

# Contrasting the halves of an Ahmad pair

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Midwest Computability Seminar XXXV  
University of Chicago  
26 September 2025



## Definition

We say  $X \leq_e Y$  if every enumeration of  $Y$  computes an enumeration of  $X$ .

A more useful characterization for constructions is the following:  $X \leq_e Y$  if there is a c.e. operator  $\Gamma$  such that  $x \in X \iff \exists \langle x, D \rangle \in \Gamma$  with  $D \subseteq Y$ .

- 1 This is a positive analog of Turing reducibility. One can think of  $X \leq_T Y$  as  $X$  is  $\Delta_1^0(Y)$ . Here we can think of  $X \leq_e Y$  as  $X$  is  $\Sigma_1^+(Y)$ , so we can only ask positive questions about  $Y$ .
- 2  $\leq_e$  is a preorder on  $\mathcal{P}(\omega)$  and the corresponding degree structure induced on  $\mathcal{P}(\omega)$  is called the enumeration degrees denoted by  $(\mathcal{D}_e, \leq_e)$ .
- 3 The enumeration jump of a set  $X$  is defined by  $X' = K_X \oplus \overline{K_X}$  where  $K_X := \bigoplus_{n \in \omega} \Gamma_n(X)$ . The skip of a set is defined as  $X^\diamond = \overline{K_X}$ . While these two operations do not agree in general, they will agree for the degrees we care about in this talk.

- 4 Observe :  $X \leq_T Y$  iff  $X \oplus \overline{X} \leq_e Y \oplus \overline{Y}$ . So we can think of Turing reducibility in terms of enumeration reducibility.
- 5 The Turing degrees  $(\mathcal{D}_T, \leq_T)$  embed into the enumeration degrees as a partial order under the map  $\iota : A \rightarrow A \oplus \overline{A}$  and this embedding respects join and jump. The image of this mapping are the total degrees: degrees containing a set  $X$  for which  $\overline{X} \leq_e X$ .
- 6  $\mathcal{D}_T$  and  $\mathcal{D}_e$  are both upper semilattices with a least element. The least element in  $\mathcal{D}_T$  is the family of computable sets while in  $\mathcal{D}_e$  the least element  $0_e$  is made up of the c.e. sets.
- 7 Monotonicity is a salient property of enumeration operators : If  $A \subseteq B$  then  $\Gamma(A) \subseteq \Gamma(B)$  for any enumeration operator  $\Gamma$ . Note that no such simple relation holds in the Turing world.

Let  $\mathcal{D}_T(\leq 0'_T)$  be the Turing degrees below  $0'_T$  while  $\mathcal{D}_e(\leq 0'_e)$  denote the enumeration degrees below  $0'_e$ . Both of these sets form a countable ideal in their respective degree structures. The standard representative of  $0'_e$  is the  $\Pi_1^0$  complete set  $\overline{K} = \{e : e \notin \Gamma_e(\emptyset)\}$ .

- ❶  $X \leq_T 0'_T$  iff  $X$  is  $\Delta_2^0$  while  $X \leq_e 0'_e$  iff  $X$  is  $\Sigma_2^0$  iff  $X$  is c.e. relative to  $0'$ .
- ❷ We have the relation :  $\iota(\mathcal{D}_T(\leq 0'_T)) \subset \mathcal{D}_e(\leq 0'_e)$ .
- ❸ These two structures are not elementarily equivalent as partial orders: For example, Sacks constructed a minimal Turing degree below  $0'_T$  while Guttridge showed that the enumeration degrees are downward dense (globally).
- ❹ In fact Cooper [Coo84] was able to show that given  $X <_e Y \leq 0'_e$ , there is a  $Z$  such that  $X <_e Z <_e Y$ . So  $\mathcal{D}_e(\leq 0'_e)$  is actually dense.
- ❺ This is far from true in the global structure: Calhoun and Slaman [CS96] construct an empty interval in the  $\Pi_2^0$  enumeration degrees.

## Definition

Let  $A, B$  be  $\Sigma_2^0$  sets. Then  $(A, B)$  is an Ahmad pair if  $A \not\leq_e B$  and  $\forall Z <_e A (Z <_e B)$ .

This is almost like a strong minimal cover, except  $A \not\leq_e B$ .

## Theorem (Ahmad [AL98])

*There is an Ahmad pair  $(A, B)$  with  $A, B \leq \Delta_2^0$ . Moreover if  $(A, B)$  is an Ahmad pair, then  $(B, A)$  is not an Ahmad pair.*

This phenomenon cannot occur in the c.e. Turing degrees due to Sacks splitting, and hence the c.e. Turing degrees are not elementarily equivalent to  $\mathcal{D}_e(\leq 0'_e)$ . The following work is more recent.

## Theorem (Goh, Lempp, Ng, M. Soskova [Goh+22])

*If  $(A, B)$  is an Ahmad pair, then  $(B, C)$  is not an Ahmad pair for any  $C$ .*

## Theorem (Kalimullin, Lempp, Ng, Yamaleev [Kal+24])

*If  $(A, B)$  is an Ahmad pair, then  $A \oplus B <_e 0'_e$ .*

- 1 Slaman and Soare [SS01] solved the extension of embeddings problem in the c.e. Turing degrees: For finite partial orders  $\mathcal{P} \subset \mathcal{Q}$ , when can every embedding of  $\mathcal{P}$  be extended to an embedding of  $\mathcal{Q}$ . They provide two obstructions barring which an extension is always possible.
- 2 Lempp, Slaman and Sorbi [LSS05] solve the extension of embeddings problem for the  $\Sigma_2^0$  enumeration degrees, where the only added obstruction to extension is the phenomenon of Ahmad pairs.

Recently several researchers have been focusing on solving the  $\forall\exists$  theory of the  $\Sigma_2^0$  enumeration degrees which is in general a strictly harder problem than the extensions of embeddings problem. This has resulted in renewed interest in Ahmad pairs with the two recent results above.

Kent [Ken06] showed that the  $\forall\exists\forall$  theory of  $\mathcal{D}_e(\leq 0'_e)$  is undecidable, so this is the best we can hope for.

Previous work separating the right and left half [Goh+22] was quite involved, using a  $0'''$  priority construction. Moreover, it did not explain why the two halves are separate. We present a simpler proof of a more general result, which gives a natural separation between the two halves in terms of jump classes.

## Definition

The tuple  $(A, B_0, \dots, B_{n-1})$  is an Ahmad  $n$ -pair if  $A \not\leq_e B_i$  for every  $i < n$  and any  $Z <_e A$  is below at least one of the  $B_i$ 's.

## Theorem

*For every  $n > 1$ , there is an Ahmad  $n$ -pair whose left half is not part of an Ahmad  $(n - 1)$  pair.*

## Theorem

*If  $(A, B_0, B_1, \dots, B_{n-1})$  is a proper Ahmad  $n$ -pair, then  $A$  is  $\text{low}_3$  and  $B_i$  is not  $\text{low}_3$  for any  $i < n$ . Therefore the possible left and right halves are disjoint.*

Note that if  $(A, B)$  is an Ahmad pair, then the set  $\{Z : Z <_e A\}$  is an ideal. In particular  $A$  is join irreducible. Observe that  $(A, B)$  form an exact pair for this ideal.

## Theorem

*Let  $f, g$  be computable. There is a computable function  $h$  such that*

$$\{\Gamma_{h(n)}(0'_e)\}_n = \{\Gamma_{f(n)}(0'_e)\}_n \cap \{\Gamma_{g(n)}(0'_e)\}_n$$

## Proof.

Recall that the  $\Sigma_2^0$  sets are precisely those which are *c.e.* relative to  $0'$ . So let  $\{W_{f(n)}^{0'}\}_n$  and  $\{W_{g(n)}^{0'}\}$  be the uniform families of  $\Sigma_2^0$  sets. Then we define  $h(n)$  as follows:

$$x \in W_{h(\langle e, i \rangle), s}^{0'} \iff x \in W_{f(e), s}^{0'} \cap W_{g(i), s}^{0'} \text{ and } W_{f(e), s}^{0'} \upharpoonright x = W_{g(i), s}^{0'} \upharpoonright x.$$

So we successfully copy  $W_{f(e)}^{0'}$  into  $W_{h(\langle e, i \rangle)}^{0'}$  precisely when  $W_{f(e)}^{0'} = W_{g(i)}^{0'}$ , while  $W_{h(\langle e, i \rangle)}^{0'}$  is finite otherwise. □



## Theorem

*If  $(A, B_0, \dots, B_{n-1})$  form an Ahmad  $n$  pair, the ideal  $\{Z : Z <_e A\}$  has a uniform enumeration.*

## Proof.

By the parameter theorem the ideals  $\{Z : Z \leq_e A\}$  and  $\{Z : Z \leq_e B_i\}$  have uniform enumerations. Therefore so does their intersection  $\mathcal{F}_i := \{Z : Z \leq_e A, B_i\}$ . Then  $\mathcal{F} = \bigcup_{i < n} \mathcal{F}_i$  has a uniform enumeration as well and  $\mathcal{F} = \{Z : Z <_e A\}$ . □

We call a uniform enumeration of  $\{Z : Z <_e A\}$  via indices relative to  $0'_e$ , an Ahmad sequence for  $A$ .

## Definition

A set  $A$  is called  $\text{low}_3$  if  $A''' \leq_e 0'''$ . (We are dealing with enumeration jumps here!)

The results below are stated in terms of jump, although strictly speaking they are statements about the skip. For  $\Sigma_2$  (in fact cototal) degrees, these two notions coincide (upto degree).

## Theorem

*The following are equivalent:*

- ❶  $A$  has an Ahmad sequence  $\{\Gamma_{f(n)}(0'_e)\}$ .
- ❷  $X = \{n : \Gamma_n(A) <_e A\}$  is  $\Sigma_4^0$ .
- ❸  $A$  is  $\text{low}_3$ .

## Proof.

(1  $\implies$  2)  $X \leq_1 A'''$  and so is always  $\Pi_4^0$ . Using the Ahmad sequence it also has a  $\Sigma_4^0$  definition.

(2  $\implies$  3) We will show that  $A''' \leq_e X$  below. Then  $A''' \in \Sigma_4^0$  so  $A''' \leq_e 0'''$ .

(3  $\implies$  1) Note that  $X \leq_e A''' \leq (0'_e)''$  and so  $X$  has a  $\Sigma_3^0(0'_e)$  definition. Let

$$e \in X \iff \exists n \forall m \exists i R(e, n, m, i)$$

with  $R \leq_1 0'_e$ . Then using this we can define an Ahmad sequence  $\{A_{e,n}\}$  for  $A$  such that if  $e \in X$  then  $\exists n (A_{e,n} = \Gamma_e(X))$  while if  $e \notin X$  then  $A_{e,n}$  is finite for every  $n$ . □

## Lemma

*For any  $A$ , there is a computable function  $g$  :*

- ❶  $e \in A^{(3)} \iff \Gamma_{g(e)}^{[i]}(A)$  is finite for every  $i$ .
- ❷  $e \notin A^{(3)} \iff \Gamma_{g(e)}^{[i]}(A)$  is infinite for some  $i$ .

## Lemma

*let  $A >_e 0'_e$ . For any set  $X \leq_e A$ , can uniformly build an enumeration operator  $\Theta$ , such that:*

- ❶  $\Theta(A) <_e A \iff X^{[i]}$  is finite for every  $i$
- ❷  $\Theta(A) \geq_e A \iff X^{[i]}$  is infinite for some  $i$ .

## Corollary

$$A^{(3)} \leq_e \{e : \Gamma_e(A) <_e A\}.$$

## Definition

- 1 A good approximation to  $X$  is a computable sequence  $\{X_s\}_s$  of finite sets with infinitely many good stages  $G_X := \{s : X_s \subseteq X\}$  such that  $\lim_{s \in G_X} X_s(n) = X(n)$  for every  $n$ .
- 2 A correct approximation  $\{Y_s\}$  to  $Y$  with respect to  $\{X_s\}$  satisfies  $G_X \subseteq G_Y$  and  $\lim_{s \in G_X} Y_s(n) = Y(n)$ .

## Proof.

Let  $X = \Delta(A)$  and let  $\{A_s\}_s$  be a good approximation to  $A$ . We shall build the enumeration operator  $\Theta$  to meet the requirements:

$$\mathcal{R}_e : \Gamma_e(\Theta(A)) \neq A \iff X^{[\leq e]} \text{ is finite}$$

- i) Let  $l_{e,s} = l(\Gamma_{e,s}(\Theta(A_s)), A_s)$ . Then  $\forall x \in \Gamma_{e,s}(\Theta(A_s))^{[\leq e]} \cup \mathbb{N}^{(>e]}$ , via axiom  $\langle x, D \rangle$ , add the axiom  $\langle y, A_s \rangle$  into  $\Theta$  for  $y \in D^{(>e]}$ .
- ii) Copy  $A \upharpoonright n$  where  $n = |\Delta_s^{[t]}(A_s)|$  into the  $t^{th}$  column by enumerating axioms  $\langle \langle t, x \rangle, \{x\} \cup A_s \rangle$  into  $\Theta$  for every  $x \leq n$ . □

# Characterizing the left half



## Definition

$A$  is  $n$  join irreducible if for every  $A_0, \dots, A_n <_e A$  there is an  $i, j \leq n$  with  $A_i \oplus A_j <_e A$ .

## Theorem

$A$  is the left half of an Ahmad  $n$ -pair  $\iff A$  is  $\text{low}_3$  and  $n$  join irreducible.

## Proof.

If  $A$  is the left half of an Ahmad  $n$  pair it has an Ahmad sequence and is therefore  $\text{low}_3$ . By the pigeonhole principle,  $A$  is  $n$  join irreducible.

For the converse, suppose  $A$  is  $n$  join irreducible and  $(n-1)$  join reducible. Let  $X_1, \dots, X_n$  satisfy  $X_i \oplus X_j \equiv_e A$  for  $i \neq j$ . Let  $\mathcal{F}_i = \{Z : Z \oplus X_i <_e A\}$ .  $\square$

## Lemma

Let  $f$  be computable and  $\mathcal{F} = \{\Gamma_{f(n)}(A)\}_n$  be an ideal such that  $\forall n (A \not\leq_e \Gamma_{f(n)}(A))$ . Then there is a  $\Sigma_2^0$  set  $B$  with  $A \not\leq_e B$  and  $\forall X \in \mathcal{F} (X \leq_e B)$ .

## Proof.

We will build a  $B$  by coding  $\Gamma_{f(n)}(A)$  into the  $n^{th}$  column of  $B$  while ensuring that  $A \not\leq_e B$ . Let  $\{A_s\}_s, \{B_{n,s}\}_s$  be correct approximations to  $A, \Gamma_{f(n)}(A)$  respectively with respect to a good approximation  $K_s$  to  $\bar{K}$ . We will build an enumeration operator  $\Theta$  so that  $B = \Theta(\bar{K})$  will meet the requirements:

$$\mathcal{N}_e : A \neq \Gamma_e(B)$$

$$\mathcal{P}_n : \Gamma_{f(n)}(A) \leq_e B^{[n]}$$

At stage  $s = 0$ , let  $\Theta = \emptyset$ .

At stage  $s + 1$ :

- 1 For  $e \leq s$  let  $l_{e,s} = l(A_s, \Gamma_{e,s}(B_s))$ . Then  $\forall x < l_{e,s}$  with  $x \in \Gamma_{e,s}(B_s^{[\leq e]} \cup \mathbb{N}^{[>e]})$ , pick the least axiom  $\langle x, D \rangle \in \Gamma_e$  which witnesses this. Now for all  $y \in D^{[>e]}$  enumerate the axioms  $\langle y, K_s \rangle$  into  $\Theta$ .
- 2 For  $n \leq s$  if  $x \in \Gamma_{f(n),s+1}(0'_e)$  then for every new axiom  $\langle x, D \rangle \in \Gamma_{f(n),s+1} - \Gamma_{f(n),s}$  enumerate the axiom  $\langle \langle n, x \rangle, D \rangle$  into  $\Theta$ .



## Lemma

*Suppose  $(A, B)$  is an Ahmad pair. Then  $A' \leq_e B'$ .*

## Theorem

*Suppose  $B$  is  $\text{low}_3$  and  $A \not\leq_e B$ . We can build an enumeration operator  $\Theta$  such that  $\Theta(A) <_e A$  and  $\Theta(A)|_e B$ .*

## Proof.

Suppose  $\{\Theta_n\}_{n \in \omega}$  are a family of enumeration operators. Consider the statement  $\forall n \ \Theta_n(A) \not\leq_e B$ :

$$\forall n, m \exists x (x \in \Theta_n(A) \wedge x \notin \Gamma_m(B)) \vee (x \in \Gamma_m(B) \wedge x \notin \Theta_n(A))$$

This statement is  $\leq_e B'''$  and is  $\Sigma_4$  if  $B$  is  $\text{low}_3$ . Let  $\exists n S_n$  where  $S_n$  is  $\Pi_3^0$  be a  $\Sigma_4$  definition of the statement above. We construct a  $\Theta$  such that its columns  $\Theta^{[n]}$  correspond to  $\Theta_n$ . □

## Proof.

By the recursion theorem, we may assume we know an index for  $\Theta$  and so while constructing  $\Theta$  we can reason about the statement  $\exists n S_n$ . We will ensure that the following holds:

- 1  $S_n \implies \Theta_n(A) <_e A$
- 2  $\neg S_n \implies \Theta_n(A) \equiv_e A$

Consider the following cases:

- 1 The statement is false:  $\exists n \Theta_n(A) \leq_e B$ . Then  $\forall n \neg S_n$  and so by construction  $\Theta_n(A) \equiv_e A$  for every  $n$ , a contradiction to  $A \not\leq_e B$ .
- 2 The statement is true:  $\forall n \Theta_n(A) \not\leq_e B$ . Then we also have  $\exists n S_n$  and so  $\Theta_n(A) <_e A$  for some  $n$  and we are done!

To construct the  $\Theta_n$ 's with the property that  $S_n \iff \Theta_n(A) <_e A$  we just need to use the lemma above! □



We know the following about right halves:

- 1 For every left half  $A$ , there is a high right half  $B$ .
- 2 There is a right half  $B$  which is  $\text{high}_2$  but not high.
- 3 If  $(A, B)$  is an Ahmad pair, then  $A \oplus B$  is  $\text{high}_2$ .
- 4 There is no maximal right half: If  $(A, B)$  is an Ahmad pair, then any degree  $C$  with  $B <_e C <_e A \oplus B$  is such that  $(A, C)$  is an Ahmad pair.
- 5 There is a high degree which does not bound any right half.

We end with some questions.

## Question

*Is there a characterization of the right halves of an Ahmad pair? Do the right halves have to be  $\text{high}_2$ ?*

## Question

*If  $(A, B_0, \dots, B_{n-1})$  is an Ahmad  $n$ -pair, then is  $A \oplus B_i <_e 0'_e$ ? Is there a simpler explanation in this framework for the non cupping of Ahmad pairs?*

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