# "How to use finite fields for problems concerning infinite fields" by Jean-Pierre Serre

Summarized by Nate Harman

May 7, 2020

#### First Theorem

#### Theorem 1

If G is a finite p-group acting algebraically on  $\mathbb{C}^n$ , then there exists a fixed point for the action.

#### First Theorem

#### Theorem

If G is a finite p-group acting algebraically on  $\mathbb{C}^n$ , then there exists a fixed point for the action.

#### Proof.

Without loss of generality assume  $\mathbb{C} = \mathbb{F}_q$  with q relatively prime to p.

#### First Theorem

#### Theorem

If G is a finite p-group acting algebraically on  $\mathbb{C}^n$ , then there exists a fixed point for the action.

#### Proof.

Without loss of generality assume  $\mathbb{C}=\mathbb{F}_q$  with q relatively prime to p. Orbits of G on  $\mathbb{F}_q^n$  must have sizes which are powers of p as G is a p-group. Since p does not divide  $|\mathbb{F}_q^n|$  there must exist an orbit of size 1 (i.e. a fixed point).

#### "Without loss of generality"

G acting algebraically explicitly means for each  $g \in G$  there are polynomials  $P_{g,i} \in \mathbb{C}[x_1,x_2,\ldots x_n]$  for  $i=1,2,\ldots n$  such that

$$g \cdot (x_1, x_2, \dots x_n) = (P_{g,1}(x_1, x_2, \dots, x_n), \dots, P_{g,n}(x_1, x_2, \dots, x_n)).$$

#### "Without loss of generality"

G acting algebraically explicitly means for each  $g \in G$  there are polynomials  $P_{g,i} \in \mathbb{C}[x_1,x_2,\ldots x_n]$  for  $i=1,2,\ldots n$  such that

$$g \cdot (x_1, x_2, \dots, x_n) = (P_{g,1}(x_1, x_2, \dots, x_n), \dots, P_{g,n}(x_1, x_2, \dots, x_n)).$$

G having no fixed points implies that the system of n|G| equations

$$x_i - P_{g,i}(x_1, x_2, \dots x_n) = 0$$

has no solutions in  $\mathbb{C}^n$ .

#### "Without loss of generality"

G acting algebraically explicitly means for each  $g \in G$  there are polynomials  $P_{g,i} \in \mathbb{C}[x_1,x_2,\ldots x_n]$  for  $i=1,2,\ldots n$  such that

$$g \cdot (x_1, x_2, \dots, x_n) = (P_{g,1}(x_1, x_2, \dots, x_n), \dots, P_{g,n}(x_1, x_2, \dots, x_n)).$$

G having no fixed points implies that the system of n|G| equations

$$x_i - P_{g,i}(x_1, x_2, \dots x_n) = 0$$

has no solutions in  $\mathbb{C}^n$ . Therefore by the Nullstellensatz there exist polynomials  $Q_{g,i} \in \mathbb{C}[x_1,x_2,\ldots x_n]$  such that

$$\sum_{g,i} (x_i - P_{g,i}(x_1, x_2, \dots x_n)) Q_{g,i}(x_1, x_2, \dots x_n)) = 1$$

### "Without loss of generality" (cont.)

Let  $\Lambda \subset \mathbb{C}$  be the subring generated by  $\frac{1}{p}$ , the coefficients of the  $P_{g,i}s$ , and the coefficients of the  $Q_{g,i}s$ . In particular note that  $\Lambda$  is finitely generated as an algebra over  $\mathbb{Z}$ .

## "Without loss of generality" (cont.)

Let  $\Lambda \subset \mathbb{C}$  be the subring generated by  $\frac{1}{p}$ , the coefficients of the  $P_{g,i}s$ , and the coefficients of the  $Q_{g,i}s$ . In particular note that  $\Lambda$  is finitely generated as an algebra over  $\mathbb{Z}$ .

If  $\mathfrak{m} \subset \Lambda$  is a maximal ideal then  $\Lambda/\mathfrak{m}$  is a finite field  $\mathbb{F}_q$  of characteristic prime to p.

## "Without loss of generality" (cont.)

Let  $\Lambda \subset \mathbb{C}$  be the subring generated by  $\frac{1}{p}$ , the coefficients of the  $P_{g,i}s$ , and the coefficients of the  $Q_{g,i}s$ . In particular note that  $\Lambda$  is finitely generated as an algebra over  $\mathbb{Z}$ .

If  $\mathfrak{m}\subset \Lambda$  is a maximal ideal then  $\Lambda/\mathfrak{m}$  is a finite field  $\mathbb{F}_q$  of characteristic prime to p. Upon reduction modulo  $\mathfrak{m}$ , the  $P_{g,i}s$  define an action G on  $\mathbb{F}_q$ , and the equation

$$\sum_{g,i} (x_i - P_{g,i}(x_1, x_2, \dots x_n)) Q_{g,i}(x_1, x_2, \dots x_n)) = 1$$

ensures that the action does not have any fixed points. This is impossible by the previous argument.

We say that an action of a finite group G on a complex algebraic variety X is almost free if X has finitely many points  $p_1, p_2, \ldots, p_k$  which are fixed by G, and the action on  $X - \{p_1, p_2, \ldots, p_k\}$  is free.

We say that an action of a finite group G on a complex algebraic variety X is almost free if X has finitely many points  $p_1, p_2, \ldots, p_k$  which are fixed by G, and the action on  $X - \{p_1, p_2, \ldots, p_k\}$  is free.

#### Theorem

Suppose we have two almost free actions of G on X with k and k' fixed points respectively. Then  $k \equiv k' \mod |G|$ .

We say that an action of a finite group G on a complex algebraic variety X is almost free if X has finitely many points  $p_1, p_2, \ldots, p_k$  which are fixed by G, and the action on  $X - \{p_1, p_2, \ldots, p_k\}$  is free.

#### Theorem

Suppose we have two almost free actions of G on X with k and k' fixed points respectively. Then  $k \equiv k' \mod |G|$ .

#### Sketch of proof.

If the actions are defined over a finite field  $\mathbb{F}_q$  this is clear: By assumption every orbit either has size |G| or 1 therefore the number of fixed points has to be the same modulo |G|.

We say that an action of a finite group G on a complex algebraic variety X is almost free if X has finitely many points  $p_1, p_2, \ldots, p_k$  which are fixed by G, and the action on  $X - \{p_1, p_2, \ldots, p_k\}$  is free.

#### Theorem

Suppose we have two almost free actions of G on X with k and k' fixed points respectively. Then  $k \equiv k' \mod |G|$ .

#### Sketch of proof.

If the actions are defined over a finite field  $\mathbb{F}_q$  this is clear: By assumption every orbit either has size |G| or 1 therefore the number of fixed points has to be the same modulo |G|.

Similarly to before, for any two such actions defined over  $\mathbb C$  we can find a finitely generated ring  $\Lambda$  over which they are both defined, as well as a maximal ideal  $\mathfrak m$  such that the actions remain almost free for  $\Lambda/\mathfrak m$ .

#### Ax-Grothendieck

#### Theorem (Ax, Grothendieck)

If  $f: \mathbb{C}^n \to \mathbb{C}^n$  is an injective algebraic map, then f is surjective.

#### Ax-Grothendieck

#### Theorem (Ax, Grothendieck)

If  $f: \mathbb{C}^n \to \mathbb{C}^n$  is an injective algebraic map, then f is surjective.

#### Proof.

For  $f: \mathbb{F}_q^n \to \mathbb{F}_q^n$  the statement is obvious.

Similarly to before we can show that any such f over the complex numbers is defined over a finitely generated ring, and we can find a maximal ideal such that the reduction remains injective.

#### Ax-Grothendieck

#### Theorem (Ax, Grothendieck)

If  $f: \mathbb{C}^n \to \mathbb{C}^n$  is an injective algebraic map, then f is surjective.

#### Proof.

For  $f: \mathbb{F}_q^n \to \mathbb{F}_q^n$  the statement is obvious.

Similarly to before we can show that any such f over the complex numbers is defined over a finitely generated ring, and we can find a maximal ideal such that the reduction remains injective.

**Something to think about:** What goes wrong if we try to run this argument with injectivity and surjectivity flipped?

## Sylow Subgroups in $GL_n(\mathbb{Q})$

#### Theorem (Minkowski)

Suppose  $G \subset GL_n(\mathbb{Q})$  is a finite group of order  $p^a$ . Then

$$a \leq M(n,p) := \left\lfloor \frac{n}{p-1} \right\rfloor + \left\lfloor \frac{n}{p(p-1)} \right\rfloor + \left\lfloor \frac{n}{p^2(p-1)} \right\rfloor + \dots$$

## Sylow Subgroups in $GL_n(\mathbb{Q})$

#### Theorem (Minkowski)

Suppose  $G \subset GL_n(\mathbb{Q})$  is a finite group of order  $p^a$ . Then

$$a \leq M(n,p) := \left\lfloor \frac{n}{p-1} \right\rfloor + \left\lfloor \frac{n}{p(p-1)} \right\rfloor + \left\lfloor \frac{n}{p^2(p-1)} \right\rfloor + \dots$$

#### Proof for $p \neq 2$ .

Since G is finite we have that  $G \subset GL_n(\mathbb{Z}[1/N])$  for some N. Therefore if q does not divide 2N we can realize G as a subgroup of  $GL_n(\mathbb{F}_q)$ .

## Sylow Subgroups in $GL_n(\mathbb{Q})$

#### Theorem (Minkowski)

Suppose  $G \subset GL_n(\mathbb{Q})$  is a finite group of order  $p^a$ . Then

$$a \leq M(n,p) := \left\lfloor \frac{n}{p-1} \right\rfloor + \left\lfloor \frac{n}{p(p-1)} \right\rfloor + \left\lfloor \frac{n}{p^2(p-1)} \right\rfloor + \dots$$

#### Proof for $p \neq 2$ .

Since G is finite we have that  $G \subset GL_n(\mathbb{Z}[1/N])$  for some N. Therefore if q does not divide 2N we can realize G as a subgroup of  $GL_n(\mathbb{F}_q)$ .

So we can bound |G| by the cardinality of a p-Sylow subgroup of  $GL_n(\mathbb{F}_q)$  for any q not dividing 2N. An easy calculation gives that if we take q such that it generates  $(\mathbb{Z}/p^2\mathbb{Z})^*$  a p-Sylow subgroup has size  $p^{M(n,p)}$ .



## Sylow Subgroups in $GL_n(\mathbb{Q})$ cont.

#### Theorem (Minkowski)

- a) For all primes p there exists a subgroup  $P \subset GL_n(\mathbb{Q})$  such that  $|P| = p^{M(n,p)}$ .
- b) if P' is any other subgroup of  $GL_n(\mathbb{Q})$  of order  $p^a$  then P' is conjugate to a subgroup of P.

## Sylow Subgroups in $GL_n(\mathbb{Q})$ cont.

#### Theorem (Minkowski)

- a) For all primes p there exists a subgroup  $P \subset GL_n(\mathbb{Q})$  such that  $|P| = p^{M(n,p)}$ .
- b) if P' is any other subgroup of  $GL_n(\mathbb{Q})$  of order  $p^a$  then P' is conjugate to a subgroup of P.

#### Proof sketch of (b) for $p \neq 2$ .

Choose q as in the proof of the previous theorem. The image of P is a Sylow subgroup of  $GL_n(\mathbb{F}_q)$ , and therefore we can conjugate the image of P' into it. In other words we have an embedding  $i:P'\hookrightarrow P$  which is the restriction of an inner automorphism of  $GL_n(\mathbb{F}_q)$ .

## Sylow Subgroups in $GL_n(\mathbb{Q})$ cont.

#### Theorem (Minkowski)

- a) For all primes p there exists a subgroup  $P \subset GL_n(\mathbb{Q})$  such that  $|P| = p^{M(n,p)}$ .
- b) if P' is any other subgroup of  $GL_n(\mathbb{Q})$  of order  $p^a$  then P' is conjugate to a subgroup of P.

#### Proof sketch of (b) for $p \neq 2$ .

Choose q as in the proof of the previous theorem. The image of P is a Sylow subgroup of  $GL_n(\mathbb{F}_q)$ , and therefore we can conjugate the image of P' into it. In other words we have an embedding  $i:P'\hookrightarrow P$  which is the restriction of an inner automorphism of  $GL_n(\mathbb{F}_q)$ .

We have two representations  $P'\hookrightarrow GL_n(\mathbb{Q})$  and  $P'\to_i P\hookrightarrow GL_n(\mathbb{Q})$  which are isomorphic upon reduction modulo q. A result from modular representation theory says that since q does not divide |P'| this implies they are isomorphic rationally.

## **Thanks**