

p -ADIC DEFORMATION OF ALGEBRAIC CYCLE CLASSES

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ABSTRACT. We study the p -adic deformation properties of algebraic cycle classes modulo rational equivalence. We show that the crystalline Chern character of a vector bundle lies in a certain part of the Hodge filtration if and only if, rationally, the class of the vector bundle lifts to a formal pro-class in K -theory on the p -adic scheme.

1. INTRODUCTION

In this note we study the deformation properties of algebraic cycle classes modulo rational equivalence. In the end the main motivation for this is to construct new interesting algebraic cycles out of known ones by means of a suitable deformation process. In fact we suggest that one should divide such a construction into two steps: Firstly, one should study formal deformations to infinitesimal thickenings and secondly, one should try to algebraize these formal deformations.

We consider the first problem of formal deformation in the special situation of deformation of cycles in the p -adic direction for a scheme over a complete p -adic discrete valuation ring. It turns out that this part is – suitably interpreted – of a deep cohomological and K -theoretic nature, related to p -adic Hodge theory, while the precise geometry of the varieties plays only a minor rôle.

In order to motivate our approach to the formal deformation of algebraic cycles we start with the earliest observation of the kind we have in mind, which is due to Grothendieck. The deformation of the Picard group can be described in terms of Hodge theoretic data via the first Chern class.

Indeed, consider a field k of characteristic zero, $S = k[[t]]$, X/S a smooth projective variety and $X_n \hookrightarrow X$ the closed immersion defined by the ideal (t^n) . The Gauß-Manin connection

$$\nabla : H_{\mathrm{dR}}^i(X/S) \rightarrow \hat{\Omega}_{S/k}^1 \hat{\otimes} H_{\mathrm{dR}}^i(X/S)$$

is trivializable over S by [Kt, Prop. 8.9], yielding an isomorphism from the horizontal de Rham classes over S to de Rham classes over k

$$\Phi : H_{\mathrm{dR}}^i(X/S)^\nabla \xrightarrow{\sim} H_{\mathrm{dR}}^i(X_1/k).$$

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An important property, which is central to this article, is that Φ does not induce an isomorphism of the Hodge filtrations

$$H_{\mathrm{dR}}^i(X/S)^\vee \cap F^r H_{\mathrm{dR}}^i(X/S) \xrightarrow{\sim} F^r H_{\mathrm{dR}}^i(X_1/k)$$

in general. This Hodge theoretic property of the map Φ relates to the exact obstruction sequence

$$\mathrm{Pic}(X_n) \rightarrow \mathrm{Pic}(X_{n-1}) \xrightarrow{\mathrm{Ob}} H^2(X_1, \mathcal{O}_{X_1})$$

via the first Chern class in de Rham cohomology, see [B1].

These observations produce a proof for line bundles of the following version of Grothendieck's variational Hodge conjecture [G, p. 103].

Conjecture 1.1. *For $\xi_1 \in K_0(X_1)_{\mathbb{Q}}$ such that*

$$\Phi^{-1} \circ \mathrm{ch}(\xi_1) \in \bigoplus_r F^r H_{\mathrm{dR}}^{2r}(X/S),$$

there is a $\xi \in K_0(X)_{\mathbb{Q}}$, such that $\mathrm{ch}(\xi|_{X_1}) = \mathrm{ch}(\xi_1) \in \bigoplus_r H_{\mathrm{dR}}^{2r}(X_1/k)$. Here ch is the Chern character.

In fact, using Deligne's "partie fixe" [De2, Sec. 4.1] one shows that Conjecture 1.1 is equivalent to Grothendieck's original formulation of the variational Hodge conjecture and it would therefore be a consequence of the Hodge conjecture.

A p -adic analog of Conjecture 1.1 is suggested by Fontaine-Messing, it is usually called the p -adic variational Hodge conjecture. Before we state it, we again motivate it by the case of line bundles.

Let k be a perfect field of characteristic $p > 0$, $W = W(k)$ be the ring of Witt vectors over k , $K = \mathrm{frac}(W)$, X/S be a smooth projective variety, $X_n \hookrightarrow X$ be the closed immersion defined by (p^n) ; so $X_n = X \otimes_W W_n$, $W_n = W/(p^n)$. Then Berthelot constructs a crystalline-de Rham comparison isomorphism

$$\Phi : H_{\mathrm{dR}}^i(X/W) \xrightarrow{\sim} H_{\mathrm{cris}}^i(X_1/W),$$

which is recalled in Section 2. One also has a crystalline Chern character, see (2.16),

$$\mathrm{ch} : K_0(X_1) \rightarrow \bigoplus_r H_{\mathrm{cris}}^{2r}(X_1/W)_K.$$

Let us assume $p > 2$. Then one has the exact obstruction sequence

$$(1.1) \quad \varprojlim_n \mathrm{Pic}(X_n) \rightarrow \mathrm{Pic}(X_1) \xrightarrow{\mathrm{Ob}} H^2(X, p\mathcal{O}_X)$$

coming from the short exact sequence of sheaves

$$(1.2) \quad 1 \rightarrow (1 + p\mathcal{O}_{X_n}) \rightarrow \mathcal{O}_{X_n}^\times \rightarrow \mathcal{O}_{X_1}^\times \rightarrow 1$$

and the p -adic logarithm isomorphism

$$(1.3) \quad \log : 1 + p\mathcal{O}_{X_n} \xrightarrow{\sim} p\mathcal{O}_{X_n}.$$

Grothendieck's formal existence theorem [EGA3, Thm. 5.1.4] gives an algebraization isomorphism

$$\mathrm{Pic}(X) \xrightarrow{\sim} \varprojlim_n \mathrm{Pic}(X_n).$$

Using an idea of Deligne [De1, p. 124 b)], Berthelot-Ogus [BO1] relate the obstruction map in (1.1) to the Hodge level of the crystalline Chern class of a line bundle. So altogether they prove the line bundle version of Fontaine-Messing's p -adic variational Hodge conjecture:

Conjecture 1.2. *For $\xi_1 \in K_0(X_1)_{\mathbb{Q}}$ such that*

$$\Phi^{-1} \circ \text{ch}(\xi_1) \in \bigoplus_r F^r H_{\text{dR}}^{2r}(X_K/K),$$

there is a $\xi \in K_0(X)_{\mathbb{Q}}$, such that $\text{ch}(\xi|_{X_1}) = \text{ch}(\xi_1) \in \bigoplus_r H_{\text{cris}}^{2r}(X_1/W)_K$.

In fact the conjecture can be stated more generally over any p -adic complete discrete valuation ring with perfect residue field. Note that there is no analog of the absolute Hodge conjecture available over p -adic fields, which would comprise the p -adic variational Hodge conjecture. So its origin is more mysterious than the variational Hodge conjecture in characteristic zero.

Applications of Conjecture 1.2 to modular forms are studied by Emerton and Mazur, see [Em].

We suggest to decompose the problem into two parts: firstly a formal deformation part and secondly an algebraization part

$$\begin{array}{ccccc} K_0(X) & \longrightarrow & \varprojlim_n K_0(X_n) & \longrightarrow & K_0(X_1). \\ & \swarrow \text{algebraization} & & \nwarrow \text{deformation} & \\ & & & & \end{array}$$

Unlike for Pic, there is no general approach to the algebraization problem known. In this note, we study the deformation problem. Our main result, whose proof is finished in Section 10, states:

Theorem 1.3. *Let k be a perfect field of characteristic $p > 0$, let X/W be smooth projective scheme over W with closed fibre X_1 . Assume $p > d + 6$, where $d = \dim(X_1)$. Then for $\xi_1 \in K_0(X_1)_{\mathbb{Q}}$ the following are equivalent*

(a) *we have*

$$\Phi^{-1} \circ \text{ch}(\xi_1) \in \bigoplus_r F^r H_{\text{dR}}^{2r}(X/S),$$

(b) *there is a $\hat{\xi} \in (\varprojlim_n K_0(X_n))_{\mathbb{Q}}$, such that $\hat{\xi}|_{X_1} = \xi_1 \in K_0(X_1)_{\mathbb{Q}}$.*

Before we describe the methods we use in our proof, we make three remarks.

- (i) We do not handle the case where the ground ring is p -adic complete and ramified over W . The reason is that we use techniques related to integral p -adic Hodge theory, which do not exist over ramified bases. In fact, Theorem 1.3 is not integral, but a major intermediate result, Theorem 7.5, is valid with integral coefficients and this theorem would not hold integrally over ramified bases.
- (ii) The precise form of the condition $p > d + 6$ on the characteristic has technical reasons. However, the rough condition that p is big relative to d is essential for our method for the same reasons explained in (i) for working over the base W .
- (iii) Note, we literally lift the $K_0(X_1)_{\mathbb{Q}}$ class to an element in $(\varprojlim_n K_0(X_n))_{\mathbb{Q}}$, not only its Chern character in crystalline cohomology. One thus should expect that in order to algebraize $\hat{\xi}$ and in order to obtain the required

class over X in Conjecture 1.2, one might have to move it to another pro-class with the same Chern character.

We now describe our method. We first construct for $p > r$ in an ad hoc way a motivic pro-complex $\mathbb{Z}_{X_\cdot}(r)$ of the p -adic formal scheme X_\cdot associated to X on the Nisnevich site of X_1 . For this we glue the Suslin-Voevodsky motivic complex on X_1 with the Fontaine-Messing-Kato syntomic complex on X_\cdot , see Definition 6.1. In Sections 4 and 6 we construct a fundamental triangle

$$(1.4) \quad p(r)\Omega_{X_\cdot}^{<r}[-1] \rightarrow \mathbb{Z}_{X_\cdot}(r) \rightarrow \mathbb{Z}_{X_1}(r) \rightarrow \cdots$$

which in weight $r = 1$ specializes to (1.2) and (1.3). Here $p(r)\Omega_{X_\cdot}^{<r}$ is a subcomplex of the truncated de Rham complex of X_\cdot , which is isomorphic to it tensor \mathbb{Q} . In Section 7 we define continuous Chow groups as continuous cohomology of our motivic pro-complex by the Bloch type formula

$$\mathrm{CH}_{\mathrm{cont}}(X_\cdot) = H_{\mathrm{cont}}^{2r}(X_1, \mathbb{Z}_{X_\cdot}(r)).$$

From (1.4) we obtain the higher codimension analog of the obstruction sequence (1.1)

$$(1.5) \quad \mathrm{CH}_{\mathrm{cont}}^r(X_\cdot) \rightarrow \mathrm{CH}^r(X_1) \xrightarrow{\mathrm{Ob}} H_{\mathrm{cont}}^{2r}(X_1, p(r)\Omega_{X_\cdot}^{<r}).$$

In Sections 5 and 7 we relate the obstruction map in (1.5) to the Hodge theoretic properties of the cycle class in crystalline cohomology. Using this we prove the analog, Theorem 7.5, of our Main Theorem 1.3 with $\varprojlim_n K_0(X_n)$ replaced by $\mathrm{CH}_{\mathrm{cont}}(X_\cdot)$.

We then define continuous K -theory $K_0^{\mathrm{cont}}(X_\cdot)$ of the p -adic formal scheme X_\cdot in Section 8. The continuous K_0 -group maps surjectively to $\varprojlim_n K_0(X_n)$, so lifting classes in $K_0(X_1)$ to continuous K_0 is equivalent to lifting classes as in Theorem 1.3.

Using the method of Grothendieck and Gillet [Gil] and relying on ideas of Deligne for the calculation of cohomology of classifying spaces, we define a Chern character

$$(1.6) \quad \mathrm{ch} : K_0^{\mathrm{cont}}(X_\cdot)_{\mathbb{Q}} \rightarrow \bigoplus_{r \leq d} \mathrm{CH}_{\mathrm{cont}}^r(X_\cdot)_{\mathbb{Q}}.$$

Finally, using deep results from topological cyclic homology theory due to Geisser-Hesselholt-Madsen, recalled in Section 9, we show in Section 10 that the Chern character is an isomorphism for $p > d + 6$ by reducing it to an étale local problem with \mathbb{Z}/p -coefficients. In Section 10 we also complete the proof of Theorem 1.3.

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2. CRYSTALLINE AND DE RHAM COHOMOLOGY

In this section we study the de Rham complex of a p -adic formal scheme X , and the de Rham-Witt complex of its special fibre X_1 . We also introduce certain subcomplexes, which coincide with the usual de Rham and de Rham-Witt complex tensor \mathbb{Q} . These subcomplexes play an important rôle in the obstruction theory of cohomological Chow groups as studied in Section 7. We will think of the de Rham complex of X , and the de Rham-Witt complex of X_1 as pro-systems on the small Nisnevich site of X_1 .

To fix notation let S be a complete adic noetherian ring. Fix an ideal of definition $\mathcal{I} \subset S$. We write $S_n = S/\mathcal{I}^n$. Let Sch_S be the category of \mathcal{I} -adic formal schemes X , which are quasi-projective over $\text{Spec}(S)$ and such that $X_n = X \otimes_S S/\mathcal{I}^n$ is syntomic [FM] over $S_n = S/\mathcal{I}^n$ for all $n \geq 1$. By Sm_S we denote the full subcategory of Sch_S of formal schemes which are (formally) smooth over S .

In the following let $S = W = W(k)$ be the ring of Witt vectors of a perfect field k , $p = \text{char } k > 0$ and fix the ideal of definition $\mathcal{I} = (p)$. Let X be in Sch_W .

Definition 2.1. For $\mathbb{S}_{\text{ét}}$ resp. \mathbb{S}_{Nis} the small étale resp. Nisnevich site of X_1 , we write

$$\begin{aligned} \mathbb{S}_{\text{pro}}(X_1)_{\text{ét/Nis}} & \text{ for } \mathbb{S}_{\text{pro}}(\mathbb{S}_{\text{ét/Nis}}) \\ \text{Sh}_{\text{pro}}(X_1)_{\text{ét/Nis}} & \text{ for } \text{Sh}_{\text{pro}}(\mathbb{S}_{\text{ét/Nis}}) \\ \mathbb{C}_{\text{pro}}(X_1)_{\text{ét/Nis}} & \text{ for } \mathbb{C}_{\text{pro}}(\mathbb{S}_{\text{ét/Nis}}) \\ \mathbb{D}_{\text{pro}}(X_1)_{\text{ét/Nis}} & \text{ for } \mathbb{D}_{\text{pro}}(\mathbb{S}_{\text{ét/Nis}}), \end{aligned}$$

where the right hand side is defined in generality in Appendix A and B. If we do not specify topology we usually mean Nisnevich topology.

Note that the étale (resp. Nisnevich) sites of X_1 and X_n ($n \geq 1$) are isomorphic.

Definition 2.2.

(a) We define

$$(2.1) \quad \Omega_{X_1}^\bullet \in \mathbb{C}_{\text{pro}}(X_1)_{\text{ét/Nis}}$$

as the pro-system of de Rham complexes $n \mapsto \Omega_{X_n/W_n}^\bullet$.

(b) We define

$$(2.2) \quad W.\Omega_{X_1}^\bullet \in \mathbb{C}_{\text{pro}}(X_1)_{\text{ét/Nis}}$$

as the pro-system of de Rham-Witt complexes [II].

Definition 2.3. We define

$$W.\Omega_{X_1, \log}^r \in \text{Sh}_{\text{pro}}(X_1)_{\text{ét/Nis}}$$

as pro-system of étale or Nisnevich subsheaves in $W_n \Omega_{X_1}^r$ which are locally generated by symbols

$$d \log\{[a_1], \dots, [a_r]\},$$

with $a_1, \dots, a_r \in \mathcal{O}_{X_1}^\times$ local sections and where $[-]$ is the Teichmüller lift ([II], p. 505, formula (1.1.7)).

Clearly $\epsilon^* W_n \Omega_{X_1, \text{Nis}}^r = W_n \Omega_{X_1, \text{ét}}^r$ and Kato shows [K1]

Proposition 2.4. *The natural map*

$$(2.3) \quad W_n \Omega_{X, \log, \text{Nis}}^r \xrightarrow{\sim} \epsilon_* W_n \Omega_{X, \log, \text{ét}}^r$$

is an isomorphism, in other words $\epsilon_* W_n \Omega_{X, \log, \text{ét}}^r$ is Nisnevich locally generated by symbols in the sense of Definition 2.3.

Definition 2.5. For $r < p$ we define

$$p(r) \Omega_{X_\bullet}^\bullet \in \mathbf{C}_{\text{pro}}(X_1)_{\text{ét/Nis}}$$

as the de Rham complex

$$p^r \mathcal{O}_{X_\bullet} \rightarrow p^{r-1} \Omega_{X_\bullet}^1 \rightarrow \dots \rightarrow p \Omega_{X_\bullet}^{r-1} \rightarrow \Omega_{X_\bullet}^r \rightarrow \Omega_{X_\bullet}^{r+1} \rightarrow \dots$$

For $r < p$ we define

$$q(r) W_\bullet \Omega_{X_1}^\bullet \in \mathbf{C}_{\text{pro}}(X_1)_{\text{ét/Nis}}$$

as the de Rham–Witt complex

$$\begin{aligned} & p^{r-1} VW_\bullet \mathcal{O}_{X_1} \rightarrow p^{r-2} VW_\bullet \Omega_{X_1}^1 \rightarrow \dots \\ & \rightarrow p VW_\bullet \Omega_{X_1}^{r-2} \rightarrow VW_\bullet \Omega_{X_1}^{r-1} \rightarrow W_\bullet \Omega_{X_1}^r \rightarrow W_\bullet \Omega_{X_1}^r \rightarrow W_\bullet \Omega_{X_1}^{r+1} \rightarrow \dots \end{aligned}$$

here V stands for the Verschiebung homomorphism (see [II, p. 505]).

Remark 2.6. It is of course possible to define analogous complexes $p(r) \Omega_{X_\bullet}^\bullet$ and $q(r) W_\bullet \Omega_{X_1}^\bullet$ in case $r \geq p$ by introducing divided powers [FM]. Unfortunately, doing so introduces a number of problems both with regard to syntomic cohomology and later in section 9, so we have chosen to assume $r < p$ throughout.

In the rest of this section we explain the construction of canonical isomorphisms

$$(2.4) \quad \Omega_{X_\bullet}^\bullet \simeq W_\bullet \Omega_{X_1}^\bullet \quad \text{in} \quad \mathbf{D}_{\text{pro}}(X_1)$$

$$(2.5) \quad p(r) \Omega_{X_\bullet}^\bullet \simeq q(r) W_\bullet \Omega_{X_1}^\bullet \quad \text{in} \quad \mathbf{D}_{\text{pro}}(X_1).$$

Recall the following construction, see [II, Sec. II.1], [K2, Section 1]. For the moment we let X_\bullet be a not necessarily smooth object in $\text{Sch}_{\mathbb{W}}$. We fix a closed embedding $X_\bullet \rightarrow Z_\bullet$, where Z_\bullet/W_\bullet in $\text{Sm}_{\mathbb{W}}$ is endowed with a lifting $F : Z_\bullet \rightarrow Z_\bullet$ over $F : W_\bullet \rightarrow W_\bullet$ of Frobenius on Z_1 . One defines the PD envelop $X_n \rightarrow D_n = D_{X_n}(Z_n)$. Recall that D_n is endowed with a de Rham complex $\Omega_{D_n/W_n}^\bullet := \mathcal{O}_{D_n} \otimes_{\mathcal{O}_{Z_n}} \Omega_{Z_n/W_n}^\bullet$ satisfying $d\gamma^n(x) = \gamma^{n-1}(x)dx$ where $n! \cdot \gamma^n(x) = x^n$. We define J_n to be the ideal of $X_n \subset D_n$ and $I_n = (J_n, p)$ to be the ideal sheaf of $X_1 \subset D_n$. Then J_n and I_n are nilpotent sheaves on $X_{1, \text{ét}}$ with divided powers $J_n^{[j]}$ and $I_n^{[j]}$. If $j < p$ one has $J_n^{[j]} = J_n^j$ and $I_n^{[j]} = I_n^j$.

As before the étale (resp. Nisnevich) sites of X_1 and D_n ($n \geq 1$) are isomorphic. In the following by abuse of notation we identify these equivalent sites.

We continue to assume $r < p$.

Definition 2.7. (see [K2, p.211]) One defines $J(r)\Omega_{D_\bullet}^\bullet \in \mathbf{C}_{\text{pro}}(D_\bullet)_{\text{ét/Nis}}$ as the complex

$$J_\bullet^r \rightarrow J_\bullet^{(r-1)} \otimes_{\mathcal{O}_{Z_\bullet}} \Omega_{Z_\bullet}^1 \rightarrow \dots \rightarrow J_\bullet \otimes_{\mathcal{O}_{Z_\bullet}} \Omega_{Z_\bullet}^{r-1} \rightarrow \mathcal{O}_{D_\bullet} \otimes_{\mathcal{O}_{Z_\bullet}} \Omega_{Z_\bullet}^r \rightarrow \dots$$

One defines $I(r)\Omega_{D_\bullet}^\bullet \in \mathbf{C}_{\text{pro}}(D_\bullet)_{\text{ét/Nis}}$ as the complex

$$I_\bullet^r \rightarrow I_\bullet^{(r-1)} \otimes_{\mathcal{O}_{Z_\bullet}} \Omega_{Z_\bullet}^1 \rightarrow \dots \rightarrow I_\bullet \otimes_{\mathcal{O}_{Z_\bullet}} \Omega_{Z_\bullet}^{r-1} \rightarrow \mathcal{O}_{D_\bullet} \otimes_{\mathcal{O}_{Z_\bullet}} \Omega_{Z_\bullet}^r \rightarrow \dots$$

For the rest of this section we assume X_\bullet is in $\text{Sm}_{\mathbb{W}}$. The lifting of Frobenius F defines a morphism

$$\mathcal{O}_{D_n} \rightarrow \prod_1^n \mathcal{O}_{D_n}, \quad x \mapsto (x, F(x), \dots, F^{n-1}(x)),$$

which induces a well defined morphism $\Phi(F) : \mathcal{O}_{D_n} \rightarrow W_n \mathcal{O}_{X_1}$, which in turn induces a quasi-isomorphism of differential graded algebras [II, Sec. II.1]

$$(2.6) \quad \Phi(F) : \Omega_{D_n}^\bullet \rightarrow W_n \Omega_{X_1}^\bullet.$$

The restriction homomorphisms

$$(2.7) \quad \Omega_{D_n}^\bullet \xrightarrow{\sim} \Omega_{X_n}^\bullet$$

$$(2.8) \quad J(r)\Omega_{D_n}^\bullet \xrightarrow{\sim} \Omega_{X_n}^{\geq r}$$

$$(2.9) \quad I(r)\Omega_{D_n}^\bullet \xrightarrow{\sim} p(r)\Omega_{X_n}^\bullet$$

are quasi-isomorphisms of differential graded algebras [BO1, 7.26.3]. We get isomorphisms

$$(2.10) \quad \begin{array}{ccc} \Omega_{X_\bullet}^\bullet & \xleftarrow{\sim} & \Omega_{D_\bullet}^\bullet \\ & \searrow^{(*)} & \downarrow \Phi(F) \\ & & W_\bullet \Omega_{X_1}^\bullet \end{array}$$

which induce a canonical dotted isomorphism $(*)$ in $\mathbf{D}_{\text{pro}}(X_1)_{\text{ét/Nis}}$, independent of the choice of Z .

Proposition 2.8. For $X_\bullet \in \text{Sm}_{\mathbb{W}}$, the diagram (2.10) induces the diagram

$$\begin{array}{ccc} p(r)\Omega_{X_\bullet}^\bullet & \xleftarrow{\sim} & I(r)\Omega_{D_\bullet}^\bullet \\ & \searrow^{(*)} & \downarrow \Phi(F) \\ & & q(r)W_\bullet \Omega_{X_1}^\bullet \end{array}$$

whose maps are isomorphisms in $\mathbf{D}_{\text{pro}}(X_1)_{\text{ét/Nis}}$. They induce a canonical isomorphism $(*)$, independent of the choice of Z .

Proof. We have to show that $\Phi(F)$ is an isomorphism in $\mathbf{D}_{\text{pro}}(X_1)_{\text{ét/Nis}}$. By (2.9) we can without loss of generality assume $X_\bullet = Z_\bullet = D_\bullet$ are affine with Frobenius lift F . Let $d = \dim X_1$. Consider sequences $v_* := v_0 \geq v_1 \geq \dots \geq v_d \geq v_{d+1} \geq 0$ with $v_{i+1} \geq v_i - 1$ and $v_i < p$ for all $0 \leq i \leq d$. We also assume $v_{d+1} = \max(0, v_d - 1)$. To any such sequence we associate a subcomplex $q(v_*)W_\bullet \Omega_{X_1}^\bullet$ of $W_\bullet \Omega_{X_1}^\bullet$ as follows:

$$(2.11) \quad q(v_*)W_\bullet \Omega_{X_1}^i = \begin{cases} p^{v_i} W_\bullet \Omega_{X_1}^i & \text{for } v_i = v_{i+1} \\ p^{v_{i+1}} V W_\bullet \Omega_{X_1}^i & \text{for } v_i = v_{i+1} + 1 \end{cases}$$

This is indeed a subcomplex (because $VW.\Omega_{X_1}^i \supset pW.\Omega_{X_1}^i$). correspond to the sequence $v_i = \max(0, r - i)$. We get a map

$$(2.12) \quad \Phi(F) : p^{\nu_*} \Omega_{X_1}^\bullet \rightarrow q(\nu_*) W.\Omega_{X_1}^\bullet.$$

Lemma 2.9. *The map $\Phi(F)$ in (2.12) induces an isomorphism in $D_{\text{pro}}(X_1)_{\text{ét/Nis}}$.*

We proceed by induction on $N = \sum v_i$. If $N = 0$ the assertion is that $\Omega_{A_1}^\bullet \rightarrow W\Omega_{A_1}$ is a quasi-isomorphism, which is Illusie's result [Il, Thm. II.1.4]. Suppose $N > 0$ and assume the result for smaller values of N . Let i be such that $v_0 = \dots = v_i > v_{i+1}$. Define a sequence μ_* such that $\mu_j = v_j$ for $j \geq i+1$ and such that $\mu_j = v_j - 1$ for $j \leq i$. By induction $p^{\mu_*} \Omega_{X_1}^\bullet \rightarrow q(\mu_*) W.\Omega_{X_1}^\bullet$ is an isomorphism in $D_{\text{pro}}(X_1)_{\text{ét/Nis}}$. One has, up to isomorphism

$$(2.13) \quad p^{\mu_*} \Omega_{X_1}^\bullet / p^{\nu_*} \Omega_{X_1}^\bullet \cong \mathcal{O}_{X_1} \rightarrow \dots \rightarrow \Omega_{X_1}^i$$

$$(2.14) \quad \begin{aligned} q(\mu_*) W.\Omega_{X_1}^\bullet / q(\nu_*) W.\Omega_{X_1}^\bullet &\cong \\ W(X_1)/pW(X_1) \rightarrow \dots \rightarrow W.\Omega_{X_1}^{i-1}/pW.\Omega_{X_1}^{i-1} &\rightarrow W.\Omega_{X_1}^i/VW.\Omega_{X_1}^i \end{aligned}$$

Complexes (2.13) and (2.14) are quasi-isomorphic by [Il, Cor. I.3.20], proving the lemma. Note we are using throughout that multiplication by p is a monomorphism on $W.\Omega_{X_1}^\bullet$. \square

For X_1/k projective we work with the *crystalline cohomology* groups

$$(2.15) \quad H_{\text{cris}}^i(X_1/W) = H_{\text{cont}}^i(X_1, W.\Omega_{X_1}^\bullet)$$

and the *refined crystalline cohomology* groups $H_{\text{cont}}^i(X_1, q(r)W.\Omega_{X_1}^\bullet)$. The definition of continuous cohomology groups is recalled in Definition B.6. Note that because $H^i(X_1, W_n.\Omega_{X_1}^r)$ are W_n -modules of finite type, we have

$$\begin{aligned} H_{\text{cont}}^i(X_1, W.\Omega_{X_1}^\bullet) &= \varprojlim_n H^i(X_1, W_n.\Omega_{X_1}^\bullet) \\ H_{\text{cont}}^i(X_1, q(r)W.\Omega_{X_1}^\bullet) &= \varprojlim_n H^i(X_1, q(r)W_n.\Omega_{X_1}^\bullet). \end{aligned}$$

For the same reason we have for de Rham cohomology

$$\begin{aligned} H_{\text{cont}}^i(X_1, \Omega_{X_1}^\bullet) &= \varprojlim_n H^i(X_1, \Omega_{X_n}^\bullet) \\ H_{\text{cont}}^i(X_1, p(r)\Omega_{X_1}^\bullet) &= \varprojlim_n H^i(X_1, p(r)\Omega_{X_n}^\bullet). \end{aligned}$$

In particular if X_1 is the p -adic formal scheme associated to a smooth projective scheme X/W we get $H_{\text{cont}}^i(X_1, \Omega_{X_1}^\bullet) = H^i(X, \Omega_{X/W}^\bullet)$ by [EGA3, Sec. 4.1].

Gros [G] constructs the crystalline Chern character

$$(2.16) \quad K_0(X_1) \xrightarrow{\text{ch}} \bigoplus_r H_{\text{cris}}^{2r}(X_1/W)_{\mathbb{Q}}$$

using the method of Grothendieck, i.e. using the projective bundle formula. The crystalline Chern character is a ring homomorphism.

3. SYNTOMIC COMPLEX AND DE RHAM-WITT SHEAVES

We introduce the syntomic complex [K2] in the étale and Nisnevich topologies and collect some facts about de Rham-Witt sheaves.

Let X_\bullet be in Sch_W and let $X_\bullet \hookrightarrow D_\bullet$ be as in Section 2. Assume $r < p$. Then the morphism $\Omega_{D_n}^\bullet \xrightarrow{p^r} \Omega_{D_{n+r}}^\bullet$ of complexes of sheaves on $X_{1,\text{ét}}$ is injective, and the Frobenius map

$$J(r)\Omega_{D_{n+r}}^\bullet \xrightarrow{F} \Omega_{D_{n+r}}^\bullet$$

factors through $\Omega_{D_n}^\bullet \xrightarrow{p^r} \Omega_{D_{n+r}}^\bullet$, see [K2, Section 1].

Definition 3.1. ([K2, Cor.1.5]) One defines the morphism

$$f_r : J(r)\Omega_{D_\bullet}^\bullet \rightarrow \Omega_{D_\bullet}^\bullet$$

of complexes in $\text{Sh}_{\text{pro}}(X_1)_{\text{ét}}$ via the factorization

$$F : J(r)\Omega_{D_{n+r}}^\bullet \rightarrow J(r)\Omega_{D_n}^\bullet \xrightarrow{f_r} \Omega_{D_n}^\bullet \xrightarrow{p^r} \Omega_{D_{n+r}}^\bullet$$

of the Frobenius F .

Note that f_r is defined using the existence of X_{n+r} , not directly on X_n .

Definition 3.2. ([K2, Defn. 1.6]) We define the *syntomic complex* $\mathfrak{S}_{X_\bullet}(r)_{\text{ét}}$ in the étale topology by

$$\mathfrak{S}_{X_\bullet}(r)_{\text{ét}} = \text{cone}(J(r)\Omega_{D_\bullet}^\bullet \xrightarrow{1-f_r} \Omega_{D_\bullet}^\bullet)[-1],$$

which we usually consider as an object in $\text{D}_{\text{pro}}(X_1)_{\text{ét}}$.

In the Nisnevich topology we define $\mathfrak{S}_{X_\bullet}(r) \in \text{D}_{\text{pro}}(X_1)_{\text{Nis}}$ to be

$$\mathfrak{S}_{X_\bullet}(r) = \tau_{\leq r} R\epsilon_* \mathfrak{S}_{X_\bullet}(r)_{\text{ét}}.$$

Here $\epsilon : X_{1,\text{ét}} \rightarrow X_{1,\text{Nis}}$ is the morphism of sites and $\tau_{\leq r}$ is the ‘good’ truncation. This definition does not depend on the choices (Z, F) , see comment after [K2, Defn. 1.6].

It is well known, see [K2, Thm. 6.1(1)], that

$$\epsilon^* \mathfrak{S}_{X_\bullet}(r) = \mathfrak{S}_{X_\bullet}(r)_{\text{ét}}.$$

For the rest of this section let X_1 be a smooth quasi-projective scheme over k and let $p, r \in \mathbb{N}$ be arbitrary. Recall from [II, Prop. I.3.3, (3.3.1)] that the internal Frobenius $W_{n+1}\Omega_{X_1}^r \xrightarrow{F} W_n\Omega_{X_1}^r$ induces a well defined homomorphism

$$F_r : W_n\Omega_{X_1}^r \rightarrow W_n\Omega_{X_1}^r/dV^{n-1}\Omega_{X_1}^{r-1}$$

by first lifting local sections of $W_n\Omega_{X_1}^r$ to $W_{n+1}\Omega_{X_1}^r$ and then applying F to it. Furthermore, by definition of f_r , one has a commutative diagram in $\text{Sh}_{\text{pro}}(X_1)$

$$\begin{array}{ccc} J(r)\Omega_{D_\bullet}^r & \xrightarrow{f_r} & \Omega_{D_\bullet}^r \\ \Phi(F) \downarrow & & \downarrow \Phi(F) \\ W_\bullet\Omega_{X_1}^r & \xrightarrow{F_r} & W_\bullet\Omega_{X_1}^r/dV^{n-1}\Omega_{X_1}^{r-1} \end{array}$$

Lemma 3.3. *One has a short exact sequence*

$$0 \rightarrow W_n \Omega_{X_{1,\log}}^r \rightarrow W_n \Omega_{X_1}^r / dV W_{n-1} \Omega_{X_1}^{r-1} \xrightarrow{1-F_r} W_n \Omega_{X_1}^r / dW_n \Omega_{X_1}^{r-1} \rightarrow 0$$

on $X_{1,\text{ét}}$. On $X_{1,\text{Nis}}$ the sequence is still exact on the left and in the middle.

Proof. Consider first the situation in the étale topology. One has a commutative diagram with exact columns

$$\begin{array}{ccccccc} 0 & \longrightarrow & W_n \Omega_{X_{1,\log}}^r & \longrightarrow & W_n \Omega_{X_1}^r / dV W_{n-1} \Omega_{X_1}^{r-1} & \xrightarrow{1-F_r} & W_n \Omega_{X_1}^r / dW_n \Omega_{X_1}^{r-1} \longrightarrow 0 \\ & & \parallel & & \uparrow & & \uparrow \\ 0 & \longrightarrow & W_n \Omega_{X_{1,\log}}^r & \longrightarrow & W_n \Omega_{X_1}^r & \xrightarrow{1-F_r} & W_n \Omega_{X_1}^r / dV^{n-1} \Omega_{X_1}^{r-1} \longrightarrow 0 \\ & & & & \uparrow & & \uparrow \\ & & & & dV W_{n-1} \Omega_{X_1}^{r-1} & \xrightarrow{\phi:=1-F_r} & dW_n \Omega_{X_1}^{r-1} / dV^{n-1} \Omega_{X_1}^{r-1} \end{array}$$

By [CTSS, Lem. 1.2] the middle row is exact. Thus the top row is exact if and only if the map ϕ is an isomorphism.

The map $V : dW_n \Omega_{X_1}^{r-1} \rightarrow W_{n+1} \Omega_{X_1}^r$ is divisible by p . Denote by ψ the factorization

$$V : dW_n \Omega_{X_1}^{r-1} \xrightarrow{\psi} W_n \Omega_{X_1}^r \xrightarrow{p} W_{n+1} \Omega_{X_1}^r.$$

The image of ψ lies in $dV W_{n-1} \Omega_{X_1}^{r-1}$ as $Vd = pdV$. The inverse of ϕ is given by $\psi + \psi^2 + \psi^3 + \dots$.

Finally, for the Nisnevich topology, starting with the basic result for a coherent sheaf E that $\epsilon_* E_{\text{ét}} = E_{\text{Nis}}$ and $R^i \epsilon_* E_{\text{ét}} = (0)$ for $i \geq 1$, one gets $\epsilon_* W_n \Omega_{X_{1,\text{ét}}}^r = W_n \Omega_{X_{1,\text{Nis}}}^r$. Then, using results from [II], Section 3.E, p. 579, one gets

$$\epsilon_* \left(W_n \Omega_{X_{1,\text{ét}}}^r / dV W_{n-1} \Omega_{X_{1,\text{ét}}}^{r-1} \right) = W_n \Omega_{X_{1,\text{Nis}}}^r / dV W_{n-1} \Omega_{X_{1,\text{Nis}}}^{r-1}.$$

One concludes using proposition 2.4 and left-exactness of ϵ_* . \square

Denote by $F_r : \tau_{\geq r} q(r) W_n \Omega_{X_1}^\bullet \rightarrow \tau_{\geq r} W_n \Omega_{X_1}^\bullet$ the morphism which in degree $r+i$ is induced by $p^i F$.

Lemma 3.4. *For $i > 0, r \geq 0$ the map*

$$(1 - F_r) : W_n \Omega_{X_1}^{r+i} \rightarrow W_n \Omega_{X_1}^{r+i}$$

is an isomorphism in $\text{Sh}(X_1)_{\text{ét/Nis}}$.

Proof. This is [II, I.Lem.3.30]. \square

In $\text{Sh}_{\text{pro}}(X_1)_{\text{Nis}}$ the internal Frobenius $F : q(r) W_n \Omega_{X_1}^i \rightarrow W_n \Omega_{X_1}^i$ is divisible by p^{r-i} for $i < r$. Indeed, for a local section $p^{r-1-i} V \alpha \in q(r) W_n \Omega_{X_1}^i$, $F(p^{r-1-i} V \alpha) = p^{r-1-i} FV(\alpha)$ and $FV = p$ ([II, I. Lem.4.4]). We denote this divided Frobenius by

$$F_r : q(r) W_n \Omega_{X_1}^i \rightarrow W_n \Omega_{X_1}^i$$

as a morphism in $\text{C}_{\text{pro}}(X_1)_{\text{Nis}}$.

Lemma 3.5. *In $D_{\text{pro}}(X_1)_{\text{ét/Nis}}$ the map*

$$(1 - F_r) : \tau_{<r} q(r) W.\Omega_{X_1}^\bullet \rightarrow \tau_{<r} W.\Omega_{X_1}^\bullet$$

becomes an isomorphism.

Proof. Applying [II, I, Lem. 4.4], one has for $i \leq r - 1$ and α a local section in $W.\Omega_{X_1, \text{ét}}^i$

$$(1 - F_r)(-p^{r-i-1} V \alpha) = \alpha - p^{r-i-1} V \alpha,$$

thus

$$\alpha = (1 - F_r)(\beta), \quad \beta = -(p^{r-1-i} V) \sum_{n=0}^{\infty} (p^{r-1-i} V)^n(\alpha) \in p^{r-i-1} V W.\Omega_{X_1, \text{ét}}^i.$$

On the other hand, clearly if $W.\Omega_{X_1, \text{ét}}^i \ni \alpha = p^{r-i-1} V \alpha$, then $\alpha \in (p^{r-i-1} V)^n W.\Omega_{X_1, \text{ét}}^i$ for all $n \geq 1$, thus $\alpha = 0$. This finishes the proof. \square

Putting Lemmas 3.3, 3.4 and 3.5 together we get

Corollary 3.6. *In $D_{\text{pro}}(X_1)_{\text{ét}}$ there is an exact triangle*

$$W.\Omega_{X_1, \text{log}}^r[-r] \rightarrow q(r) W.\Omega_{X_1}^\bullet \xrightarrow{1-F_r} W.\Omega_{X_1}^\bullet \xrightarrow{[1]} \dots$$

Remark 3.7. To end this section we remark that one can define the syntomic complex in $D_{\text{pro}}(\text{Sch}_{W, \text{ét/Nis}})$, where $\text{Sch}_{W, \text{ét/Nis}}$ is the big étale resp. Nisnevich site with underlying category Sch_W . For this one uses the syntomic site and the crystalline Frobenius instead of the immersion $X \hookrightarrow Z$, and the Frobenius lift on Z , see [GK], [FM].

4. FUNDAMENTAL TRIANGLE

Let X be in Sm_W and assume $r < p$. The goal of this section is to decompose the Nisnevich syntomic complex $\mathfrak{S}_X(r)$ in a part $W.\Omega_{X_1, \text{log}}^r[-r]$ stemming from the reduced fibre X_1 and a ‘deformation part’ $p(r)\Omega_X^{\leq r}[-1]$.

As a technical device we need a variant of the syntomic complex with $J(r)$ replaced by $I(r)$. In analogy with Definition 3.1 we propose:

Definition 4.1. Let f_r be the canonical factorization of Frobenius map

$$F : I(r)\Omega_{D_{n+r}}^\bullet \xrightarrow{f_r} \Omega_{D_n}^\bullet \xrightarrow{p^r} \Omega_{D_{n+r}}^\bullet.$$

Note that this time there is no factorization of the form

$$f_r : I(r)\Omega_{D_{n+r}}^\bullet \xrightarrow{\text{rest}} I(r)\Omega_{D_n}^\bullet \rightarrow \Omega_{D_n}^\bullet.$$

We write

$$I(r)\Omega_{D_n}^\bullet \xrightarrow{f_r} \Omega_{D_n}^\bullet,$$

for the induced morphism in $C_{\text{pro}}(X_1)$.

Definition 4.2. One defines

$$\mathfrak{S}_{X_\bullet}^I(r)_{\text{ét}} = \text{cone}(I(r)\Omega_{D_\bullet}^\bullet \xrightarrow{1-f_r} \Omega_{D_\bullet}^\bullet)[-1]$$

in $D_{\text{pro}}(X_1)_{\text{ét}}$. In the Nisnevich topology we define

$$\mathfrak{S}_{X_\bullet}^I(r) = \tau_{\leq r} R\epsilon_* \mathfrak{S}_{X_\bullet}^I(r)_{\text{ét}}$$

in $D_{\text{pro}}(X_1)_{\text{Nis}}$.

Proposition 4.3. For X , in $\text{Sm}_{\mathbb{W}}$, the map $\Phi(F)$ induces an isomorphism

$$\mathfrak{S}_{X_\bullet}^I(r)_{\text{ét}} \xrightarrow{\Phi^I} W_\bullet \Omega_{X_1, \log}^r[-r]$$

in $D_{\text{pro}}(X_1)_{\text{ét}}$. In particular applying the composed functor $\tau_{\leq r} \circ R\epsilon_*$ we also get an isomorphism

$$\mathfrak{S}_{X_\bullet}^I(r) \xrightarrow{\Phi^I} W_\bullet \Omega_{X_1, \log}^r[-r]$$

in $D_{\text{pro}}(X_1)_{\text{Nis}}$.

Proof. Indeed we have the chain of isomorphisms in $D_{\text{pro}}(X_1)_{\text{ét}}$.

$$(4.1) \quad \begin{array}{c} \mathfrak{S}_{X_\bullet}^I(r)_{\text{ét}} \\ \downarrow (1) \Phi(F) \\ \text{cone}(q(r)W_\bullet \Omega^\bullet \xrightarrow{1-F_r} W_\bullet \Omega^\bullet)[-1] \\ \downarrow (2) \\ \text{cone}(W_\bullet \Omega^r/dVW_\bullet \Omega^{r-1} \xrightarrow{1-F_r} W_\bullet \Omega^r/dW_\bullet \Omega^{r-1})[-r-1] \\ \uparrow (3) \\ W_\bullet \Omega_{X_1, \log}^r[-r] \end{array}$$

where (1) is an isomorphism by Proposition 2.8, (2) is defined and an isomorphism by Lemmas 3.4 and 3.5 and (3) is an isomorphism by Lemma 3.3.

For Nisnevich topology we have

$$\tau_{\leq 0} \circ R\epsilon_* W_n \Omega_{X, \log, \text{ét}}^r = \epsilon_* W_n \Omega_{X, \log, \text{ét}}^r = W_n \Omega_{X, \log, \text{Nis}}^r$$

by Proposition 2.4. □

Recall that we work in Nisnevich topology if not specified otherwise.

Theorem 4.4 (Fundamental triangle). For X , in $\text{Sm}_{\mathbb{W}}$, one has an exact triangle

$$p(r)\Omega_{X_\bullet}^{\leq r}[-1] \rightarrow \mathfrak{S}_{X_\bullet}^I(r) \xrightarrow{\Phi^J} W_\bullet \Omega_{X_1, \log}^r[-r] \xrightarrow{[1]} \dots$$

in $D_{\text{pro}}(X_1)$. In particular, the support of $\mathfrak{S}_{X_\bullet}^I(r)$ lies in degrees $[1, r]$ for $r \geq 1$.

Proof. We first construct the étale version of the triangle. Let

$$\mathfrak{W}(r) = \text{cone}(J(r)\Omega_{D_\bullet}^\bullet \rightarrow I(r)\Omega_{D_\bullet}^\bullet)[-1].$$

Proposition 4.3 implies that one has an exact triangle

$$(4.2) \quad \mathfrak{W}(r) \rightarrow \mathfrak{S}_{X_\bullet}^I(r)_{\text{ét}} \xrightarrow{\Phi^J} W_\bullet \Omega_{X_1, \log}^r[-r] \xrightarrow{[1]} \dots$$

in $D_{\text{pro}}(X_1)_{\text{ét}}$.

By Proposition 2.8 we conclude that the restriction map from D_\bullet to X_\bullet induces an isomorphism

$$\mathfrak{W}(r) \xrightarrow{\text{rest}} p(r)\Omega_{X_\bullet}^{\leq r-1}[-1]$$

in $D_{\text{pro}}(X_1)_{\text{ét}}$.

We now come to the Nisnevich version. One has to show that applying $\tau_{\leq r} \circ R\epsilon_*$ to exact triangle (4.2), one obtains an exact triangle in Nisnevich topology. One has an isomorphism

$$\epsilon_* p(r)\Omega_{X_\bullet}^{\leq r-1}[-1] \xrightarrow{\cong} R\epsilon_* p(r)\Omega_{X_\bullet}^{\leq r-1}[-1]$$

in $D_{\text{pro}}(X_1)_{\text{Nis}}$, thus in particular the latter complex has support in cohomological degrees $[1, r]$. Applying Lemma A.1 finishes the proof. \square

Remark 4.5. In analogy with Remark 3.7 the complex $\mathfrak{S}_{X_\bullet}^I(r)_{\text{ét/Nis}}$ extends to an object in the global category $D_{\text{pro}}(\text{Sch}_{W_\bullet, \text{ét/Nis}})$. The isomorphism in Proposition 4.3 extends to an isomorphism in $D_{\text{pro}}(\text{Sm}_{W_\bullet, \text{ét/Nis}})$. Although the construction in the proof is valid only on the small site $X_{1, \text{ét/Nis}}$, the isomorphism for different X_\bullet glue canonically. So it follows that also the fundamental triangle in Theorem 4.4 extends to $D_{\text{pro}}(\text{Sm}_{W_\bullet, \text{Nis}})$.

5. CONNECTING MORPHISM IN FUNDAMENTAL TRIANGLE

Let the notation be as in Section 4, in particular let X_\bullet be in Sm_{W_\bullet} . We assume $p > r$. The aim of this section is to show the following

Theorem 5.1. *The connecting homomorphism*

$$\alpha : W_\bullet \Omega_{X_1, \log}^r[-r] \rightarrow p(r)\Omega_{X_\bullet}^{\leq r-1}$$

in the fundamental triangle (Theorem 4.4) is equal to the composite morphism

$$\beta : W_\bullet \Omega_{X_1, \log}^r[-r] \rightarrow W_\bullet \Omega_{X_1}^{\geq r} \rightarrow q(r)W_\bullet \Omega_{X_1}^\bullet \xrightarrow{\text{Prop. 2.8}} p(r)\Omega_{X_\bullet}^\bullet \rightarrow p(r)\Omega_{X_\bullet}^{\leq r-1}$$

in $D_{\text{pro}}(X_1)$. Here the non-labelled maps are the natural ones.

The theorem will imply the compatibility of α with the cycle class, see Section 7.

First of all we observe that it is enough to prove Theorem 5.1 in étale topology, i.e. that $\epsilon^*(\alpha) = \epsilon^*(\beta)$, because $\alpha = \tau_{\leq r}(\epsilon_* \circ \epsilon^*(\alpha))$ and $\beta = \tau_{\leq r}(\epsilon_* \circ \epsilon^*(\beta))$.

Definition 3.2 of $\mathfrak{S}_{X_\bullet}(r)_{\text{ét}}$ as a cone gives a map $\mathfrak{S}_{X_\bullet}(r) \rightarrow J(r)\Omega_{D_\bullet}^\bullet$ in $C_{\text{pro}}(X_1)_{\text{ét}}$. Note that by Proposition 2.8 there is a natural restriction quasi-isomorphism $J(r)\Omega_{D_\bullet}^\bullet \rightarrow \Omega_{X_\bullet}^{\geq r}$. We let $\kappa(r)$ be the composite map

$$\mathfrak{S}_{X_\bullet}(r)_{\text{ét}} \rightarrow J(r)\Omega_{D_\bullet}^\bullet \rightarrow \Omega_{X_\bullet}^{\geq r} \quad \text{in } C_{\text{pro}}(X_1)_{\text{ét}}.$$

Definition 5.2. We define $\mathfrak{S}'_{X_\bullet}(r)_{\text{ét}} = \text{cone}(\mathfrak{S}_{X_\bullet}(r)_{\text{ét}} \xrightarrow{\kappa(r)} \Omega_{X_\bullet}^{\geq r})[-1]$ as an object in $C_{\text{pro}}(X_1)$.

The morphism $\Phi^J : \mathfrak{S}_{X_\bullet}(r) \rightarrow W.\Omega_{X_1, \log}^r[-r]$ in $D_{\text{pro}}(X_1)$ from Theorem 4.4 induces a morphism $\mathfrak{S}'_{X_\bullet}(r) \rightarrow W.\Omega_{X_1, \log}^r[-r]$, still denoted by Φ^J .

We have a chain of isomorphisms in $D_{\text{pro}}(X)_{\text{ét}}$

$$(5.1) \quad \begin{array}{c} \mathfrak{S}'_{X_\bullet}(r)_{\text{ét}} \\ \downarrow (1) \\ \text{cone}(\mathfrak{S}'_{X_\bullet}(r)_{\text{ét}} \rightarrow I(r)\Omega_{D_\bullet}^\bullet)[-1] \\ \downarrow (2) \\ \text{cone}(\text{cone}(q(r)W.\Omega_{X_1}^\bullet \xrightarrow{1-F_r} W.\Omega_{X_1}^\bullet)[-1] \rightarrow q(r)W.\Omega_{X_1}^\bullet)[-1] \\ \uparrow (3) \\ \mathfrak{E}(r) := \text{cone}(W.\Omega_{X_1, \log}^\bullet[-r] \rightarrow q(r)W.\Omega_{X_1}^\bullet)[-1] \end{array}$$

where (1) follows immediately from Definition 5.2, (2) follows from Proposition 2.8 and (3) follows from Corollary 3.6.

Proposition 5.3. (1) *In $D_{\text{pro}}(X_1)_{\text{ét}}$, one has an exact triangle*

$$p(r)\Omega_{X_\bullet}^\bullet[-1] \rightarrow \mathfrak{S}'_{X_\bullet}(r) \xrightarrow{\Phi^J} W.\Omega_{X_1, \log}^r[-r] \xrightarrow{[+1]} \dots$$

(2) *In $D_{\text{pro}}(X_1)_{\text{ét}}$, one has a commutative diagram of exact triangles*

$$\begin{array}{ccccccc} q(r)W.\Omega_{X_1}^\bullet[-1] & \longrightarrow & \mathfrak{E}(r) & \longrightarrow & W.\Omega_{X_1, \log}^r[-r] & \xrightarrow{[+1]} & \dots \\ & & \uparrow (*) & & \parallel & & \uparrow \\ p(r)\Omega_{X_\bullet}^\bullet[-1] & \longrightarrow & \mathfrak{S}'_{X_\bullet}(r) & \xrightarrow{\Phi^J} & W.\Omega_{X_1, \log}^r[-r] & \xrightarrow{[+1]} & \dots \\ & & \downarrow & & \parallel & & \downarrow \\ p(r)\Omega_{X_\bullet}^{<r}[-1] & \longrightarrow & \mathfrak{S}_{X_\bullet}(r) & \xrightarrow{\Phi^J} & W.\Omega_{X_1, \log}^r[-r] & \xrightarrow{[+1]} & \dots \end{array}$$

where $(*)$ is the composition of morphisms (5.1). The upper triangle comes from the definition of $\mathfrak{E}(r)$ as a cone and the lower triangle is the fundamental triangle (Theorem 4.4).

Proof. For (1) we take the homotopy fibre of the morphism of exact triangles

$$\begin{array}{ccccccc} p(r)\Omega_{X_\bullet}^{<r}[-1] & \longrightarrow & \mathfrak{S}_{X_\bullet}(r) & \xrightarrow{\Phi^J} & W.\Omega_{X_1, \log}^r[-r] & \xrightarrow{[+1]} & \dots \\ d \downarrow & & \kappa(r) \downarrow & & \downarrow & & \downarrow \\ \Omega^{\geq r} & \xlongequal{\quad} & \Omega^{\geq r} & \longrightarrow & 0 & \xrightarrow{[+1]} & \dots \end{array}$$

where the upper triangle is the fundamental triangle (Theorem 4.4).

We get an exact triangle in $D_{\text{pro}}(X_1)_{\text{ét}}$

$$\text{cone}(p(r)\Omega_{X_\bullet}^{<r}[-1] \rightarrow \Omega_{X_\bullet}^{\geq r})[-1] \rightarrow \mathfrak{S}'_{X_\bullet}(r) \xrightarrow{\Phi^J} W.\Omega_{X_1, \log}^r[-r] \xrightarrow{[+1]} \dots$$

and note that $\text{cone}(p(r)\Omega_{X_\bullet}^{<r}[-1] \rightarrow \Omega_{X_\bullet}^{\geq r})$ is quasi-isomorphic to $p(r)\Omega_{X_\bullet}^\bullet$.

Part (2) follows immediately via the isomorphisms (5.1). \square

Theorem 5.1 follows now from Proposition 5.3 together with (5.1).

6. THE MOTIVIC COMPLEX

The aim of this section is to define a motivic pro-complex of the p -adic scheme X , as an object in $D_{\text{pro}}(X_1)_{\text{Nis}}$. We shall show in Section 7 that liftability of the cycle class to a cohomology class of this complex precisely computes the obstruction for the refined crystalline cycle class to be Hodge.

We recall the definition of Suslin-Voevodsky's cycle complex on the smooth scheme X/k for an arbitrary field k , following [SV, Defn. 3.1]. It is defined as an object $\mathbb{Z}(r)$ in the abelian category of complexes of abelian sheaves on the big Nisnevich site Sm/k . Furthermore, it is a complex of sheaves with transfers. One has

$$(6.1) \quad \mathbb{Z}(r) = \mathcal{C}^\bullet(\mathbb{Z}_{tr}(\widehat{\mathbb{G}}_m^r))[-r].$$

We explain what this means: We think of $\widehat{\mathbb{G}}_m = \mathbb{A}^1 \setminus \{0\}$ as a scheme. By $\mathbb{Z}_{tr}(X)$ we denote the presheaf with transfers defined by the formula $\mathbb{Z}_{tr}(X)(U) = \text{Cor}(U, X)$, for any $X \in \text{Sm}/k$, where $\text{Cor}(U, X)$ is the free abelian group generated by closed integral subschemes $Z \subset U \times_k X$ which are finite and surjective over a component of U ([SV, Section 1]). Wedge product is defined as $\mathbb{Z}_{tr}(\widehat{\mathbb{G}}_m^r) = \mathbb{Z}_{tr}(\widehat{\mathbb{G}}_m^{\times r})/\text{im}(\text{faces})$, where the faces are defined by $(x_1, \dots, x_{r-1}) \mapsto (x_1, \dots, 1, \dots, x_{r-1})$. Finally, for any presheaf of abelian groups \mathcal{F} on Sm/k , one defines the simplicial presheaf $\mathcal{C}_\bullet(\mathcal{F})$ by $\mathcal{C}_i(\mathcal{F})(U) = \mathcal{F}(U \times \Delta^i)$. One sets $\mathcal{C}^i(\mathcal{F}) = \mathcal{C}_{-i}(\mathcal{F})$. So in sum, one has

$$\mathbb{Z}(r)^i(U) = \text{Cor}(U \times_k \Delta^{r-i}, \widehat{\mathbb{G}}_m^r).$$

Clearly $\mathbb{Z}(r)$ is supported in degrees $\leq r$. Its last Nisnevich cohomology sheaf is the Milnor K -sheaf

$$(6.2) \quad \mathcal{H}^r(\mathbb{Z}(r)) = \mathcal{K}_r^M.$$

We refer to [SV, Thm. 3.4] where it is computed for fields, and in general, one needs the Gersten resolution for Milnor K -theory on smooth varieties, established in [EM],[Ke1] and unpublished work of Gabber. Note that in case the base field k is finite one has to use a refined version of the usual Milnor K -sheaves, defined in [Ke2]. See also Section 11 for more details about the Milnor K -sheaf. The essential property of this refined Milnor K -sheaf that we need, is that it is locally generated by symbols $\{a_1, \dots, a_r\}$ with $a_i \in \mathcal{O}_X^\times$ ($1 \leq i \leq r$).

For $X \in \text{Sm}_k$ we denote by $\mathbb{Z}_X(r)$ the restriction of $\mathbb{Z}(r)$ to the small Nisnevich site of X . One has from [MVW, Cor 19.2] and [Ke1, Thm. 1.1]

$$(6.3) \quad H^{2r}(X, \mathbb{Z}_X(r)) = H^r(X, \mathcal{K}_{X,r}^M) = \text{CH}^r(X).$$

From now on the notation is as in Section 5. In particular X/W is in Sm_W and $X_1 = X \otimes_W k$. We assume $r < p$.

We will consider $\mathbb{Z}_{X_1}(r)$ as an object in $D(X_1) = D(X_1)_{\text{Nis}}$ and also as a constant pro-complex in $D_{\text{pro}}(X_1) = D_{\text{pro}}(X_1)_{\text{Nis}}$. So (6.2) enables us to define the map

$$(6.4) \quad \log : \mathbb{Z}_{X_1}(r) \rightarrow \mathcal{H}^r(\mathbb{Z}_{X_1}(r))[-r] = \mathcal{K}_{X_1,r}^M[-r] \xrightarrow{d \log} W, \Omega_{X_1, \log}^r[-r]$$

in $D_{\text{pro}}(X_1)$, where $[]$ is the Teichmüller lift.

Recall that one has a map $\Phi^J : \mathfrak{S}_{X_\bullet}(r) \rightarrow W.\Omega_{X_1, \log}^r[-r]$ in $D_{\text{pro}}(X_1) = D_{\text{pro}}(X_1)_{\text{Nis}}$ (Theorem 4.4) with $\mathfrak{S}_{X_\bullet}(r)$ defined in Definition 3.2.

Definition 6.1. We assume $p > r$. We define the *motivic pro-complex* $\mathbb{Z}_{X_\bullet}(r)$ of X_\bullet as an object in $D_{\text{pro}}(X_1)$ by

$$\mathbb{Z}_{X_\bullet}(r) = \text{cone}(\mathfrak{S}_{X_\bullet}(r) \oplus \mathbb{Z}_{X_1}(r) \xrightarrow{\Phi^J \oplus -\log} W.\Omega_{X_1, \log}^r[-r])[-1].$$

Note that by Lemma A.2, the cone is well defined up to unique isomorphism in the triangulated category $D_{\text{pro}}(X_1)$. In fact the map

$$(6.5) \quad \mathcal{H}^r(\mathbb{Z}_{X_1}(r)) = \mathcal{K}_{X_1, r}^M \rightarrow W.\Omega_{X_1, \log}^r$$

is an epimorphism, since $W.\Omega_{X_1, \log}^r$ is generated by symbols.

Proposition 6.2.

- (0) One has $\mathbb{Z}_{X_\bullet}(0) = \mathbb{Z}$, the constant sheaf \mathbb{Z} in degree 0.
- (1) One has $\mathbb{Z}_{X_\bullet}(1) = \mathfrak{G}_{m, X_\bullet}[-1]$.
- (2) The motivic complex $\mathbb{Z}_{X_\bullet}(r)$ has support in cohomological degrees $\leq r$. For $r \geq 1$, if the Beilinson-Soulé conjecture is true, it has support in cohomological degrees $[1, r]$.
- (3) One has $\mathbb{Z}_{X_\bullet}(r) \otimes_{\mathbb{Z}}^L \mathbb{Z}/p^\bullet = \mathfrak{S}_{X_\bullet}(r)$ in $D_{\text{pro}}(X_1)$.
- (4) One has $\mathcal{H}^r(\mathbb{Z}_{X_\bullet}(r)) = \mathcal{K}_{X_\bullet, r}^M$ in $\text{Sh}_{\text{pro}}(X_1)$.
- (5) There is a canonical product structure

$$\mathbb{Z}_{X_\bullet}(r) \otimes_{\mathbb{Z}}^L \mathbb{Z}_{X_\bullet}(r') \rightarrow \mathbb{Z}_{X_\bullet}(r+r')$$

compatible with the products on $\mathbb{Z}_{X_1}(r)$ and $\mathfrak{S}_{X_\bullet}(r)$.

Proof. We show (0). One has $W.\Omega_{X_1, \log}^0 = \mathbb{Z}/p^\bullet$, $\mathbb{Z}_{X_1}(0) = \mathbb{Z}$ and for example by Theorem 4.4, one has $\mathfrak{S}_{X_\bullet}(0) = \mathbb{Z}/p^\bullet$. So (0) is clear from Definition 6.1.

We show (2). For all $i \in \mathbb{Z}$, one has a long exact sequence

$$\dots \rightarrow \mathcal{H}^i(\mathbb{Z}_{X_\bullet}(r)) \rightarrow \mathcal{H}^i(\mathfrak{S}_{X_\bullet}(r)) \oplus \mathcal{H}^i(\mathbb{Z}_{X_1}(r)) \rightarrow \mathcal{H}^i(W.\Omega_{X_1, \log}^r[-r]) \rightarrow \dots$$

By Theorem 4.4 the syntomic complex $\mathfrak{S}_{X_\bullet}(r)$ has support in degrees $[1, r]$ for $r \geq 1$. The Beilinson-Soulé conjecture predicts the same for the motivic complex $\mathbb{Z}_{X_1}(r)$. So (2) follows because (6.5) is an epimorphism.

We show (4). One has an exact sequence

$$0 \rightarrow \mathcal{H}^r(\mathbb{Z}_{X_\bullet}(r)) \rightarrow \mathcal{H}^r(\mathfrak{S}_{X_\bullet}(r)) \oplus \mathcal{H}^r(\mathbb{Z}_{X_1}(r)) \xrightarrow{\Phi^J \oplus -\log} W.\Omega_{X_1, \log}^r \rightarrow 0$$

By Theorem 4.4, one has an exact sequence

$$0 \rightarrow p\Omega_{X_\bullet}^{r-1}/p^2 d\Omega_{X_\bullet}^{r-2} \rightarrow \mathcal{H}^r(\mathfrak{S}_{X_\bullet}(r)) \xrightarrow{\Phi^J} W.\Omega_{X_1, \log}^r \rightarrow 0$$

which induces the upper row in the commutative diagram with exact rows (the bottom row is Theorem 11.3)

$$\begin{array}{ccccccc} 0 & \longrightarrow & p\Omega_{X_\bullet}^{r-1}/p^2 d\Omega_{X_\bullet}^{r-2} & \longrightarrow & \mathcal{H}^r(\mathbb{Z}_{X_\bullet}(r)) & \longrightarrow & \mathcal{H}^r(\mathbb{Z}_{X_1}(r)) \longrightarrow 0 \\ & & \parallel & & \uparrow (*) & & \uparrow \wr \\ 0 & \longrightarrow & p\Omega_{X_\bullet}^{r-1}/p^2 d\Omega_{X_\bullet}^{r-2} & \longrightarrow & \mathcal{K}_{X_\bullet, r}^M & \longrightarrow & \mathcal{K}_{X_1, r}^M \longrightarrow 0 \end{array}$$

Here the arrow $(*)$ is induced by Kato's syntomic regulator map [K2, Sec. 3]. By (6.2), the right vertical arrow is an isomorphism, so by the five-lemma $(*)$ is also an isomorphism.

From (4) and (2) one deduces (1), since the Beilinson-Soulé vanishing is clear for $r = 1$.

We show (3). The sheaf $W_n \Omega_{X_1, \log}^r$ is a sheaf of flat \mathbb{Z}/p^n -modules, so

$$W_n \Omega_{X_1, \log}^r \otimes_{\mathbb{Z}}^L \mathbb{Z}/p^n = W_n \Omega_{X_1, \log}^r \quad \text{in } D_{\text{pro}}(X_1).$$

By Theorem 4.4 this also implies that $\mathfrak{S}_{X_1}(r) \otimes_{\mathbb{Z}}^L \mathbb{Z}/p^n = \mathfrak{S}_{X_1}(r)$. Geisser-Levine show that $\mathbb{Z}_{X_1}(r) \otimes_{\mathbb{Z}}^L \mathbb{Z}/p^n = W_n \Omega_{X_1, \log}^r[-r]$, see [GL]. So from the definition of $\mathbb{Z}_{X_1}(r)$ we conclude that $\mathbb{Z}_{X_1}(r) \otimes_{\mathbb{Z}}^L \mathbb{Z}/p^n = \mathfrak{S}_{X_1}(r)$.

We show (5). By a simple argument analogous to the proof of Lemma A.2 having a product morphism as in (5) is equivalent to having two morphisms

$$\begin{aligned} \mathbb{Z}_{X_1}(r) \otimes_{\mathbb{Z}}^L \mathbb{Z}_{X_1}(r') &\rightarrow \mathbb{Z}_{X_1}(r+r') \\ \mathfrak{S}_{X_1}(r) \otimes_{\mathbb{Z}}^L \mathfrak{S}_{X_1}(r') &\rightarrow \mathfrak{S}_{X_1}(r+r') \end{aligned}$$

in $D_{\text{pro}}(X_1)$, which become equal when composing with the maps to $W_n \Omega_{X_1, \log}^{r+r'}[-r-r']$. We let the two morphisms be induced by the usual product of the Suslin-Voevodsky motivic complex and the product on the syntomic complex. \square

Proposition 6.3 (Motivic fundamental triangle). *One has a unique commutative diagram of exact triangles in $D_{\text{pro}}(X_1)$*

$$\begin{array}{ccccccc} p(r) \Omega_{X_1}^{\leq r}[-1] & \longrightarrow & \mathbb{Z}_{X_1}(r) & \longrightarrow & \mathbb{Z}_{X_1}(r) & \longrightarrow & \cdots \\ & & \parallel & & \downarrow d\log & & \downarrow \\ p(r) \Omega_{X_1}^{\leq r}[-1] & \longrightarrow & \mathfrak{S}_{X_1}(r) & \longrightarrow & W_n \Omega_{X_1, \log}^r[-r] & \longrightarrow & \cdots \end{array}$$

where the bottom exact triangle comes from Theorem 4.4 and the maps in the right square are the canonical maps.

Proof. The square

$$\begin{array}{ccc} \mathbb{Z}_{X_1}(r) & \longrightarrow & \mathbb{Z}_{X_1}(r) \\ \downarrow & & \downarrow d\log \\ \mathfrak{S}_{X_1}(r) & \longrightarrow & W_n \Omega_{X_1, \log}^r[-r] \end{array}$$

is homotopy cartesian by definition. So the existence of the commutative diagram in the proposition follows from [Ne, Lemma 1.4.4].

For uniqueness one has to show that the morphism

$$p(r) \Omega_{X_1}^{\leq r-1}[-1] \rightarrow \mathbb{Z}_{X_1}(r)$$

is uniquely defined by the requirements of the proposition. This can be shown analogously with Lemma A.2. \square

Corollary 6.4. *For $Y_1 = X_1 \times \mathbb{P}^m$ one has a projective bundle isomorphism*

$$\bigoplus_{s=0}^m H_{\text{cont}}^{r'-2s}(X_1, \mathbb{Z}_{X_1}(r-s)) \xrightarrow{\oplus_s c_1(\mathcal{O}(1))^s} H_{\text{cont}}^{r'}(Y_1, \mathbb{Z}_{Y_1}(r))$$

Proof. By Proposition 6.3 one has to show that the analogous maps for Suslin-Voevodsky motivic cohomology of X_1 and for Hodge cohomology are isomorphisms. This holds by [MVW, Cor. 15.5] and [SGA7, Exp. XI, Thm. 1.1]. \square

7. CRYSTALLINE HODGE OBSTRUCTION AND MOTIVIC COMPLEX

Let the notation be as in Section 5. We additionally assume in this section that X_1/k is proper.

Our goal in this section is to study a cohomological deformation condition for a rational equivalence class $\xi_1 \in \mathrm{CH}^r(X_1) = H^{2r}(X_1, \mathbb{Z}_{X_1}(r))$ to lift to a cohomology class $\xi \in H_{\mathrm{cont}}^{2r}(X_1, \mathbb{Z}_X(r))$, where $\mathbb{Z}_X(r)$ is the motivic complex defined in Section 6. In fact we suggest to interpret the latter group as the codimension r cohomological Chow group of the formal scheme $X_.$

Definition 7.1. We define the *continuous Chow group* of $X_.$ to be

$$\mathrm{CH}_{\mathrm{cont}}^r(X_.) = H_{\mathrm{cont}}^{2r}(X_1, \mathbb{Z}_X(r)).$$

For the definition of continuous cohomology see Definition B.6. The deformation problem can be understood by means of the fundamental exact triangle in Proposition 6.3, which gives rise to the exact obstruction sequence

$$(7.1) \quad \mathrm{CH}_{\mathrm{cont}}^r(X_.) \rightarrow \mathrm{CH}^r(X_1) \xrightarrow{\mathrm{Ob}} H_{\mathrm{cont}}^{2r}(X_1, p(r)\Omega_X^{\leq r}).$$

We will compare the obstruction $\mathrm{Ob}(\xi_1)$ to the cycle class of ξ_1 in crystalline and de Rham cohomology.

Note that by general homological algebra (formula (B.1)) we have an exact sequence

$$0 \rightarrow \varprojlim_n^1 H^{2r-1}(X_1, \mathbb{Z}_{X_n}(r)) \rightarrow \mathrm{CH}_{\mathrm{cont}}^r(X_.) \rightarrow \varprojlim_n H^{2r}(X_1, \mathbb{Z}_{X_n}(r)) \rightarrow 0.$$

In particular by Proposition 6.2(1) and the vanishing of $\varprojlim_n^1 H^0(X_1, \mathbb{G}_{m, X_n})$ we get an isomorphism

$$(7.2) \quad \mathrm{CH}_{\mathrm{cont}}^1(X_.) \xrightarrow{\sim} \varprojlim_n \mathrm{Pic}(X_n).$$

Note that if $X_.$ is the p -adic formal scheme associated to the smooth projective scheme X/W there is an algebraization isomorphism [EGA3, Thm. 5.1.4]

$$(7.3) \quad \mathrm{Pic}(X) \xrightarrow{\sim} \varprojlim_n \mathrm{Pic}(X_n).$$

The relation of $\mathrm{CH}_{\mathrm{cont}}^r(X_.)$ to formal systems of vector bundles is explained in Section 10. Unfortunately, an analog of the algebraization isomorphism (7.3) is unknown.

We first recall the construction of the crystalline cycle class, as given by Gros [G, II.4] and Milne [Mi, Section 2], using the Gersten resolution for $W.\Omega_{X_1, \log}^r$ [GS, (0.1)] and the Gersten resolution for the Milnor K -sheaf \mathcal{K}_r^M [Ke1, Thm. 1.1]. The morphism $d \log \circ [-] : \mathcal{K}_{X_1, r}^M \rightarrow W.\Omega_{X_1, \log}^r$ maps the Gersten resolution for $\mathcal{K}_{X_1, r}^M$ to the one for $W.\Omega_{X_1, \log}^r$, where $[-]$ is the Teichmüller lift. Thus, for any integral codimension r subscheme $Z \subset X_1$, one obtains as a consequence of purity

$$\mathbb{Z} \cdot [Z] = H_Z^r(X_1, \mathcal{K}_r^M) \xrightarrow{d \log} \mathbb{Z}/p \cdot [Z] = H_Z^r(X_1, W.\Omega_{X_1, \log}^r),$$

where the map $\mathbb{Z} \rightarrow \mathbb{Z}/p^n$ is just the projection. The image of

$$1 \cdot [Z] \text{ in } H_{\text{cont}}^r(X_1, W, \Omega_{X_1, \log}^r),$$

after forgetting supports, is the cycle class of Z . By \mathbb{Z} -linear extension, Gros and Milne define the cycle class map

$$\text{CH}^r(X_1) \rightarrow H_{\text{cont}}^r(X_1, W, \Omega_{X_1, \log}^r).$$

Also we observe that the cycle class map is induced, via the Bloch formula [Ke1]

$$\text{CH}^r(X_1) = H^r(X_1, \mathcal{K}_r^M),$$

by the morphism of pro-sheaves $\mathcal{K}_{X,r}^M \rightarrow W, \Omega_{X_1, \log}^r$.

On the other hand, one has a natural map of complexes

$$(7.4) \quad W, \Omega_{X_1, \log}^r[-r] \rightarrow W, \Omega_{X_1}^{\geq r} \rightarrow q(r)W, \Omega_{X_1}^{\bullet}$$

in $\mathbf{C}_{\text{pro}}(X_1)$.

Definition 7.2. For $\xi \in \text{CH}^r(X_1)$, its *refined crystalline cycle class* is the class

$$c(\xi) \in H_{\text{cont}}^{2r}(X_1, q(r)W, \Omega_{X_1}^{\bullet})$$

induced by (7.4).

The *crystalline cycle class* of ξ is the image $c_{\text{cris}}(\xi)$ of $c(\xi)$ in $H_{\text{cont}}^{2r}(X_1, W, \Omega_{X_1}^{\bullet})$.

By abuse of notation we make the identifications

$$\begin{aligned} H_{\text{cont}}^i(X_1, q(r)W, \Omega_{X_1}^{\bullet}) &= H_{\text{cont}}^i(X_1, p(r)\Omega_X^{\bullet}) \\ H_{\text{cont}}^i(X_1, W, \Omega_{X_1}^{\bullet}) &= H_{\text{cont}}^i(X_1, \Omega_X^{\bullet}) \end{aligned}$$

using the comparison isomorphism from (2.10) and Proposition 2.8.

Definitions 7.3.

- (1) One says that the crystalline (resp. refined crystalline) cycle class of ξ is *Hodge* if and only if $c_{\text{cris}}(\xi)$ (resp. $c(\xi)$) lies in the image of $H_{\text{cont}}^{2r}(X_1, \Omega_X^{\geq r})$ in $H_{\text{cont}}^{2r}(X_1, \Omega_X^{\bullet})$ (resp. in $H_{\text{cont}}^{2r}(X_1, p(r)\Omega_X^{\bullet})$).
- (2) One says that $c_{\text{cris}}(\xi)$ is *Hodge modulo torsion* if and only if $c_{\text{cris}}(\xi) \otimes \mathbb{Q}$ lies in the image of $H_{\text{cont}}^{2r}(X_1, \Omega_X^{\geq r}) \otimes \mathbb{Q}$ in $H_{\text{cont}}^{2r}(X_1, \Omega_X^{\bullet}) \otimes \mathbb{Q}$.

Remarks 7.4.

- (1) By the degeneration of the Hodge-de Rham spectral sequence modulo torsion, the map $H_{\text{cont}}^{2r}(X_1, \Omega_X^{\geq r}) \otimes \mathbb{Q} \rightarrow H_{\text{cont}}^{2r}(X_1, \Omega_X^{\bullet}) \otimes \mathbb{Q}$ is injective.
- (2) If $H_{\text{cont}}^b(X_1, \Omega_X^a)$ is a torsion-free $W(k)$ -module for all $a, b \in \mathbb{N}$, then the composite map

$$H_{\text{cont}}^{2r}(X_1, \Omega_X^{\geq r}) \rightarrow H_{\text{cont}}^{2r}(X_1, p(r)\Omega_X^{\bullet}) \rightarrow H_{\text{cont}}^{2r}(X_1, \Omega_X^{\bullet})$$

is injective, and thus the left map as well.

- (3) The map $H_{\text{cont}}^{2r}(X_1, p(r)\Omega_X^{\geq r}) \otimes \mathbb{Q} \rightarrow H_{\text{cont}}^{2r}(X_1, \Omega_X^{\bullet}) \otimes \mathbb{Q}$ is an isomorphism.

Now we formulate one of our main theorems:

Theorem 7.5. *Let X/W be a smooth projective p -adic formal scheme. Let $\xi_1 \in \text{CH}^r(X_1)$ be an algebraic cycle class. Then*

- (1) *its refined crystalline class $c(\xi_1) \in H_{\text{cont}}^{2r}(X_1, q(r)W, \Omega_{X_1}^{\bullet})$ is Hodge if and only if ξ_1 lies in the image of the restriction map $\text{CH}_{\text{cont}}^r(X_1) \rightarrow \text{CH}^r(X_1)$,*

- (2) *its crystalline class $c_{\text{cris}}(\xi_1) \in H_{\text{cont}}^{2r}(X_1, W, \Omega_{X_1}^\bullet)$ is Hodge modulo torsion if and only if $\xi_1 \otimes \mathbb{Q}$ lies in the image of the restriction map $\text{CH}_{\text{cont}}^r(X_\bullet) \otimes \mathbb{Q} \rightarrow \text{CH}^r(X_1) \otimes \mathbb{Q}$.*

Proof. The second part follows from the first one and Remark 7.4(3). For (1) we observe that we have a commutative diagram with exact rows, extending (7.1),

$$\begin{array}{ccccc} \text{CH}_{\text{cont}}^r(X_\bullet) & \longrightarrow & \text{CH}^r(X_1) & \xrightarrow{\text{Ob}} & H_{\text{cont}}^{2r}(X_1, p(r)\Omega_{X_1}^{<r}) \\ & & \downarrow c & & \parallel \\ & & H_{\text{cont}}^{2r}(p(r)\Omega_{X_1}^{\geq r}) & \longrightarrow & H_{\text{cont}}^{2r}(p(r)\Omega_{X_1}^\bullet) & \longrightarrow & H_{\text{cont}}^{2r}(p(r)\Omega_{X_1}^{<r}) \end{array}$$

Indeed, the right square commutes by Theorem 5.1. The theorem follows by a simple diagram chase. \square

Remark 7.6. For $r = 1$ Theorem 7.5 is due to Berthelot-Ogus [BO2], relying on a construction of a complex similar to our $\mathfrak{S}'_X(1)$ which was first studied in [De1, p. 124]. Note the identification (7.2) of $\text{CH}_{\text{cont}}^1(X_\bullet)$ with the Picard group.

8. CONTINUOUS K -THEORY AND CHERN CLASSES

The aim of this section is firstly to describe Quillen's $+$ -construction and \mathbb{Q} -construction for K -theory of the p -adic formal scheme X , in Sch_W . Secondly, we show

$$\bigoplus_r H_{\text{cont}}^{2r}(\text{BGL}_{W_1}, \mathbb{Z}_{\text{BGL}_W}(r)) = \mathbb{Z}[c_1, c_2, \dots]$$

where the right side is the polynomial ring in the universal Chern classes c_r of cohomological degree $2r$. By pullback we get Chern classes in motivic cohomology for continuous higher K -theory for smooth X_\bullet .

Let now X_\bullet be in Sch_W .

Definition 8.1. By $K_{X_\bullet} \in \text{S}_{\text{pro}}(X_1)$ we denote the pro-system of simplicial presheaves given by Quillen's \mathbb{Q} -construction. Explicitly, for $U_\bullet \rightarrow X_\bullet$ étale $K_{X_\bullet}(U_1)$ is given by

$$n \mapsto \Omega \text{BQVec}(U_n) \quad (n \geq 1),$$

where $\text{Vec}(U_n)$ is the exact category of vector bundles on U_n , \mathbb{Q} is Quillen's \mathbb{Q} -construction functor and B is the classifying space functor, see [Sr, Sec. 5].

Definition 8.2. Continuous K -theory of X_\bullet in Sch_W is defined by

$$K_i^{\text{cont}}(X_\bullet) = [S_{X_1}^i, K_{X_\bullet}],$$

where $S_{X_1}^i$ is the constant presheaf pro-system of the simplicial i -sphere in $\text{S}_{\text{pro}}(X_1)$.

By [BoK, Sec. IX.3] there is a short exact sequence

$$0 \rightarrow \varprojlim_n^1 K_{i+1}(X_n) \rightarrow K_i^{\text{cont}}(X_\bullet) \rightarrow \varprojlim_n K_i(X_n) \rightarrow 0.$$

Thomason-Throbaugh [TT, Sec. 10] show that K_{X_\bullet} satisfies Nisnevich descent.

Proposition 8.3. *The K -theory presheaf of Definition 8.1 satisfies Nisnevich descent in the sense of Definition B.10.*

In particular from Lemma B.8 we get a Bousfield-Kan descent spectral sequence

$$(8.1) \quad E_2^{s,t} = H_{\text{cont}}^s(X_1, \mathcal{K}_{X,t}) \implies K_{t-s}^{\text{cont}}(X) \quad t \geq s.$$

where $\mathcal{K}_{X,t}$ is the pro-system of Nisnevich sheaves of homotopy groups of K_X .

Our aim in the rest of this section is to construct a Chern character from continuous K -theory to continuous motivic cohomology.

Definition 8.4. By $\text{BGL}_{m,R}$ ($m \geq 1$) we denote the simplicial classifying scheme

$$\cdots \quad \text{GL}_{m,R} \times \text{GR}_{m,R} \begin{array}{c} \xrightarrow{\quad} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} \text{GL}_{m,R} \begin{array}{c} \xrightarrow{\quad} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} \{*\}$$

of the general linear group over the base ring R . By BGL_R we denote the ind-simplicial scheme

$$\cdots \rightarrow \text{BGL}_{m,R} \rightarrow \text{BGL}_{m+1,R} \rightarrow \text{BGL}_{m+2,R} \rightarrow \cdots$$

In the usual way one can associate to BGL_R its small étale and Nisnevich sites, denoted by $\text{BGL}_{R,\text{ét}}$ and $\text{BGL}_R = \text{BGL}_{R,\text{Nis}}$.

The following facts are well known to the experts:

(a) There is a canonical isomorphism

$$(8.2) \quad \bigoplus_r H^{2r}(\text{BGL}_k, \mathbb{Z}_{\text{BGL}_k}(r)) = \mathbb{Z}[c_1, c_2, \dots],$$

where the c_i are Chern classes of the universal bundle on $\text{BGL}_{n,k}$ of cohomological degree $2i$, see [Pu, Lem. 7].

(b) There is a canonical isomorphism

$$(8.3) \quad \bigoplus_r H_{\text{cont}}^r(\text{BGL}_k, \oplus_t \Omega_{\text{BGL}_k}^t[-t]) = W[c_1, c_2, \dots],$$

where the c_i are Chern classes of the universal bundle on $\text{BGL}_{n,k}$ of cohomological bi-degree $(r, t) = (2i, i)$, see Thm. 1.4 and Rmk. 3.6 of [G].

From the Hodge-de Rham spectral sequence and (b) we deduce that

$$\begin{aligned} H_{\text{cont}}^{2r-1}(\text{BGL}_k, p(r)\Omega_{\text{BGL}_k}^{<r}) &= 0, \\ H_{\text{cont}}^{2r}(\text{BGL}_k, p(r)\Omega_{\text{BGL}_k}^{<r}) &= 0. \end{aligned}$$

By the fundamental triangle in Proposition 6.3 this implies that

$$\bigoplus_r H_{\text{cont}}^{2r}(\text{BGL}_k, \mathbb{Z}_{\text{BGL}_k}(r)) \xrightarrow{\sim} \bigoplus_r H^{2r}(\text{BGL}_k, \mathbb{Z}_{\text{BGL}_k}(r))$$

is an isomorphism. We conclude:

Proposition 8.5. *There is a canonical isomorphism of graded rings*

$$\bigoplus_r H_{\text{cont}}^{2r}(\text{BGL}_{W_1}, \mathbb{Z}_{\text{BGL}_{W_1}}(r)) = \mathbb{Z}[c_1, c_2, \dots],$$

where the universal Chern classes c_i live in cohomological degree $2i$.

By the construction of Gillet [Gil] the universal Chern class c_r of Proposition 8.5 leads to a morphism

$$c_r \in [\text{BGL}_X, \mathbb{K}\mathbb{Z}_X(r)[2r]]$$

in the homotopy category $\text{hS}_{\text{pro}}(X_1)$, see Notation B.3. Here \mathbb{K} stands for the Eilenberg-MacLane functor of Proposition B.4 and BGL_X is the natural pro-system of presheaves of simplicial sets on $X_{1,\text{Nis}}$ given on $U_n \rightarrow X_n$ étale by

$\varinjlim_m \mathrm{BGL}_{W_n, m}(U_n)$. By Proposition 8.3 and a functorial version of Quillen's $+ = \mathbb{Q}$ theorem (see the proof of Prop. 2.15 of [Gil]) there is a canonical isomorphism

$$K_X \cong \mathbb{Z} \times \mathbb{Z}_\infty \mathrm{BGL}_X,$$

in $\mathrm{hS}_{\mathrm{pro}}(X_1)$, where \mathbb{Z}_∞ is the Bousfield-Kan \mathbb{Z} -completion functor [BoK]. Completion therefore induces a map

$$[\mathrm{BGL}_X, \mathbb{K}\mathbb{Z}_X(r)[2r]] \rightarrow [K_X, \mathbb{K}\mathbb{Z}_X(r)[2r]]$$

and we get continuous Chern class maps

$$(8.4) \quad c_r : K_i^{\mathrm{cont}}(X_\bullet) \rightarrow H_{\mathrm{cont}}^{2r-i}(X_1, \mathbb{Z}_X(r)),$$

which are group homomorphisms for $i > 0$ and satisfy the Whitney formula for $i = 0$.

The degree r part of the universal Chern character is a universal polynomial $\mathrm{ch}_r \in \mathbb{Z}[1/r!][c_1, \dots]$. As above by pullback we get Chern characters

$$(8.5) \quad \mathrm{ch}_r : K_i^{\mathrm{cont}}(X_\bullet) \rightarrow H_{\mathrm{cont}}^{2r-i}(X_1, \mathbb{Z}_X(r))_{\mathbb{Z}[\frac{1}{r!}]},$$

which are additive and compatible with product. The lower index $\mathbb{Z}[\frac{1}{r!}]$ stands for $-\otimes_{\mathbb{Z}} \mathbb{Z}[\frac{1}{r!}]$. Note that the canonical morphism

$$H_{\mathrm{cont}}^{2r-i}(X_1, \mathbb{Z}_X(r))_{\mathbb{Z}[\frac{1}{r!}]} \xrightarrow{\sim} H_{\mathrm{cont}}^{2r-i}(X_1, \mathbb{Z}[\frac{1}{r!}]_X(r))$$

is an isomorphism for $r < p$, as follows from Proposition 6.3.

9. RESULTS FROM TOPOLOGICAL CYCLIC HOMOLOGY

We summarize some deep results about K -theory which are proved using the theory of topological cyclic homology, due to McCarthy, Madsen, Hesselholt, Geisser and others. Note that we state results not in their general form, but in a form sufficient for our application.

In this section we work in étale topology only, i.e. all sheaves and cohomology groups are in étale topology. The prime p is always assumed to be odd.

Let R be a discrete valuation ring, finite flat over W and write $R_n = R/p^n$. Let X be in Sm_R and X_\bullet be the associated p -adic formal scheme in Sm_R , i.e. $X_n = X \otimes_R R_n$. Denote by $i : X_{\mathrm{red}} \hookrightarrow X$ the immersion of the reduced closed fibre and by $j : X_K \rightarrow X$ the immersion of the general fibre, $K = \mathrm{frac}(R)$. Using the arithmetic square [BoK, Sec. VI.8] and the theorems of McCarthy [Mc] and Goodwillie [Go], Geisser-Hesselholt [GH1, Thm. A] deduce results about integral K -theory in the relative affine situation $X_{\mathrm{red}} \hookrightarrow X_n$. Combining their result with Thomason's Zariski descent for K -theory, Proposition 8.3, in order to reduce to affine X_n and étale descent for topological cyclic homology [GH2, Cor. 3.3.3] we get:

Proposition 9.1.

- (a) *The relative K -groups $K_s(X_n, X_{\mathrm{red}})$ are p -primary torsion of finite exponent for any $n \geq 1, s \geq 0$.*
- (b) *The presheaf of simplicial sets $K_{X_n, X_{\mathrm{red}}}$ on the small étale site of X_{red} satisfies étale descent, see Definition B.10.*

Generalizing the work of Suslin and Panin, Geisser-Hesselholt [GH3] obtain the following continuity result for K -theory with \mathbb{Z}/p -coefficients. Let $(\mathcal{K}/p)_{X,s}$ be the étale sheaf of K -groups with \mathbb{Z}/p -coefficients on X and let similarly $(\mathcal{K}/p)_{X_{\text{red}},s}$ be the pro-system of K -sheaves on the étale site of X_{red} .

Proposition 9.2. *The restriction map induces an isomorphism of pro-systems of étale sheaves on X_{red}*

$$i^*(\mathcal{K}/p)_{X,s} \xrightarrow{\sim} (\mathcal{K}/p)_{X_{\text{red}},s}.$$

Note that one also has a continuity isomorphism

$$(9.1) \quad i^* \mathbb{G}_{m,X} \otimes_{\mathbb{Z}}^L \mathbb{Z}/p \xrightarrow{\sim} \mathbb{G}_{m,X_{\text{red}}} \otimes_{\mathbb{Z}}^L \mathbb{Z}/p$$

in $D_{\text{pro}}(X_{\text{red}})_{\text{ét}}$.

In the rest of this section we study the relation of K -theory to a form of p -adic vanishing cycles.

Definition 9.3. We define

$$\mathfrak{V}_X(r) = \text{cone}(\tau_{\leq r} R j_* \mathbb{Z}/p(r) \xrightarrow{\text{res}} \Omega_{X_{\text{red}}, \log}^{r-1}[-r])[-1],$$

where res is the residue map of Bloch-Kato [BK, Thm. 1.4].

Note that the cone in the definition is unique up to unique isomorphism by Lemma A.2.

Lemma 9.4. *The symbol map induces an isomorphism*

$$\mathbb{G}_{m,X} \otimes_{\mathbb{Z}}^L \mathbb{Z}/p[-1] \xrightarrow{\sim} \mathfrak{V}_X(1)$$

in $D(X)_{\text{ét}}$.

Proof. We have a short exact sequence of étale sheaves

$$0 \rightarrow \mathbb{G}_{m,X} \rightarrow j_* \mathbb{G}_{m,X_K} \rightarrow i_* \mathbb{Z} \rightarrow 0.$$

Forming the derived tensor product of the associated exact triangle in $D(X)_{\text{ét}}$ with \mathbb{Z}/p and using the isomorphism

$$j_* \mathbb{G}_{m,X_K} \otimes_{\mathbb{Z}}^L \mathbb{Z}/p = \tau_{\leq 1} R j_* \mathbb{Z}/p(1),$$

we finish the proof of the lemma. \square

Assume that R contains a primitive p -th root of unity. We have the following chain of isomorphisms of pro-systems of étale sheaves on X_{red} :

$$(9.2) \quad i^*(\mathcal{K}/p)_{X,s} \xrightarrow{\text{tr}} i^*(\mathcal{T}\mathcal{C}/p)_{X,s} \xrightarrow{(*)} \bigoplus_{r \leq s} i^* \mathcal{H}^{2r-s}(\mathfrak{V}_X(r)).$$

Here tr is the Bökstedt-Hsiang-Madsen trace [BHM] from the étale K -sheaf to the étale pro-sheaf of topological cyclic homology. The map tr is an isomorphism by [GH3, Thm. B]. The isomorphism $(*)$ is the composite of isomorphisms induced by [HM, Thm. E] and [GH4, Thm. A].

Fix a primitive p -th root of unity ζ . Recall that the Bott element

$$\beta \in K_2(W[\zeta]; \mathbb{Z}/p)$$

is the unique element which maps to $\{\zeta\} \in K_1(W[\zeta]; \mathbb{Z}/p)$ under the Bockstein. Uniqueness of this Bott element follows from Moore's theorem [Mil, App.], which says that

$$K_2(W[\zeta]) = \mathbb{Z}/p \oplus (\text{divisible}).$$

The Bott element

$$(9.3) \quad \beta \in H^0(\mathrm{Spec} W[\zeta], \mathfrak{Y}(1)) = \ker(\mathbb{G}_m(W[\zeta]) \xrightarrow{p} \mathbb{G}_m(W[\zeta])) = \zeta^{\mathbb{Z}}$$

is by definition the element induced by ζ , where the first isomorphism in (9.3) is coming from Lemma 9.4.

The composite isomorphism (9.2) can be uniquely characterized as follows:

Proposition 9.5. *If R contains the p -th roots of unity there is a unique morphism*

$$i^*(\mathcal{K}/p)_{X,s} \xrightarrow{\sim} \bigoplus_{r \leq s} i^* \mathcal{H}^{2r-s}(\mathfrak{Y}_X(r))$$

of étale sheaves mapping the local section $\beta^t \{a_1, \dots, a_{s-2t}\}$ on the left side to the corresponding local section on right side with a_u ($1 \leq u \leq s - 2t$) local sections of $i^* j_* \mathcal{O}_{X_K}^\times$ for $t > 0$ and local sections of $i^* \mathcal{O}_X^\times$ for $t = 0$. This morphism is an isomorphism.

Proof. One just has to note that the isomorphism constructed above is compatible with products and that the target ring of the isomorphism is generated by the above Bott-symbols [BK, Thm. 1.4]. In fact the Bökstedt-Hsiang-Madsen trace is compatible with product. This is shown in [GH2, Sec. 6]. \square

10. CHERN CHARACTER ISOMORPHISM

In this section we show that under suitable hypotheses our Chern character from continuous K -theory to continuous motivic cohomology of a smooth p -adic formal scheme is an isomorphism. Using descent we firstly reduce it to an étale local problem with \mathbb{Z}/p -coefficients. Secondly, we use the fact, Proposition 9.5, that there is some étale local isomorphism, which we show is the same as our Chern character.

Consider a smooth p -adic formal scheme $X. \in \mathrm{Sm}_{\mathbb{W}}$ and let $d = \dim(X_1)$. The continuous K -group $K_0^{\mathrm{cont}}(X.)$ was defined in Section 8, as well as the Chern character map to continuous motivic cohomology.

Theorem 10.1. *For $p > d + 6$ the Chern character*

$$\mathrm{ch} : K_0^{\mathrm{cont}}(X.)_{\mathbb{Q}} \rightarrow \bigoplus_{r \leq d} \mathrm{CH}_{\mathrm{cont}}^r(X.)_{\mathbb{Q}}$$

is an isomorphism.

Note that we have $\mathrm{CH}_{\mathrm{cont}}^r(X.) = 0$ for $r > d$ by Proposition 6.3 and the fact that there is no \lim^1 -contribution to continuous Hodge cohomology.

Proof. For $r + 1 < p$ we have a commutative diagram

$$\begin{array}{ccc} K_1^{\mathrm{cont}}(Y.)_{\mathbb{Q}} & \xrightarrow{\mathrm{ch}_r} & H_{\mathrm{cont}}^{2r+1}(Y_1, \mathbb{Z}_{Y.}(r+1))_{\mathbb{Q}} \\ \{T\} \updownarrow \partial & & \{T\} \updownarrow \partial \\ K_0^{\mathrm{cont}}(X.)_{\mathbb{Q}} & \xrightarrow{\mathrm{ch}_r} & H_{\mathrm{cont}}^{2r}(X_1, \mathbb{Z}_{X.}(r))_{\mathbb{Q}} \end{array}$$

where $Y. = X. \times \mathbb{G}_m$ and T is a torus parameter. The maps ∂ in the diagram are constructed in the standard way by the projective bundle formula for $X. \times \mathbb{P}^1$ and the Mayer-Vietoris exact sequence, see Corollary 6.4 and [TT, Sec. 6]. Clearly, $\partial \circ \{T\} = \mathrm{id}$.

By the diagram it suffices to show that

$$\text{ch} : K_1^{\text{cont}}(Y.)_{\mathbb{Q}} \rightarrow \bigoplus_{r \leq d+2} H_{\text{cont}}^{2r-1}(Y_1, \mathbb{Z}_{Y.}(r))_{\mathbb{Q}}.$$

is an isomorphism.

The Chern character induces a morphism of exact sequences of relative theories

(10.1)

$$\begin{array}{ccccccc} K_2(Y_1)_{\mathbb{Q}} & \longrightarrow & K_1(Y., Y_1) & \longrightarrow & K_1(Y.)_{\mathbb{Q}} & \longrightarrow & \\ \text{ch} \downarrow (1) & & \text{ch} \downarrow (2) & & \text{ch} \downarrow (3) & & \\ \bigoplus_{r \leq d+2} H^{2r-2}(\mathbb{Z}_{Y_1}(r))_{\mathbb{Q}} & \longrightarrow & \bigoplus_{r \leq d+2} H_{\text{cont}}^{2r-2}(p(r)\Omega_{Y.}^{<r}) & \longrightarrow & \bigoplus_{r \leq d+2} H_{\text{cont}}^{2r-1}(\mathbb{Z}_{Y.}(r))_{\mathbb{Q}} & \longrightarrow & \\ & \longrightarrow & K_1(Y_1)_{\mathbb{Q}} & \longrightarrow & K_0(Y., Y_1) & & \\ & & \text{ch} \downarrow (4) & & \text{ch} \downarrow (5) & & \\ & \longrightarrow & \bigoplus_{r \leq d+2} H^{2r-1}(\mathbb{Z}_{Y_1}(r))_{\mathbb{Q}} & \longrightarrow & \bigoplus_{r \leq d+2} H_{\text{cont}}^{2r-1}(p(r)\Omega_{Y.}^{<r}) & & \end{array}$$

where the lower row comes from the fundamental triangle, Proposition 6.3. In order to show that (3) is an isomorphism it suffices to observe:

- (a) the map (1) is surjective and (4) is bijective,
- (b) the map (2) is bijective and the map (5) is injective.

Part (a) is shown in [B2, Thm. 9.1]. We show part (b).

From Proposition 9.1(b) and Lemma B.8 we get a convergent étale descent spectral sequence of Bousfield-Kan type

$$(10.2) \quad E_2^{s,t}(K) = H_{\text{cont}}^s(Y_1, \text{ét}, \mathcal{K}_{Y., Y_1, t}) \implies K_{t-s}^{\text{cont}}(Y., Y_1)$$

As coherent sheaves satisfy étale descent we also get from Lemma B.7 a spectral sequence with Bousfield-Kan type renumbering

$$(10.3) \quad E_2^{s,t}(\mathbb{Z}(r)) = H_{\text{cont}}^s(Y_1, \text{ét}, \mathcal{H}^{2r-t-1}(p(r)\Omega_{Y.}^{<r})) \implies H_{\text{cont}}^{2r-t+s-1}(Y_1, p(r)\Omega_{Y.}^{<r}).$$

The Chern character gives a morphism of spectral sequences from (10.2) to (10.3). Note that $E_2^{s,t}(K) = E_2^{s,t}(\mathbb{Z}(r)) = 0$ if $s > d + 2$, because $\text{cd}_p(Y_1) \leq d + 1$ [SGA4, Thm 5.1, Exp. X] and the relative K -sheaves are p -primary torsion by Proposition 9.1(a).

By Lemma B.9 in order to show (b) it is enough to show that the Chern character induces an isomorphism

$$\text{ch} : E_2^{s,t}(K) \rightarrow \bigoplus_{r \leq d+2} E_2^{s,t}(\mathbb{Z}(r))$$

for $0 \leq t - s \leq 2$ and $s \leq d + 2$. This follows from:

Claim 10.2. The Chern character induces an isomorphism of étale pro-sheaves

$$\text{ch} : \mathcal{K}_{Y., Y_1, a} \rightarrow \bigoplus_{r \leq a} \mathcal{H}^{2r-a-1}(p(r)\Omega_{Y.}^{<r})$$

for $1 \leq a \leq d + 4 < p - 2$.

Case $a = 1$: It is known that $\mathcal{K}_{Y_1,2}$ is locally generated by Steinberg symbols [DS], so $\mathcal{K}_{Y,2} \rightarrow \mathcal{K}_{Y_1,2}$ is surjective and therefore $\mathcal{K}_{Y,Y_1,1} = (\mathbb{G}_m)_{Y,Y_1}$. The target set of the Chern character for $a = 1$ is just $p\mathcal{O}_X$ and the Chern character is the p -adic logarithm isomorphism in this case.

Case $a > 1$: By Proposition 9.1(a) there is an isomorphism of pro-sheaves

$$\mathcal{K}_{Y,Y_1,a} \xrightarrow{\sim} (\mathcal{K}/p^*)_{Y,Y_1,a}$$

and similarly for relative motivic cohomology. By a simple dévissage it therefore suffices to show that the Chern character of étale pro-sheaves

$$\text{ch} : (\mathcal{K}/p)_{Y,Y_1,a} \rightarrow \bigoplus_{r \leq a} \mathcal{H}^{2r-a-1}(p(r)\Omega_Y^{<r} \otimes_{\mathbb{Z}} \mathbb{Z}/p)$$

is an epimorphism for $2 \leq a \leq d+5$ and a monomorphism for $2 \leq a \leq d+4$.

Observe that

$$(10.4) \quad \text{ch} : (\mathcal{K}/p)_{Y_1,a} \rightarrow \mathcal{H}^a(\mathbb{Z}_{Y_1}(a) \otimes_{\mathbb{Z}} \mathbb{Z}/p)$$

is an isomorphism for all $a < p$. Concerning (10.4), note that $\mathcal{H}^a(\mathbb{Z}_{Y_1}(r) \otimes_{\mathbb{Z}} \mathbb{Z}/p) = 0$ for $r \neq a$ by [GL]. Indeed, Geisser-Levine show that there is precisely one such morphism (10.4) compatible with Steinberg symbols on both sides, which our Chern character is, and that this one morphism is an isomorphism.

Using the sheaf analog of the commutative diagram of exact sequences (10.1), the isomorphism (10.4) and the following claim, we finish the proof of Theorem 10.1.

Claim 10.3. The Chern character induces an isomorphism

$$(10.5) \quad \text{ch} : (\mathcal{K}/p)_{Y,a} \rightarrow \bigoplus_{r \leq a} \mathcal{H}^{2r-a}(\mathbb{Z}_{Y_1}(r) \otimes_{\mathbb{Z}} \mathbb{Z}/p)$$

for $2 \leq a \leq d+5 < p-1$.

In order to prove the claim we can assume that Y_1 is affine. Then by [E, Thm. 7] our Y_1 is the p -adic formal scheme associated to a smooth affine scheme Y/W . With the notation as in Section 9, in particular with $i : Y_1 \rightarrow Y$ the immersion of the closed fibre, there is a commutative diagram

$$\begin{array}{ccc} i^*(\mathcal{K}/p)_{Y,a} & \xrightarrow{\text{ch}} & \bigoplus_{r \leq a} \mathcal{H}^{2r-a}(\mathfrak{V}_Y(r)) \\ \downarrow \wr & & \downarrow \wr \\ (\mathcal{K}/p)_{Y,a} & \xrightarrow{\text{ch}} & \bigoplus_{r \leq a} \mathcal{H}^{2r-a}(\mathbb{Z}_{Y_1}(r) \otimes_{\mathbb{Z}} \mathbb{Z}/p) \end{array}$$

The right vertical isomorphism is due to Kurihara [Ku1] and the left vertical isomorphism is from Proposition 9.2. The top horizontal map is induced by Sato's Chern character [Sa, Sec. 4]. In order to show that the latter induces an isomorphism in our situation we can make the base change $W \subset W[\zeta_p]$ with ζ_p a primitive p -th root of unity. Then it is clear that Sato's Chern character maps the Bott element to the Bott element and is compatible with Steinberg symbols. Therefore Proposition 9.5 shows that the top horizontal map is an isomorphism. \square

In order to finish the proof of the Main Theorem 1.3, combine Theorem 7.5 with Theorem 10.1.

11. MILNOR K -THEORY

In this section we recall some properties of Milnor K -theory and we study the infinitesimal part of Milnor K -groups for smooth rings over W_n , recollecting results of Kurihara [Ku2], [Ku3]. The main result of this section, Theorem 11.3, is used in Proposition 6.2(4) to relate Milnor K -theory and motivic cohomology of a p -adic scheme.

Consider the functor

$$F : A \mapsto \otimes_{n \geq 0} (A^\times)^{\otimes n} / St$$

from commutative rings to graded rings, where St is the graded two-sided ideal generated by elements $a \otimes b$ with $a + b = 1$.

Let S be a base scheme and let F^\sim be the sheaf on the category of schemes over S associated to the functor F in either the Zariski, Nisnevich or étale topology. The Milnor K -sheaf \mathcal{K}_*^M is a certain quotient sheaf of F^\sim , defined in [Ke2]. In particular it is locally generated by symbols

$$\{x_1, \dots, x_r\} \quad \text{with } x_1, \dots, x_r \in \mathcal{O}^\times.$$

In fact, if the residue fields at all points of S are infinite, the map $F^\sim \rightarrow \mathcal{K}_*^M$ is an isomorphism. For a scheme X/S denote by $\mathcal{K}_{X,*}^M$ the restriction of \mathcal{K}_*^M to the small site of X .

Let $S = \text{Spec } k$ for a perfect field k with $\text{char } k = p > 0$ and let $X \in \text{Sm}_k$.

Proposition 11.1.

- (a) *The sheaf $\mathcal{K}_{X,*}^M$ is p -torsion free.*
- (b) *The composite of the Teichmüller lift and the $d \log$ -map induces an isomorphism*

$$d \log[-] : \mathcal{K}_{X,r}^M / p^n \xrightarrow{\cong} W_n \Omega_{X,\log}^r$$

with the logarithmic de Rham-Witt sheaf.

Proof. Part (a) is due to Izboldin [Iz]. Part (b) is due to Bloch-Kato [BK]. \square

Let R be an essentially smooth local ring over $W_n = W(k)/p^n$. By R_1 we denote $R/(p)$. In this section, we study Milnor K -groups of R .

By the Milnor K -group $K_r^M(R)$ we mean the stalk of the Milnor K -sheaf in Zariski topology over $\text{Spec } R$. We consider the filtration $U^i K_r^M(R) \subset K_r^M(R)$ ($i \geq 1$), where $U^i K_r^M(R)$ is generated by symbols

$$\{1 + p^i x, x_2, \dots, x_r\}$$

with $x \in R$ and $x_i \in R^\times$ ($2 \leq i \leq r$). One easily shows that $U^1 K_r^M(R)$ is equal to the kernel of $K_r^M(R) \rightarrow K_r^M(R_1)$.

Lemma 11.2. *The group $U^1 K_r^M(R)$ is p -primary torsion of finite exponent.*

Proof. Without loss of generality we can assume $r = 2$. The theory of pointy bracket symbols for the relative K -group $K_2(R, pR)$ ([SK]), yields generators $\langle a, b \rangle$ of $U^1 K_r^M(R)$ defined for $a, b \in R$ with at least one of $a, b \in pR$. Relations for the pointy brackets are:

- (i) $\langle a, b \rangle = -\langle b, a \rangle$; $a \in R, b \in pR$ or $b \in R, a \in pR$
- (ii) $\langle a, b \rangle + \langle a, c \rangle = \langle a, b + c - abc \rangle$; $a \in pR$ or $b, c \in pR$
- (iii) $\langle a, bc \rangle = \langle ab, c \rangle + \langle ac, b \rangle$; $a \in pR$.

Note that for a fixed, the mapping $(b, c) \mapsto b + c - abc$ is a formal group law. It follows that for $N \gg 0$, $p^N \langle a, b \rangle = \langle a, 0 \rangle = 0$, so $K_2(R, pR)$ is p -primary torsion of finite exponent. \square

Theorem 11.3. *For $p > 2$ the assignment*

$$(11.1) \quad px d \log y_1 \wedge \dots \wedge d \log y_{r-1} \mapsto \{\exp(px), y_1, \dots, y_{r-1}\}$$

induces an isomorphism

$$(11.2) \quad \text{Exp} : p\Omega_{R_n}^{r-1}/p^2 d\Omega_{R_n}^{r-2} \xrightarrow{\sim} U^1 K_r^M(R_n).$$

Proof.

1st step: $\text{Exp} : p\Omega_R^{r-1} \rightarrow K_r^M(R)$ as in (11.1) is well-defined.

Note that Kurihara [Ku3] shows the exponential map is well defined if $K_r^M(R)$ is replaced by its p -adic completion $K_r^M(R)_p^\wedge$. By standard arguments, see [Ku3, Sec. 3.1], we reduce to $r = 2$. By Proposition 11.1(a) the group $K_2^M(R_1)$ has no p -torsion. This implies that for any $n \geq 1$

$$(11.3) \quad 0 \rightarrow U^1 K_2^M(R) \otimes \mathbb{Z}/p^n \rightarrow K_2^M(R) \otimes \mathbb{Z}/p^n \rightarrow K_2^M(R_1) \otimes \mathbb{Z}/p^n \rightarrow 0$$

is exact. For $n \gg 0$ Lemma 11.2 says that $U^1 K_2^M(R) \otimes \mathbb{Z}/p^n = U^1 K_2^M(R)$. Taking the inverse limit over n in (11.3) we see that

$$(11.4) \quad U^1 K_2^M(R) \rightarrow K_2^M(R)_p^\wedge$$

is injective. So the claim follows from the result of Kurihara mentioned above.

2nd step: $\text{Exp}(p^2 d\Omega_R^{r-2}) = 0$

Without loss of generality $r = 2$. The claim follows from the injectivity of (11.4) and [Ku3, Cor. 1.3].

3rd step: $\text{Exp} : p\Omega_{R_n}^{r-1}/p^2 d\Omega_{R_n}^{r-2} \rightarrow U^1 K_r^M(R_n)$ is an isomorphism.

Set $G_r = p\Omega_R^{r-1}/p^2 d\Omega_R^{r-2}$ and define a filtration on it by the subgroups $U^i G_r \subset G_r$ ($i \geq 1$) given by the images of $p^i \Omega_R^{r-1}$. Note that

$$\text{gr}^i G_r = \Omega_{R_1}^{r-1}/B_{i-1} \Omega_{R_1}^{r-1},$$

see [Il, Cor. 0.2.3.13]. In [Ku2, Prop. 2.3] Kurihara shows that

$$\text{gr}^i G_r \rightarrow \text{gr}^i K_r^M(R)$$

is an isomorphism. This finishes the proof of the theorem. \square

APPENDIX A. HOMOLOGICAL ALGEBRA

In this section we collect some standard facts from homological algebra that we use. Let \mathcal{T} be a triangulated category with t -structure, see [BBD, Sec. 1.3].

Lemma A.1. *For an integer r and for an exact triangle*

$$A \rightarrow B \rightarrow C \xrightarrow{[1]} A[1]$$

in \mathcal{T} with $A \in \mathcal{T}^{\leq r}$ the triangle

$$A \rightarrow \tau_{\leq r} B \rightarrow \tau_{\leq r} C \xrightarrow{[1]} A[1]$$

is exact.

Lemma A.2. For $A, B \in \mathcal{T}$ with $A \in \mathcal{T}^{\leq r}$ and $B \in \mathcal{T}^{\leq r} \cap \mathcal{T}^{\geq r}$ assume given an epimorphism $\mathcal{H}^r(A) \rightarrow \mathcal{H}^r(B)$. Then this epimorphism lifts uniquely to a morphism $A \rightarrow B$ in \mathcal{T} , sitting inside an exact triangle

$$A \rightarrow B \rightarrow C \rightarrow A[1]$$

which is unique up to unique isomorphism.

Proof. The existence of such an exact triangle is clear from the axioms of triangulated categories. Note that $C \in \mathcal{T}^{< r}$. Uniqueness means that there exists a unique dotted isomorphism α in a commutative diagram with exact triangles as rows

$$\begin{array}{ccccccc} A & \longrightarrow & B & \longrightarrow & C & \longrightarrow & A[1] \\ \parallel & & \parallel & & \downarrow \alpha & & \parallel \\ A & \longrightarrow & B & \longrightarrow & C' & \longrightarrow & A[1] \end{array}$$

Existence and uniqueness follow from the exact sequence

$$0 = \text{Hom}(C, B) \rightarrow \text{Hom}(C, C') \rightarrow \text{Hom}(C, A[1]) \rightarrow \text{Hom}(C, B[1]).$$

□

Now we discuss pro-sheaves on sites. Let \mathbb{N} be the category with the objects $\{1, 2, 3, \dots\}$ and morphisms $n_1 \rightarrow n_2$ for $n_1 \geq n_2$. By the category of *pro-systems* C_{pro} , for a category C , we mean the category of diagrams in C with index category \mathbb{N} and with morphisms

$$\text{Mor}_{C_{\text{pro}}}(Y, Z) = \lim_{\leftarrow n} \lim_{\rightarrow m} \text{Mor}_C(Y_m, Z_n).$$

Definition A.3. Let \mathbb{S} be a small site.

- (a) By $\text{Sh}(\mathbb{S})$ we denote the category of sheaves of abelian groups on \mathbb{S} . By $C(\mathbb{S})$ we denote the category of unbounded complexes in $\text{Sh}(\mathbb{S})$.
- (b) By $\text{Sh}_{\text{pro}}(\mathbb{S})$ we denote the category of pro-systems in $\text{Sh}(\mathbb{S})$.
- (c) By $C_{\text{pro}}(\mathbb{S})$ we denote the category of pro-systems in $C(\mathbb{S})$.
- (d) By $D_{\text{pro}}(\mathbb{S})$ we denote the Verdier localization of the homotopy category of $C_{\text{pro}}(\mathbb{S})$, where we kill objects which are represented by systems of complexes which have level-wise vanishing cohomology sheaves.

For the construction of Verdier localization in (d) see [Ne, Sec. 2.1].

Lemma A.4. The triangulated category $D_{\text{pro}}(\mathbb{S})$ has a natural t -structure $(D^{\leq 0}(\mathbb{S}), D^{\geq 0}(\mathbb{S}))$ with $\mathcal{F}_\bullet \in D_{\text{pro}}^{\leq 0}$ resp. $\mathcal{F}_\bullet \in D_{\text{pro}}^{\geq 0}$ if \mathcal{F}_\bullet is isomorphic in $D_{\text{pro}}(\mathbb{S})$ to \mathcal{F}'_\bullet with $\mathcal{H}^i(\mathcal{F}'_n) = 0$ for all $n \in \mathbb{N}$ and $i > 0$ resp. for $i < 0$. The t -structure has heart $\text{Sh}_{\text{pro}}(\mathbb{S})$.

We write $D_{\text{pro}}^+(\mathbb{S})$, $D_{\text{pro}}^-(\mathbb{S})$ and $D_{\text{pro}}^b(\mathbb{S})$ for the bounded above, bounded below and bounded objects in $D(\mathbb{S})$ with respect to the t -structure.

APPENDIX B. HOMOTOPICAL ALGEBRA

In this section we introduce certain standard model categories of pro-systems over a small site \mathbb{S} .

Definition B.1.

where the left adjoint is the constant pro-system functor and the right adjoint is the inverse limit functor.

Notation B.5.

- We write

$$K : \mathbf{hC}_{\text{pro}}(\mathbb{S}) \rightarrow \mathbf{hS}_{\text{pro}}(\mathbb{S})$$

for the functor induced by $K : \mathbf{C}_{\text{pro}}(\mathbb{S}) \rightarrow \mathbf{S}_{\text{pro}}(\mathbb{S})$.

- We write $[Y_1, Y_2]$ for the set of morphisms from Y_1 to Y_2 in the homotopy category.
- The right derived functor $\text{holim} : \mathbf{hS}_{\text{pro}}(\mathbb{S}) \rightarrow \mathbf{hS}_{\text{pro}}(\mathbb{S})$ of $\varprojlim : \mathbf{S}_{\text{pro}}(\mathbb{S}) \rightarrow \mathbf{S}(\mathbb{S})$ is called homotopy inverse limit. By $R\varprojlim : \mathbf{D}_{\text{pro}}(\mathbb{S}) \rightarrow \mathbf{D}(\mathbb{S})$ we denote the right derived functor of $\varprojlim : \mathbf{C}_{\text{pro}}(\mathbb{S}) \rightarrow \mathbf{C}(\mathbb{S})$.

Definition B.6. We define continuous cohomology of $\mathcal{F}_\bullet \in \mathbf{D}_{\text{pro}}(\mathbb{S})$ by

$$H_{\text{cont}}^i(\mathbb{S}, \mathcal{F}_\bullet) = [\mathbb{Z}[-i], \mathcal{F}_\bullet],$$

where \mathbb{Z} denotes the constant sheaf of integers.

Continuous cohomology of sheaves was first studied in [Ja]. Note that we have a short exact sequence

$$(B.1) \quad 0 \rightarrow \varprojlim_n^1 H^{i-1}(\mathbb{S}, \mathcal{F}_n) \rightarrow H_{\text{cont}}^i(\mathbb{S}, \mathcal{F}_\bullet) \rightarrow \varprojlim_n H^i(\mathbb{S}, \mathcal{F}_n) \rightarrow 0.$$

Lemma B.7. For $\mathcal{F}_\bullet \in \mathbf{D}_{\text{pro}}^+(\mathbb{S})$ there is a convergent spectral sequence

$$E_2^{p,q} = H_{\text{cont}}^p(\mathbb{S}, \mathcal{H}^q(\mathcal{F}_\bullet)) \implies H_{\text{cont}}^{p+q}(\mathbb{S}, \mathcal{F}_\bullet)$$

with differential $d_r : E_r^{p,q} \rightarrow E_r^{p+r, q-r+1}$.

Lemma B.8. Let C_\bullet be in $\mathbf{S}_{\text{pro}}(\mathbb{S})$ and assume that $\tilde{\pi}_1(C_n)$ is commutative for any $n \geq 1$. If there is N such that $H_{\text{cont}}^i(\mathbb{S}, \tilde{\pi}_j(C_\bullet)) = 0$ for $i > N$, then there is a completely convergent Bousfield-Kan spectral sequence

$$E_2^{s,t} = H_{\text{cont}}^s(\mathbb{S}, \tilde{\pi}_t(C_\bullet)) \implies [S^{t-s}, C_\bullet] \quad \text{with } t \geq s$$

and differential $d_r : E_r^{s,t} \rightarrow E_r^{s+r, t+r-1}$.

Here $\tilde{\pi}_i$ is the pro-system of sheaves of homotopy groups and H_{cont}^0 of the sheaf of sets $\tilde{\pi}_0(C_\bullet)$ means simply global sections of the inverse limit. The indexing of the spectral sequence is as in [BoK, Sec. IX.4.2].

For $C_\bullet = K(\mathcal{F}_\bullet)$ with K as in Proposition B.4(a) and \mathcal{F}_\bullet as in Lemma B.7 there is a natural morphism

$$E_r^{s,t}(\mathcal{F}_\bullet) \rightarrow E_r^{s,t}(K(\mathcal{F}_\bullet)) \quad (t \geq s, r \geq 2),$$

compatible with the differential d_r , where the left side is a Bousfield-Kan renumbering of the spectral sequence of Lemma B.8 and the right side is the spectral sequence of Lemma B.8. This morphism is injective for $t = s$ and bijective for $t > s$.

Lemma B.8 implies in particular the following lemma.

Lemma B.9. Let $C_\bullet, C'_\bullet \in \mathbf{S}_{\text{pro}}(\mathbb{S})$ satisfy the assumptions of Lemma B.8 and let $\Psi : C_\bullet \rightarrow C'_\bullet$ be a morphism.

(a) Assume that for an integer $n \geq 1$ the induced map

$$(B.2) \quad H_{\text{cont}}^s(\mathbb{S}, \tilde{\pi}_t(C)) \xrightarrow{\Psi_*} H_{\text{cont}}^s(\mathbb{S}, \tilde{\pi}_t(C')),$$

is injective for all t, s with $t-s = n-1$, bijective for $t-s = n$ and surjective for $t-s = n+1$. Then $\Psi_* : [S^n, C] \rightarrow [S^n, C']$ is an isomorphism.

(b) Assume that (B.2) is surjective for $t-s = 1$ and injective for $t = s$. Then $\Psi_* : [S^0, C] \rightarrow [S^0, C']$ is injective.

Definition B.10. An object $C \in \mathbb{S}_{\text{pro}}(\mathbb{S})$ satisfies descent if for any object $U \in \mathbb{S}$

$$\Gamma(U, C) \rightarrow \Gamma(U, \text{FC})$$

is an isomorphism in $\text{hS}_{\text{pro}}(\{*\})$. Here FC is a fibrant replacement in $\mathbb{S}_{\text{pro}}(\mathbb{S})$.

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