the only exception in this range is  $(p, q) = (15, 30)$ .  $\square$

**4.4. Bounds for  $[p, q, r]/[p, q]$ .** We can draw a few useful consequences out of the lemmas in this section. For example:

**Lemma 4.4.1.** *Let  $(p, q, r)$  be a triple, and let  $m = [p, q, r]/[p, q]$ . Then if  $m$  is not in the following list:*

$$(4.4.2) \quad 1, 2, 3, 4, 5, 6, 7, 9, 10, 11, 15$$

then Theorem 1.5.4 is true for  $(p, q, r)$ .

*Proof.* We first find  $(k, 2pq)$  with  $k/p \equiv x \pmod{2}$  and  $k/q \equiv y \pmod{2}$  as in Lemma 4.3.1, so with

$$\frac{1}{2} \geq x \geq \frac{1}{6}, \quad \frac{5}{6} \geq y \geq \frac{1}{6}.$$

By Lemma 1.5.6, there exists a  $z$  such that  $|(x, y, z) - \Lambda| \geq 1 + 1/6$ . By Lemma 4.2.1, we find a  $k'$  with  $(k', 2pqr) = 1$  and

$$\frac{J(m')}{m} \geq e = |k'/r - z - 2\mathbf{Z}|.$$

By the triangle inequality, we have

$$\left| \left( \frac{k'}{p}, \frac{k'}{q}, \frac{k'}{r} \right) - \Lambda \right| \geq 1 + \frac{1}{6} - \frac{J(m')}{m},$$

This means we are done as long as

$$\frac{J(m')}{m} \leq \frac{1}{6}$$

Since

$$\frac{J(m')}{m} \leq \frac{J(m)}{m} \leq \frac{2\sqrt{m}}{m} \leq \frac{1}{6}$$

this is automatic as soon as  $m \geq 12^2 = 144$ , and then by computation for most smaller  $m$  as well, and we find the only exceptions lie in (4.4.2).  $\square$

There is also the following very similar variant:

**Lemma 4.4.3.** *Let  $(p, q, r)$  be a triple with  $(p, q) = (ad, bd)$  and  $(a, b) = 1$ , and let  $m = [p, q, r]/[p, q]$ . Suppose that  $p, q \geq 15$  and  $p, q \neq 18, 21, 33$ . If  $m \neq 1, 2, 3, 5, 6$ , then Theorem 1.5.4 is true for  $(p, q, r)$ .*

*Proof.* By Lemma 4.3.2, we find  $k$  with  $k/p, k/q$  in  $[2/7, 5/7]^2$ . Then, as in the proof of Lemma 4.4.1, we are done as long as and then we are in good shape as long as

$$1 + \frac{2}{7} - \frac{J(m')}{m} \geq 1.$$

But for  $m \notin \{1, 2, 3, 5, 6\}$  we have  $J(m')/m \leq 2/7$ . This leaves the exceptional pair  $(p, q) = (15, 30)$ , But we know that the possible  $rs$  must satisfy  $[r, p, q]/[p, q] \leq 15$ , by Lemma 4.4.1. This bounds  $r$  in all cases by  $[p, q] \cdot 15 \leq 30 \cdot 15 = 450$ , and indeed the value of  $n = [2, p, q, r]$  is also bounded by this quantity. But we can compute these cases directly.  $\square$

## 5. THE REGIME WHERE $\min(p, q, r)$ IS LARGE RELATIVE TO $n$

In this section, we study the problem where the  $p, q, r$  are not too large compared to  $n$ . The main theorem of this section is as follows:

**Lemma 5.0.1.** *Suppose that  $\min(p, q, r) \geq m$ . Let  $n = [2, p, q, r]$  and suppose that the number of distinct prime factors of  $n$  is  $r$ . Then Theorem 1.5.4 holds for  $(p, q, r)$  as long as*

$$J(n) \leq \frac{2m}{15}.$$

Moreover, this holds if  $r < A(m)$  for the values of  $A(m)$  in the table below, or if  $n < B(m)$ .

Lower bounds for $n$ in terms of $\min(p, q, r)$		
$m = \min(p, q, r)$	$A(m)$	$B(m)$
105	6	30030
165	7	510510
195	8	9699690
255	9	223092870
300	10	6469693230
345	11	200560490130
435	12	7420738134810
495	13	304250263527210
555	14	13082761331670030
675	15	614889782588491410
750	16	32589158477190044730
795	17	1922760350154212639070
885	18	117288381359406970983270
990	19	7858321551080267055879090
1140	20	557940830126698960967415390

*Proof.* The basic idea is to start with Theorem D, which guarantees a real number  $t = t_{\mathbf{R}}$  so that

$$\left| \left( \frac{t}{p}, \frac{t}{q}, \frac{t}{r} \right) - \Lambda \right| \geq 1 + \frac{1}{5}.$$

By Lemma 3.1.1, there exists a  $k \in \mathbf{Z}$  with  $(k, n) = 1$  and  $|k - t| \leq J(n)/2$ . We then have

$$\left| \left( \frac{k}{p}, \frac{k}{q}, \frac{k}{r} \right) - \Lambda \right| \geq 1 + \frac{1}{5} - \frac{J(n)}{2} \left( \frac{1}{p} + \frac{1}{q} + \frac{1}{r} \right).$$

Thus Theorem 1.5.4 holds for  $(p, q, r)$  as long as

$$\frac{J(n)}{2} \left( \frac{1}{p} + \frac{1}{q} + \frac{1}{r} \right) \leq \frac{1}{5}$$

If  $\min(p, q, r) \geq m$ , then we are done as long as

$$J(n) \leq \frac{2m}{15}, \text{ or } m \geq \frac{15J(n)}{2}.$$

Suppose this inequality fails. Then Lemma 3.0.5, for the various  $m$ , this implies that  $n$  has at least  $A(m) = k$  prime factors for various  $k$  and thus

$$n \geq B(m) = P_k = \prod_{i=1}^k p_i.$$

□

In light of this, it will be useful to understand what happens when one of  $p$ ,  $q$ , and  $r$  is small. We develop some tools now to understand this case.

## 6. THE REGIME WHERE $\min(p, q, r)$ IS FIXED

In § 5, we obtained some control when  $n$  was not too large compared to  $\min(p, q, r)$ . In this section, we are now in a position to rule out one form of counterexamples to Theorem 1.5.4 when  $\min(p, q, r)$  is small. Supposing that  $p$  is small, we typically

write  $(q, r) = (ad, bd)$  with  $(a, b) = 1$ . Our first observation is that, for a fixed  $p$ , we need only consider finitely many pairs  $(a, b)$ :

**Lemma 6.0.1.** *Consider triples  $(p, ad, bd)$ , where  $(a, b) = 1$  and  $b \geq a$ . Then if this is a counterexample to Theorem 1.5.4, we have the following inequalities:*

$$\begin{aligned} b &\leq 15p, \\ a &\leq 15p, \\ ab &\leq 165p. \end{aligned}$$

*Proof.* Let us compute  $m = [p, q, r]/[p, q]$  and  $m' = [p, q, r]/[p, r]$ . Certainly  $[p, q, r]$  is divisible by  $bd$ . The largest factor of  $bd$  in  $ad$  is  $d$ , and the largest factor of  $p$  in  $bd$  divides  $p$ , so

$$\frac{b}{(b, p)} \Big| m, \quad \frac{a}{(a, p)} \Big| m'$$

By Lemma 4.4.1, we have

$$m, m' \in \{1, 2, 3, 4, 5, 6, 7, 9, 10, 11, 15\}.$$

The inequality for  $a$  and  $b$  then follows. On the other hand, we see that  $(m, m') = 1$  since a prime dividing  $m$  or  $m'$  divides  $r$  or  $q$  respectively to a higher power than any other element. So from the divisibility

$$\frac{ab}{(ab, p)} = \frac{b}{(b, p)} \frac{a}{(a, p)} \Big| mm'$$

we get  $ab|pmm' \leq 165p$ .  $\square$

**6.1. The strategy for small  $p$ .** Now let us explain our approach to triples of the form  $(p, ad, bd)$ . We first choose a  $k$  so that  $x = k/p \pmod 2$  lies in  $[1/6, 1/2]$ . We then consider new  $k'$  of the form  $k' = k + 2pm$ , fixing the congruence class modulo  $2p$ . The idea is that this is not too restrictive for  $p$  small, and guarantees that  $k'/p \pmod 2$  is not too small. In order to choose  $m$ , we find a real number  $m_{\mathbf{R}}$  so that, with

$$y = \frac{k + 2pm_{\mathbf{R}}}{ad} \pmod 2, \quad z = \frac{k + 2pm_{\mathbf{R}}}{bd} \pmod 2,$$

we have

$$|(x, y, z) - \Lambda| \geq \frac{7}{6}.$$

Note that the existence of such a real number  $m_{\mathbf{R}}$  depends only on  $x$  and  $a$  and  $b$  and not on  $d$ . Then we show, for  $d$  sufficiently large, we can find an integer  $m$  sufficiently close to  $m_{\mathbf{R}}$  so that, for  $k' = k + 2pm$ , we have  $(k', 2pad) = 1$  and the triple  $\mathbf{v} = (k'/p, k'/q, k'/r)$  is close enough to  $(x, y, z)$  to ensure that  $|\mathbf{v} - \Lambda| \geq 1$ .

The following is elementary:

**Lemma 6.1.1.** *Let  $x \in [1/6, 1/2]$ . Let  $\Omega_{[0,1]}(x) \subset [0, 1]^2$  denote the region of points  $(y, z)$  in the plane satisfying the following four inequalities:*

$$\begin{aligned} 1 - \frac{1}{6} + x &\geq z + y \geq 1 + \frac{1}{6} - x, \\ 1 - \frac{1}{6} - x &\geq z - y \geq -1 + \frac{1}{6} + x, \end{aligned}$$

*Let  $\Omega(x) \subset [0, 2]^2$  denote the union of four copies of  $\Omega_{[0,1]}(x)$  rotated by multiples of  $\pi/2$  around the point  $(1, 1)$ . Then  $|(x, y, z) - \Lambda| \geq 1 + 1/6$  for  $(y, z) \in \Omega(x)$ .*



Note that when  $x = 1/6$  then  $\Omega(x)$  consists of four lines, and when  $x = 1/2$ , it consists of four squares, and otherwise it consists of four (non-square) rectangles. Here are pictures of  $\Omega(x)$  for  $x = 1/6, 1/3$  and  $1/2$  together with

$$\Omega := \bigcap_{x \in [1/6, 1/2]} \Omega(x),$$

where  $\Omega$  is the region given by four rotated copies of the line:

$$x + y = 1, 1/3 \leq x, y \leq 2/3.$$

Pictures of these regions are as follows:

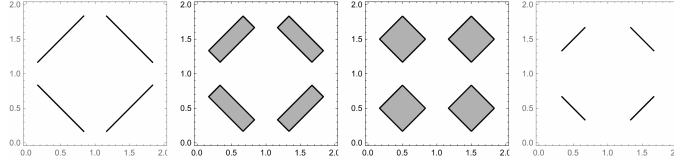


FIGURE 6.1.1. The region  $\Omega(x)$  for  $x = 1/6, 1/3, 1/2$  and the intersection  $\Omega$  of all  $\Omega(x)$ .

**Lemma 6.1.2.** *Let  $(a, b)$  be any pair of coprime positive integers. Then there exists a real  $t$  so that  $(t/a, t/b) \bmod 2$  lies on  $\Omega$ .*

*Proof.* Let  $r$  denote the slope, and consider equivalently the line  $(t, tr) \bmod 2$ . Without loss of generality by symmetry, we may assume that  $0 < r \leq 1$ . In particular, we may assume that

$$r \in \left[1, \frac{1}{2}\right] \cup \left[\frac{1}{2}, \frac{4}{11}\right] \cup \left[\frac{4}{11}, \frac{1}{4}\right] \cup \left[\frac{1}{8}, \frac{1}{4}\right] \cup \bigcup_{n \geq 1} \left[\frac{1}{4+6n}, \frac{2}{5+6n}\right]$$

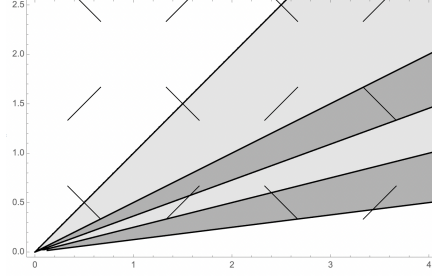
To see this contains  $[0, 1)$ , note that

$$\begin{aligned} \frac{2}{11} &> \frac{1}{8}, \\ \frac{2}{5+6n} &> \frac{1}{4+6(n+1)}, \quad n \geq 1 \geq \frac{1}{2}. \end{aligned}$$

Now for any  $r$  in the final segments the line intersects the line between the two points

$$\left(2n+1 + \frac{1}{3}, \frac{1}{3}\right), \left(2n+1 + \frac{2}{3}, \frac{2}{3}\right).$$

For the initial four segments, they also intersect four corresponding lines as observed in Figure 6.1.2.

FIGURE 6.1.2. Lines intersecting  $\Omega$ .

□

Now let us return to the  $(p, an, bn)$  problem.

**Lemma 6.1.3.** *Suppose that  $p \leq 33$ . Then Theorem 1.5.4 holds for  $(p, q, r) = (p, an, bn)$ .*

*Proof.* Write  $(p, q, r) = (p, ad, bd)$  with  $(a, b) = 1$  and  $b \geq a \geq 1$ . By Lemma 6.0.1, we may assume that  $a, b \leq 15p$ , and  $ab \leq 165p$ . If  $n = [2, p, q, r]$ , then  $n$  divides  $2abdp$ . By Lemma 4.1.1, we choose a  $(k, 2p) = 1$  with  $k/p \bmod 2 \in [1/6, 1/2]$ . By Lemma 6.1.2, there exists real numbers  $m_{\mathbf{R}}$  and  $t_{\mathbf{R}}$  so that  $k + 2pm_{\mathbf{R}} = t_{\mathbf{R}}$  and

$$\left( \frac{t_{\mathbf{R}}}{da}, \frac{t_{\mathbf{R}}}{db} \right) \in \Omega \bmod 2.$$

By Lemma 3.1.1, there exists an  $m \in \mathbf{Z}$  so that  $(k + 2pm, abd) = 1$  with  $|m - m_{\mathbf{R}}| \leq J(abd)/2$ . We find that, modulo 2,

$$\begin{aligned} & \left( \frac{k + 2pm}{p}, \frac{k + 2pm}{ad}, \frac{k + 2pm}{bd} \right) \\ &= \left( \frac{k}{p}, \frac{k + 2pm_{\mathbf{R}}}{ad}, \frac{k + 2pm_{\mathbf{R}}}{bd} \right) + 2p(m - m_{\mathbf{R}}) \left( 0, \frac{1}{ad}, \frac{1}{bd} \right). \\ &= \left( \frac{k}{p}, \frac{t_{\mathbf{R}}}{ad}, \frac{t_{\mathbf{R}}}{bd} \right) + 2p(m - m_{\mathbf{R}}) \left( 0, \frac{1}{ad}, \frac{1}{bd} \right). \end{aligned}$$

By construction, the first point is  $7/6$  from  $\Lambda$ . Thus, by the triangle inequality,

$$\begin{aligned} |k\mathbf{v} - \Lambda| &\geq \frac{7}{6} - 2p|m - m_{\mathbf{R}}| \left( \frac{1}{ad} + \frac{1}{bd} \right) \\ &\geq 1 + \frac{1}{6} - pJ(abd) \left( \frac{1}{ad} + \frac{1}{bd} \right) \end{aligned}$$

This is greater than one as long as

$$\frac{1}{6} \geq pJ(abd) \left( \frac{1}{ad} + \frac{1}{bd} \right),$$

or rearranging a little bit more, as long as

$$(6.1.4) \quad J(abd) \leq \frac{abd}{6p(a+b)}.$$

Note that with  $15p \geq b, a$ , and  $33 \geq p$ , this would certainly follow if we knew that

$$J(abd) \leq \frac{abd}{198(495 + 495)} = \frac{abd}{196020}.$$

But we know by Lemma 3.0.4 that  $J(abd) \leq 2\sqrt{abd}$ . Hence we are done as long as

$$2\sqrt{abd} \leq \frac{abd}{196020},$$

which holds as soon as

$$abd \geq 4 \cdot 196020^2 = 153695361600.$$

Hence we can assume that  $abd$  is less than this quantity. But then we know that  $abd$  has at most 10 prime factors, since

$$P_{11} = 200560490130 \geq 153695361600.$$

This allows us to use better bounds on  $J(abd)$ , namely that  $J(abd) \leq 46$  by Lemma 3.0.5, and thus (6.1.4) is satisfied (and we are done) as long as

$$abd \geq 46 \cdot 6p(a + b),$$

which certainly is satisfied if

$$d \geq 276 \cdot \frac{p(a + b)}{ab}.$$

With  $495 \geq 15p \geq b, a \geq 1$ , the RHS is maximized with  $b = a = 1$ , and so we are done if  $d \geq 18216$ . Hence we compute over all triples  $p, a, b, d$  with:

- (1)  $p = 2, \dots, 33$ ,
- (2)  $a \leq b \leq 15p$  with  $(a, b) = 1$  and  $ab \leq 265p$ .
- (3)  $d \leq \frac{276p(a + b)}{ab}$ .

Checking all these cases, we complete the proof of the Lemma.  $\square$

**6.2. Self-improvement.** We can now feed the results of this section back into Lemma 4.4.3 to prove a stronger result:

**Lemma 6.2.1.** *If  $(p, q, r)$  is any triple which does not satisfy the conclusion of Theorem 1.5.4, then we can assume:*

- (1)  $\min(p, q, r) > 33$ .
- (2) *For any ordering, of the triple, if we let  $m = [p, q, r]/[p, q]$ , then we may assume that  $m \in \{1, 2, 3, 5, 6\}$ .*

*Proof.* The first claim follows from Theorem 6.1.3. The second claim follows from Lemma 4.4.3.  $\square$

**6.3. Increasing the range of  $p$ .** Now that we know that  $p, q, r > 33$ , we can improve upon Lemma 6.1.3 by using Lemma 6.2.1.

**Lemma 6.3.1.** *Suppose that  $p \leq 885$ . Then Theorem 1.5.4 holds for  $(p, q, r) = (p, an, bn)$ .*

*Proof.* We proceed exactly as in Lemma 6.1.3 except now with the additional assumption that  $p > 33$ . Let  $B = 885$  be our bound for  $p$ . Since  $p > 33$ , we know by Lemma 6.2.1 that  $\max(a, b) \leq 6p$ , and following Lemma 6.0.1 we also find that:

$$\begin{aligned} b &\leq 6p, \\ a &\leq 6p, \\ ab &\leq 30p. \end{aligned}$$

Returning to (6.1.4), we have

$$(6.3.2) \quad J(abd) \leq \frac{abd}{6p(a+b)}.$$

This would follow if we knew that if we knew that

$$J(abd) \leq \frac{abd}{6B(6B+6B)} = \frac{abd}{72B^2}.$$

But we know by Lemma 3.0.4 that  $J(abd) \leq 2\sqrt{abd}$ . Hence we are done as long as

$$2\sqrt{abd} \leq \frac{abd}{72B^2},$$

which holds as soon as

$$(6.3.3) \quad abd \geq 4 \cdot (72B^2)^2 = 20736B^4 = 12720320883360000 \sim 1.2 \times 10^{16}.$$

Now we have

$$P_{14} = 13082761331670030 \sim 1.3 \times 10^{16} > 12720320883360000.$$

This means that we may assume that  $abd$  has at most 13 factors. This allows us to use better bounds on  $J(abd)$ , namely that  $J(abd) \leq 74$  by Lemma 3.0.5, and thus (6.1.4) is satisfied (and we are done) as long as

$$abd \geq 74 \cdot 6B \cdot (12B) \geq 74 \cdot 6p(a+b),$$

and so we are done if

$$abd \geq 5328B^2 = 4173022800 < 6469693230 = P_{10},$$

from which we deduce that  $abd$  has at most 9 prime factors. Repeating this process, we feed this bound back into the the same argument to deduce that  $J(abd) \leq 40$ . Thus we are done as long as

$$abd \geq 240p(a+b),$$

which certainly is satisfied if

$$d \geq 240 \cdot \frac{p(a+b)}{ab}.$$

Thus it remains to loop over all  $p, a, b, d$  with:

- (1)  $p = 34, \dots, B$ ,
- (2)  $a \leq b \leq 6p$  with  $(a, b) = 1$  and  $\frac{ab}{(ab, p)} \leq 30$ .
- (3)  $d \leq \frac{240p(a+b)}{ab}$ .

We check that this does not lead to any new triples. For remarks about the computational aspect of this proof, see § A.1.  $\square$

7. COMPLETING THE ARGUMENT

We now combine the results of the last two sections to complete the argument. We begin with a special case:

**Lemma 7.0.1.** *If  $p, q, r$  are all odd, then Theorem 1.5.4 applies for this triple.*

*Proof.* Note that  $pqr$  is odd so we can let  $k$  be one of  $\frac{pqr+1}{2}, \frac{pqr-1}{2}$ , both of which are prime to  $pqr$  and one of which is odd, so prime to  $n = [2, p, q, r]$ . For that choice, we have

$$\frac{k}{p} = \frac{qr}{2} \pm \frac{1}{2p} \equiv \frac{1}{2} \pm \frac{1}{2p} \pmod{\mathbf{Z}}.$$

Thus we find that

$$\left| \left( \frac{k}{p}, \frac{k}{q}, \frac{k}{r} \right) - \Lambda \right| \geq \frac{3}{2} - \frac{1}{2p} - \frac{1}{2q} - \frac{1}{2r} \geq \frac{3}{2} - \frac{3}{6} \geq 1,$$

as soon as  $\min(p, q, r) \geq 6$ , which we can assume by Lemma 6.1.3. □

**7.1. Proof of Theorem 1.5.4.** Assume that  $(p, q, r)$  is a counter example to Theorem 1.5.4, and let  $n = [2, p, q, r]$ . By Lemma 6.3.1 we know that  $\min(p, q, r) = B > 885$ . By Lemma 7.0.1, we may also assume that  $n = [2, p, q, r] = [p, q, r]$ . By Lemma 5.0.1, with  $n = [p, q, r]$ , we therefore deduce that

$$n \geq 117288381359406970983270 = 1.1 \times 10^{23},$$

and moreover that  $n$  has at least  $r \geq 18$  prime factors. As in the proof of Lemma 6.3.1, we write  $(p, q, r) = (p, ad, bd)$  with  $p = B$  and

$$\begin{aligned} b &\leq 6B, \\ a &\leq 6B, \\ ab &\leq 30B, \end{aligned}$$

and we are done providing that

$$(7.1.1) \quad J(abd) \leq \frac{abd}{6p(a+b)},$$

and hence done if

$$(7.1.2) \quad J(abd) \leq \frac{abd}{72B^2}.$$

We know that  $m = [p, ad, bd]/[ad, bd] \leq 6$ , and so  $n$  divides  $6abd$ . Also the primes dividing  $n$  are the primes dividing  $abd$  so  $J(n) = J(abd)$ . Hence we are done if

$$J(n) \leq \frac{n}{432B^2}.$$

On the other hand, by Lemma 5.0.1, we are also done if

$$J(n) \leq \frac{2B}{15}.$$

if neither of these are satisfied, then

$$J(n)^3 = J(n) \cdot J(n)^2 > \frac{n}{432B^2} \cdot \left( \frac{2B}{15} \right)^2 = \frac{n}{24300}$$

But this is a contradiction by Lemma 3.0.4 as soon as  $r \geq 16$ , and as noted above we may assume that  $r \geq 18$ . This completes the proof of Theorem 1.5.4. □

**7.2. Acknowledgments.** The genesis of this paper was a project of the second author supervised by the first author. The initial problem was to consider triples of the form  $(p, q, r) = (6, d, d)$ , which can be handled in a completely elementary way. The case when  $p = 6$  can be thought of as the “worst case” in light of Lemma 4.1.1; it already includes 8 of the 11 elements of the Hilbert Series. It is a short step from this to the case of  $(p, q, r) = (6, ad, bd)$  for small  $(a, b) = 1$ , and then to Lemma 6.1.3, and finally to Lemma 6.3.1. At the same time, one can also give a completely elementary proof of Theorem D (or rather its analogue) for hyperplanes rather than lines, and additionally to prove Theorem D for all but finitely many (explicit) lines on any given hyperplane, using a version of Lemma 2.4.4. This is not quite good enough to reduce Theorem D to a finite calculation, since one has to worry about possible exceptions on every hyperplane — boundary cases like the line  $(t/2, t/3, t/6)$  do lie on infinitely many hyperplanes. The argument required to reduce Theorem D to finitely many hyperplanes (using Fourier analysis or the geometry of numbers) is the only non-elementary step in this paper. We thank Andrew Sutherland at MIT for providing us access to his 128 core machine with a `magma` license, and with help in setting up the scripts to run in parallel.

#### APPENDIX A. REMARKS ON COMPUTATIONS

In this section, we give some more details on our explicit computations, with links to files on `github`. We also make some remarks on computational efficiency. Suppose we have a triple of integers  $(p, q, r)$  and we wish to find either:

- (1) An integer  $(k, n) = 1$  with  $n = [2, p, q, r]$  such that

$$\left| \left( \frac{k}{p}, \frac{k}{q}, \frac{k}{r} \right) - \Lambda \right| \geq 1$$

- (2) An real number  $t$  such that

$$\left| \left( \frac{t}{p}, \frac{t}{q}, \frac{t}{r} \right) - \Lambda \right| \geq 1 + \frac{1}{5}.$$

Following [McM24b], a natural approach to the first problem is simply to repeatedly pick a random integer  $(k, n) = 1$  and check if the condition is satisfied. We expect (from [McM24a]) from the spectral gap condition that this is satisfied for a large positive percentage of  $k$  (independent of  $n$ ), unless  $(p, q, r)$  is a triple for which no such  $k$  exists. Hence this provides an excellent probabilistic test — if we run this up to 1000 times until it finishes, this is exceedingly likely to find such a  $k$  if  $(p, q, r)$  is not in the Hilbert Series (or some other unknown exception before Theorem 1.5.4 is proved). Moreover, there is no issue with false positives, only false negatives — if a triple does pass all 1000 tests, we can check it theoretically by hand (this never happened).

The approach in the second case is exceedingly similar. Instead of choosing  $t$  to be a random integer prime to  $n$ , we choose a random integer in  $[-1000n, 1000n]$  and then divide by 1000 to get a rational number in  $[-n, n]$ . This works equally well in practice. Computing  $J(n)$  for relatively small values of  $n$  (say  $n \leq 10000$ ) is very easy to do directly and so we make no further comments on these calculations. The most difficult computations we use are the upper bounds for  $J(n)$  for integers  $n$  with  $r$  prime divisors — but these computations have already been done in other papers which we may simply cite.)

**A.1. The case of fixed primes  $p$ .** By far, the longest computation was the verification that  $\min(p, q, r) \geq 885$  in the proof of Lemma 6.3.1. Our original program in `magma` [BCP97] first computed in the range to  $B \leq 33$ , and then  $B \leq 100$ , the second computation finishing in slightly under one day. The program for  $B = 101 \dots 885$  was set running with a single core. On the other hand, the computations for every value of  $p$  are completely independent, and so in particular this is a very parallelizable computation. One issue is that `magma` licenses do not easily transfer to cloud machines, so one would have to port the code to `c++`. Andrew Sutherland, however, generously provided use of 100 cores on his 128 core machine which did have a `magma` license. We then divided up the computation for  $B = 34, \dots 885$  into 852 individual computations and set them running late overnight in parallel on 100 cores. By the next morning the computations had long since been completed. The original computation (using one core) was left to puff away even after the parallel computation had long since finished. It eventually completed its task (finding no further triples) after 267 hours.

**A.2. The co-dimension two lines of § 2.5.** For some computations in § 2 we used `mathematica`. Computing the values of  $E[(e(t) - 24)^{12}]$  for the exceptional hyperplanes takes a little less than 30 minutes. Forming the cross products of

$$\binom{6337}{2} = 20075616$$

pairs of elements, and then removing duplicates after scaling, taking absolute values, and dividing by the GCD. Operating on lists of this size in `mathematica` took well under 10 minutes. Testing the exceptional cases can all be done in the order of minutes. The explicit computer scrips together with input and output files can be found here [CC25].

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