## Pinned Distance Sets Using Effective Dimension

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# Kolmogorov complexity in Euclidean space

Fix a universal TM U. Let  $n, r \in \mathbb{N}$ , and  $x \in \mathbb{R}^n$ . The Kolmogorov complexity of x at precision r is

 $K_r(x) =$  length of the shortest input  $\pi$  such that  $U(\pi) = d_x$ 

= the minimum number of bits to specify x to precision  $2^{-r}$ .

where  $d_x = (\frac{m_1}{2^r}, \dots, \frac{m_n}{2^r})$  is the closest dyadic rational at precision r to x.

The Kolmogorov complexity of x at precision r given y at precision t is

 $K_r(x) =$  length of the shortest input  $\pi$  such that  $U(\pi, d_y) = d_x$ = the minimum number of bits to specify x to precision  $2^{-r}$  if you know y to precision  $2^{-t}$ .

where  $d_y = (\frac{m_1}{2^t}, \dots, \frac{m_n}{2^t})$  is the closest dyadic rational at precision t to y.

- For every  $x \in \mathbb{R}^n$  and  $r \in \mathbb{N}$ ,  $0 \le K_r(x) \le nr + O(\log r)$ .
- Symmetry of information: For every  $x \in \mathbb{R}^n$ ,  $y \in \mathbb{R}^m$ , and  $r, t \in \mathbb{N}$ ,  $K_{r,t}(x, y) = K_t(y) + K_{r,t}(x \mid y) + O(\log r + t).$
- We can *relativize* the definitions in the natural way to get  $K_r^A(x), K_{r,t}^A(x \mid y)$ , etc.

### Definition (Lutz '03, Mayordomo '03)

Let  $n \in \mathbb{N}$ , and  $x \in \mathbb{R}^n$ . The *(effective Hausdorff) dimension of x* is

 $\dim(x) = \liminf_{r \to \infty} \frac{K_r(x)}{r}.$ 

Definition (Athreya et al. '07, Lutz and Mayordomo '08)

Let  $n \in \mathbb{N}$ , and  $x \in \mathbb{R}^n$ . The *(effective) strong dimension of x* is

$$\operatorname{Dim}(x) = \limsup_{r \to \infty} \frac{K_r(x)}{r}.$$

The effective dimensions of a point x measure the density of algorithmic information in x.

Theorem (J. Lutz and N. Lutz, '16)

For every set  $E \subseteq \mathbb{R}^n$ ,

$$\dim_{H}(E) = \min_{A \subseteq \mathbb{N}} \sup_{x \in E} \dim^{A}(x), \text{ and }$$

$$\dim_P(E) = \min_{A \subseteq \mathbb{N}} \sup_{x \in E} \operatorname{Dim}^A(x).$$

- The Hausdorff and packing dimension of a *set* is characterized by the corresponding dimension of the *points* in the set.
- Allows us to use algorithmic techniques to answer questions in geometric measure theory.

Let  $E \subseteq \mathbb{R}^n$ . The distance set of E is

$$\Delta E = \{ \|x - y\| \mid x, y \in E \}.$$

More generally, if  $x \in \mathbb{R}^n$ , the pinned distance of E w.r.t. x is

$$\Delta_x E = \{ \|x - y\| \mid y \in E \}.$$

**Question:** How do the sizes of  $\Delta E$  and  $\Delta_x E$  relate to the size of E?

When E is a finite set, Erdös conjectured that  $|\Delta E|$  is nearly linear in terms of |E|.

- In a breakthrough paper, Guth and Katz proved this in the plane.
- Still an important open problem for  $\mathbb{R}^n$  with  $n \geq 3$ .

Falconer posed an analogous question for the case that E is infinite, known as Falconer's *distance set problem*.

- If  $E \subseteq \mathbb{R}^n$  has dim<sub>H</sub>(E) > n/2, then  $\Delta E$  has positive measure.
- Still open in all dimensions.
- Guth, losevich, Ou and Wang, proved that if  $E \subseteq \mathbb{R}^2$  and dim<sub>H</sub>(E) > 5/4, then  $\mu(\Delta E) > 0$ .

Substantial progress has been made in a slightly different direction, on the Hausdorff dimension of *pinned distance sets* in the plane.

- Orponen proved that if E is Ahlfors regular and  $\dim_H(E) > 1$ , then for "most" points  $x \in \mathbb{R}^2$ ,  $\dim_H(\Delta_x E) = 1$ .
- Shmerkin weakened the regularity assumption of Orponen's result to simple regularity, i.e.,  $\dim_H(E) = \dim_P(E)$ .
- Liu showed that, if dim<sub>H</sub>(E) =  $s \in (1, 5/4)$ , then for most x, dim<sub>H</sub>( $\Delta_x E$ )  $\geq \frac{4}{3}s \frac{2}{3}$ .
- Shmerkin improved this bound when  $\dim_H(E)=s\in(1,1.04),$  by proving that  $\dim_H(\Delta_{\rm x} E)\geq 2/3+1/42\approx 0.6904$

Using effective dimension, we are able to improve these bounds, when the dimension of E is close to 1.

Theorem (S. '22)

Let  $E \subseteq \mathbb{R}^2$  be an analytic set with dim<sub>H</sub>(E) > 1. Then, for all  $x \in \mathbb{R}^2$  outside a set of Hausdorff dimension at most 1,

$$\dim_H(\Delta_{\scriptscriptstyle X} E) \geq rac{s}{4} + rac{1}{2}$$
 ,

where  $s = \dim_H(E)$ .

In particular, for most points  $x \in E$ ,  $\dim_H(\Delta_x E) \ge \frac{s}{4} + \frac{1}{2}$ .

# Pinned distance sets using effective dimension

### Theorem (S. '22)

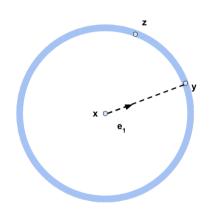
Suppose that 
$$x, y \in \mathbb{R}^2$$
,  $e_1 = \frac{y-x}{\|y-x\|}$  satisfy the following.  
(C1) dim $(x)$ , dim $(y) > 1$   
(C2)  $K_r^{\times}(e_1) = r - O(\log r)$  for all  $r$ .  
(C3)  $K_r^{\times}(y) \ge K_r(y) - O(\log r)$  for all sufficiently large  $r$ .  
(C4)  $K_r(e_1 \mid y) = r - o(r)$  for all  $r$ .  
Then

$$\dim^x(\|x-y\|) \geq \frac{3}{4}.$$

We reduce our main theorem on the Hausdorff dimension of pinned distance sets to this pointwise analog.

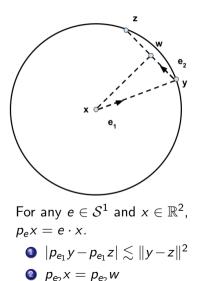
- Orponen's theorem on radial projections.
- Point-to-set principle.

Fix points  $x, y \in \mathbb{R}^2$  satisfying conditions (C1)-(C4). We will prove that  $\dim^x(||x - y||) \ge 3/4$ .



- Fix a precision  $r \in \mathbb{N}$ . Suffices to show that  $K_r^x(||x y||) \gtrsim \frac{3}{4}r$ .
- By symmetry of information,  $K_r^x(y \mid ||x - y||) \approx K_r^x(y) - K_r^x(||x - y||).$ 
  - K<sup>x</sup><sub>r</sub>(y | ||x − y||) ≈ the amount of information needed to compute y if you know x and ||x − y||.
- A *lower* bound K<sup>×</sup><sub>r</sub>(||x y||) is equivalent to an *upper* bound on K<sup>×</sup><sub>r</sub>(y | ||x - y||).
- Suffices to bound the set of  $z \in \mathbb{R}^2$  such that ||x z|| = ||x y|| and  $K_r(z) \lesssim K_r(y)$ .

**Goal:** Bound the size of the set of points z such that ||x - z|| = ||x - y|| and  $K_r(z) \le K_r(y)$ .



• Reduce this to projections.

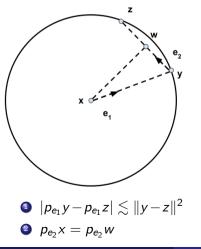
- Divide and conquer.
  - Use (1) to bound the set of points z which are "far" away from y.
  - Use (2) to bound the set of points z which are "close" to y.
- Using (1), we get a weak lower bound on the complexity of ||x - y||:

 $\mathcal{K}_t^{ imes}(\|x-y\|) \gtrsim rac{\mathcal{K}_t(y)}{2}$ 

- Choosing t such that  $K_t(y) \approx \frac{r}{2}$  gives  $K_t^x(||x-y||) \gtrsim \frac{r}{4}$ .
- Use (2) to show handle the points "close" to *y*.

## Reducing to projection theorem

Fixed appropriate t. Proved  $K_t^{\times}(\|x-y\|) \gtrsim \frac{r}{4}$ . Need to show that  $K_{r,t}^{\times}(\|x-y\|) \gtrsim \frac{r}{2}$ .



- Equivalently,  $K_{r,r,t}^{x}(y \mid ||x y||, y) \approx K_{r}(y) r$ .
  - K<sup>x</sup><sub>r,r,t</sub>(y | ||x − y||, y) ≈ number of bits required to compute y if you know x, ||x − y|| and the first t bits of y.
- Using (2), we can compute x if we know y, z and the position of x along the line with direction e<sup>⊥</sup><sub>2</sub> containing x.

 $K_{r-t,r}(x \mid y) \lesssim K_r(z \mid y) + K_{r-t}(x \mid p_{e_2}x, e_2)$ 

Want to bound K<sub>r</sub>(x | p<sub>e2</sub>x, e<sub>2</sub>) - the complexity of computing (an approximation of) x given (approximations of) p<sub>e2</sub>x and e<sub>2</sub>.

# Projection theorem

- We want to bound K<sub>r</sub>(x | p<sub>e</sub>x, e) the complexity of computing (an approximation of) x given (approximations of) p<sub>e</sub>x and e.
- When the direction *e* is random relative to *x*, i.e.,  $K_r^x(e) \approx r$ , we know that  $K_r(x \mid p_e x, e) \approx K_r(x) r$ .
  - This is the pointwise analog of Marstrand's projection theorem.
- Unfortunately, for our application to distances, we don't have enough control over the direction to directly apply this result.
- However, we **do** have enough control to ensure that *e* is random *up to some initial precision*:

$$K_s^{\times}(e) \approx s$$

where  $s = -\log ||z - y||$ .

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### Theorem (S. '22)

Let  $x \in \mathbb{R}^2$  such that dim $(x) \ge 1$ . Let  $e \in S^1$  and  $r, t \in \mathbb{N}$ . Suppose that  $K_{t,r}(e \mid x) \approx t$ . Then

 $K_r(x \mid p_e x, e) \lesssim K_r(x) - \frac{r+t}{2}$ 

- When t = r, i.e., *e* is random w.r.t. *x*, then this recovers the pointwise analog of Marstrand's theorem (N. Lutz and S. '18). In particular, in this case, the bound is tight.
- It is likely that this bound is **not** tight in general. Improvements on this should immediately lead to improvements on the distance set problem.

Let  $x \in \mathbb{R}^2$ ,  $b \in \mathbb{N}$  and a < b.

- [a, b] is **teal** if  $K_{b,s}(x \mid x) \lesssim b s$  for all  $a \leq s \leq b$ .
- $\ \, {\it [a,b] is yellow if } K_{s,a}(x\mid x)\gtrsim s-a \ \, {\it for all } a\leq s\leq b.$

Generalize known projection theorems to show the following. Assume  $K_s^{\times}(e) \approx s$  for all  $s \leq b - a$ . Then

- If [a, b] is teal,  $K_{b,b,b,a}(x \mid p_e x, e, x) \approx 0$ .
- $\bigcirc$  If [a,b] is yellow,  $K_{b,b,b,a}(x \mid p_e x, e, x) \approx K_{b,a}(x \mid x) (b-a)$ .

Read  $K_{b,b,b,a}(x \mid p_e x, e, x)$  as the complexity of computing x given

- *b*-approximations of  $p_e x$  and e
- *a*-approximation of *x*.

**Goal:** Bound the complexity of  $K_r(x \mid p_e x, e)$  when  $K_t^{x}(e) \approx t$ .

**Main idea:** Partition [0, r] into yellow and teal intervals of length at most t, and sum the bounds.

- Naive partition does not necessarily work. The contribution of yellow intervals might be too high.
- However, we can optimize to get a partition to lower the contribution of yellow intervals enough to prove that

$$K_r(x \mid p_e x, e) \lesssim K_r(x) - rac{r+t}{2}$$
,

## Back to distances

Let 
$$z \in \mathbb{R}^2$$
 such that  $||x - z|| = ||x - y||$ . We know that  
•  $p_e x = p_e w$ , where  $w$  is the midpoint of  $y$  and  $z$  and  $e = \frac{z - y}{||y - z||}$ .  
•  $\mathcal{K}_s^x(e) \approx s$ , where  $s = -\log ||z - y||$   
• That is,  $e$  is random relative to  $x$  up to precision  $t$ .

Let  $t \leq r$  such that  $K_t(y) \approx \frac{r}{2}$ . Suppose that z is as above and  $s \geq t$ . Using the projection theorem, we have

$$\mathcal{K}_{r-s}(x \mid p_e x, e) \lesssim \mathcal{K}_{r-s}(x) - \frac{r}{2}$$

We can conclude that

$$egin{aligned} \mathcal{K}_{r,t}^{x}(\|x-y\| \mid \|x-y\|) \gtrsim \mathcal{K}_{r,t}^{x}(\|x-y\| \mid y) \ \gtrsim \mathcal{K}_{r,t}(y \mid y) - (\mathcal{K}_{r}(y) - r) \ pprox r - \mathcal{K}_{t}(y) \ pprox rac{r}{2} \end{aligned}$$

Thank you!

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