

# Asymptotic notions of computability

Tiago Royer

The University of Chicago  
Department of Computer Science

November 7, 2023

<https://cs.uchicago.edu/~royer/seminar.pdf>

## Intuition

### “Definition”

A Turing machine  $M$  **solves** a problem  $P$   
if **for every** instance  $x$  of  $P$ ,  
 $M$  halts on  $x$  with the correct answer.

### “Definition”

A Turing machine  $M$  **asymptotically solves** a problem  $P$   
if **for almost every** instance  $x$  of  $P$ ,  
 $M$  halts on  $x$  with the correct answer.

## Density Definition

### Definition

The **upper density** of a subset  $A$  of  $\{0, 1\}^*$  is the limit

$$\limsup_{n \rightarrow \infty} \frac{|\{x \in A : |x| = n\}|}{2^n}.$$

$A$  is **sparse** if  $d(A) = 0$  and **dense** if its complement is sparse.

## Density Definition

### Definition

The **upper density** of a subset  $A$  of  $\{0, 1\}^*$  is the limit

$$\limsup_{n \rightarrow \infty} \frac{|\{x \in A : |x| = n\}|}{2^n}.$$

$A$  is **sparse** if  $d(A) = 0$  and **dense** if its complement is sparse.

Sparsity is equivalent to

$$|\{x \in A : |x| = n\}| = o(2^n)$$

## Coarse and Generic computability

### Definition

A set  $A$  is **coarsely computable**

if there exists a Turing machine  $M$  such that  $M(x) \downarrow$  for all  $x$  and the set

$$\{x \mid M(x) = A(x)\}$$

is dense.

### Definition

A set  $A$  is **generically computable**

if there exists a Turing machine  $M$  such that  $M(x) \downarrow$  implies  $M(x) = A(x)$  and the set

$$\{x \mid M(x) \downarrow\}$$

is dense.

## Examples

### Example

Every computable set is both coarsely and generically computable.

### Example

The set

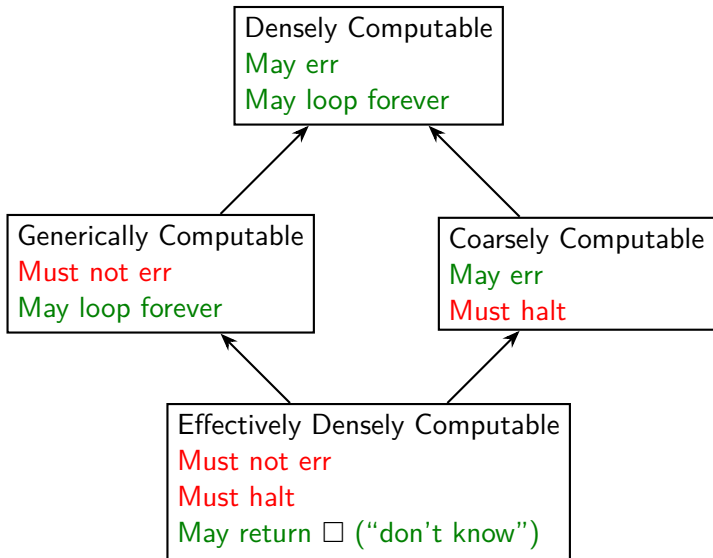
$$A = \{0^n \mid n \in \text{HaltingProblem}\}$$

is not computable, but it is both coarsely and generically computable.

### Example

Post's Correspondence Problem is not computable, but it is both coarsely and generically computable.

## Four Horsemen of Asymptotic Computability



## Coarse Reducibility

### Definition

$A$  is a **coarse approximation** of  $B$  if  $A \triangle B$  is sparse.

### Definition

A set  $A$  is **coarsely reducible** to a set  $B$  (denoted  $A \leq_c B$ ) if there's a Turing machine  $M$  such that, for every coarse approximation  $C$  of  $B$ , the set  $M^C$  is a coarse approximation of  $A$ .



# Minimal Pairs

## Definition

A pair of sets  $A$  and  $B$  form a **minimal pair** for Turing reducibility if neither  $A$  nor  $B$  are computable, but if  $C \leq_T A$  and  $C \leq_T B$ , then  $C$  is computable.

## Theorem (1950's)

*There exists a minimal pair for the Turing degrees.*

## Minimal Pairs

Theorem (Hirschfeldt, Jockusch, Kuyper, Schupp, 2016)

*There are measure-1 minimal pairs for coarse reducibility.*

Theorem (Astor, Hirschfeldt, Jockusch, 2019)

*There are measure-1 minimal pairs for dense reducibility.*

Theorem (Hirschfeldt, 2020)

*There exists a minimal pair for generic reducibility.*

Theorem (R)

*There are only measure-0 many minimal pairs for generic reducibility.*

Open Problem

Are there minimal pairs for effective dense reducibility?

# Minimal Degrees

## Definition

A sets  $A$  has a **minimal degree** for Turing reducibility if  $A$  is not computable, but if  $C \leq_T A$ , then either  $A \leq_T C$  or  $C$  is computable.

## Theorem (1950's)

*There exists a minimal Turing degree.*

## Minimal Degrees

### Theorem (R)

*There are minimal degrees for coarse reducibility.*

### Theorem (R)

*There are minimal degrees for dense reducibility.*

### Open Problem

Are there minimal degrees for generic and effective dense reducibility?

# Requirements

## Theorem (R)

*There are minimal degrees for coarse reducibility.*

$A$  has minimal coarse degree if we satisfy:

$$R_e : \Phi_e^A \text{ total} \Rightarrow \Phi_e^A \text{ is either coarsely computable or } A \leq_c \Phi_e^A.$$

# Requirements

## Theorem (R)

*There are minimal degrees for coarse reducibility.*

$A$  has minimal coarse degree if we satisfy:

$$R_e : \Phi_e^A \text{ total} \Rightarrow \Phi_e^A \text{ is either coarsely computable or } A \leq_c \Phi_e^A.$$

The intuition: build a sequence of trees  $T_0 \supseteq T_1 \supseteq T_2 \dots$

and pick a path  $A \in \bigcap_i [T_i]$ .

$T_e$  will ensure  $R_e$ .

## Back to Turing degrees: $e$ -splittings

### Definition

A string  $\sigma$  in a tree  $T$  is  **$e$ -splitting**

if there exist  $\tau_0, \tau_1 \in T$  with  $\tau_0, \tau_1 \succ \sigma$  and some  $x$  such that

$$\Phi_e^{\tau_0}(x) \downarrow, \Phi_e^{\tau_1}(x) \downarrow, \text{ and } \Phi_e^{\tau_0}(x) \neq \Phi_e^{\tau_1}(x).$$

## Back to Turing degrees: $e$ -splittings

### Definition

A string  $\sigma$  in a tree  $T$  is  **$e$ -splitting**

if there exist  $\tau_0, \tau_1 \in T$  with  $\tau_0, \tau_1 \succ \sigma$  and some  $x$  such that

$$\Phi_e^{\tau_0}(x) \downarrow, \Phi_e^{\tau_1}(x) \downarrow, \text{ and } \Phi_e^{\tau_0}(x) \neq \Phi_e^{\tau_1}(x).$$

Let  $A \in [T]$  (i.e.  $A$  is a path in  $T$ ). Assume  $T$  computable.

If every string in  $T$  is  $e$ -splitting, then  $A \leq_T \Phi_e^A$ ;

If no string in  $T$  is  $e$ -splitting, then  $\Phi_e^A$  is partial computable.



## $(e, k)$ -splittings

### Definition

A string  $\sigma$  in a tree  $T$  is  $(e, k)$ -**splitting** if there exist  $\tau_0, \tau_1 \in T$  with  $\tau_0, \tau_1 \succ \sigma$  and some  $n$  such that if  $|x| = n$  then  $\Phi_e^{\tau_0}(x) \downarrow$  and  $\Phi_e^{\tau_1}(x) \downarrow$ , and

$$\frac{|\{x : |x| = n \wedge \Phi_e^{\tau_0}(x) \neq \Phi_e^{\tau_1}(x)\}|}{2^n} > 2^{-k}.$$

## $(e, k)$ -splittings

### Definition

A string  $\sigma$  in a tree  $T$  is  $(e, k)$ -**splitting**

if there exist  $\tau_0, \tau_1 \in T$  with  $\tau_0, \tau_1 \succ \sigma$  and some  $n$  such that if  $|x| = n$  then  $\Phi_e^{\tau_0}(x) \downarrow$  and  $\Phi_e^{\tau_1}(x) \downarrow$ , and

$$\frac{|\{x : |x| = n \wedge \Phi_e^{\tau_0}(x) \neq \Phi_e^{\tau_1}(x)\}|}{2^n} > 2^{-k}.$$

Let  $A \in [T]$  (i.e.  $A$  is a path in  $T$ ). Assume  $T$  computable.

If every string in  $T$  is  $(e, k)$ -splitting, then  $A \leq_c \Phi_e^A$ ;

If no string in  $T$  is  $e$ -splitting, then  $\Phi_e^A$  is coarsely computable  
**up to precision  $2^{-k}$ .**

## Joe Miller to the rescue!

### Theorem (Joe Miller)

*Suppose there's a computable sequence  $e_0, e_1, \dots$  of indices such that  $\Phi_{e_i}$  computes the set  $B$  with precision  $2^{-i}$ .*

*Then  $B$  is coarsely computable.*

## Joe Miller to the rescue!

### Theorem (Joe Miller)

*Suppose there's a  $\emptyset'$ -computable sequence  $e_0, e_1, \dots$  of indices such that  $\Phi_{e_i}$  computes the set  $B$  with precision  $2^{-i}$ .*

*Then  $B$  is coarsely computable.*

## Strategy

- Set  $T_0 =$  perfect binary tree,  
 $T_{\langle e,k \rangle+1} =$  subtree of  $T_{\langle e,k \rangle}$  aiming to be  $(e, k)$ -splitting
- Pick  $A \in \bigcap_{e,k} [T_{\langle e,k \rangle}]$
- Fixed  $e$ :
  - If some  $T_{\langle e,k \rangle+1}$  is  $(e, k)$ -splitting, then  $A \leq_{\text{nc}} \Phi_e^A$ .
  - If no  $T_{\langle e,k \rangle+1}$  is  $(e, k)$ -splitting, then we can approximate  $\Phi_e^A$ .

## Strategy

- Set  $T_0 =$  perfect binary tree,  
 $T_{\langle e,k \rangle+1} =$  subtree of  $T_{\langle e,k \rangle}$  aiming to be  $(e, k)$ -splitting
- Pick  $A \in \bigcap_{e,k} [T_{\langle e,k \rangle}]$
- Fixed  $e$ :
  - If some  $T_{\langle e,k \rangle+1}$  is  $(e, k)$ -splitting, then  $A \leq_{\text{nc}} \Phi_e^A$ .
  - If no  $T_{\langle e,k \rangle+1}$  is  $(e, k)$ -splitting, then we can approximate  $\Phi_e^A$ .

Problem: the trees are not computable,  
so the sets below  $A$  are coarsely computable relative to  $\emptyset^{(\omega)}$ ...

Down to  $\emptyset''''$ 

Let's do the construction by stages.

- Set  $T_{\langle e,k \rangle}^0 =$  perfect binary tree,  
 $T_{\langle e,k \rangle+1}^{s+1} =$  subtree of  $T_{\langle e,k \rangle+1}^s$  aiming to be  $(e, k)$ -splitting  
but only querying computations that finish within  $s$  steps
- Define  $T_{\langle e,k \rangle}^* = \lim_s T_{\langle e,k \rangle}^s$ ,  
pick  $A \in \bigcap_{e,k} [T_{\langle e,k \rangle}^*]$
- Now each  $T_{\langle e,k \rangle}^*$  is  $\emptyset'$ -computable:
  - We get  $A \leq_T \emptyset''$
  - $A'$  computes a sequence of  $\emptyset'$ -approximations to sets below  $A$
  - so sets below  $A$  have  $\emptyset''''$ -computable approximations

Down to  $\emptyset'''$ 

We don't need the whole  $T_{\langle e, k \rangle}^*$ , just a large enough subtree of it.

- Let's force  $T_{\langle e, k \rangle}^s$  to change as little as possible.
- $T_{\langle e, k \rangle + 1}^{s+1}$  searches for  $\tau_0, \tau_1$  in  $T_{\langle e, k \rangle}^{s+1}$ .
- Pick the earliest pair found and don't change it for any  $t > s$ 
  - unless we find an  $(e, k)$ -splitting pair
- Once there are no more changes on  $T_{\langle e, k \rangle}^*$  along  $A$ ,  
we can compute all strings in  $T_{\langle e, k \rangle}^*$  extending this prefix of  $A$ .
  - $A'$  computes a sequence of **computable** approximations to sets below  $A$
  - so sets below  $A$  have  $\emptyset'''$ -computable approximations



Down to  $\emptyset''$ 

We can interleave the construction of  $T_{\langle e,k \rangle}^s$  and  $A$ .

- Once  $T_s^s$  is defined, let  $\sigma_s =$  some string in  $T_s^s$
- Force  $T_t^s$ , for  $t > s$ , to include  $\sigma_s$
- Set  $A = \lim_s \sigma_s$ .
- Now  $A \leq_T \emptyset'$ ;
  - $A'$  computes a sequence of computable approximations to sets below  $A$
  - so sets below  $A$  have  $\emptyset''$ -computable approximations

Down to  $\emptyset'$ 

Finally, do permitting to make  $A$  low

- Fix some low noncomputable c.e. set  $C$
- Only allow changes between  $T_{\langle e,k \rangle+1}^s$  and  $T_{\langle e,k \rangle+1}^{s+1}$  if  $C$  permits it
- Now  $A \leq_T C$ 
  - so sets below  $A$  have  $\emptyset'$ -computable approximations
  - so the approximation theorem applies.

# Asymptotic notions of computability

Tiago Royer

The University of Chicago  
Department of Computer Science

November 7, 2023

<https://cs.uchicago.edu/~royer/seminar.pdf>