

over  $Z$ , i.e. the group generated by  $\sigma$  and  $\sigma^u$  is free abelian of rank 2. In particular  $\{\sigma\}$  and  $\{\sigma, \sigma^u\}$  have the same fixed field  $k$ .

**Witt vectors**

46. Let  $x_1, x_2, \dots$  be a sequence of algebraically independent elements over the integers  $Z$ . For each integer  $n \geq 1$  define

$$x^{(n)} = \sum_{d|n} dx_d^{n/d}.$$

- Show that  $x_n$  can be expressed in terms of  $x^{(d)}$  for  $d|n$ , with rational coefficients. Using vector notation, we call  $(x_1, x_2, \dots)$  the Witt components of the vector  $x$ , and call  $(x^{(1)}, x^{(2)}, \dots)$  its ghost components. We call  $x$  a Witt vector. Define the power series

$$f_x(t) = \prod_{n \geq 1} (1 - x_n t^n).$$

Show that

$$-t \frac{d}{dt} \log f_x(t) = \sum_{n \geq 1} x^{(n)} t^n.$$

[By  $\frac{d}{dt} \log f(t)$  we mean  $f'(t)/f(t)$  if  $f(t)$  is a power series, and the derivative  $f'(t)$  is taken formally.]

If  $x, y$  are two Witt vectors, define their sum and product componentwise with respect to the ghost components, i.e.

$$(x + y)^{(n)} = x^{(n)} + y^{(n)}.$$

What is  $(x + y)_n$ ? Well, show that

$$f_x(t)f_y(t) = \prod (1 + (x + y)_n t^n) = f_{x+y}(t).$$

Hence  $(x + y)_n$  is a polynomial with integer coefficients in  $x_1, y_1, \dots, x_n, y_n$ . Also show that

$$f_{xy}(t) = \prod_{d, e \geq 1} (1 - x_d^{m/d} y_e^{m/e} t^{m})^{de/m}$$

where  $m$  is the least common multiple of  $d, e$  and  $d, e$  range over all integers  $\geq 1$ . Thus  $(xy)_n$  is also a polynomial in  $x_1, y_1, \dots, x_n, y_n$  with integer coefficients. The above arguments are due to Witt (oral communication) and differ from those of his original paper.

If  $A$  is a commutative ring, then taking a homomorphic image of the polynomial ring over  $Z$  into  $A$ , we see that we can define addition and multiplication of Witt vectors with components in  $A$ , and that these Witt vectors form a ring  $W(A)$ . Show that  $W$  is a functor, i.e. that any ring homomorphism  $\varphi$  of  $A$  into a commutative ring  $A'$  induces a homomorphism  $W(\varphi): W(A) \rightarrow W(A')$ .

$V$  is called *Verschiebung* (the German for "shift").

47. Let  $p$  be a prime number, and consider the projection of  $W(A)$  on vectors whose components are indexed by a power of  $p$ . Now use the log to the base  $p$  to index these components, so that we write  $x_n$  instead of  $x_{p^n}$ . For instance,  $x_0$  now denotes what was  $x_1$  previously. For a Witt vector  $x = (x_0, x_1, \dots, x_n, \dots)$  define

$$Vx = (0, x_0, x_1, \dots) \quad \text{and} \quad \cancel{Fx = (x_0^p, x_1^p, \dots)}$$

Thus  $V$  is a shifting operator. ~~We have  $V \circ F = F \circ V$ . Show that~~

$$(Vx)^{(n)} = px^{(n-1)} \quad \text{and} \quad \cancel{x^{(n)} = (Fx)^{(n-1)} + p^n x_n}$$

Also from the definition, we have

$$x^{(n)} = x_0^{p^n} + px_1^{p^{n-1}} + \dots + p^n x_n$$

48. Let  $k$  be a field of characteristic  $p$ , and consider  $W(k)$ . Then  $V$  is an additive endomorphism of  $W(k)$ , and  $F$  is a ring homomorphism of  $W(k)$  into itself. Furthermore, if  $x \in W(k)$  then

$$px = VFx.$$

If  $x, y \in W(k)$ , then  $(V^i x)(V^j y) = V^{i+j}(F^i x \cdot F^j y)$ . For  $a \in k$  denote by  $\{a\}$  the Witt vector  $(a, 0, 0, \dots)$ . Then we can write symbolically

$$x = \sum_{i=0}^{\infty} V^i \{x_i\}.$$

Show that if  $x \in W(k)$  and  $x_0 \neq 0$  then  $x$  is a unit in  $W(k)$ . *Hint:* One has

$$1 - x\{x_0^{-1}\} = Vy$$

and then

$$x\{x_0^{-1}\} \sum_0^{\infty} (Vy)^i = (1 - Vy) \sum_0^{\infty} (Vy)^i = 1.$$

49. Let  $n$  be an integer  $\geq 1$  and  $p$  a prime number again. Let  $k$  be a field of characteristic  $p$ . Let  $W_n(k)$  be the ring of truncated Witt vectors  $(x_0, \dots, x_{n-1})$  with components in  $k$ . We view  $W_n(k)$  as an additive group. If  $x \in W_n(k)$ , define  $\wp(x) = Fx - x$ . Then  $\wp$  is a homomorphism. If  $K$  is a Galois extension of  $k$ , and  $\sigma \in G(K/k)$ , and  $x \in W_n(K)$  we can define  $\sigma x$  to have component  $(\sigma x_0, \dots, \sigma x_{n-1})$ . Prove the analogue of Hilbert's Theorem 90 for Witt vectors, and prove that the first cohomology group is trivial. (One takes a vector whose trace is not 0, and finds a coboundary the same way as in the proof of Theorem 10.1).
50. If  $x \in W_n(k)$ , show that there exists  $\xi \in W_n(\bar{k})$  such that  $\wp(\xi) = x$ . Do this inductively, solving first for the first component, and then showing that a vector  $(0, \alpha_1, \dots, \alpha_{n-1})$  is in the image of  $\wp$  if and only if  $(\alpha_1, \dots, \alpha_{n-1})$  is in the image of  $\wp$ . Prove inductively that if  $\xi, \xi' \in W_n(k')$  for some extension  $k'$  of  $k$  and if  $\wp \xi = \wp \xi'$  then  $\xi - \xi'$  is a vector with components in the prime field. Hence the solutions of  $\wp \xi = x$  for given  $x \in W_n(k)$  all differ by the vectors with components in the prime field, and there are  $p^n$  such vectors. We define

$$k(\xi) = k(\xi_0, \dots, \xi_{n-1}).$$