

University of Chicago lectures.

Lecture (2): K-theory and the  
Hopf invariant one problem.

29 July 2016.

1. The Hopf invariant one problem.

2. Consequences.

3.  $d$ -rings and  $t$ -operations.

4. The proof.

1. The Hopf invariant on problem.

n ≥ 2:  $f: S^{2n-1} \longrightarrow S^n$

$X = X_f = \text{con of } f$

$$\begin{array}{ccc} S^{2n-1} & \longrightarrow & S^n \\ \downarrow & & \downarrow \\ D^{2n} & \longrightarrow & X_f \end{array}$$

~~H^i~~  $H^i(X, \mathbb{Z}) \cong H^{2n}(X, \mathbb{Z}) \cong \mathbb{Z}$ ,  
 $H^i(X, \mathbb{Z}) = 0$  for  $i > 0, i \neq n, 2n$ .

Pick  $x \in H^n(X, \mathbb{Z})$  a generator,  $y \in H^{2n}(X, \mathbb{Z})$  another generator. Then

$x^2 = H(f)y$ ,

where  $H(f) \in \mathbb{Z}$  is the Hopf invariant of  $f$ .

To rigidify the problem, can choose  $y$  in the pullback

$H^{2n}(S^{2n}, \mathbb{Z}) \cong H^{2n}(X_f, \mathbb{Z})$

to be the pullback of the dual to a choice of  $[S^{2n}] \in H_{2n}(S^{2n}, \mathbb{Z})$

Fact. The Hopf invariant induces a group homomorphism

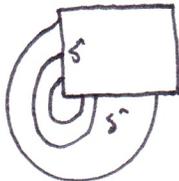
$H: \pi_{2n-1}(S^n) \rightarrow \mathbb{Z}$ .

In particular, it is homotopy invariant.

Exs. (i) If  $f \simeq *$ , then  $X_f \simeq S^n \vee S^{2n}$ ,  $H(f) = 0$ .

(ii) If  $n$  is odd, then  $x^2 = -x^2$ , so  $H(f) = 0$  since  $H^{2n}(X_f, \mathbb{Z})$  is torsion free.

(iii) If  $n$  is even, then  $\text{im}(H) \supseteq 2\mathbb{Z}$ . Take  $J_2(S^n) = S^n \times S^n / (x, e) \sim (e, x)$



Fact, we can view this as  $X_f$  for some  $f$ , and  $H(f) = \pm 2$ .

The identification identifies the two  $n$ -cells  $S^n \times \{e\}$ ,  $\{e\} \times S^n$  inside  $S^n \times S^n$ .

Cor.  $\pi_{2n-1}(S^n)$  contains a copy of  $\mathbb{Z}$  when  $n$  is even.

(iv)  $n=2$ . If  $S^3 \xrightarrow{f} S^2$  is the Hopf map, then

$$X_f \cong \mathbb{C}P^2,$$

and ~~hence~~ <sup>hence</sup>  $H^*(X_f, \mathbb{Z}) \cong \mathbb{Z}[x]/(x^3)$ .

Hence,  $H(f) = \pm 1$ .

(v)  $n=4$ .  $S^7 \xrightarrow{f} S^4$  gives to give  $HP^2$ .  $H(f) = \pm 1$ .

(vi)  $n=8$ .  $S^{15} \xrightarrow{f} S^8$  gives to give  $\mathbb{C}P^2$ .  $H(f) = \pm 1$ .

Q. For which even  $n$  is there an  $f: S^{2m} \rightarrow S^n$   
of Hopf invariant 1?

Thm (Adams, 1960).  $n=2, 4, 8$ .

## 2. Consequences.

Thm. The following are true only for  $n=1,2,4,8$ .

(a)  $\mathbb{R}^n$  is a division algebra.

(b)  $S^{n-1}$  is parallelizable.

(c)  $S^{n-1}$  is an H-space.

proof. That (a)-(c) hold when  $n=1,2,4,8$  follows from the division algebra structures  $\mathbb{R}, \mathbb{C}, \mathbb{H}, \mathbb{O}$  on  $\mathbb{R}^1, \mathbb{R}^2, \mathbb{R}^4, \mathbb{R}^8$ , respectively.

Lemma<sup>1</sup>. If  $\mathbb{R}^n$  is a division algebra or if  $S^{n-1}$  is parallelizable, then  $S^{n-1}$  is an H-space.

proof. If  $\mathbb{R}^n$  is a division algebra,  $(x,y) \mapsto \frac{xy}{|x||y|}$  gives  $S^{n-1}$  an H-space structure.

Now, choose a parallelization of  $S^{n-1}$  such that

$$x, v_1(x), \dots, v_{n-1}(x)$$

is orthonormal for all  $x \in S^{n-1}$  and such that

$$v_1(e), \dots, v_{n-1}(e)$$

is the standard basis at  $e = (1, 0, \dots, 0)$ .

Then,  $x, v_1(x), \dots, v_{n-1}(x)$  is an orthonormal basis for all  $x$ , and hence differs from the standard basis by a unique  $\alpha_x \in SO_n$ .

Setting  $(x,y) \mapsto \alpha_x(y)$  gives an H-space structure with identity  $e$ .

Lemma<sup>2</sup>.  $S^{2n}$  is not an H-space for  $n > 0$ .

Lemma<sup>3</sup>. If  $S^{n-1}$  is an H-space<sup>with  $n > 0$  even</sup>, then there exists  $f: S^{2n-1} \rightarrow S^{2n}$   
with  $H(f) = \pm 1$ .

End of proof. Lemmas 1 and 2 together show that (a)-(c) fail for odd  $n$ . Now, Lemma 1 implies it is enough to check that  $S^{n-1}$  is not an H-space when  $n-1$  is odd and  $n \neq 2, 4, 8$ . Lemma 3 shows that if  $S^{n-1}$  is an H-space, then Hopf invariant  $\pm 1$  is true for  $S^n$ , which only happens for  $n = 2, 4, 8$  by Adams.

3.  $\lambda$ -rings and  $\psi$ -operations.

$E \rightarrow X$  a vector bundle,  $\Lambda^i E$  is another vector bundle.

$$\Lambda^i(E \oplus F) \cong \bigoplus_{m+n=i} \Lambda^m E \otimes \Lambda^n F.$$

This structure gives  $\text{Vect}_{\mathbb{C}}(X)$  a  $\lambda$ -semiring structure.

Def. A  $\lambda$ -semiring is a <sup>commutative</sup> (semi)ring  $R$  together with operations  $\lambda^i: R \rightarrow R, i \geq 0$ , s.t.

$$\lambda^0(x) = 1,$$

$$\lambda^1(x) = x,$$

$$\lambda^i(x+y) = \sum_{m+n=i} \lambda^m(x) \lambda^n(y).$$

Last condition is equivalent to  $\lambda_t(x) = \sum_{i \geq 0} \lambda^i(x) t^i$  defining a ~~monoid~~ <sup>monoid</sup> homomorphism

$$R \longrightarrow 1 + tR[[t]].$$

Lemma. If  $R$  is a  $\lambda$ -semiring, then  $R[[t]]$  is a  $\lambda$ -ring.

Proof.

$$\begin{array}{ccc} R & \longrightarrow & 1 + tR[[t]] \\ | & & | \\ R[[t]] & \longrightarrow & 1 + tR[[t]] \end{array}$$

Cor.  $KU(X)$  is a  $\lambda$ -ring.

$KU(X) \xrightarrow{i^*} \mathbb{Z} \cong KU(*)$  is an augmentation of  $d$ -rings.

If  $n \in \mathbb{Z}$ ,  $\lambda^i(x) = \binom{n}{i}$ ,  $i^*$  commutes with the  $\lambda^i$ .

Adams operations.  $\psi^0(x) = i^*(x)$ .

$$\psi^1(x) = x.$$

$$\psi^2(x) = x^2 - 2\lambda^2(x).$$

$$\psi^k(x) = \prod_{i=1}^k (-1)^{k-i} \binom{k}{i} \lambda^i(x) + \sum_{i=1}^{k-1} (-1)^{i-1} \binom{k-1}{i} \lambda^i(x) \psi^{k-i}(x).$$

~~Def.  $L \in KU(X)$  is a line bundle if  $i^*(L) = 1$ .  
This is the most common case when  $X$  is connected.~~

Facts. (1) Each  $\psi^k$  is a ring endomorphism.

$$(2) \psi^i \psi^k = \psi^k \psi^i = \psi^{ik}.$$

(3) If  $L$  is a line bundle, then  $\psi^k(L) = L^{\otimes k}$ .

(Easy to check from the formulas.)

Prove (1) and (2) using splitting principle.

Lemma.  $\psi^p(x) \equiv x^p \pmod{p}$  when  $p$  is prime.

proof. We can assume that  $x = [E]$ . By the splitting principle,

we can assume  $E = L_1 + \dots + L_n$ . Then,

$$\psi^p(E) = \psi^p(L_1) + \dots + \psi^p(L_n)$$

$$= L_1^{\otimes p} + \dots + L_n^{\otimes p}$$

$$\equiv (L_1 + \dots + L_n)^{\otimes p} \pmod{p}.$$

Lemma. Let  $H$  be the tautological line bundle on  $S^2 \cong \mathbb{C}P^1$ .

Then,  $\tilde{K}U(S^2) \cong \mathbb{Z} \cdot ([H] - 1)$ .

$$\begin{aligned} \psi^k([H] - 1) & \text{ ~~is~~ } \\ & = k([H] - 1). \end{aligned}$$

More generally,

$$\psi^k \left( ([H] - 1)^{\overset{\text{external product}}{\star n}} \right) = k^n ([H] - 1)^{\star n}.$$

proof.  $\psi^k([H] - 1) = H^k - 1$

$$= (1 + (H-1))^k - 1 \quad (\text{since } (H-1)^2 = 0).$$

$$= 1 + k(H-1) - 1$$

$$= k(H-1)$$

Inductively,  $\psi^k \left( \underbrace{(H-1) \star \dots \star (H-1)}_{n \text{ times}} \right) = k^{n-1} (H-1)^{\star n-1} \star k(H-1)$

$$= k^n (H-1)^{\star n}.$$

4. The proof.

Thm. Let  $n=2m$ . Then, there is a map  $S^{2m-1} \rightarrow S^n$  of Hopf invariant  $\pm 1$  if and only if  $n=2,4,8$ .

proof. We've discussed existence. So, suppose  $S^{2m-1} \rightarrow S^n$  is a map with  $n=2m$ . Then,

$$\tilde{K}U(X_f) \cong \mathbb{Z} \oplus \mathbb{Z},$$

fitting in to

$$0 \rightarrow \tilde{K}U(S^{2m}) \rightarrow \tilde{K}U(X_f) \rightarrow \tilde{K}U(S^m) \rightarrow 0.$$

Let  $\alpha \in \tilde{K}U(S^{2m})$  denote  $(H-1)^{*m}$ . Let  $\beta \in \tilde{K}U(X_f)$  map to  $(H-1)^{*m}$ . Since  $((H-1)^{*m})^2 = 0$  in  $\tilde{K}U(S^m)$ ,

$$\beta^2 = h(F)\alpha.$$

Lemma.  $h(F) = \pm H(F)$ .

proof. Black box: because the cohomology of  $X_f$  is concentrated in even degrees, there is a filtration on  $KU(X_f)$  whose associated graded is  $H^*(X_f, \mathbb{Z})$ .

$$\psi^k(\alpha) = k^1 \alpha = k^{2^m} \alpha.$$

$$\psi^k(\beta) = k^m \beta + \mu_k \alpha.$$

Ex 21.

$$\psi^2(\psi^3(\beta)) = \psi^2(3^m \beta + \mu_3 \alpha)$$

$$= 3^m \psi^2(\beta) + 2^{2m} \mu_3 \alpha$$

$$= 3^m (2^m \beta + \mu_2 \alpha) + 2^{2m} \mu_3 \alpha.$$

$$\psi^3(\psi^2(\beta)) = \psi^3(2^m \beta + \mu_2 \alpha)$$

$$= 2^m (3^m \beta + \mu_3 \alpha) + 3^{2m} \mu_2 \alpha.$$

$$\text{Hence, } 3^m \mu_2 + 2^{2m} \mu_3 = 2^m \mu_3 + 3^{2m} \mu_2, \text{ or}$$

$$(2^{2m} - 2^m) \mu_3 = (3^{2m} - 3^m) \mu_2.$$

$$\text{Now, } \psi^2(\beta) \equiv \beta^2 \pmod{2}$$

$$= h(f) \alpha.$$

Hence,

$$h(f) \alpha \equiv 2^m \beta + \mu_2 \alpha \pmod{2}$$

$$\equiv \mu_2 \alpha \pmod{2}.$$

So,  $\mu_2$  is odd. Now,  $2^m$  must divide  $3^{2m} - 3^m = 3^m(3^m - 1)$ ,  
so  $2^m$  divides  $3^m - 1$ .

Lemma/Exercise. IF  $2^m \mid 3^m - 1$ , then  $m = 1, 2, 4$ .