# The first-order part of computational problems 

Manlio Valenti<br>manliovalenti@gmail.com<br>Joint work with Giovanni Soldà<br>Midwest Computability Seminar<br>01 Nov 2022

## Motivation

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$$
(P, \leq)
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## $a$

b

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VI

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If $c$ and $d$ are maxima (in the resp. lower cones) satisfying some property $\varphi$ then

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c \not \leq d \Rightarrow a \not \leq b
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$g \leq_{\mathrm{sW}} f: \Longleftrightarrow g \leq_{\mathrm{W}} f$ and $\Psi$ does not depend on $p$.


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\begin{aligned}
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If $g$ has codomain $Y$ and there is a computable injection $Y \rightarrow \mathbb{N}$ with computable inverse we say that it is first-order.

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Given $p$, we can uniformly compute an index $w \in \mathbb{N}^{\mathbb{N}}$ for the map $q \mapsto \Psi(p, q)$.


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Given $p$, we can uniformly compute an index $w \in \mathbb{N}^{\mathbb{N}}$ for the map $q \mapsto \Psi(p, q)$.
$g(p) \subset \mathbb{N}$, hence for every $q \in f(\Phi(p))$,


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\Phi_{w}(q)(0)=\Psi(p, q)(0) \downarrow \in g(p)
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Define ${ }^{1} f: \subseteq \mathbb{N}^{\mathbb{N}} \times \mathbb{N}^{\mathbb{N}} \rightrightarrows \mathbb{N}$ as

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{ }^{1} f(w, x):=\left\{\Phi_{w}(q)(0): q \in f(x)\right\} .
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It follows that $g \leq_{\mathrm{W}}{ }^{1} f \leq_{\mathrm{W}} f$.


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input : $(w, x)$ s.t. $x \in \operatorname{dom}(f)$ and, for every solution $q \in f(x), \Phi_{w}(q)(0) \downarrow$
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output : any $n$ s.t. $\Phi_{w}(q)(0)=n$ for some $q \in f(x)$
${ }^{1}(\cdot)$ is an interior operator:

- ${ }^{1}\left({ }^{1} f\right) \equiv{ }_{\mathrm{W}}{ }^{1} f \leq_{\mathrm{W}} f$
- $f \leq_{\mathrm{W}} g \Rightarrow{ }^{1} f \leq_{\mathrm{W}}{ }^{1} g$

In particular, ${ }^{1} f \not \equiv_{\mathrm{W}}{ }^{1} g \Rightarrow f \not \equiv{ }_{\mathrm{W}} g$.

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By the continuity of $\Phi_{w}=\Psi(p, \cdot)$, only a prefix of $q$ is needed to solve $g$.
$q[n]$ is sufficiently long so that $\Phi_{w}$ converges on 0 .


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$\mathcal{C}_{\mathbb{N}^{\mathbb{N}}}$ : given a tree $T \subset \mathbb{N}^{<\mathbb{N}}$, find a path $p \in[T]$.
$\boldsymbol{\Sigma}_{1}^{1}-\mathrm{C}_{\mathbb{N}}$ : given a list of subtrees of $\mathbb{N}<\mathbb{N}$, find the index of an ill-founded one.

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$f * g \quad$ : solve $g$, apply some computable functional, then solve $f$
$f^{\prime}$ : jump in the Weihrauch lattice
name of input: a sequence $\left(p_{n}\right)_{n \in \mathbb{N}}$ in $\mathbb{N}^{\mathbb{N}}$ s.t. $\lim _{n} p_{n}$ is a name for an instance $x$ of $f$; output: $f(x)$

## Examples

- (Brattka, Pauly) if $f$ is densely realized (for every $p, f(p)$ is dense) then ${ }^{1} f \leq_{\mathrm{W}}$ id. Examples: "given $p$, produce $q$ which is non-computable/non-hyp/ML-random relative to $p$ ".


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Being $\leq_{W} C_{\mathbb{N}}$ corresponds to being uniformly computable with finitely many mind changes, hence ${ }^{1} \lim \leq_{W} C_{\mathbb{N}}$. The other reduction follows from $\lim \equiv_{W} \widehat{C_{\mathbb{N}}}$.

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Given a tree $T \subset \mathbb{N}^{<\mathbb{N}}$, we look for a sufficiently long $\sigma$ that extends to a path in $T$ ( $\Sigma_{1}^{1, T}$ condition).

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How about $\boldsymbol{\Pi}_{1}^{1}-\mathrm{CA} \equiv_{\mathrm{W}} \widehat{\mathrm{WF}}$ ?
Is there a general rule?

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"Given a sequence of trees in $\mathbb{N}<\mathbb{N}$, return 0 if they are all well-founded, or return $i+1$ s.t. the $i$-th tree is ill-founded"
$\Pi_{1}^{1}$-CA can solve it, but WF* cannot.

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For $f: \subseteq \mathbb{N}^{\mathbb{N}} \rightrightarrows \mathbb{N}^{\mathbb{N}}$
$f^{u *}: \subseteq \mathbb{N}^{\mathbb{N}} \times\left(\mathbb{N}^{\mathbb{N}}\right)^{\mathbb{N}} \rightrightarrows\left(\mathbb{N}^{\mathbb{N}}\right)^{<\mathbb{N}}$ is the following problem:

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\begin{aligned}
\left(w,\left(x_{n}\right)_{n \in \mathbb{N}}\right) \mapsto\left\{\left(y_{n}\right)_{n<k}:\right. & (\forall n<k)\left(y_{n} \in f\left(x_{n}\right)\right) \text { and } \\
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$(\cdot)^{u *}$ is a closure operator:

- $f \leq_{\mathrm{w}} f^{u *} \equiv_{\mathrm{W}}\left(f^{u *}\right)^{u *}$
- $f \leq_{\mathrm{w}} g \Rightarrow f^{u *} \leq_{\mathrm{w}} g^{u *}$

Moreover $f^{*} \leq_{\mathrm{W}} f^{u *} \leq_{\mathrm{W}} \widehat{f}$

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$$
f\left(x_{0}\right) \quad f\left(x_{1}\right) \quad f\left(x_{2}\right) \quad f\left(x_{3}\right) \quad f\left(x_{4}\right) \quad \ldots
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## FOP and parallelization

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$\Phi_{w}$ selects a prefix of a solution.

This corresponds to selecting finitely many columns.

## FOP and parallelization

Theorem (Soldà, V.)
For every $f$, if $f \equiv_{\mathrm{W}} \widehat{g}$ for some first-order $g$, then

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If $\mathrm{id} \leq_{\mathrm{sW}} f$ then this lifts to jumps: for every $n$

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In other words: ${ }^{1}(\cdot)$ and $(\cdot)^{u *}$ commute for first-order problems. Is this peculiar of first-order problems?

## FOP and unbounded-*

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The prefix of $f\left(x_{i}\right)$ may depend on the solution to $x_{j}$.

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On the other hand, an input for $\left({ }^{1} f\right)^{u *}$ is $\left(w,\left(w_{n}, x_{n}\right)_{n}\right)$ s.t.

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The prefix of $f\left(x_{i}\right)$ is independent of the solution of $x_{j}$.

## FOP and unbounded-*

In some cases, we have a work around. E.g. if $f: \subseteq \mathbb{N}^{\mathbb{N}} \rightrightarrows \mathbb{N}^{\mathbb{N}}$ is finitely valued (for every $p \in \operatorname{dom}(f),|f(p)|<\infty$ ) then

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## Lemma

There are two sequences $\left(A_{n}\right)_{n \in \mathbb{N}}$ and $\left(B_{n}\right)_{n \in \mathbb{N}}$ of subsets of $\mathbb{N}$ s.t.

- for every $n$, $\emptyset^{\prime} \not \mathbb{Z}_{T} A_{n}$, $\emptyset^{\prime} \not \mathbb{Z}_{T} B_{n}$, but $\emptyset^{\prime} \leq_{T} A_{n} \oplus B_{n}$;
- for every $n$ and every computable functional $\Psi$ s.t. $\emptyset^{\prime}=$ $\Psi\left(\left\langle A_{i}\right\rangle, B_{n}\right)$, the map sending $x$ to the prefix of $B_{n}$ used in the computation of $\emptyset^{\prime}(x)$ is not $B_{n}$-computable.


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- $\Pi_{1}^{1}-\mathrm{CA} \equiv_{\mathrm{W}} \widehat{\mathrm{WF}}$, hence ${ }^{1} \Pi_{1}^{1}-C A \equiv_{\mathrm{W}} W \mathrm{FF}^{u *}$.


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\begin{aligned}
& \text { Player } 1 \quad \text { Player } 2 \\
& p \in \operatorname{dom}(g) \longrightarrow p \text {-computable } q \in g(p) \text { and wins OR } \\
& \left.y_{1} \in f\left(x_{1}\right) \lll d p, y_{1}\right\rangle \text {-computable } x_{1} \in \operatorname{dom}(f)
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Player 2 wins if he declares victory. Otherwise Player 1 wins.
$g \leq_{\mathrm{W}} f^{\diamond}$ iff Player 2 has a computable winning strategy for $G(f \rightarrow g)$

## unbounded-* and diamond

The diamond is essentially an "unbounded compositional product".
What is the relation between $f^{u *}$ and $f^{\diamond}$ ?

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Theorem (Soldà, V.)
If $f: \mathbb{N}^{\mathbb{N}} \rightrightarrows \mathbb{N}$ is s.t. $\{(x, n): n \in f(x)\} \in \Pi_{1}^{0}$ then $f^{u *} \equiv_{\mathrm{W}} f^{\diamond}$.
Besides, if $\operatorname{ran}(f)=k$ then $f^{*} \equiv_{\mathrm{W}} f^{\diamond}$.

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Besides, if $\operatorname{ran}(f)=k$ then $f^{*} \equiv_{\mathrm{W}} f^{\diamond}$.
Idea: we guess the possible answers to the oracle calls and use the effective closedness of $\operatorname{Graph}(f)$ to discard wrong guesses.

Examples: $\mathrm{C}_{k}$ for every $k \in \mathbb{N}$.

## unbounded-* and diamond

(Brattka, Gherardi) The completion of a represented space $X$ is

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\bar{X}:=X \cup\{\perp\}
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Intuitively, we have the possibility to postponing information about $x \in X$. Doing so indefinitely results in a name of $\perp$.

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Examples: LPO, WF.
Question: can we do better?

## Applications

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- This lifts to jumps: $\mathrm{WKL}^{(n)} \equiv \mathrm{W}_{\mathrm{C}} \widehat{\mathrm{C}_{2}^{(n)}}$

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- $\Pi_{1}^{1}-C A \equiv_{W} \widehat{W F}:$

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\mathrm{WF}^{*}<_{\mathrm{W}} \mathrm{WF}^{u *} \equiv_{\mathrm{W}} \mathrm{WF}^{\diamond} \equiv_{\mathrm{W}}{ }^{1} \boldsymbol{\Pi}_{1}^{1}-\mathrm{CA}
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## Ramsey's theorem

Theorem (Brattka, Rakotoniaina)
For every $n>1$ and $k \geq 2, \mathrm{C}_{k}^{(n)} \leq_{\mathrm{W}} \widehat{\mathrm{SRT}_{k}^{n}} \leq_{\mathrm{W}} \widehat{\mathrm{RT}_{k}^{n}} \equiv_{\mathrm{W}} \mathrm{WKL}^{(n)}$

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The first reduction is strict as witnessed by $\mathrm{C}_{\mathbb{N}}$.
Are the last two reductions strict?

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Open question (Brattka, Rakotoniaina): $\mathrm{C}_{\mathbb{N}}^{\prime} \leq_{\mathrm{w}} \mathrm{RT}_{2}^{2}$ ?

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For every $n$ and $k>1, \mathrm{C}_{\mathbb{N}}^{(n)} \not \mathbb{W}_{\mathrm{W}} \mathrm{RT}_{k}^{n+1}$.

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Can we fully characterize ${ }^{1} \mathrm{R} \mathrm{T}_{k}^{n}$ ?

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