

# A formulation of the fundamental lemma for $SL(n)$ in concrete terms.

Notation:  $F$  a non-archimedean local field,  $O_F \subset F$  the ring of integers,  $\mathfrak{m}_F \subset O_F$  the maximal ideal,  $q = |O_F/\mathfrak{m}_F|$ . Let  $E \supset F$  be an unramified extension of degree  $n$  and  $O_E \subset E$  the ring of integers.

Now let  $\gamma \in O_E$  be such that  $F[\gamma] = E$ . Then the ring  $R := O_F[\gamma]$  is a subgroup of finite index in  $O_E$ . For each  $s \in \mathbb{Z}$  let  $N_{\gamma, F}(s)$  denote the number of  $R$ -submodules  $M \subset E$  such that the number

$\text{size}(M) := \text{length}_O(M/M\gamma R) - \text{length}_O(R/M\gamma R)$  equals  $s$ . (Note that if  $\text{size}(M) \neq \pm\infty$  then  $M$  is finitely generated and nonzero). Since  $\text{size}(\mathfrak{m}_O M) = \text{size}(M) - n$  the number  $N_{\gamma, F}(s)$  depends only on the image of  $s$  in  $\mathbb{Z}/n\mathbb{Z}$ . The fundamental lemma says something about the Fourier transform of the function  $N_{\gamma, F}: \mathbb{Z}/n\mathbb{Z} \rightarrow \mathbb{Z}$ .

More precisely, for each  $\zeta \in \mathbb{C}$  such that  $\zeta^n = 1$  define

$$u_{\gamma, F}(\zeta) := \frac{1}{(O_E : R)} \sum_{s \in \mathbb{Z}/n\mathbb{Z}} \zeta^s N_{\gamma, F}(s),$$

where  $(O_E : R)$  is the index of the subgroup  $R \subset O_E$ .

As far as I understand, the Fundamental Lemma for  $SL(n)$  says that if  $\zeta$  is a primitive root of degree  $m \mid n$  then

$$u_{\gamma, F}(\zeta) = u_{\gamma, F_m}(1), \quad (*)$$

where  $F_m < E$  is the unique subfield containing  $F$  with  $[F_m : F] = m$  and the definition of  $u_{\gamma, F_m}$  is similar to that of  $u_{\gamma, F}$  (consider  $E$  as an extension of  $F_m$  of degree  $\frac{n}{m}$  rather than an extension of  $F$  of degree  $n$ , consider  $O_{F_m}[\gamma]$  instead of  $R := O_F[\gamma]$ , etc.). E.g., if  $\zeta = 1$  then the Fundamental Lemma is a tautology, and if  $\zeta$  is a primitive  $n$ -th root of 1 it says that  $u_{\gamma, E}(\zeta) = 1$ .

Exercise 1. Prove (or disprove!) that the Fundamental Lemma indeed implies formula (\*). and moreover, in the case that  $G = SL(n)$  and

the endoscopy group  $H$  equals  $\text{Ker}(E^x \xrightarrow{N} F^x)$   
the Fundamental Lemma is equivalent to  
formula (\*) for  $m=n$ . (However, if

$H = \text{Ker}(GL(\frac{n}{m}, F_m) \xrightarrow{\det} F_m^x \xrightarrow{N} F^x)$ ,  $m|n$ ,  $m \neq n$   
then the Fundamental Lemma is stronger  
than (\*).

As a first step, prove that the normalized  
absolute value of the discriminant of  $\gamma$   
equals  $(O_E : R)^{-2}$ .

Exercise 2. Prove the Fundamental Lemma  
for  $SL(2)$ . Hint: show that if  $(O_E : R) = q^k$   
then  $u_{\gamma, F}(-1) = a_k - a_{k-1} + a_{k-2} - \dots + (-1)^k a_0$ ,  
where  $a_0 = 1$  and  $a_i = q^{i-1}(q+1)$  for  $i > 0$ . In  
fact,  $a_i$  is the number of vertices of the  
Bruhat-Tits tree at distance  $i$  from a fixed  
vertex).

Historical remark. The fundamental lemma  
for  $SL(3)$  was proved by Kottwitz. The funda-  
mental lemma for  $SL(n)$  was proved by  
Kazhdan and Waldspurger.

Remark. Equality (\*) on p. 2 implies that the function  
 $N_{\gamma, F} : \mathbb{Z}/n\mathbb{Z} \rightarrow \mathbb{Z}$  defined on p. 1 satisfies  $N_{\gamma, F}(as) = N_{\gamma, F}(s)$   
for all  $a \in \mathbb{Z}$  coprime to  $n$ . This looks mysterious! Anyway,  
I do not know how to prove this without (\*).