

## 1. INTRODUCTION

Let  $k$  be a perfect field of characteristic  $p > 0$ . By  $W_m(k)$  we denote the  $p$ -typical Witt vectors of  $k$  truncated at the level  $m$ . The theory of crystalline cohomology discovered by Alexandre Grothendieck, in particular, gives a canonical lifting of the de Rham cohomology of algebraic varieties into mixed characteristics. Namely,

**Theorem** ([1]). *Fix a positive integer  $m$ . There is a functor*

$$\mathrm{R}\Gamma_{\mathrm{cris}} : \mathrm{Sm}/k \rightarrow D(W_m(k) - \mathrm{mod})$$

*from the category of smooth varieties over  $k$  to the derived category of  $W_m(k)$ -modules such that*

- (i)  $\mathrm{R}\Gamma_{\mathrm{cris}} \otimes_{W_m(k)}^L k \simeq \mathrm{R}\Gamma_{\mathrm{dR}}$
- (ii) *For any smooth scheme  $\tilde{X}/W_m(k)$  with an isomorphism  $\tilde{X} \times_{W_m(k)} k \simeq X$  there is a canonical quasi-isomorphism  $\mathrm{R}\Gamma_{\mathrm{cris}}(X) \simeq \mathrm{R}\Gamma_{\mathrm{dR}}(\tilde{X}/W_m(k))$ .*

Moreover, a version for the full Witt vectors  $W(k)$  holds for proper varieties

**Theorem** ([1]). *There is a functor*

$$\mathrm{R}\Gamma_{\mathrm{cris}} : \mathrm{SmPr}/k \rightarrow D(W(k) - \mathrm{mod})$$

*from the category of smooth proper varieties over  $k$  to the derived category of  $W(k)$ -modules such that*

- (i)  $\mathrm{R}\Gamma_{\mathrm{cris}} \otimes_{W(k)}^L k \simeq \mathrm{R}\Gamma_{\mathrm{dR}}$
- (ii) *For any smooth scheme  $\tilde{X}/W(k)$  with an isomorphism  $\tilde{X} \times_{W(k)} k \simeq X$  there is a canonical quasi-isomorphism  $\mathrm{R}\Gamma_{\mathrm{cris}}(X) \simeq \mathrm{R}\Gamma_{\mathrm{dR}}(\tilde{X}/W(k))$ .*

For any DG algebra or DG category  $A$  over a ring  $R$  by  $CP_{\bullet}(A/R), HP_{\bullet}(A/R)$  we denote the periodic cyclic complex and its cohomology respectively. By  $u$  we denote the periodicity parameter of degree 2 which makes  $CP_{\bullet}(A/R)$  into a module over  $R((u))$ . We will generalize the theorem above to the setting of periodic cyclic homology of DG algebras. One reason to expect such a generalization is that periodic cyclic homology related to the de Rham cohomology by the following

**Theorem.** *For a smooth proper variety  $X$  over a field  $k$  of characteristic zero or greater than  $\dim X$  there are canonical isomorphisms*

$$HP_k(D^b(\mathrm{Coh}(X))) \simeq \bigoplus_{n \in \mathbb{Z}} H_{\mathrm{dR}}^{k+2n}(X/k)$$

Another reason is that on the periodic cyclic homology of a family of DG algebras there exists a flat connection.

**Theorem** ([2, Proposition 3.1],[4, Section 3]). *For a term-wise flat DG algebra  $A_{\bullet}$  over  $k[x_1, \dots, x_n]$  there is a canonical flat connection on the module  $HP_{\bullet}(A_{\bullet}/k[x_1, \dots, x_n])$  over  $\mathbb{A}^n = \mathrm{Spec} k[x_1, \dots, x_n]$ .*

From now on we assume that  $p > 2$ . Our main result is the following

**Theorem 1.1.** *There exists a functor*

$$(1.1) \quad CP_{\bullet}^{\mathrm{cris}} : \mathrm{DG} - \mathrm{alg}/k \rightarrow D(W(k)((u)) - \mathrm{mod})$$

*such that*

(i)  $CP_{\bullet}^{\text{cris}} \otimes_{W(k)((u))}^L k((u)) \simeq CP_{\bullet}$ .

(ii) For any term-wise flat DG algebra  $\tilde{A}$  over  $W_m(k)$  for some  $m \geq 0$  with a quasi-isomorphism  $r : \tilde{A} \otimes_{W_m(k)((u))}^L k((u)) \simeq A$  there is a quasi-isomorphism

$$CP_{\bullet}(A_{\bullet}/W_m(k)) \simeq CP_{\bullet}^{\text{cris}}(A) \otimes_{W(k)((u))}^L W_m(k)((u))$$

functorial in  $\tilde{A}$  and  $r$ .

(iii) For any term-wise flat and term-wise  $p$ -adically complete DG algebra  $\tilde{A}$  over  $W(k)$  with a quasi-isomorphism  $r : \tilde{A} \otimes_{W(k)((u))}^L k((u)) \simeq A$  there is a quasi-isomorphism  $CP_{\bullet}(A_{\bullet}/W(k)) \simeq CP_{\bullet}^{\text{cris}}(A)$  functorial in  $\tilde{A}$  and  $r$ .

## 2. GAUSS-MANIN CONNECTION AND THE PARALLEL TRANSPORT

Proposition 4.1.8 in [5] gives for a DG algebra  $A$  and a  $k$ -linear derivation  $D$  of degree  $n$  of  $A$  a canonical contracting homotopy for the Lie derivative  $L_D : CP_{\bullet}(A) \rightarrow CP_{\bullet}(A)$ . Namely, there is an operator  $\iota : CP_{\bullet}(A) \rightarrow CP_{\bullet+n-1}(A)$  such that

$$(2.1) \quad [\iota_D, b + B] = L_D$$

It can be used to construct the Gauss-Manin connection on a family of DG algebras. We recall this construction from [2] in a special case. Let  $\mathcal{A}$  be a term-wise flat term-wise complete DG algebra over  $W(k)[t]$ . We replace it by a quasi-isomorphic one so that we can assume that the graded algebra structure on  $\mathcal{A}$  does not deform along  $t$ , that is  $\bigoplus \mathcal{A}^i \simeq W(k)[t] \otimes_{W(k)} \bigoplus A^i$  but the differential depends on  $t$ . Denote by  $\nabla'$  the trivial connection on  $\bigoplus \mathcal{A}^i$  given by  $\nabla'(f(t) \otimes a) = f'(t) \otimes a \otimes dt$ . This connection does not necessarily commute with the differential on the DG algebra itself, but it turns out that we can twist it to obtain a connection on the periodic cyclic complex.

**Proposition 2.1** ([2, Proposition 3.1]).

$$\nabla^{GM} = \nabla' + u^{-1} \iota_{[\nabla', d_{\mathcal{A}}]}$$

is a connection on  $CP_{\bullet}(\mathcal{A}/W(k)[t])$

Recall that periodic cyclic homology satisfies the Kunneth formula. For homotopically flat DG algebras  $A, B$  over a commutative ring  $R$  there is a quasi-isomorphism

$$CP_{\bullet}(A) \hat{\otimes}_{R((u))} CP_{\bullet}(B) \xrightarrow{\sim} CP_{\bullet}(A \otimes_R B)$$

where  $\hat{\otimes}$  means  $u$ -adic completion. It follows that for a commutative DG algebra  $A$  the complex  $CP_{\bullet}(A)$  carries an algebra structure and for every DG algebra  $B$  the complex  $CP_{\bullet}(B)$  has a structure of a module over  $CP_{\bullet}(A)$ .

For a DG algebra  $\mathcal{A}$  over  $W(k)[t]$  for any  $x \in W(k)$  denote by  $\mathcal{A}_x$  the fiber  $\mathcal{A}/(t-x)\mathcal{A}$ .

**Proposition 2.2.** (i) If  $\mathcal{A}$  is a term-wise flat term-wise  $p$ -adically complete DG algebra over  $W(k)[t]$ , then the complexes  $CP_{\bullet}(\mathcal{A}_0)$  and  $CP_{\bullet}(\mathcal{A}_p)$  are isomorphic.

(ii) We can choose quasi-isomorphisms  $CP_{\bullet}(\mathcal{A}_0/W(k)) \simeq CP_{\bullet}(\mathcal{A}_p/W(k))$  for each  $\mathcal{A}$  so that they commute with the Kunneth isomorphism.

*Proof.* (i) The parallel transport along the vector field  $\frac{\partial}{\partial t}$  from the point 0 to the point  $p$  is given by the formula

$$(2.2) \quad T(a) = \sum_{n=0}^{\infty} \frac{(-p)^n}{n!} (\nabla_{\frac{\partial}{\partial t}}^{GM})^n (1 \otimes a)$$

The series is convergent because  $\text{ord}_p\left(\frac{p^n}{n!}\right) \geq n \frac{p-2}{p-1}$  which tends to infinity because of the assumption  $p > 2$ . The fact that it induces a morphism of complexes automatically follows from the fact that  $\nabla^{GM}$  commutes with the differential. It is an isomorphism since the inverse is given by parallel transport in the other direction.

(ii) As against part (i) here we only construct a quasi-isomorphism, not a genuine isomorphism of complexes. Denote by  $K$  the field  $W(k)[1/p]$ . Let  $R$  be the divided power envelope of the point  $t = 0$  inside  $\text{Spec } W(k)[t]$ . Note that it coincides with the envelope of  $t = p$ . Explicitly,  $R$  is the  $W(k)$ -subalgebra in  $K[t]$  generated by  $\frac{t^p}{n!}$  for all  $n \geq 0$ . Denote by  $CP_{\bullet}^{red}(R/W(k))$  the image of  $CP_{\bullet}(R/W(k))$  inside  $CP_{\bullet}(K[t]/K)$ . Put

$$\mathcal{R}_{\mathcal{A}} = CP_{\bullet}^{red}(R/W(k)) \otimes_{CP_{\bullet}(R/W(k))} CP_{\bullet}(\mathcal{A}/W(k))$$

Sending  $t$  to 0 and  $p$  gives well-defined morphisms of complexes  $\rho_0 : \mathcal{R} \rightarrow CP_{\bullet}(\mathcal{A}_0/W(k))$  and  $\rho_p : \mathcal{R} \rightarrow CP_{\bullet}(\mathcal{A}_p/W(k))$  respectively. We will prove that both of them are quasi-isomorphisms thus proving the statement because  $\mathcal{R}_{\mathcal{A}}$  also satisfy the Kunnetth formula with respect to  $\mathcal{A}$ .

Indeed, the kernel of  $\rho_i$  is given by  $\ker(CP_{\bullet}^{red}(R/W(k)) \xrightarrow{t \rightarrow i} W(k)((u))) \otimes_{CP_{\bullet}(R/W(k))} CP_{\bullet}(\mathcal{A}/W(k))$  so it is left to prove that  $\ker(CP_{\bullet}^{red}(R/W(k)) \xrightarrow{t \rightarrow 0} W(k)((u)))$  and  $\ker(CP_{\bullet}^{red}(R/W(k)) \xrightarrow{t \rightarrow p} W(k)((u)))$  both have zero cohomology because  $CP_{\bullet}(\mathcal{A}/W(k))$  is homotopically flat.

Denote by  $CH_{\bullet}^{red}(R/W(k))$  the image of Hochschild complex  $CH_{\bullet}(R/W(k))$  in  $CH_{\bullet}(K[t])$ . By Theorem 3.2.2 in [5]  $CH_{\bullet}(K[t])$  is homotopy equivalent to  $\Omega_{K[t]/K}^0 \oplus \Omega_{K[t]/K}^1[1]$  and, moreover, the homotopy equivalence can be chosen to be induced from that of between  $CH_{\bullet}(W(k)[t])$  between  $\Omega_{W(k)[t]/W(k)}^0 \oplus \Omega_{W(k)[t]/W(k)}^1[1]$ . Thus,  $CH_{\bullet}^{red}(R/W(k))$  is homotopy equivalent to  $\Omega_{R/W(k)}^{0,red} \oplus \Omega_{R/W(k)}^{1,red}[1]$  where  $\Omega_{R/W(k)}^{\bullet,red}$  is the image of  $\Omega_{R/W(k)}^{\bullet}$  in  $\Omega_{K[t]/K}^{\bullet}$  ore, explicitly, the quotient by the relations  $d\left(\frac{t^k}{k!}\right) = \frac{t^{k-1}}{(k-1)!} dt$ .

By the Hochschild-to-cyclic spectral sequence and Theorem 3.2.5 in [5] there is a commutative diagram of complexes there the vertical arrows are quasi-isomorphisms

$$\begin{array}{ccc} CP_{\bullet}^{red}(R/W(k)) & \longrightarrow & CP_{\bullet}(K[t]/K) \\ \downarrow & & \downarrow \\ (\Omega_{R/W(k)}^{\bullet,red}, d_{dR})((u)) & \longrightarrow & (\Omega_{K[t]/K}^{\bullet}, d_{dR})((u)) \end{array}$$

It follows that  $CP_{\bullet}^{red}(R/W(k)) \xrightarrow{t \rightarrow 0} W(k)((u))$  and  $CP_{\bullet}^{red}(R/W(k)) \xrightarrow{t \rightarrow p} W(k)((u))$  are quasi-isomorphisms because  $(\Omega_{R/W(k)}^{\bullet,red}, d_{dR})$  has  $H^0 = W(k)$ ,  $H^1 = 0$ .  $\square$

### 3. POINT

$$CP_{\bullet}(\mathbb{Z}_p/\mathbb{Z}_p[t])$$

In this section we compute our main example of the Gauss-Manin connection. Namely, the periodic cyclic homology of  $\mathbb{Z}_p$  over  $\mathbb{Z}_p[t]$ . A flat resolution for  $\mathbb{Z}_p$  is  $\mathbb{Z}_p[t][\varepsilon]$  where  $\deg \varepsilon = -1, d\varepsilon = p$

#### 4. PROOF OF THEOREM 1.1

Put  $R = W(k) \xrightarrow{p} W(k)$  – a DG algebra in degrees  $-1, 0$  which is as an algebra the trivial square-zero extension of  $W(k)$  by  $W(k)$ . It is quasi-isomorphic to  $k$ . Applying Lemma 2.2 to  $A = W(k)[t] \xrightarrow{pt} W(k)[t]$  we see that algebras  $CP_\bullet(R/W(k))$  and  $CP_\bullet(W(k)[1] \oplus W(k)/W(k))$  are quasi-isomorphic.

Now pick a term-wise complete term-wise flat resolution  $\tilde{A}$  of  $A$  over  $W(k)$ . Then  $CP_\bullet(A/W(k))$  is quasi-isomorphic to a module over  $CP_\bullet(k/W(k))$ , hence quasi-isomorphic to a module over  $CP_\bullet(W(k)[1] \oplus W(k)/W(k))$ . Next,  $W(k)$  is an algebra over  $W(k)[1] \oplus W(k)$ , so  $CP_\bullet(W(k)/W(k)) = W(k)((u))$  is a module over  $CP_\bullet(W(k)[1] \oplus W(k)/W(k))$ . Define  $CP_\bullet^{\text{cris}}$  as the following tensor product

$$(4.1) \quad CP_\bullet^{\text{cris}}(A) := CP_\bullet(A/W(k)) \otimes_{CP_\bullet(W(k)[1] \oplus W(k)/W(k))}^L W(k)((u))$$

Indeed,  $CP_\bullet^{\text{cris}}(A) \otimes_{W(k)}^L k = CP_\bullet(A \otimes_{W(k)}^L k/k) \otimes_{CP_\bullet(k[1] \oplus k/k)}^L CP_\bullet(k/k)$  where the structure on  $CP_\bullet(A \otimes_{W(k)}^L k/k)$  of a module over  $CP_\bullet(k[1] \oplus k/k)$  is given by the algebra structure on  $A \otimes_{W(k)}^L k$  over  $k \otimes_{W(k)}^L k \simeq k[1] \oplus k$  because quasi-isomorphism from Lemma 2.2 is identical when tensored by  $k$ . The latter  $CP_\bullet(A \otimes_{W(k)}^L k/k) \otimes_{CP_\bullet(k[1] \oplus k/k)}^L CP_\bullet(k/k)$  is quasi-isomorphic to  $CP_\bullet((A \otimes_{W(k)}^L k) \otimes_{k[1] \oplus k}^L k)$  by the Kunnet formula and that, in turn, is quasi-isomorphic to  $CP_\bullet(A/k)$  so the property (i) is satisfied.

Next, if  $\tilde{A}$  is a lifting of  $A$  over  $W(k)$ , it gives the following flat resolution of  $A$  over  $W(k)$ . Put  $B = \text{cone}(\tilde{A} \xrightarrow{p} \tilde{A})$  with algebra structure of a trivial square-zero extension of  $\tilde{A}$  by  $\tilde{A}[1]$ . Since  $\tilde{A}$  is term-wise flat,  $\tilde{A} \otimes_{W(k)} k = A$  so  $A = \tilde{A}/p\tilde{A}$  and  $B$  is quasi-isomorphic to  $A$  over  $W(k)$ . Let us use  $B$  to compute  $CP_\bullet^{\text{cris}}(A)$ . Consider a DG algebra  $\text{cone}(\tilde{A}[t] \xrightarrow{t} \tilde{A}[t])$ . Lemma 2.2 gives us an isomorphism  $CP_\bullet(B/W(k)) \simeq CP_\bullet(\text{cone}(\tilde{A} \xrightarrow{0} \tilde{A})/W(k)) = CP_\bullet(\tilde{A}[1] \oplus \tilde{A}/W(k))$  which, by functoriality of the Gauss-Manin connection fits into a commutative diagram

$$(4.2) \quad \begin{array}{ccc} CP_\bullet(R/W(k)) \otimes CP_\bullet(B/W(k)) & \longrightarrow & CP_\bullet(W(k)[1] \oplus W(k)/W(k)) \otimes CP_\bullet(\tilde{A}[1] \oplus \tilde{A}/W(k)) \\ \uparrow & & \uparrow \\ CP_\bullet(R/W(k)) & \longrightarrow & CP_\bullet(W(k)[1] \oplus W(k)/W(k)) \end{array}$$

where vertical arrows are the module structures over  $CP_\bullet(R/W(k))$  and  $CP_\bullet(W(k)[1] \oplus W(k)/W(k))$ . Thus,  $CP_\bullet^{\text{cris}}(A)$  is quasi-isomorphic to

$$CP_\bullet(\tilde{A}[1] \oplus \tilde{A}/W(k)) \otimes_{CP_\bullet(W(k)[1] \oplus W(k)/W(k))}^L CP_\bullet(W(k)/W(k))$$

which is quasi-isomorphic to  $CP_\bullet(\tilde{A}/W(k))$  by the Kunnet formula.

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