

# ALGEBRAICITY OF $BG$ AND $\text{Bun}_G$

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ABSTRACT. We will first review the definition of stacks and algebraic stacks, then introduce the classifying stack  $BG$  and show that it is an algebraic stack. We will also introduce the stack  $\text{Bun}_{G,X}$  and focus on the case when  $G = \text{GL}_n$  and  $X$  is a smooth projective curve over an algebraically closed field  $k$ . We will recall Weil's automorphic interpretation of  $\text{Bun}_{n,X}(k)$ . Finally we will sketch a proof for the algebraicity of  $\text{Bun}_{n,X}$ .

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Any corrections and comments are welcome.

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## 1. PRESTACKS AND STACKS

We recall the definition of prestacks and stacks from Justin's talk.

Let  $k$  be a commutative ring, and  $\text{CAlg}$  be the category of commutative  $k$ -algebras. By definition, an affine  $k$ -scheme is a representable functor from  $\text{CAlg}$  to the category of Sets. Via Yoneda's lemma we will freely identify  $\text{CAlg} \simeq \text{Aff}^{\text{op}}$ .

Recall that  $\text{PreStk} := \text{Fun}(\text{CAlg}, \text{Grpd})$ . To make it precise, for  $\mathfrak{X} \in \text{PreStk}$ , any  $A \in \text{CAlg}$ ,  $\mathfrak{X}(A)$  is a groupoid, and for any morphism  $f : A \rightarrow B \in \text{Hom}_{\text{CAlg}}(A, B)$ , there exists a functor  $\mathfrak{X}(f) : \mathfrak{X}(A) \rightarrow \mathfrak{X}(B)$  between groupoids satisfying various compatibilities:

- (1) There exists a natural isomorphism  $\epsilon_A : \mathfrak{X}(\text{id}_A) \simeq \text{id}_{\mathfrak{X}(A)}$ ;
- (2) For a diagram in  $\text{CAlg}$ ,

$$X \xrightarrow{f} Y \xrightarrow{g} Z$$

there exists a natural isomorphism between functors  $\eta_{f,g} : \mathfrak{X}(g \circ f) \simeq \mathfrak{X}(g) \circ \mathfrak{X}(f)$  satisfying "composition relation", i.e. whenever we have a diagram in  $\text{CAlg}$ ,

$$X \xrightarrow{f} Y \xrightarrow{g} Z \xrightarrow{h} W$$

the following diagram is commutative

$$\begin{array}{ccc} \mathfrak{X}(h \circ g \circ f) & \xrightarrow{\eta_{h,g \circ f}} & \mathfrak{X}(h) \circ \mathfrak{X}(g \circ f) \\ \downarrow \eta_{h \circ g, f} & & \downarrow \text{id}_{\mathfrak{X}(h)} * \eta_{g \circ f} \\ \mathfrak{X}(h \circ g) \circ \mathfrak{X}(f) & \xrightarrow{\eta_{h,g} * \text{id}_{\mathfrak{X}(f)}} & \mathfrak{X}(h) \circ \mathfrak{X}(g) \circ \mathfrak{X}(f) \end{array}$$

(3) For any  $f : U \rightarrow V \in \text{Hom}_{\text{CAlg}}(U, V)$ , and  $\xi \in \text{Ob}(\mathfrak{X}(U))$ ,

$$\eta_{\text{id}_U, f}(\xi) = \epsilon_V(\mathfrak{X}(f)(\xi)), \quad \eta_{f, \text{id}_V}(\xi) = \mathfrak{X}(f)(\epsilon_U(\xi)).$$

In literature  $\mathfrak{X}$  is usually called a pseudo-functor.

A stack is just a prestack with gluing conditions whenever we equip  $\text{Aff}$  with suitable Grothendieck topology. Notice that we need to glue both morphisms and objects. In the following, we will freely interchange  $\text{CAlg}$  and  $\text{Aff}^{\text{op}}$  when necessary.

**Remark 1.0.1.** In the following, we will always use the étale topology on  $\text{Aff}$ , which consists of collections of morphisms of affine schemes  $\{U_i \rightarrow U\}_{i \in I}$  with  $I$  finite,  $U_i \rightarrow U$  étale and  $\bigsqcup_i U_i \rightarrow U$  fully faithful.

**Definition 1.0.2** (Stack).  $\mathfrak{X} \in \text{PreStk}$  is called a Zariski/étale/smooth/fppf/fpqc stack if for any covering  $\{U_i \rightarrow U\}$

(1) (Gluing objects) Given  $x_i \in \text{Ob}(\mathfrak{X}(U_i))$  and morphisms  $\phi_{i,j} : x_i|_{U_{i,j}} \rightarrow x_j|_{U_{i,j}}$  satisfying the cocycle condition

$$\phi_{i,j}|_{U_{i,j,k}} \circ \phi_{j,k}|_{U_{i,j,k}} = \phi_{i,k}|_{U_{i,j,k}}$$

(This is usually called a descent datum for  $\mathfrak{X}$  w.r.t. the covering  $\{U_i \rightarrow U\}$ ) there exists an object  $x \in \mathfrak{X}(U)$  and isomorphisms  $\phi_i : x|_{U_i} \simeq x_i \in \mathfrak{X}(U_i)$  such that

$$\phi_{j,i} \circ \phi_i|_{U_{i,j}} = \phi_j|_{U_{i,j}}, \quad \forall i, j \in I$$

(Usually call the descent datum is effective);

(2) (Gluing morphisms) For any  $x, y \in \mathfrak{X}(U)$ , the presheaf

$$\underline{\text{Isom}}_U(x, y) : (U_i \rightarrow U) \mapsto \text{Hom}_{\mathfrak{X}(U_i)}(x|_{U_i}, y|_{U_i})$$

is a sheaf. Equivalently,

a) For  $x, y \in \text{Ob}(\mathfrak{X}(U))$  and  $\phi_i : x|_{U_i} \rightarrow y|_{U_i}$  such that

$$\phi_i|_{U_{i,j}} = \phi_j|_{U_{i,j}}$$

there exists a unique morphism  $\eta : x \rightarrow y$  such that  $\eta|_{U_i} = \phi_i$ .

b) Given  $x, y \in \text{Ob}(\mathfrak{X}(U))$  and morphisms  $\phi : x \rightarrow y, \psi : x \rightarrow y$ , such that

$$\phi|_{U_i} = \psi|_{U_i}$$

then  $\phi = \psi$ .

Let us see some examples.

**Example 1.0.3.** For  $S \in \text{Aff}$ , let  $\text{Bun}_{n,S}$  be the prestack of rank  $n$  vector bundles over  $\text{Aff}$ , which sends  $X \in \text{Aff}$  to  $\text{Bun}_{n,S}(X)$  the groupoid of rank  $n$ -vector bundles over  $X_S := X \times S$  with vector bundle isomorphisms between them. A morphism between two vector bundles  $\mathcal{V}_1 \rightarrow X_{S_1}$  and  $\mathcal{V}_2 \rightarrow X_{S_2}$  is just a morphism  $\phi : S_1 \rightarrow S_2$  with an isomorphism  $\mathcal{V}_1 \simeq (\text{id}_X \times \phi)^* \mathcal{V}_2$ , i.e. an isomorphism between  $\mathcal{V}_1$  and the pull-back of  $\mathcal{V}_2$  along the map  $\text{id}_X \times \phi$ .

Notice that the prestack structure is clear because the natural transformations attached to  $\phi : S_1 \rightarrow S_2$  is given by the pull-back of vector bundles, while the pull-back of vector bundles is functorial respecting various compositions.

For the stacky structure, property 1) (Descent is effective) says that the vector bundle  $(\mathcal{V}_i \rightarrow U_i)$  on an open cover  $\{U_i \rightarrow U\}$  can be glued to a vector bundle on  $U$  whenever there are isomorphisms  $\alpha_{i,j} : \mathcal{V}_i|_{U_{i,j}} \simeq \mathcal{V}_j|_{U_{i,j}}$  satisfying the cocycle conditions. But this is exactly the definition of vector bundle we have seen in differential/algebraic geometry. Property 2) (Gluing morphisms) says that isomorphisms of vector bundles over the same base scheme can be defined locally on an open cover and glued in a unique way if they agree on the overlap, but this is just the definition.

It turns out that for vector bundles,  $*$ =Zariski/étale/fppf locally trivial are all equivalent. As one can see, the cocycle condition shows that rank  $n$ -vector bundles on  $X$  are classified by the Čech cohomology  $\check{H}_*^1(X, \mathrm{GL}_n)$ . It turns out that  $\check{H}_*^1(X, \mathrm{GL}_n)$  are all isomorphic, which follows from the fact that any finite projective module are locally free in Zariski topology. Here we view  $\mathrm{GL}_n$  as a representable sheaf on the corresponding site (indeed, by Grothendieck, any scheme is a fpqc, and hence  $*$  sheaf).

When  $X = \mathrm{Spec}(k)$ , we denote the stack by  $B_n$ .

## 2. $G$ -BUNDLES

You must have already heard that vector bundles are equivalent to  $\mathrm{GL}_n$ -bundles. In general, let  $G$  be a smooth affine group scheme of finite type over  $k$  (Equivalently, we can view  $G$  as a representable sheaf of groups in Zariski topology), for instance you may take  $G = \mathrm{GL}_n, \mathrm{Sp}_{2n}$  and let  $X$  be a scheme. A  $G$ -bundle (torsor) over  $X$ , by definition, is a sheaf  $\mathcal{P}$  on  $\mathrm{Aff}_{\mathrm{ét}}$  with a  $G$ -action  $G \times \mathcal{P} \rightarrow \mathcal{P}$ , such that there exists an étale cover  $\{U_i \rightarrow X\}$  with  $\mathcal{P}|_{U_i} \simeq U_i \times G$  (as trivial  $G$ -bundle over  $U_i$ ) and the  $G$ -action is locally trivial. Here for a  $G$ -action we mean that as a sheaf of sets, for the above cover  $\{U_i \rightarrow X\}$ , the map  $G(U_i) \times \mathcal{P}(U_i) \rightarrow \mathcal{P}(U_i)$  is the usual group action in set-theoretic sense.

Notice that in Justin's talk, a scheme is defined to be a Zariski sheaf on  $\mathrm{Aff}$  with surjective open immersion affine covers. Hence every schematic issues can be pull-back to the affine cover. But let us not worry about it and imagine we are in the usual world of schemes.

Here we assume that  $G$  is smooth, hence a  $G$ -bundle in étale topology is the same as a  $G$ -bundle in fppf topology. If  $G$  is not smooth (e.g. in positive characteristic) one need to work with fppf topology.

Using fpqc descent for affine morphisms, one can show that  $\mathcal{P}$  is represented by an affine scheme over  $X$ . On the other hand, if  $G$  is not affine, as shown in Justin's talk, it can happen that a  $G$ -bundle is not representable by a scheme.

For a scheme  $X$ , let  $\mathrm{Bun}_{G,X}$  be the stack sending  $S \in \mathrm{Aff}$  to the groupoid of  $G$ -bundles on  $X_S$  with the morphisms being  $G$ -equivariant morphisms. In particular, any  $G$ -equivariant morphisms between two  $G$ -bundles with the same base scheme  $(\mathcal{P}_1 \rightarrow S) \mapsto (\mathcal{P}_2 \rightarrow S)$  is necessarily an isomorphism, simply because they are locally trivial after pulling back to an étale cover, and the  $G$ -equivariant morphism on trivial bundles must be an isomorphism. In general, for two  $G$ -bundles  $\mathcal{P}_1 \rightarrow S_1$  and  $\mathcal{P}_2 \rightarrow S_2$ , a morphism between them is a

$G$ -equivariant commutative diagram

$$\begin{array}{ccc} \mathcal{P}_1 & \longrightarrow & \mathcal{P}_2 \\ \downarrow & & \downarrow \\ S_1 & \longrightarrow & S_2 \end{array}$$

Notice that such a commutative diagram must be Cartesian, simply because one has a  $G$ -equivariant map  $(\mathcal{P}_1 \rightarrow S_1) \mapsto (\mathcal{P}_2 \times_{S_2} S_1 \rightarrow S_1)$  which is necessarily an isomorphism by the above discussion.

Indeed  $\text{Bun}_{G,X}$  is a stack. The prestack structure is clear by the functoriality of pullback, and the gluing condition follows from descent theory for fppf sheaves. When  $X = \text{Spec} k$  we denote it as  $BG$  and will also write it as  $[\cdot/G]$ .

**Remark 2.0.1.** One can show that  $\text{Bun}_{n,X}$  is isomorphic to  $\text{Bun}_{\text{GL}_n,X}$ . to make it precise, for a  $\text{GL}_n$ -bundle  $\mathcal{P}_n \rightarrow X_S$  with descent datum  $\{(\text{GL}_n \times U_i \simeq \underline{\text{Isom}}(\mathcal{O}_i^n, \mathcal{O}_i^n), g_{i,j})\}$ , using the defining representation one can construct a vector bundle  $(\mathcal{P}_n \times \mathcal{O}_{X_S}^n)/\text{GL}_n$  on  $X_S$  with descent datum  $\{(\mathcal{O}_i^n \times T_i, g_{i,j})\}$ . Conversely, given a vector bundle  $\mathcal{V}_n \rightarrow X_S$ , one can consider the sheaf  $\underline{\text{Isom}}(\mathcal{O}_{X_S}^n, \mathcal{V})$  which has a natural  $\text{GL}_n$ -torsor structure with descent datum  $\{(\text{GL}_n \times U_i, g_{i,j})\}$ . Indeed the constructions give an isomorphism between the two stacks.

### 3. ALGEBRAICITY OF $BG$

We show that  $BG$  is an algebraic stack.

First let us recall the definition of algebraic spaces and algebraic stacks from Justin's talk.

**Definition 3.0.1.** Let  $\mathcal{F}$  be a sheaf on  $\text{Aff}_{\text{et}}$ .  $\mathcal{F}$  is called an algebraic space if

- (1) For any  $U \in \text{Ob}(\text{Aff})$ ,  $U \rightarrow \mathcal{F}$  is representable by schemes  $\iff \Delta : \mathcal{F} \rightarrow \mathcal{F} \times \mathcal{F}$  is representable by schemes;
- (2) There exists an étale surjective covering  $\{U_i \rightarrow \mathcal{F}\}$  with  $U_i \in \text{Ob}(\text{Aff})$ .

Let  $\mathcal{X}$  be a stack on  $\text{Aff}_{\text{et}}$ .  $\mathcal{X}$  is called an algebraic stack if

- (1) For any  $U \in \text{Ob}(\text{Aff})$ ,  $U \rightarrow \mathcal{X}$  is representable by algebraic spaces  $\iff \Delta : \mathcal{X} \rightarrow \mathcal{X} \times \mathcal{X}$  is representable by algebraic spaces;
- (2) There exists a smooth surjective covering  $\{U_i \rightarrow \mathcal{X}\}$  with  $U_i \in \text{Ob}(\text{Aff})$ ;

**Remark 3.0.2.** Actually we can relax the definitions above by only requiring the existence of a representable by schemes/algebraic spaces surjective étale/smooth atlas  $\{U_i \rightarrow *\}$ .

In the following let us show that  $BG$  is an algebraic stack.

To do so, following the definition, we need to find a smooth atlas for  $BG = [\cdot/G]$ . A natural candidate is given by the trivial bundle on  $\text{Spec}(k) = \cdot$ :

$$\cdot \xrightarrow{\text{tr}} BG.$$

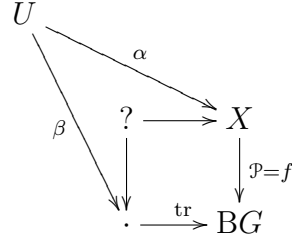
Let us show that indeed it is a smooth surjective atlas. For any  $X \in \text{Aff}$  with a  $G$ -torsor  $\mathcal{P} \rightarrow X$  corresponding to  $f : X \rightarrow BG$ , consider the fiber product

$$\begin{array}{ccc} ? & \longrightarrow & X \\ \downarrow & & \downarrow f=\mathcal{P} \\ \cdot & \xrightarrow{\text{tr}} & BG \end{array}$$

In general, recall that given two morphisms (i.e. a 2-natural transformation with various compatibilities) between prestacks  $i_1 : \mathfrak{X}_1 \rightarrow \mathcal{Y}$  and  $i_2 : \mathfrak{X}_2 \rightarrow \mathcal{Y}$ , their fiber product  $\mathfrak{X}_1 \times_{\mathcal{Y}} \mathfrak{X}_2$  is defined to be the prestack whose evaluation at  $U \in \text{Aff}$  is given by the groupoid

$$(\mathfrak{X}_1 \times_{\mathcal{Y}} \mathfrak{X}_2)(U) = \{(x_1, x_2, \alpha) \mid x_1 \in \text{Ob}(\mathfrak{X}_1(U)), x_2 \in \text{Ob}(\mathfrak{X}_2(U)), \alpha : i_1(x_1) \simeq i_2(x_2)\}$$

Returning to our situation, a  $V \in \text{Ob}(\text{Aff})$ -point of the fiber product is given by



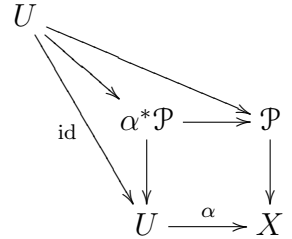
which consists of

$$\begin{aligned}
 (\cdot \times_{BG} X)(U) &= \{(\alpha, \beta, \phi) \mid \phi : f \circ \alpha \simeq \text{tr} \circ \beta\} \\
 &= \{(\alpha, \phi) \mid \phi : \alpha^* \mathcal{P} \simeq G \times U\}
 \end{aligned}$$

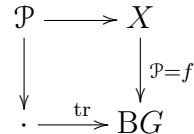
Notice that a  $G$ -bundle is trivial if and only if it has a global section, hence

$$= \{(\alpha, s) \mid s : U \rightarrow \alpha^* \mathcal{P} \text{ is a section}\} = \mathcal{P}(U)$$

using the pull-back diagram



It follows that  $(\cdot \times_{BG} X)(U) = \mathcal{P}(U)$  and hence is representable by the  $G$ -torsor, i.e. we have the following Cartesian diagram



It follows that  $\text{tr} : \cdot \rightarrow BG$  is a smooth surjective atlas since we assume  $G$  is smooth and hence  $\mathcal{P} \rightarrow X$  is smooth.

For the representability of the diagonal, the idea is similar. By definition, for any  $X \in \text{Aff}$  with two  $G$ -bundles  $\mathcal{P}_1, \mathcal{P}_2$  mapping to  $BG \times BG$ , for any  $U \in \text{Aff}$ , we look at

$$(X \times_{BG \times BG} BG)(U) = \{(f, g, \phi) \mid \phi : \Delta \circ g \simeq (\mathcal{P}_1, \mathcal{P}_2)\}$$

which can be identified as

$$\{(f, g, \phi) \mid \phi : (f^* \mathcal{P}_1, f^* \mathcal{P}_2) \simeq (\mathcal{P}_g, \mathcal{P}_g)\} \simeq \{(f, \phi) \mid \phi : f^* \mathcal{P}_1 \simeq f^* \mathcal{P}_2\} = \text{Isom}(\mathcal{P}_1|_U, \mathcal{P}_2|_U)$$

which, through passing to a locally étale trivialization cover, checked that it is still a  $G$ -torsor, and hence a scheme.

It follows that we complete the proof that  $BG$  is an algebraic stack.

Similarly one can define the quotient stack  $[Z/G]$  for  $Z$  a scheme acted by  $G$ , whose evaluation at  $U \in \text{Aff}$  consisting of  $G$ -bundles  $\mathcal{P} \rightarrow U$  and  $G$ -equivariant morphisms  $\mathcal{P} \rightarrow Z$ . One can show that  $[Z/G]$  is an algebraic stack.

#### 4. AUTOMORPHIC INTERPRETATION OF $\text{Bun}_n$ D'APRÈS WEIL

In the following, for convenience let us assume that  $k = \bar{k}$  is an algebraically closed field and  $X$  is a smooth projective curve over  $k$ . Let us restrict to  $G = \text{GL}_n$  and hence vector bundles of rank  $n$  in the following discussion.

Before proving the algebraicity of  $\text{Bun}_{n,X}$  we would like to give an automorphic interpretation of the groupoid  $\text{Bun}_{n,X}(k)$  following Weil. This can be viewed as a motivation for number theorist (it is the case for me!) to study  $\text{Bun}_{n,X}$  and more generally  $\text{Bun}_{G,X}$ .

Let  $F = k(X)$ ,  $\eta = \text{Spec}(F)$  and  $|X| =$  set of closed points of  $X$ . For any  $x \in |X|$ , set  $F_x \simeq k((t)) \supset \mathcal{O}_x \simeq k[[t]]$ . Set

$$F \subset \mathbb{A} = \prod_{x \in |X|} F_x = \{(a_x)_{x \in |X|} \mid x \in \mathcal{O}_x \text{ a.a. } x \in |X|\} \supset \mathcal{O} = \prod_{x \in |X|} \mathcal{O}_x$$

We claim that there is an isomorphism of groupoids

$$\text{Bun}_{n,X}(k) \simeq \text{GL}_n(F) \backslash \text{GL}_n(\mathbb{A}) / \text{GL}_n(\mathcal{O})$$

By definition  $\text{Bun}_{n,X}(k)$  classifies rank  $n$ -vector bundles on  $X$ . Let  $\mathcal{V} \rightarrow X$  be a vector bundle of rank  $n$ . Then by (Zariski) local triviality  $V|_\eta$  is a vector space of dimension  $n$ , and for any  $x \in |X|$ ,  $V|_{X_x \simeq \text{Spec}(\mathcal{O}_x)}$  is a free  $\mathcal{O}_x$ -module of rank  $n$ .

To define  $\mathcal{V} \rightarrow X$ , we need gluing datum on their intersection  $X_x^\bullet = X_x \cap \eta = \text{Spec}(k((t)))$ :  $V|_{X_x} \otimes_{\mathcal{O}_x} F_x \simeq V|_\eta \otimes_F F_x$ . After fixing trivialization  $\xi_\eta : F^n \simeq V$  and  $\xi_x : \mathcal{O}_x^n \simeq V|_{X_x}$ , set  $g_x = \xi_\eta^{-1} \circ \xi_x|_{X_x^\bullet} \in \text{GL}_n(F_x)$ . Set  $g = (g_x)_{x \in |X|}$ . Notice that indeed  $g \in \text{GL}_n(\mathbb{A})$  since the trivialization  $\xi_\eta$  is defined over a Zariski open dense subset  $U \subset X$ . In particular for  $x \in |U|$ ,  $g_x \in \text{GL}_n(\mathcal{O}_x)$ .

It follows that a tuple  $(\xi_\eta, (\xi_x)_{x \in |X|})$  which is determined by a vector bundle  $\mathcal{V} \rightarrow X \in \text{Bun}_{n,X}(k)$  provides an element in  $\text{GL}_n(F) \backslash \text{GL}_n(\mathbb{A}) / \text{GL}_n(\mathcal{O})$  where the quotients by  $\text{GL}_n(F)$  and  $\text{GL}_n(\mathcal{O})$  comes from the isomorphisms for the datum  $(\xi_\eta, (\xi_x)_{x \in |X|})$ . Conversely from Beauville–Laszlo’s patching theorem (or fpqc descent is enough), given a point in the double quotient  $\text{GL}_n(F) \backslash \text{GL}_n(\mathbb{A}) / \text{GL}_n(\mathcal{O})$  it provides a vector bundle on  $X$ . Moreover, it is an isomorphism of groupoid. For any coset  $[g] = \text{GL}_n(F) \cdot g \cdot \text{GL}_n(\mathcal{O})$  the corresponding  $G$ -bundle has centralizer  $\text{GL}_n(F) \cap g \cdot \text{GL}_n(\mathcal{O}) \cdot g^{-1}$ .

The same discussion applies to other groups as long as we can trivialize any  $G$ -bundles over the generic point. For instance it works for connected reductive groups (also see the work of Drinfeld-Simpson).

#### 5. ALGEBRAICITY OF $\text{Bun}_n$

In the following we will still assume that  $X$  is a smooth projective curve over an algebraically closed field  $k = \bar{k}$ .

The algebraicity of  $\text{Bun}_{G,X}$  can be reduced to  $\text{Bun}_{n,X}$ . To make it precise, fix a group embedding  $G \hookrightarrow \text{GL}_n$ . This induces a morphism of algebraic stacks  $BG \rightarrow \text{BGL}_n$  sending  $\mathcal{P}$  to  $(\mathcal{P} \times \text{GL}_n)/G$ . It turns out that for any  $\mathcal{P}_n : U \in \text{Aff} \rightarrow \text{BGL}_n$ , we have  $\mathcal{P}_n \times_{\text{BGL}_n} BG \simeq \mathcal{P}_n/G \simeq (\mathcal{P}_n \times \text{GL}_n/G)/\text{GL}_n$ . Hence this morphism is schematic and quasi-projective, from

which one can deduce that  $\text{Bun}_{G,X} \rightarrow \text{Bun}_{n,X}$  is schematic. In particular the diagonal of  $\text{Bun}_{n,X}$  is schematic.

It remains to construct a smooth atlas for  $\text{Bun}_{n,X}$ .

From Justin's talk, we have seen that for any  $0 < k < n$ , the following functor

$$\begin{aligned} \text{Gr}(k, n) : \text{Aff} &\rightarrow \text{Sets} \\ S &\mapsto \{ \mathcal{O}_S^n \twoheadrightarrow Q \mid Q \text{ locally free of rank } r \} \end{aligned}$$

is represented by a projective scheme which is usually called the Grassmannian. When  $k = 1$  it is just the projective space  $\mathbb{P}^n$ .

It turns out that every vector bundle can arise in the above way in a suitable sense. To make it more precise, by Serre vanishing, for a projective morphism  $p : X_U \rightarrow U$  with  $U \in \text{Aff}$ , fix a relative ample line bundle  $\mathcal{O}_{X_U}(1)$ , then for any coherent sheaf  $\mathcal{E}_U$  on  $X_U$ , there exists an integer  $r_0$  such that for any  $r \geq r_0$ ,  $H^1(X_U, \mathcal{E}_U(r)) \simeq \mathcal{E}_U \otimes \mathcal{O}_{X_U}(1)^{\otimes r} = 0$  and  $H^0(X_U, \mathcal{E}_U(r)) \otimes \mathcal{O}_{X_U} \twoheadrightarrow \mathcal{E}_U(r)$  is surjective, i.e.  $\mathcal{E}_U(r)$  is generated by global sections for  $r \geq r_0$ . In other words,  $\mathcal{O}_{X_U}(-r)^{\dim H^0(X_U, \mathcal{E}_U(r))} \twoheadrightarrow \mathcal{E}_U$  for  $r \geq r_0$ .

In general, Grothendieck introduces the following generalization called the Quot scheme. To make it precise, let  $\mathcal{E}$  be a coherent sheaf on  $X$ , consider the functor

$$\begin{aligned} \text{Quot}_{\mathcal{E}/X/k} : \text{Aff} &\rightarrow \text{Sets} \\ U &\mapsto \{ (\mathcal{F}, q) \mid \mathcal{F} \in \text{QCoh}_{\text{fin,pre}}(X_U), \mathcal{F} \text{ flat over } U, q : \mathcal{E}_U \twoheadrightarrow \mathcal{F} \} \end{aligned}$$

Then Grothendieck shows that  $\text{Quot}_{\mathcal{E}/X/k}$  is a disjoint union of projective schemes stratified by the Hilbert polynomial of  $\mathcal{F}$ . To make it precise, fix an ample line bundle  $\mathcal{O}_X(1)$  on  $X$ . With the above notation, for any  $s \in U$  a closed point, set  $P_{\mathcal{F}_s}(r) = \chi(X_s, \mathcal{F}_s(r)) = \sum_{i=0}^1 (-1)^i \cdot \dim H^i(X_s, \mathcal{F}_s \otimes \mathcal{O}_X(1)^{\otimes r})$ . By Serre vanishing and Riemann-Roch, for  $r \gg 0$ ,  $P_{\mathcal{F}_s}(r) = \chi(X_s, \mathcal{F}_s(r)) = \dim H^0(X_s, \mathcal{F}_s \otimes \mathcal{O}_X(1)^{\otimes r})$  is a polynomial in  $r$ . Set  $\text{Quot}_{\mathcal{E}/X/k}^P$  consisting  $(\mathcal{F}, q)$  such that  $P_{\mathcal{F}_s}(r) = P(r)$  for any  $s \in U$ . Then  $\text{Quot}_{\mathcal{E}/X/k}^P$  is represented by a projective scheme and  $\bigsqcup_P \text{Quot}_{\mathcal{E}/X/k}^P = \text{Quot}_{\mathcal{E}/X/k}$ .

For our purpose, we consider the sub-functor when  $\mathcal{E}$  is a vector bundle and the quotient is also a vector bundle

$$\begin{aligned} \text{Quot}_{\mathcal{E}/X/k}^\circ : \text{Aff} &\rightarrow \text{Sets} \\ U &\mapsto \{ (\mathcal{F}, q) \mid \mathcal{F} \text{ locally free } q : \mathcal{E}_U \twoheadrightarrow \mathcal{F} \} \end{aligned}$$

It turns out that  $\text{Quot}_{\mathcal{E}/X/k}^\circ$  is representable by an open subscheme of  $\text{Quot}_{\mathcal{E}/X/k}$  which can also be stratified by the Hilbert polynomial.

Returning to our problem, for any  $U \in \text{Aff}$ ,  $\text{Bun}_{n,X}(U)$  classifies vector bundles  $\mathcal{E}_U$  of rank  $n$  over  $X_U$ . By Serre vanishing, there exists  $r_0 \in \mathbb{N}$  such that  $\mathcal{O}_{X_U}^{\dim H^0(X_U, \mathcal{E}_U(r))}(-r) \twoheadrightarrow \mathcal{E}_U$  and  $H^1(X_U, \mathcal{E}_U(r)) = 0$  for any  $r \geq r_0$ . In particular there is a surjection  $H^0(X_U, \mathcal{E}_U(r)) \otimes \mathcal{O}_{X_U} \twoheadrightarrow \mathcal{E}_U(r)$ . Therefore any vector bundle  $\mathcal{E}_U$  over  $X_U$  is a quotient of  $\mathcal{O}_{X_U}^{\dim H^0(X_U, \mathcal{E}_U(r))}(-r)$ . Furthermore, for any closed point  $s \in U$ , by Riemann-Roch  $\chi(X_s, \mathcal{E}_s(r)) = \dim H^0(X_s, \mathcal{E}_s(r)) = \deg(\mathcal{E}_s(r)) + \text{rk}(\mathcal{E}_s(r))(g-1) = n \cdot \deg(\mathcal{O}_X(r)) + \deg(\mathcal{E}_s) + n \cdot (g-1)$  depends only on the fiberwise degree of  $\mathcal{E}_U$ . Notice that since  $\mathcal{E}_U$  is a flat family, the Hilbert polynomial and hence the fiberwise degree is fixed. It follows that we have a morphism

$$\bigsqcup_{d \in \mathbb{Z}} \bigsqcup_{r \geq 0} \text{Quot}_{\mathcal{O}(-r)^{n \cdot \deg(\mathcal{O}_X(r)) + d + n \cdot (g-1)}/X/k}^\circ \rightarrow \bigsqcup_{d \in \mathbb{Z}} \text{Bun}_{n,X}^d = \text{Bun}_{n,X}$$

where  $\text{Bun}_{n,X}^d$  is the substack of vector bundles of fiberwise degree  $d$ . Now based on the above discussion, the above morphism is a surjection. Actually, for any fixed  $r$ , one can show that this is a  $\text{GL}_{n \cdot \deg(\mathcal{O}_X(r)) + d + n \cdot (g-1)}$ -bundle, and hence is a desired smooth atlas.

Once we have a smooth atlas, we can define the notion of tangent and cotangent complex, which will be discussed next time by Prof. Ginzburg.

#### REFERENCES

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